

Legislative Appropriations Request

For Fiscal Years 2012 and 2013

**Submitted to the
Governor's Office of Budget, Planning and Policy
and the Legislative Budget Board**

by



Public Utility Commission of Texas

Revised Submission Date: August 18, 2010

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Automated Budget and Evaluation System of Texas (ABEST)

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Agency code: **473**

Agency name: **Public Utility Commission of Texas**

Over the past fifteen years, the Public Utility Commission of Texas (PUC or Commission) has been called upon to implement Legislative mandates associated with the restructuring of the electric and telecommunications industries. The agency continues to adjust its focus as telecommunications and electric markets mature, while continuing to regulate parts of the State not yet open to competition. The PUC's traditional regulatory role has decreased over the years, but has been replaced with responsibilities for oversight of competitive markets. The overall result is that the PUC has not experienced a decreased need for funding of its core functions.

The PUC's basic functions are reflected in the agency's strategies: competitive market oversight; rate and service quality regulation; enforcement of statutes, rules, and orders; providing information to customers, utilities and competitive providers; assisting customers with resolving disputes with service providers; customer education related to electric restructuring; and administration of the electric low income discount program.

As a result of a PUC initiated reorganization, the agency's structure is based on functions, and organizational units based on the industries the PUC regulates and oversees have been eliminated. The current structure allows for a more effective and efficient use of staff resources because employees can be assigned to work on the highest priority matters, regardless of whether the matter pertains to the electric or telecommunications industry.

PUC COMMISSIONERS

Chairman Barry T. Smitherman	April 24, 2004 – August 31, 2013	
Commissioner Donna L. Nelson	August 15, 2008 – August 31, 2015	
Commissioner Kenneth W. Anderson, Jr.	September 2, 2008 – August 31, 2011	Dallas

HIGH-LEVEL APPROPRIATIONS REQUEST OVERVIEW

The PUC receives appropriations from the General Revenue Fund (GR), and General Revenue – Dedicated System Benefit Account No. 5100 (System Benefit Fund or SBF), Federal Funds, and Appropriated Receipts. The PUC's base request is for funding at the reconciled appropriation amounts in GR and SBF for fiscal years 2010 and 2011. The Commission's Federal Funds reflect funding from two American Recovery and Reinvestment Act (ARRA) grants. The first grant, of which the PUC is the direct recipient, totals approximately \$1.37 million from fiscal year 2010 through fiscal year 2013 and provides funding to promote renewable energy, energy efficiency, demand response, smart meter and smart grid projects and initiatives, and other regulatory and market design projects. The second grant, of which the PUC is a sub-grantee of the State Energy Conservation Office, totals approximately \$1.70 million from fiscal year 2010 through fiscal year 2012 and provides funding for the PUC to update its Energy Assurance Plan, and develop rules and policies to address the installation of renewable energy facilities, Smart Grid and increased energy efficiency efforts by electric utilities, power generation companies, local governments, and private industry. The PUC's Appropriated Receipts funding request, for \$475,000 each fiscal year, is consistent with past appropriation amounts. This Appropriated Receipts amount includes \$400,000 for reimbursements from the Texas Universal Service Fund and \$75,000 for copies and PUC Electronic Interchange filing fees.

GENERAL REVENUE DETAIL

The PUC requests General Revenue (GR) funding in the amount of \$20,578,808 for fiscal years 2012 and 2013. This requested level of GR funding is 5 percent less than the

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amount the Legislature appropriated to the PUC for fiscal years 2010 and 2011. In accordance with a January 15, 2010 letter from the Governor, Lieutenant Governor, and Speaker of the House, requesting all agencies to provide a 5 percent GR – related appropriation reduction plan, the PUC reduced its 2010-11 biennial GR appropriation by \$1,083,148. This amount was removed from the agency’s baseline appropriations request for the 2012-13 biennium. However, the Commission does believe this amount of appropriation authority will permit the PUC to perform its statutory duties with the degree of quality that the Legislature and people of Texas have come to expect from the agency.

Capital Budget

The PUC’s 2012-13 LAR includes the deletion of two capital budget projects. Beginning with the General Appropriations Act for the 2002-03 fiscal biennium, the agency’s capital budget rider has included funds for a copier lease and desktop and software lease. The lease amounts for both items have remained consistent and the items procured with these funds are essential to the PUC’s day-to-day operations. As such, and in accordance with LBB staff approval, the agency has removed these two items from the capital budget rider and reallocated the funds, totaling \$400,000 each fiscal year, to the Daily Operations category in the Information Technology Detail.

10 Percent Reduction

As required by the Legislative Appropriations Request instructions, the PUC has included the 10 Percent Biennial Base Reduction Options Schedule which demonstrates the reductions to the baseline request that are proposed if the agency’s funding were decreased by 10 percent. Approximately 88 percent of the Commission’s General Revenue appropriation is allocated to fund staff salaries; therefore, decreases in funding would necessarily result in a reduction in agency staff. Due to past decreases in funding and changes in statutory responsibilities which have occurred, the PUC does not currently perform work that could be considered discretionary. The Commission may exercise discretion in the prioritization and timing of its work, and at times, in the overall level of resources that can be expended on a particular function. For example, the PUC’s enforcement activities, which assure that customers receive the benefits of competition, are not statutorily required. Unlike the Commission’s statutory responsibility to respond to various applications for regulatory approvals, the burden to initiate enforcement actions is with the Commission and is dependent on the agency’s ability to commit resources to act in a proactive, rather than reactive mode. A reduction in funding would mean a decrease in our ability to devote resources to aggressively enforce PURA and the Commission’s rules. In the area of rulemaking, the Commission has many statutory mandates to adopt rules, and must periodically amend its rules in response to changing conditions. Decreased funding would make it difficult for the PUC to adopt rules required by new legislation on a timely basis, and could affect the agency’s ability to enact necessary rule amendments. Another area of concern would be the PUC’s informal complaint resolution program. We place a high priority on timely completion of informal complaints, and are currently exceeding our performance measure for that function. The agency is also proud of the level of service it provides to consumers through the agency’s call center. However, decreased funding would result in a lower level of service in this area. Overall, a 10 percent reduction to General Revenue funding would result in PUC needing to reduce staffing for both fiscal year 2012 and 2013 from 184.6 to 167.0 FTEs. This FTE reduction would affect all programs and functions at the Commission. Schedule 6.I.10 displays the agency’s total reduction in order from least to most impactful to agency operations.

GENERAL REVENUE – DEDICATED; SYSTEM BENEFIT FUND DETAIL

The System Benefit Fund was created in 1999 as part of the comprehensive restructuring of the electric utility industry. PURA §39.903 requires the SBF, which is funded by a non-bypassable fee assessed to retail electric providers via transmission and distribution utilities, based on electricity usage of customers, to provide funding for the following purposes:

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- (1) programs to assist low income electric customers by providing a rate discount of 10 to 20 percent, and to provide one time bill payment assistance to low income electric customers who are or who have in their households seriously ill or disabled and who have been threatened with disconnection for non-payment;
- (2) customer education programs;
- (3) administrative expenses incurred by the PUC in administering Chapter 39 of PURA, and expenses incurred by the Office of Public Utility Counsel under Chapter 39;
- (4) programs to assist low-income electric customers by providing targeted energy efficiency programs; and
- (5) reimbursement to the PUC and Health and Human Services Commission for expenses incurred in the implementation and administration of an integrated eligibility process for discounts relating to retail electric service, including outreach expenses the PUC determines are reasonable and necessary.

Customer Education

The PUC has received funding for customer education from the SBF at the following levels:

Fiscal Year	Appropriation Amount
2001	
2002	
2003	
2004	
2005	\$12,000,000
2006	\$12,000,000
2007	\$6,000,000
2008	\$750,000
2009	\$750,000
2010	\$750,000
2011	\$750,000
	\$750,000

For fiscal years 2012 and 2013, the PUC requests an appropriation of \$750,000 per year, or \$1.5 million for the biennium. This level of funding would allow the PUC to continue current customer education efforts, which includes providing important information to customers and assist them in making well-informed choices concerning their electric service.

Low-Income Discount Program and Bill Payment Assistance

The PUC has historically received funding for the electric low income discount program from the SBF at the following levels:

Fiscal Year	Appropriation Amount
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2002	\$97,083,960
2003	
2004	
2005	
2006	
2007	\$135,957,827
2008	\$97,129,705
2009	\$117,504,085
2010	\$0
2011	\$0
	\$80,000,000

The PUC also received an appropriation of \$30,000,000 in June 2007 to fund the program July - September 2007.

The PUC's request for the electric low-income discount program for fiscal years 2012 and 2013 is based on the expended and budgeted amounts for fiscal years 2010 and 2011. The 81st Legislature capped the discount percentage at 17 percent. As a result, the PUC projects being unable to expend approximately \$19.4 million dollars in appropriation authority for low-income discounts during the 2010-11 biennium. The agency's base request reflects this lapse, as well as amounts identified as a part of the mandated 5 percent GR-related appropriation reduction in for the 2010-11 biennium. As such, the Commission's low-income discount program appropriation request is approximately \$32.3 million less than the amount appropriated in the GAA for the 2010-11 biennium. The PUC also requests deleting the provision in Rider 8, Low Income Discount, which prohibits the PUC from providing a discount greater than 17 percent. The PUC has not included a request for funding for the bill payment assistance program created by PURA §39.903(j-1). This section, which was effective September 1, 2005, provides for bill payment assistance to prevent the disconnection of electric service for nonpayment of bills if there is one or more seriously ill or disabled low-income persons in the household whose health or safety may be injured by disconnection. The Legislature has not, to date, appropriated funds for this program.

Administration and Implementation of Chapter 39 of the Public Utility Regulatory Act

The PUC requests \$2,204,472 per fiscal year to fund activities relating to the administration and implementation of Chapter 39 of the Public Utility Regulatory Act (PURA), which is consistent with 2010-11 appropriations for the same purpose. In fiscal years 2001 through 2007, the PUC received an appropriation of \$875,842 per fiscal year for expenses incurred to administer Chapter 39 of PURA. Beginning in fiscal year 2004, the PUC received additional funds in the amount of \$2,000,000 per fiscal year to support its electric wholesale and retail market oversight functions. For fiscal years 2008 and 2009, the PUC limited its request for total funding for implementation of Chapter 39 to \$2,075,842 per fiscal year, 72 percent of the amount appropriated for that purpose in fiscal years 2006 and 2007. The PUC was able to reduce its request because, pursuant to Senate Bill 408 enacted by the 79th Legislature, responsibility for monitoring the wholesale electric market was transferred to an independent market monitor that is overseen by the Commission, but funded by the Electric Reliability Council of Texas (ERCOT). Although day-to-day market monitoring is performed by the independent market monitor, the PUC retains overall responsibility for wholesale and retail market oversight, including enforcement.

Historically, the amounts appropriated for market oversight have been designated to be used for contracts. The PUC requests that the funds not be specifically designated for contracts in the GAA. The PUC has learned that outsourcing oversight functions is not always the most economical action; therefore, it is important for the Commission to have flexibility in the use of its appropriated funds to achieve the most cost-effective and efficient mix of outside consultants and in-house expertise.

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10 Percent Reduction

Approximately 98 percent of the PUC's System Benefit Fund (SBF) appropriation is allocated for Low-Income Discount program funding. Therefore, decreases in SBF funding by 10 percent would result in a reduced discount program. Based on the agency's current request of approximately \$106.5 million in fiscal year 2012 and approximately \$113.0 million in fiscal year 2013, the PUC is projecting that the program will provide a 15 percent discount to program participants each year. Reducing the overall biennial appropriation by 10 percent, or approximately \$22.5 million, would result in reduced program discount of 13.5 percent each year.

EXECUTIVE DIRECTOR COMPENSATION

In July 2010, The State Auditor's Office published Report No. 10-706, A Classification Study of Exempt Positions. This study recommended the PUC's Executive Director be classified within Salary Group 5, with a salary range of between \$122,500 and \$192,600. The study also reported that the \$153,752 is the market average for similar positions. As such, the PUC Commissioners request that the Executive Director's position be reclassified from Group 4 to Group 5, and that the position's salary cap be established at \$145,000 per year. The requested salary is still below the midpoint for this salary grouping. The Commissioners believe that the Executive Director's salary should be increased to reflect the contribution of the person holding that position to the State of Texas. The PUC is not requesting additional appropriation authority to fund this increase.

The PUC oversees entities that deposited approximately \$64 million into the State's General Revenue Fund from the gross receipts assessment in fiscal year 2009. The Commission has oversight authority over the Electric Reliability Council of Texas (ERCOT), the independent organization which manages the electric grid that serves 75% of Texas, and the ERCOT Independent Market Monitor, the entity created by statute to detect and prevent market manipulation in the wholesale electric market.

The PUC also has responsibility for administration of the Universal Service Fund and the System Benefit Fund, each of which has a balance of hundreds of millions of dollars. The Commission has had the responsibility of implementing legislative initiatives restructuring both the telecommunications and electric industries. The PUC has adapted the agency's functions and priorities to its new role, which includes oversight of competitive wholesale and retail markets, continuing to regulate the rates of companies that are still subject to traditional cost of service regulation, and enforcement of statutes and regulations. The PUC also plays a key role in providing important information to customers who now purchase telecommunications and electric services in competitive markets rather than being served by monopoly providers.

Regulating utilities and overseeing competitive markets are technically complex tasks. The "learning curve" for PUC employees is steep, which makes it crucial that the agency be able to attract and retain a high quality workforce. The PUC has learned that inadequate compensation is the number one reason employees leave the PUC – most departing employees go on to lucrative private sector jobs where they can use the knowledge they obtained at the PUC. The PUC understands that state agencies cannot compete head-on with private sector employment opportunities, but the agency needs the ability to pay people a salary that is still attractive, when considered along with the other benefits of employment in state government. The Executive Director's pay establishes the maximum pay for PUC employees. The agency pay structure must also provide for appropriate pay differentials to reflect varying levels of responsibility and expertise.

The PUC also has a post-employment restriction that is more onerous than any other state agency. For one year after a person is employed at the PUC, he or she may not be employed by a public utility that was in the scope of the employee's official responsibility. Because the Executive Director is responsible for the entire agency, this restriction is an absolute ban on employment by a public utility for one year following separation from the agency. Additionally, for one year after separating from the agency, a PUC employee may not represent a person before the PUC, or the State Office of Administrative Hearings, or a court in a matter that was within the employee's

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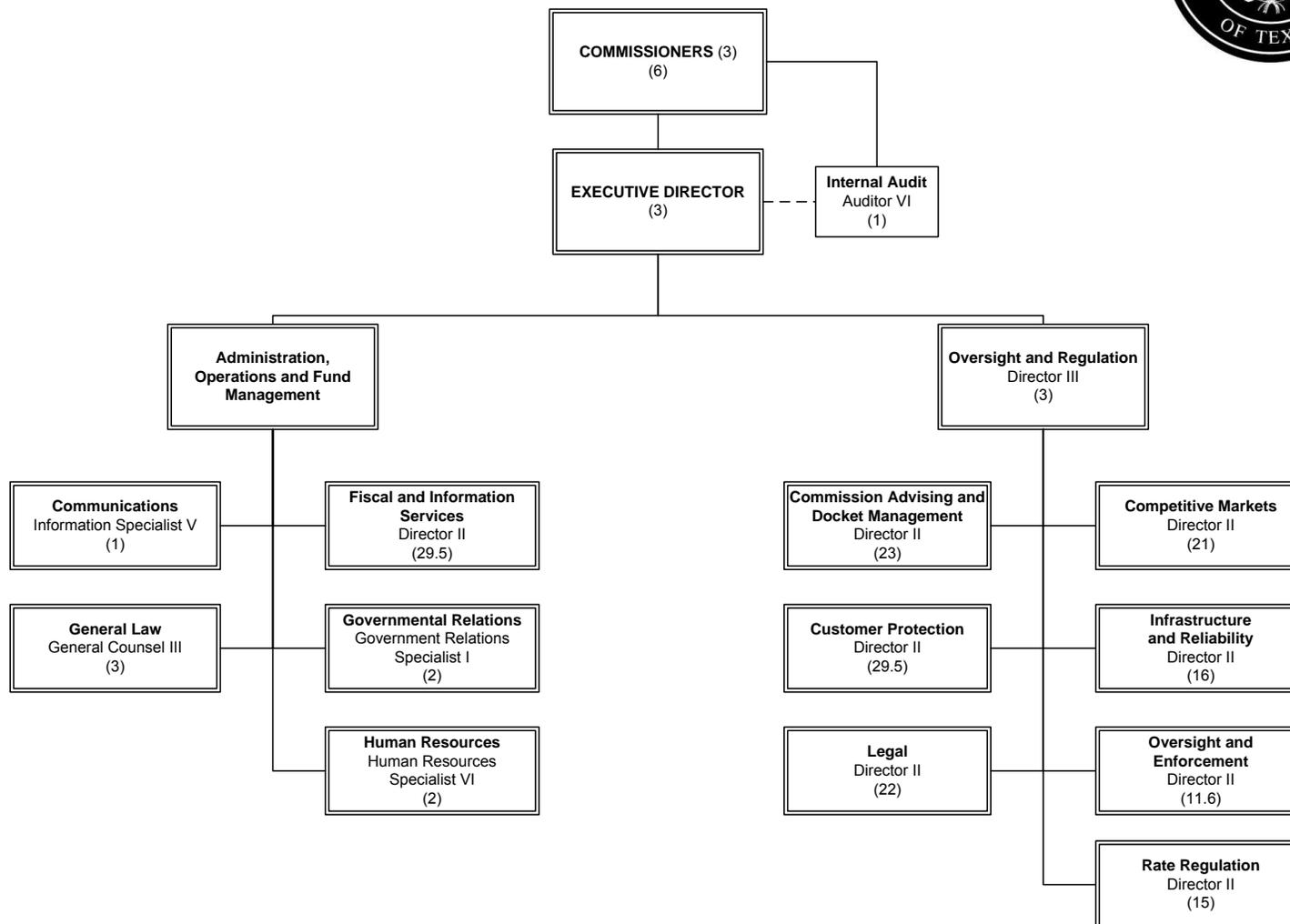
official responsibility while at the PUC. Because the PUC competes with other agencies for the same pool of executive talent, the Executive Director's salary should reflect the more onerous post-employment restriction.

CRIMINAL HISTORY BACKGROUND CHECK AUTHORITY

The PUC does not have explicit statutory authority to conduct criminal background checks, and does not conduct background checks on current or prospective employees.

W. Lane Lanford
Executive Director

PUBLIC UTILITY COMMISSION OF TEXAS
 FUNCTIONAL ORGANIZATION CHART
 Fiscal Year 2010



2.A. SUMMARY OF BASE REQUEST BY STRATEGY
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Agency code: **473** Agency name: **Public Utility Commission of Texas**

Goal / Objective / STRATEGY	Exp 2009	Est 2010	Bud 2011	Req 2012	Req 2013
1 <u>Ensure Competition, Choice, Just Rates, and Reliable Quality Service</u>					
1 <u>Maintain Policies to Foster Competition in Telecom & Elec Mkts</u>					
1 MARKET COMPETITION	4,141,049	3,916,944	5,086,478	4,632,241	4,172,773
2 <u>Regulate Providers Ensuring Companies Meet Service Quality Standards</u>					
1 UTILITY REGULATION	3,389,159	3,981,779	4,288,775	4,386,693	3,666,465
3 <u>Ensure Compliance with Statutes, Rules, and Orders</u>					
1 INVESTIGATION AND ENFORCEMENT	2,033,495	1,804,263	1,843,273	1,834,189	1,834,189
TOTAL, GOAL 1	\$9,563,703	\$9,702,986	\$11,218,526	\$10,853,123	\$9,673,427
2 <u>Educate Customers and Assist Customers</u>					
1 <u>Inform Customers of Choices & Rights & Facilitate Information Access</u>					
1 PROVIDE FACTS ABOUT CHANGES	1,220,097	644,379	658,187	654,931	654,930
2 <u>Resolve Complaints Consistent w/Laws & PUC Rules & Orders</u>					
1 ASSIST CUSTOMERS	542,265	773,255	789,475	785,534	785,534
TOTAL, GOAL 2	\$1,762,362	\$1,417,634	\$1,447,662	\$1,440,465	\$1,440,464
3 <u>Electric Utility Restructuring</u>					
1 <u>Provide Financial Assistance</u>					
1 ENERGY ASSISTANCE	97,305,626	94,037,436	125,529,291	106,514,100	113,052,628
2 CONSUMER EDUCATION	841,918	366,628	1,133,373	750,000	750,000

2.A. SUMMARY OF BASE REQUEST BY STRATEGY
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Goal / Objective / STRATEGY	Exp 2009	Est 2010	Bud 2011	Req 2012	Req 2013
TOTAL, GOAL 3	\$98,147,544	\$94,404,064	\$126,662,664	\$107,264,100	\$113,802,628
4 Indirect Administration					
1 Indirect Administration					
1 CENTRAL ADMINISTRATION	1,296,519	1,259,689	1,323,888	1,291,788	1,291,788
2 INFORMATION RESOURCES	589,327	524,870	551,620	538,245	538,245
3 OTHER SUPPORT SERVICES	117,866	104,974	110,324	107,649	107,649
TOTAL, GOAL 4	\$2,003,712	\$1,889,533	\$1,985,832	\$1,937,682	\$1,937,682
TOTAL, AGENCY STRATEGY REQUEST	\$111,477,321	\$107,414,217	\$141,314,684	\$121,495,370	\$126,854,201
TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST*				\$0	\$0
GRAND TOTAL, AGENCY REQUEST	\$111,477,321	\$107,414,217	\$141,314,684	\$121,495,370	\$126,854,201

2.A. SUMMARY OF BASE REQUEST BY STRATEGY
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Goal / Objective / STRATEGY	Exp 2009	Est 2010	Bud 2011	Req 2012	Req 2013
METHOD OF FINANCING:					
General Revenue Funds:					
1 General Revenue Fund	11,297,004	10,022,407	10,557,401	10,289,904	10,289,904
SUBTOTAL	\$11,297,004	\$10,022,407	\$10,557,401	\$10,289,904	\$10,289,904
General Revenue Dedicated Funds:					
5100 System Benefit Account	99,690,782	96,366,009	129,109,662	109,468,572	116,007,100
SUBTOTAL	\$99,690,782	\$96,366,009	\$129,109,662	\$109,468,572	\$116,007,100
Federal Funds:					
369 Fed Recovery & Reinvestment Fund	0	177,548	568,644	541,666	82,197
SUBTOTAL	\$0	\$177,548	\$568,644	\$541,666	\$82,197
Other Funds:					
666 Appropriated Receipts	489,535	475,000	475,000	475,000	475,000
777 Interagency Contracts	0	373,253	603,977	720,228	0
SUBTOTAL	\$489,535	\$848,253	\$1,078,977	\$1,195,228	\$475,000
TOTAL, METHOD OF FINANCING	\$111,477,321	\$107,414,217	\$141,314,684	\$121,495,370	\$126,854,201

*Rider appropriations for the historical years are included in the strategy amounts.

2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE
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METHOD OF FINANCING	Exp 2009	Est 2010	Bud 2011	Req 2012	Req 2013
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GENERAL REVENUE

1 General Revenue Fund

REGULAR APPROPRIATIONS

Regular Appropriation Request (2012-13)

\$0	\$0	\$0	\$10,289,904	\$10,289,904
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Regular Appropriations from MOF Table (2008-09 GAA)

\$10,159,071	\$0	\$0	\$0	\$0
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Regular Appropriations from MOF Table (2010-11 GAA)

\$0	\$10,877,758	\$10,785,198	\$0	\$0
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TRANSFERS

Art IX, Sec 19.62(a), Salary Increase (2008-09 GAA)

\$350,060	\$0	\$0	\$0	\$0
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HB 4586, Sec 89, Retention Payments

\$125,488	\$0	\$0	\$0	\$0
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LAPSED APPROPRIATIONS

Capital Budget Appropriations

\$(98,650)	\$0	\$0	\$0	\$0
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Five Percent Reduction (2010-11 Biennium)

\$0	\$(543,888)	\$(539,260)	\$0	\$0
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2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE
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METHOD OF FINANCING	Exp 2009	Est 2010	Bud 2011	Req 2012	Req 2013
<u>GENERAL REVENUE</u>					
Regular Appropriations	\$(362,058)	\$0	\$0	\$0	\$0
<i>UNEXPENDED BALANCES AUTHORITY</i>					
Art IX, Sec 14.03(j), Capital Budget UB (2008-09 GAA)	\$233,937	\$0	\$0	\$0	\$0
Art IX, Sec 14.03(j), Capital Budget UB (2010-11 GAA)	\$0	\$(85,787)	\$85,787	\$0	\$0
Art VIII, pg 74 RIDER 5 (2010-11 GAA)	\$0	\$(225,676)	\$225,676	\$0	\$0
Art VIII, pg 83 RIDER 6 (2008-09 GAA)	\$889,156	\$0	\$0	\$0	\$0
TOTAL, General Revenue Fund	\$11,297,004	\$10,022,407	\$10,557,401	\$10,289,904	\$10,289,904
TOTAL, ALL GENERAL REVENUE	\$11,297,004	\$10,022,407	\$10,557,401	\$10,289,904	\$10,289,904

GENERAL REVENUE FUND - DEDICATED

5100 GR Dedicated - System Benefit Account No. 5100

REGULAR APPROPRIATIONS

Regular Appropriation Request (2012-13)

\$0	\$0	\$0	\$109,468,572	\$116,007,100
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2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE
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METHOD OF FINANCING	Exp 2009	Est 2010	Bud 2011	Req 2012	Req 2013
<u>GENERAL REVENUE FUND - DEDICATED</u>					
Regular Appropriations from MOF Table (2008-09 GAA)	\$92,895,592	\$0	\$0	\$0	\$0
Regular Appropriations from MOF Table (2010-11 GAA)	\$0	\$122,525,075	\$135,246,066	\$0	\$0
<i>TRANSFERS</i>					
Art IX, Sec 19.62(a), Salary Increase (2008-09 GAA)	\$30,440	\$0	\$0	\$0	\$0
HB 4586, Sec 89, Retention Payments	\$10,912	\$0	\$0	\$0	\$0
<i>LAPSED APPROPRIATIONS</i>					
Five Percent Reduction (2010-11 Biennium)	\$0	\$(6,126,254)	\$(6,762,303)	\$0	\$0
Regular Appropriations	\$(7,643,374)	\$0	\$0	\$0	\$0
Regular Appropriations	\$0	\$0	\$(19,406,913)	\$0	\$0
<i>UNEXPENDED BALANCES AUTHORITY</i>					
Art VIII, pg 74 RIDER 5 (2010-11 GAA)	\$0	\$(20,032,812)	\$20,032,812	\$0	\$0

2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE
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METHOD OF FINANCING	Exp 2009	Est 2010	Bud 2011	Req 2012	Req 2013
<u>GENERAL REVENUE FUND - DEDICATED</u>					
Art VIII, pg 83 RIDER 6 (2008-09 GAA)	\$14,397,212	\$0	\$0	\$0	\$0
TOTAL, GR Dedicated - System Benefit Account No. 5100	\$99,690,782	\$96,366,009	\$129,109,662	\$109,468,572	\$116,007,100
TOTAL, ALL GENERAL REVENUE FUND - DEDICATED	\$99,690,782	\$96,366,009	\$129,109,662	\$109,468,572	\$116,007,100
TOTAL, GR & GR-DEDICATED FUNDS	\$110,987,786	\$106,388,416	\$139,667,063	\$119,758,476	\$126,297,004
<u>FEDERAL FUNDS</u>					
<u>369</u> Federal American Recovery and Reinvestment Fund					
<i>RIDER APPROPRIATION</i>					
Art IX, Sec 8.02, Federal Funds/Block Grants (2010-11 GAA)	\$0	\$177,548	\$568,644	\$0	\$0
Federal Funds/Block Grants (2012-13)	\$0	\$0	\$0	\$541,666	\$82,197
TOTAL, Federal American Recovery and Reinvestment Fund	\$0	\$177,548	\$568,644	\$541,666	\$82,197
TOTAL, ALL FEDERAL FUNDS	\$0	\$177,548	\$568,644	\$541,666	\$82,197

OTHER FUNDS

2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/13/2010**
 TIME: **4:05:53PM**

Agency code: **473** Agency name: **Public Utility Commission of Texas**

METHOD OF FINANCING	Exp 2009	Est 2010	Bud 2011	Req 2012	Req 2013
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OTHER FUNDS

666 Appropriated Receipts

REGULAR APPROPRIATIONS

Regular Appropriation Request (2012-13)

\$0	\$0	\$0	\$475,000	\$475,000
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Regular Appropriations from MOF Table (2008-09 GAA)

\$475,000	\$0	\$0	\$0	\$0
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Regular Appropriations from MOF Table (2010-11 GAA)

\$0	\$475,000	\$475,000	\$0	\$0
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RIDER APPROPRIATION

Art IX, Sec 8.03, Reimbursements and Payments (2008-09 GAA)

\$14,535	\$0	\$0	\$0	\$0
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TOTAL, Appropriated Receipts

\$489,535	\$475,000	\$475,000	\$475,000	\$475,000
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777 Interagency Contracts

TRANSFERS

Art IX, Sec 8.02, Federal Funds/Block Grants (2010-11 GAA)

\$0	\$373,253	\$603,977	\$0	\$0
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Federal Funds/Block Grants (2012-13)

\$0	\$0	\$0	\$720,228	\$0
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2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/13/2010**
 TIME: **4:05:53PM**

Agency code: 473	Agency name: Public Utility Commission of Texas				
METHOD OF FINANCING	Exp 2009	Est 2010	Bud 2011	Req 2012	Req 2013
<u>OTHER FUNDS</u>					
TOTAL, Interagency Contracts	\$0	\$373,253	\$603,977	\$720,228	\$0
TOTAL, ALL OTHER FUNDS	\$489,535	\$848,253	\$1,078,977	\$1,195,228	\$475,000
GRAND TOTAL	\$111,477,321	\$107,414,217	\$141,314,684	\$121,495,370	\$126,854,201

2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/13/2010**
 TIME: **4:05:53PM**

Agency code: **473** Agency name: **Public Utility Commission of Texas**

METHOD OF FINANCING	Exp 2009	Est 2010	Bud 2011	Req 2012	Req 2013
<u>FULL-TIME-EQUIVALENT POSITIONS</u>					
REGULAR APPROPRIATIONS					
Regular Appropriations from MOF Table (2008-09 GAA)	190.0	0.0	0.0	0.0	0.0
Regular Appropriations from MOF Table (2010-11 GAA)	0.0	188.6	188.6	0.0	0.0
Regular Appropriations Request (2012-13)	0.0	0.0	0.0	184.6	184.6
RIDER APPROPRIATION					
Art IX, Sec 6.10(g), 100% Federally Funded FTEs (2010-11 GAA)	0.0	7.0	7.0	0.0	0.0
100% Federally Funded FTEs (2012-13)	0.0	0.0	0.0	7.0	3.6
TRANSFERS					
Art IX, Sec 18.02(c), Data Center Consolidation FTE Reductions	(1.4)	0.0	0.0	0.0	0.0
Art IX, Sec 6.10(g), 100% Federally Funded FTEs (2010-11 GAA)	0.0	4.0	4.0	0.0	0.0
100% Federally Funded FTEs (2012-3)	0.0	0.0	0.0	4.0	0.0
UNAUTHORIZED NUMBER OVER (BELOW) CAP					
UNAUTHORIZED NUMBER OVER (BELOW) CAP	(8.3)	(8.0)	(4.0)	0.0	0.0
TOTAL, ADJUSTED FTES	180.3	191.6	195.6	195.6	188.2
NUMBER OF 100% FEDERALLY FUNDED FTES	0.0	11.0	11.0	11.0	7.0

2.C. SUMMARY OF BASE REQUEST BY OBJECT OF EXPENSE
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/13/2010**
 TIME: **4:10:46PM**

Agency code: 473	Agency name: Public Utility Commission of Texas				
OBJECT OF EXPENSE	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
1001 SALARIES AND WAGES	\$10,998,228	\$11,227,696	\$11,771,354	\$11,777,597	\$11,160,442
1002 OTHER PERSONNEL COSTS	\$433,828	\$502,677	\$593,795	\$595,563	\$419,676
2001 PROFESSIONAL FEES AND SERVICES	\$3,590,301	\$3,110,648	\$4,194,814	\$3,485,146	\$3,184,463
2003 CONSUMABLE SUPPLIES	\$64,481	\$53,162	\$60,000	\$60,000	\$60,000
2004 UTILITIES	\$41,576	\$22,302	\$25,520	\$24,779	\$24,779
2005 TRAVEL	\$34,408	\$68,733	\$94,294	\$93,138	\$69,125
2006 RENT - BUILDING	\$9,896	\$9,845	\$10,000	\$10,000	\$10,000
2007 RENT - MACHINE AND OTHER	\$393,053	\$375,521	\$485,787	\$419,168	\$429,851
2009 OTHER OPERATING EXPENSE	\$833,974	\$494,965	\$589,829	\$555,879	\$483,237
3001 CLIENT SERVICES	\$95,077,576	\$91,548,668	\$123,489,291	\$104,474,100	\$111,012,628
OOE Total (Excluding Riders)	\$111,477,321	\$107,414,217	\$141,314,684	\$121,495,370	\$126,854,201
OOE Total (Riders)					
Grand Total	\$111,477,321	\$107,414,217	\$141,314,684	\$121,495,370	\$126,854,201

2.D. SUMMARY OF BASE REQUEST OBJECTIVE OUTCOMES

82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation system of Texas (ABEST)

Date : **8/13/2010**

Time: **4:06:34PM**

Agency code: **473**

Agency name: **Public Utility Commission of Texas**

Goal/ Objective / Outcome	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
1 Ensure Competition, Choice, Just Rates, and Reliable Quality Service					
1 <i>Maintain Policies to Foster Competition in Telecom & Elec Mkts</i>					
KEY 1 % Tx Cities Srvd by 3 or More Certificated Telecommunication Providers					
	80.82%	80.00%	80.00%	80.00%	80.00%
2 % Comp Res Customers Served by More Than Five Electric Providers					
	100.00%	99.70%	99.70%	99.70%	99.70%
3 Percent of Goal Achieved for Renewable Energy					
	322.70%	292.00%	296.00%	245.00%	323.00%
KEY 4 Avg Price Elec/kWh in TX for Residential Customers As % of Nat'l Avg					
	127.60%	127.60%	127.60%	127.60%	127.60%
5 Avg Price Electricity/kWh for TX Commercial Customers As % Nat'l Avg					
	110.20%	110.80%	110.80%	110.84%	110.88%
6 Avg Price Electricity/kWh for TX Industrial Customers As % Nat'l Avg					
	120.20%	123.54%	121.11%	118.69%	116.26%
KEY 7 Avg Ann Resid Elec Bill from Competitive Suppliers as a % of Nat'l Avg					
	159.90%	159.90%	159.90%	159.90%	159.90%
2 <i>Regulate Providers Ensuring Companies Meet Service Quality Standards</i>					
KEY 1 Average Annual Residential Telephone Bill as a % of National Average					
	91.35%	97.16%	99.60%	105.94%	112.27%
2 Avg Price Elec/kWh for Res Cust from Reg Suppliers as % of Nat'l Avg					
	88.90%	87.00%	85.68%	83.47%	81.26%
3 Avg Price Elec/kWh for Comm Cust from Reg Suppliers as % of Nat'l Avg					
	84.00%	81.79%	79.93%	77.53%	75.14%
4 Average Annual Res Elec Bill from Reg Suppliers as % of Nat'l Avg					
	97.10%	92.52%	86.94%	82.88%	78.82%
5 % of Subscribers Served by Exchanges Meeting Service Quality Standards					
	87.93%	80.00%	80.00%	80.00%	80.00%

2.D. SUMMARY OF BASE REQUEST OBJECTIVE OUTCOMES

82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation system of Texas (ABEST)

Date : **8/13/2010**

Time: **4:07:09PM**

Agency code: **473**

Agency name: **Public Utility Commission of Texas**

Goal/ Objective / Outcome	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
6 % Electric Customers Served by TDUs Meeting Service Quality Standards					
	96.21%	96.50%	96.50%	96.50%	96.50%
<i>3 Ensure Compliance with Statutes, Rules, and Orders</i>					
1 % Agreements with Specific Provisions for Avoiding Future Violations					
	86.00%	90.00%	90.00%	95.00%	95.00%
<i>2 Educate Customers and Assist Customers</i>					
<i>2 Resolve Complaints Consistent w/Laws & PUC Rules & Orders</i>					
KEY 1 % Customer Complaints Resolved through Informal Resolution Process					
	99.79%	99.00%	99.00%	99.00%	99.00%
2 Credits & Refunds Obtained for Customers through Complaint Resolution					
	1,342,327.00	1,300,000.00	1,300,000.00	1,300,000.00	1,300,000.00
<i>3 Electric Utility Restructuring</i>					
<i>1 Provide Financial Assistance</i>					
KEY 1 % of Elig Low-income Customers Provided a Discount for Elec Service					
	100.00%	95.00%	95.00%	95.00%	95.00%

2.F. SUMMARY OF TOTAL REQUEST BY STRATEGY
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 8/13/2010
 TIME : 4:07:47PM

Agency code: 473 Agency name: Public Utility Commission of Texas

Goal/Objective/STRATEGY	Base 2012	Base 2013	Exceptional 2012	Exceptional 2013	Total Request 2012	Total Request 2013
1 Ensure Competition, Choice, Just Rates, and Reliable Quality Service						
1 <i>Maintain Policies to Foster Competition in Telecom & Elec Mkts</i>						
1 MARKET COMPETITION	\$4,632,241	\$4,172,773	\$0	\$0	\$4,632,241	\$4,172,773
2 <i>Regulate Providers Ensuring Companies Meet Service Quality Stand</i>						
1 UTILITY REGULATION	4,386,693	3,666,465	0	0	4,386,693	3,666,465
3 <i>Ensure Compliance with Statutes, Rules, and Orders</i>						
1 INVESTIGATION AND ENFORCEMENT	1,834,189	1,834,189	0	0	1,834,189	1,834,189
TOTAL, GOAL 1	\$10,853,123	\$9,673,427	\$0	\$0	\$10,853,123	\$9,673,427
2 Educate Customers and Assist Customers						
1 <i>Inform Customers of Choices & Rights & Facilitate Information Acce</i>						
1 PROVIDE FACTS ABOUT CHANGES	654,931	654,930	0	0	654,931	654,930
2 <i>Resolve Complaints Consistent w/Laws & PUC Rules & Orders</i>						
1 ASSIST CUSTOMERS	785,534	785,534	0	0	785,534	785,534
TOTAL, GOAL 2	\$1,440,465	\$1,440,464	\$0	\$0	\$1,440,465	\$1,440,464
3 Electric Utility Restructuring						
1 <i>Provide Financial Assistance</i>						
1 ENERGY ASSISTANCE	106,514,100	113,052,628	0	0	106,514,100	113,052,628
2 CONSUMER EDUCATION	750,000	750,000	0	0	750,000	750,000
TOTAL, GOAL 3	\$107,264,100	\$113,802,628	\$0	\$0	\$107,264,100	\$113,802,628

2.F. SUMMARY OF TOTAL REQUEST BY STRATEGY
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 8/13/2010
 TIME : 4:07:57PM

Agency code: 473		Agency name: Public Utility Commission of Texas				
Goal/Objective/STRATEGY	Base 2012	Base 2013	Exceptional 2012	Exceptional 2013	Total Request 2012	Total Request 2013
4 Indirect Administration						
1 <i>Indirect Administration</i>						
1 CENTRAL ADMINISTRATION	\$1,291,788	\$1,291,788	\$0	\$0	\$1,291,788	\$1,291,788
2 INFORMATION RESOURCES	538,245	538,245	0	0	538,245	538,245
3 OTHER SUPPORT SERVICES	107,649	107,649	0	0	107,649	107,649
TOTAL, GOAL 4	\$1,937,682	\$1,937,682	\$0	\$0	\$1,937,682	\$1,937,682
TOTAL, AGENCY STRATEGY REQUEST	\$121,495,370	\$126,854,201	\$0	\$0	\$121,495,370	\$126,854,201
TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST						
GRAND TOTAL, AGENCY REQUEST	\$121,495,370	\$126,854,201	\$0	\$0	\$121,495,370	\$126,854,201

2.F. SUMMARY OF TOTAL REQUEST BY STRATEGY
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 8/13/2010
 TIME : 4:07:57PM

Agency code: 473		Agency name: Public Utility Commission of Texas				
<i>Goal/Objective/STRATEGY</i>	Base 2012	Base 2013	Exceptional 2012	Exceptional 2013	Total Request 2012	Total Request 2013
General Revenue Funds:						
1 General Revenue Fund	\$10,289,904	\$10,289,904	\$0	\$0	\$10,289,904	\$10,289,904
	\$10,289,904	\$10,289,904	\$0	\$0	\$10,289,904	\$10,289,904
General Revenue Dedicated Funds:						
5100 System Benefit Account	109,468,572	116,007,100	0	0	109,468,572	116,007,100
	\$109,468,572	\$116,007,100	\$0	\$0	\$109,468,572	\$116,007,100
Federal Funds:						
369 Fed Recovery & Reinvestment Fund	541,666	82,197	0	0	541,666	82,197
	\$541,666	\$82,197	\$0	\$0	\$541,666	\$82,197
Other Funds:						
666 Appropriated Receipts	475,000	475,000	0	0	475,000	475,000
777 Interagency Contracts	720,228	0	0	0	720,228	0
	\$1,195,228	\$475,000	\$0	\$0	\$1,195,228	\$475,000
TOTAL, METHOD OF FINANCING	\$121,495,370	\$126,854,201	\$0	\$0	\$121,495,370	\$126,854,201
FULL TIME EQUIVALENT POSITIONS	195.6	188.2	0.0	0.0	195.6	188.2

2.G. SUMMARY OF TOTAL REQUEST OBJECTIVE OUTCOMES

82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation system of Texas (ABEST)

Date : 8/13/2010
Time: 4:08:39PM

Agency code: 473 Agency name: Public Utility Commission of Texas

Goal/ Objective / Outcome

		BL 2012	BL 2013	Excp 2012	Excp 2013	Total Request 2012	Total Request 2013
1	Ensure Competition, Choice, Just Rates, and Reliable Quality Service						
1	Maintain Policies to Foster Competition in Telecom & Elec Mkts						
KEY	1 % Tx Cities Srvd by 3 or More Certificated Telecommunication Providers	80.00%	80.00%			80.00%	80.00%
	2 % Comp Res Customers Served by More Than Five Electric Providers	99.70%	99.70%			99.70%	99.70%
	3 Percent of Goal Achieved for Renewable Energy	245.00%	323.00%			245.00%	323.00%
KEY	4 Avg Price Elec/kWh in TX for Residential Customers As % of Nat'l Avg	127.60%	127.60%			127.60%	127.60%
	5 Avg Price Electricity/kWh for TX Commercial Customers As % Nat'l Avg	110.84%	110.88%			110.84%	110.88%
	6 Avg Price Electricity/kWh for TX Industrial Customers As % Nat'l Avg	118.69%	116.26%			118.69%	116.26%
KEY	7 Avg Ann Resid Elec Bill from Competitive Suppliers as a % of Nat'l Avg	159.90%	159.90%			159.90%	159.90%
2	Regulate Providers Ensuring Companies Meet Service Quality Standards						
KEY	1 Average Annual Residential Telephone Bill as a % of National Average	105.94%	112.27%			105.94%	112.27%

2.G. SUMMARY OF TOTAL REQUEST OBJECTIVE OUTCOMES

82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation system of Texas (ABEST)

Date : 8/13/2010

Time: 4:08:51PM

Agency code: 473

Agency name: Public Utility Commission of Texas

Goal/ Objective / Outcome

	BL 2012	BL 2013	Excp 2012	Excp 2013	Total Request 2012	Total Request 2013
2 Avg Price Elec/kWh for Res Cust from Reg Suppliers as % of Nat'l Avg	83.47%	81.26%			83.47%	81.26%
3 Avg Price Elec/kWh for Comm Cust from Reg Suppliers as % of Nat'l Avg	77.53%	75.14%			77.53%	75.14%
4 Average Annual Res Elec Bill from Reg Suppliers as % of Nat'l Avg	82.88%	78.82%			82.88%	78.82%
5 % of Subscribers Served by Exchanges Meeting Service Quality Standards	80.00%	80.00%			80.00%	80.00%
6 % Electric Customers Served by TDUs Meeting Service Quality Standards	96.50%	96.50%			96.50%	96.50%
3 <i>Ensure Compliance with Statutes, Rules, and Orders</i>						
1 % Agreements with Specific Provisions for Avoiding Future Violations	95.00%	95.00%			95.00%	95.00%
2 Educate Customers and Assist Customers						
2 <i>Resolve Complaints Consistent w/Laws & PUC Rules & Orders</i>						
KEY 1 % Customer Complaints Resolved through Informal Resolution Process	99.00%	99.00%			99.00%	99.00%
2 Credits & Refunds Obtained for Customers through Complaint Resolution						
	1,300,000.00	1,300,000.00			1,300,000.00	1,300,000.00

2.G. SUMMARY OF TOTAL REQUEST OBJECTIVE OUTCOMES

82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation system of Texas (ABEST)

Date : 8/13/2010
Time: 4:08:51PM

Agency code: **473** Agency name: **Public Utility Commission of Texas**

Goal/ Objective / Outcome

	BL 2012	BL 2013	Excp 2012	Excp 2013	Total Request 2012	Total Request 2013
3 Electric Utility Restructuring						
1 <i>Provide Financial Assistance</i>						
KEY 1 % of Elig Low-income Customers Provided a Discount for Elec Service	95.00%	95.00%			95.00%	95.00%

3.A. STRATEGY REQUEST

82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2010
TIME: 5:19:27PM

Agency code: **473** Agency name: **Public Utility Commission of Texas**

GOAL: 1 Ensure Competition, Choice, Just Rates, and Reliable Quality Service Statewide Goal/Benchmark: 7 8
OBJECTIVE: 1 Maintain Policies to Foster Competition in Telecom & Elec Mkts Service Categories:
STRATEGY: 1 Foster and Monitor Market Competition Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
Output Measures:						
	1 Number of Retail Electric Providers Registered	148.00	127.00	119.00	120.00	122.00
KEY 2	Number of Wholesale Electric Market Investigations Completed	8.00	8.00	8.00	8.00	8.00
KEY 3	Number of Cases Completed Related to Competition Among Providers	447.00	380.00	430.00	430.00	430.00
Efficiency Measures:						
KEY 1	Avg # of Days to Process an Application for a Telecom COA & SPCOA	42.00	44.00	44.00	44.00	44.00
Explanatory/Input Measures:						
	1 # of Elect Coops and Municipal Utilities Reg for Wholesale Trans Rate	38.00	37.00	37.00	37.00	37.00
	2 % of Statewide Electric Generating Capacity Above Peak Demand in ERCOT	15.82 %	14.70 %	18.30 %	14.10 %	13.10 %
	3 Energy Savings Due to Energy Efficiency Programs	117.00 %	100.00 %	100.00 %	100.00 %	100.00 %
	4 Demand Reductions Due to Energy Efficiency Programs	106.00 %	100.00 %	100.00 %	100.00 %	100.00 %
	5 Number of Power Generation Companies in Texas	204.00	214.00	225.00	236.00	247.00
	6 Number of Aggregators in Texas	257.00	255.00	255.00	255.00	255.00
	7 Average Cost of Natural Gas in Texas as a Percent of 2001 Avg Costs	112.31 %	103.52 %	111.06 %	112.56 %	115.33 %
	8 Number of Applications & Amendments for Cable Franchise Certificates	90.00	90.00	90.00	90.00	90.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$3,389,743	\$3,363,429	\$3,654,995	\$3,634,721	\$3,312,255
1002	OTHER PERSONNEL COSTS	\$130,149	\$153,189	\$230,596	\$224,817	\$132,911
2001	PROFESSIONAL FEES AND SERVICES	\$271,464	\$99,244	\$809,169	\$399,925	\$396,827

3.A. STRATEGY REQUEST

82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2010
TIME: 5:19:37PM

Agency code: **473** Agency name: **Public Utility Commission of Texas**

GOAL: 1 Ensure Competition, Choice, Just Rates, and Reliable Quality Service Statewide Goal/Benchmark: 7 8
OBJECTIVE: 1 Maintain Policies to Foster Competition in Telecom & Elec Mkts Service Categories:
STRATEGY: 1 Foster and Monitor Market Competition Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
2003	CONSUMABLE SUPPLIES	\$19,344	\$15,417	\$17,400	\$17,400	\$17,400
2004	UTILITIES	\$12,473	\$6,468	\$7,401	\$7,186	\$7,186
2005	TRAVEL	\$10,323	\$23,595	\$38,071	\$37,061	\$22,975
2006	RENT - BUILDING	\$2,969	\$2,855	\$2,900	\$2,900	\$2,900
2007	RENT - MACHINE AND OTHER	\$117,916	\$108,901	\$140,878	\$121,559	\$124,657
2009	OTHER OPERATING EXPENSE	\$186,668	\$143,846	\$185,068	\$186,672	\$155,662
TOTAL, OBJECT OF EXPENSE		\$4,141,049	\$3,916,944	\$5,086,478	\$4,632,241	\$4,172,773
Method of Financing:						
1	General Revenue Fund	\$3,389,102	\$2,906,499	\$3,061,647	\$2,984,072	\$2,984,072
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$3,389,102	\$2,906,499	\$3,061,647	\$2,984,072	\$2,984,072
Method of Financing:						
5100	System Benefit Account	\$605,087	\$695,147	\$1,318,437	\$968,753	\$968,754
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$605,087	\$695,147	\$1,318,437	\$968,753	\$968,754
Method of Financing:						
369	Fed Recovery & Reinvestment Fund					
	81.122.000 Elctrcy Dlvry & Rliblty-Stimulus	\$0	\$177,548	\$568,644	\$541,666	\$82,197
CFDA Subtotal, Fund	369	\$0	\$177,548	\$568,644	\$541,666	\$82,197
SUBTOTAL, MOF (FEDERAL FUNDS)		\$0	\$177,548	\$568,644	\$541,666	\$82,197
Method of Financing:						
666	Appropriated Receipts	\$146,860	\$137,750	\$137,750	\$137,750	\$137,750
SUBTOTAL, MOF (OTHER FUNDS)		\$146,860	\$137,750	\$137,750	\$137,750	\$137,750

3.A. STRATEGY REQUEST

82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2010
TIME: 5:19:37PM

Agency code: **473** Agency name: **Public Utility Commission of Texas**

GOAL:	1	Ensure Competition, Choice, Just Rates, and Reliable Quality Service	Statewide Goal/Benchmark:	7	8
OBJECTIVE:	1	Maintain Policies to Foster Competition in Telecom & Elec Mkts	Service Categories:		
STRATEGY:	1	Foster and Monitor Market Competition	Service:	17	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$4,632,241	\$4,172,773
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$4,141,049	\$3,916,944	\$5,086,478	\$4,632,241	\$4,172,773
FULL TIME EQUIVALENT POSITIONS:		54.1	54.3	55.3	55.3	51.9

STRATEGY DESCRIPTION AND JUSTIFICATION:

Public Utility Regulatory Act (PURA) Chapters 39, 52, 54, 60, and 65 require the Public Utility Commission (PUC) to oversee competitive electric and telecom markets and contain provisions establishing ongoing specific responsibilities. Key activities are evaluating the design and operation of competitive wholesale and retail markets, including generation adequacy; identifying and implementing improvements in market design and operations through contested cases and rulemakings; overseeing requirements related to renewable energy and energy efficiency; licensing market participants; ruling on petitions to deregulate telecommunications markets; and resolving disputes among market participants.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

External factors such as changes in state and federal law, environmental regulations, federal incentives, natural gas prices, and overall economic conditions can affect the functioning of competitive markets and therefore the amount of resources which must be dedicated to this strategy. The number and timing of filings made by market participants, and the complexity and duration of contested case proceedings are mostly outside the control of the PUC. Internal factors affecting this strategy include difficulty recruiting and retaining employees with the necessary training and experience to oversee competitive markets.

3.A. STRATEGY REQUEST
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2010
 TIME: 5:19:37PM

Agency code: **473** Agency name: **Public Utility Commission of Texas**

GOAL: 1 Ensure Competition, Choice, Just Rates, and Reliable Quality Service Statewide Goal/Benchmark: 7 8
 OBJECTIVE: 2 Regulate Providers Ensuring Companies Meet Service Quality Standards Service Categories:
 STRATEGY: 1 Conduct Rate Cases for Regulated Telephone and Electric Utilities Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
Output Measures:						
KEY 1	Number of Rate Cases Completed for Regulated Electric Utilities	41.00	48.00	45.00	45.00	45.00
KEY 2	Number of Rate Cases Completed for Telecommunications Providers	12.00	13.00	9.00	9.00	9.00
3	Number of Investigations Completed Concerning Telecom Service Quality	0.00	1.00	1.00	1.00	1.00
4	Number of Investigations Completed Concerning Electric Service Quality	9.00	9.00	9.00	9.00	9.00
Efficiency Measures:						
KEY 1	Average Number of Days to Process a Major Rate Case for TDU	331.00	248.00	220.00	220.00	220.00
Explanatory/Input Measures:						
1	Number of Electric Utilities Regulated	12.00	14.00	14.00	14.00	14.00
2	Number of Telecommunications Service Providers Regulated	63.00	63.00	63.00	63.00	63.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$2,824,786	\$3,331,739	\$3,411,356	\$3,437,873	\$3,143,184
1002	OTHER PERSONNEL COSTS	\$108,457	\$171,930	\$189,524	\$197,071	\$113,090
2001	PROFESSIONAL FEES AND SERVICES	\$165,804	\$160,822	\$310,403	\$394,594	\$101,603
2003	CONSUMABLE SUPPLIES	\$16,120	\$14,885	\$16,800	\$16,800	\$16,800
2004	UTILITIES	\$10,394	\$6,245	\$7,146	\$6,938	\$6,938
2005	TRAVEL	\$8,602	\$23,857	\$28,273	\$28,127	\$18,200
2006	RENT - BUILDING	\$2,474	\$2,758	\$2,800	\$2,800	\$2,800
2007	RENT - MACHINE AND OTHER	\$98,263	\$105,146	\$136,021	\$117,367	\$120,358
2009	OTHER OPERATING EXPENSE	\$154,259	\$164,397	\$186,452	\$185,123	\$143,492

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Agency code: **473** Agency name: **Public Utility Commission of Texas**

GOAL: 1 Ensure Competition, Choice, Just Rates, and Reliable Quality Service Statewide Goal/Benchmark: 7 8
 OBJECTIVE: 2 Regulate Providers Ensuring Companies Meet Service Quality Standards Service Categories:
 STRATEGY: 1 Conduct Rate Cases for Regulated Telephone and Electric Utilities Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
TOTAL, OBJECT OF EXPENSE		\$3,389,159	\$3,981,779	\$4,288,775	\$4,386,693	\$3,666,465
Method of Financing:						
1	General Revenue Fund	\$2,824,251	\$2,806,274	\$2,956,072	\$2,881,174	\$2,881,174
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$2,824,251	\$2,806,274	\$2,956,072	\$2,881,174	\$2,881,174
Method of Financing:						
5100	System Benefit Account	\$442,524	\$669,252	\$595,726	\$652,291	\$652,291
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$442,524	\$669,252	\$595,726	\$652,291	\$652,291
Method of Financing:						
666	Appropriated Receipts	\$122,384	\$133,000	\$133,000	\$133,000	\$133,000
777	Interagency Contracts	\$0	\$373,253	\$603,977	\$720,228	\$0
SUBTOTAL, MOF (OTHER FUNDS)		\$122,384	\$506,253	\$736,977	\$853,228	\$133,000
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$4,386,693	\$3,666,465
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$3,389,159	\$3,981,779	\$4,288,775	\$4,386,693	\$3,666,465
FULL TIME EQUIVALENT POSITIONS:		45.1	49.8	50.8	50.8	46.8

STRATEGY DESCRIPTION AND JUSTIFICATION:

3.A. STRATEGY REQUEST

82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2010
TIME: 5:19:37PM

Agency code: **473** Agency name: **Public Utility Commission of Texas**

GOAL:	1	Ensure Competition, Choice, Just Rates, and Reliable Quality Service	Statewide Goal/Benchmark:	7	8
OBJECTIVE:	2	Regulate Providers Ensuring Companies Meet Service Quality Standards	Service Categories:		
STRATEGY:	1	Conduct Rate Cases for Regulated Telephone and Electric Utilities	Service:	17	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
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The PUC has responsibility for ensuring that customers receive high quality service at just and reasonable rates. The PUC regulates rates and services in both the telecommunications and electric industries. PURA Chapter 36 provides for electric rate regulation. PURA Chapter 38 provides for regulation of service quality. Within the Electric Reliability Council of Texas (ERCOT), the PUC regulates the rates and service quality of transmission and distribution utilities, and the rates of wholesale transmission providers. Areas outside ERCOT are not open to retail competition and the PUC continues to be responsible for rate and service quality regulation for integrated utilities. The PUC is also responsible for licensing transmission facilities throughout the state under PURA Chapter 37. PURA Chapter 53 provides for rate regulation of local exchange providers. Regulated telecom providers may elect incentive regulation under PURA Chapters 58 and 59, which limits PUC jurisdiction over rates and services. PURA Chapter 65 provides for deregulation of telecom exchanges based on adequate development of competitive markets, which has resulted in a decrease in PUC regulation of the telecommunications industry. Functions related to emergency response and Homeland Security are also funded by this strategy.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The number and timing of filings made by companies seeking necessary regulatory approvals, and the complexity and duration of contested case proceedings are mostly outside the control of the PUC. Natural disasters and other emergencies can affect the amount of resources dedicated to this strategy.

3.A. STRATEGY REQUEST
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DATE: 8/13/2010
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Agency code: **473** Agency name: **Public Utility Commission of Texas**

GOAL: 1 Ensure Competition, Choice, Just Rates, and Reliable Quality Service Statewide Goal/Benchmark: 7 8
 OBJECTIVE: 3 Ensure Compliance with Statutes, Rules, and Orders Service Categories:
 STRATEGY: 1 Conduct Investigations and Initiate Enforcement Actions Service: 17 Income: NA Age: NA

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
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Output Measures:

1	Number of Enforcement Investigations Conducted	88.00	53.00	55.00	55.00	55.00
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Explanatory/Input Measures:

1	Dollar Amount Administrative Penalties Assessed for Violations	19,797,252.00	5,345,282.00	2,842,000.00	2,700,000.00	1,600,000.00
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Objects of Expense:

1001	SALARIES AND WAGES	\$1,694,872	\$1,567,078	\$1,572,466	\$1,572,466	\$1,572,466
1002	OTHER PERSONNEL COSTS	\$65,074	\$57,810	\$56,545	\$56,545	\$56,545
2001	PROFESSIONAL FEES AND SERVICES	\$99,482	\$47,911	\$52,702	\$52,297	\$50,802
2003	CONSUMABLE SUPPLIES	\$9,673	\$7,443	\$8,400	\$8,400	\$8,400
2004	UTILITIES	\$6,236	\$3,122	\$3,573	\$3,469	\$3,469
2005	TRAVEL	\$5,161	\$6,928	\$9,100	\$9,100	\$9,100
2006	RENT - BUILDING	\$1,484	\$1,378	\$1,400	\$1,400	\$1,400
2007	RENT - MACHINE AND OTHER	\$58,958	\$52,573	\$68,010	\$58,684	\$60,179
2009	OTHER OPERATING EXPENSE	\$92,555	\$60,020	\$71,077	\$71,828	\$71,828
TOTAL, OBJECT OF EXPENSE		\$2,033,495	\$1,804,263	\$1,843,273	\$1,834,189	\$1,834,189

Method of Financing:

1	General Revenue Fund	\$1,694,551	\$1,403,137	\$1,478,036	\$1,440,587	\$1,440,587
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$1,694,551	\$1,403,137	\$1,478,036	\$1,440,587	\$1,440,587

Method of Financing:

5100	System Benefit Account	\$265,514	\$334,626	\$298,737	\$327,102	\$327,102
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$265,514	\$334,626	\$298,737	\$327,102	\$327,102

Method of Financing:

3.A. STRATEGY REQUEST
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DATE: 8/13/2010
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Agency code: **473** Agency name: **Public Utility Commission of Texas**

GOAL: 1 Ensure Competition, Choice, Just Rates, and Reliable Quality Service Statewide Goal/Benchmark: 7 8
 OBJECTIVE: 3 Ensure Compliance with Statutes, Rules, and Orders Service Categories:
 STRATEGY: 1 Conduct Investigations and Initiate Enforcement Actions Service: 17 Income: NA Age: NA

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
666	Appropriated Receipts	\$73,430	\$66,500	\$66,500	\$66,500	\$66,500
SUBTOTAL, MOF (OTHER FUNDS)		\$73,430	\$66,500	\$66,500	\$66,500	\$66,500
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$1,834,189	\$1,834,189
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$2,033,495	\$1,804,263	\$1,843,273	\$1,834,189	\$1,834,189
FULL TIME EQUIVALENT POSITIONS:		27.1	22.7	23.2	23.2	23.2

STRATEGY DESCRIPTION AND JUSTIFICATION:

PURA §15.023 provides that the PUC may impose administrative penalties for violations of PURA or a PUC rule or order. Ensuring compliance is essential to proper functioning of competitive markets so that customers receive the benefits of competition. Ensuring that regulated utilities comply with service quality standards and tariffs results in customers receiving high quality service at reasonable rates. Functions under this strategy include investigating possible instances of noncompliance, issuing notices of violations, participating in contested case hearings, and assessment of penalties when violations are found.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The PUC has some ability to control the resources dedicated to this strategy because the agency must initiate and prosecute cases based on alleged violations. However, the degree to which service providers comply with laws, and the complexity and duration of contested case proceedings are mostly outside the control of the PUC.

3.A. STRATEGY REQUEST
 82nd Regular Session, Agency Submission, Version 1
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DATE: 8/13/2010
 TIME: 5:19:37PM

Agency code: **473** Agency name: **Public Utility Commission of Texas**

GOAL: 2 Educate Customers and Assist Customers Statewide Goal/Benchmark: 7 8
 OBJECTIVE: 1 Inform Customers of Choices & Rights & Facilitate Information Access Service Categories:
 STRATEGY: 1 Provide Information about Changes in Electric and Telecom Industries Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$159,309	\$119,509	\$106,567	\$116,686	\$116,685
Method of Financing:						
666	Appropriated Receipts	\$44,058	\$23,750	\$23,750	\$23,750	\$23,750
SUBTOTAL, MOF (OTHER FUNDS)		\$44,058	\$23,750	\$23,750	\$23,750	\$23,750
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$654,931	\$654,930
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$1,220,097	\$644,379	\$658,187	\$654,931	\$654,930
FULL TIME EQUIVALENT POSITIONS:		16.2	12.4	12.7	12.7	12.7

STRATEGY DESCRIPTION AND JUSTIFICATION:

PURA§17.003 requires the PUC to promote awareness of changes in electric and telecom markets, provide customers with information necessary to make informed choices, and ensure that customers have an adequate understanding of their rights. These education efforts must be conducted in English, Spanish, and other languages as necessary. Providing information assists utility customers who purchase services in competitive markets in selecting providers and choosing services and rate plans that are appropriate for the customers' needs. Customers who are served by companies that remain regulated need information to assist them in understanding their bills, and understanding issues that relate to service quality, such as tree trimming. The PUC provides information using a toll-free customer hot line; postings on the PUC's Web page; printed materials; walk-in, Internet and on-site electronic access to all documents filed in the PUC's Central Records; and responses to written inquiries, including requests under the Public Information Act.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The PUC has some ability to control the level of effort devoted to providing information to customers. The PUC's call center function is included in this strategy, and the agency has no ability to control the number of persons who call the PUC seeking information or assistance. Similarly, the PUC cannot control the number or scope of Public Information Act requests which are received.

3.A. STRATEGY REQUEST

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DATE: 8/13/2010
TIME: 5:19:37PM

Agency code: **473** Agency name: **Public Utility Commission of Texas**

GOAL:	3	Electric Utility Restructuring	Statewide Goal/Benchmark:	7	8
OBJECTIVE:	1	Provide Financial Assistance	Service Categories:		
STRATEGY:	1	Energy Assistance. Nontransferable	Service:	17	Income: A.1 Age: B.3

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
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Amendments to the Public Utility Regulatory Act (PURA) enacted in 1999 established a low-income electric discount program for areas of the state open to retail competition to be funded by the System Benefit Fund in General Revenue Dedicated. The System Benefit Fund is financed by a non-bypassable fee not to exceed 65 cents per megawatt hour paid by electric utility customers in areas of the state open to retail competition. Eligibility is determined by a third party administrator based on statutory criteria. Eligible customers are enrolled automatically based on their participation in Department of Health and Human Services programs, or they may self enroll. The discount is provided by Retail Electric Providers who are reimbursed by the PUC. The amount of the discount is established by the PUC based on the availability of funds, and may not exceed 20%.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The Legislature establishes the funding for this strategy based on the level of benefits to be provided and the number of program participants, which is based on eligibility criteria in PURA. Within a biennium, overall economic conditions and population changes can affect the number of program participants. The PUC can respond to increases in program participation by decreasing the percent rate reduction provided to stay within the appropriated amount.

3.A. STRATEGY REQUEST
 82nd Regular Session, Agency Submission, Version 1
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DATE: 8/13/2010
 TIME: 5:19:37PM

Agency code: **473** Agency name: **Public Utility Commission of Texas**

GOAL: 3 Electric Utility Restructuring Statewide Goal/Benchmark: 7 8
 OBJECTIVE: 1 Provide Financial Assistance Service Categories:
 STRATEGY: 2 Customer Education. Nontransferable Service: 17 Income: A.1 Age: B.3

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
Output Measures:						
1	# of Customers Information Products Distributed	256,398.00	228,453.00	74,080.00	74,080.00	74,080.00
Explanatory/Input Measures:						
1	# of Power-to-Choose Website Hits	2,388,019.00	2,400,000.00	2,400,000.00	2,400,000.00	2,400,000.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$0	\$149,594	\$260,000	\$260,000	\$260,000
2001	PROFESSIONAL FEES AND SERVICES	\$626,536	\$214,658	\$873,373	\$490,000	\$490,000
2009	OTHER OPERATING EXPENSE	\$215,382	\$2,376	\$0	\$0	\$0
TOTAL, OBJECT OF EXPENSE		\$841,918	\$366,628	\$1,133,373	\$750,000	\$750,000
Method of Financing:						
5100	System Benefit Account	\$841,918	\$366,628	\$1,133,373	\$750,000	\$750,000
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$841,918	\$366,628	\$1,133,373	\$750,000	\$750,000
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$750,000	\$750,000
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$841,918	\$366,628	\$1,133,373	\$750,000	\$750,000
FULL TIME EQUIVALENT POSITIONS:		0.0	7.6	7.9	7.9	7.9

STRATEGY DESCRIPTION AND JUSTIFICATION:

PURA § 39.902 requires the PUC to conduct ongoing customer education designed to help customers make informed choices of electric services and retail electric providers. This strategy is funded from the System Benefit Fund in General Revenue Dedicated. The System Benefit Fund is financed by a non-bypassable fee not to exceed 65 cents per megawatt hour paid by electric utility customers in areas of the state open to retail competition.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The PUC can respond to reduced funding for this strategy by reducing or eliminating customer education efforts.

3.A. STRATEGY REQUEST
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DATE: 8/13/2010
 TIME: 5:19:37PM

Agency code: **473** Agency name: **Public Utility Commission of Texas**

GOAL:	4	Indirect Administration	Statewide Goal/Benchmark:	7	8
OBJECTIVE:	1	Indirect Administration	Service Categories:		
STRATEGY:	1	Central Administration	Service:	17	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
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STRATEGY DESCRIPTION AND JUSTIFICATION:

PURA §12.103 provides that the Executive Director is responsible for the daily operations of the PUC and coordinating activities of PUC employees. This strategy includes Fiscal Services, General Law, Human Resources, Governmental Relations, and Communications. Strategy activities include strategic planning; budget administration; administration of electric low income discount program; ensuring compliance with state travel regulations; human resources management; ensuring agency compliance with state and federal laws affecting personnel, and state procurement laws and regulations; responding to requests from the Legislature and state and federal agencies; and preparation of contracts with third party vendors.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Changes in state and federal laws affecting human resources and procurement can affect activities under this strategy. The PUC seeks to improve efficiencies through automation of administrative functions.

3.A. STRATEGY REQUEST
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DATE: 8/13/2010
 TIME: 5:19:37PM

Agency code: **473** Agency name: **Public Utility Commission of Texas**

GOAL:	4	Indirect Administration	Statewide Goal/Benchmark:	7	8
OBJECTIVE:	1	Indirect Administration	Service Categories:		
STRATEGY:	2	Information Resources	Service:	17	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
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STRATEGY DESCRIPTION AND JUSTIFICATION:

The Information Resources strategy provides resources for IT technology support for all areas of the Commission. The PUC Fiscal and Information Services Division maintains the PUC network and desktop operating environments providing internal and external users with a stable, scalable, and responsive computing environment. The strategy includes PUC technology planning including but not limited to development of the Information Resource Strategic Plan and the Biennial Operating Plan.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The Data Center Services Project affects the PUC's implementation of this strategy. Advances in information technology affect the PUC's use of computers and electronic information resources.

3.A. STRATEGY REQUEST

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DATE: 8/13/2010
TIME: 5:19:37PM

Agency code: **473** Agency name: **Public Utility Commission of Texas**

GOAL:	4	Indirect Administration	Statewide Goal/Benchmark:	7	8
OBJECTIVE:	1	Indirect Administration	Service Categories:		
STRATEGY:	3	Other Support Services	Service:	17	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
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STRATEGY DESCRIPTION AND JUSTIFICATION:

The Other Support Services strategy includes library, mail room, purchasing, and facilities management activities. The PUC maintains a specialized legal and reference library, providing expert reference information to agency staff. The Mail Room provides reproduction and copying services, mail delivery and pick up, and receiving and distribution of items purchased. Staff responsible for agency purchasing and facilities management activities is also budgeted from this strategy.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The PUC seeks efficiencies in procurement through automation and coordination with other agencies in areas such as HUB procurement.

3.A. STRATEGY REQUEST

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DATE: 8/13/2010
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SUMMARY TOTALS:

OBJECTS OF EXPENSE:	\$111,477,321	\$107,414,217	\$141,314,684	\$121,495,370	\$126,854,201
METHODS OF FINANCE (INCLUDING RIDERS):				\$121,495,370	\$126,854,201
METHODS OF FINANCE (EXCLUDING RIDERS):	\$111,477,321	\$107,414,217	\$141,314,684	\$121,495,370	\$126,854,201
FULL TIME EQUIVALENT POSITIONS:	180.3	191.6	195.6	195.6	188.2

3.B. Rider Revisions and Additions Request

Agency Code: 473	Agency Name: Public Utility Commission of Texas	Prepared By: James O. Albright	Date: August 16, 2010	Request Level: Base
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Current Rider Number	Page Number in 2010-11 GAA	Proposed Rider Language																																																												
1	VIII-83 – VIII-84	<p>Performance Measure Targets. The following is a listing of the key performance target levels for the Public Utility Commission of Texas. It is the intent of the Legislature that appropriations made by this Act be utilized in the most efficient and effective manner possible to achieve the intended mission of the Public Utility Commission of Texas. In order to achieve the objectives and service standards established by this Act, the Public Utility Commission of Texas shall make every effort to attain the following designated key performance target levels associated with each item of appropriation.</p> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 70%;"></th> <th style="text-align: center;">2010</th> <th style="text-align: center;"><u>2012</u></th> <th style="text-align: center;">2011</th> <th style="text-align: center;"><u>2013</u></th> </tr> </thead> <tbody> <tr> <td colspan="5">A. Goal: COMPETITION/CHOICE/RATES/SERVICE</td> </tr> <tr> <td colspan="5">Outcome (Results/Impact):</td> </tr> <tr> <td>Percent of Texas Cities Served by Three or More Certificated Telecommunication Providers</td> <td style="text-align: center;">82%</td> <td style="text-align: center;"><u>80%</u></td> <td style="text-align: center;">81%</td> <td style="text-align: center;"><u>80%</u></td> </tr> <tr> <td>Average Price of Electricity Per kWh in Texas For Residential Customers from Competitive Suppliers as a Percentage of the National Residential Average</td> <td style="text-align: center;">121%</td> <td style="text-align: center;"><u>127.6%</u></td> <td style="text-align: center;">119%</td> <td style="text-align: center;"><u>127.6%</u></td> </tr> <tr> <td>Average Annual Residential Electric Bill from Competitive Suppliers as a Percentage of the National Average</td> <td style="text-align: center;">150%</td> <td style="text-align: center;"><u>159.9%</u></td> <td style="text-align: center;">148%</td> <td style="text-align: center;"><u>159.9%</u></td> </tr> <tr> <td>Average Annual Residential Telephone Bill in Texas as a Percentage of the National Average</td> <td style="text-align: center;">73%</td> <td style="text-align: center;"><u>105.9%</u></td> <td style="text-align: center;">73%</td> <td style="text-align: center;"><u>112.3%</u></td> </tr> <tr> <td colspan="5">A.1.1. Strategy: MARKET COMPETITION</td> </tr> <tr> <td colspan="5">Output (Volume):</td> </tr> <tr> <td>Number of Wholesale Electric Market Investigations Conducted Completed for Market Power, Market Design, or Anti-competitive Conduct in the Electric Market</td> <td style="text-align: center;">1</td> <td style="text-align: center;"><u>8</u></td> <td style="text-align: center;">1</td> <td style="text-align: center;"><u>8</u></td> </tr> <tr> <td>Number of Investigations Conducted for Market Power, Market Design, or Anti-competitive Conduct in the Telephone Market</td> <td style="text-align: center;">1</td> <td></td> <td style="text-align: center;">1</td> <td></td> </tr> <tr> <td>Number of Cases Completed Related to Competition Among Providers</td> <td style="text-align: center;">450</td> <td style="text-align: center;"><u>430</u></td> <td style="text-align: center;">450</td> <td style="text-align: center;"><u>430</u></td> </tr> </tbody> </table>		2010	<u>2012</u>	2011	<u>2013</u>	A. Goal: COMPETITION/CHOICE/RATES/SERVICE					Outcome (Results/Impact):					Percent of Texas Cities Served by Three or More Certificated Telecommunication Providers	82%	<u>80%</u>	81%	<u>80%</u>	Average Price of Electricity Per kWh in Texas For Residential Customers from Competitive Suppliers as a Percentage of the National Residential Average	121%	<u>127.6%</u>	119%	<u>127.6%</u>	Average Annual Residential Electric Bill from Competitive Suppliers as a Percentage of the National Average	150%	<u>159.9%</u>	148%	<u>159.9%</u>	Average Annual Residential Telephone Bill in Texas as a Percentage of the National Average	73%	<u>105.9%</u>	73%	<u>112.3%</u>	A.1.1. Strategy: MARKET COMPETITION					Output (Volume):					Number of Wholesale Electric Market Investigations Conducted Completed for Market Power, Market Design, or Anti-competitive Conduct in the Electric Market	1	<u>8</u>	1	<u>8</u>	Number of Investigations Conducted for Market Power, Market Design, or Anti-competitive Conduct in the Telephone Market	1		1		Number of Cases Completed Related to Competition Among Providers	450	<u>430</u>	450	<u>430</u>
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3.B. Rider Revisions and Additions Request (continued)

		Efficiencies:				
		Average Number of Days to Process an Application for a Certificate of Authority and Service Provider Certificate of Authority	52	<u>44</u>	52	<u>44</u>
		A.2.1. Strategy: UTILITY REGULATION				
		Number of Rate Cases Completed for Regulated Electric Utilities	30	<u>45</u>	30	<u>45</u>
		Number of Rate Cases Completed for Regulated Telecommunications Providers	9		9	
		Efficiencies:				
		Average Number of Days to Process a Major Rate Case for a Transmission and Distribution Utility	220		220	
		B. Goal: EDUCATION AND CUSTOMER ASSISTANCE				
		Outcome (Result/Impact):				
		Percentage of Customer Complaints Resolved through Informal Complaint Resolution Process	99%		99%	
		B.1.1. Strategy: PROVIDE FACTS ABOUT CHANGES				
		Output (Volume):				
		Number of information Requests to Which Responses Were Provided	80,000	<u>88,000</u>	80,000	<u>88,000</u>
		Efficiencies:				
		Percent of Customer Information Products Distributed Electronically	75%		75%	
		Explanatory:				
		Number of Calls Completed Through Relay Texas	1,700,000		1,700,000	
		B.2.1. Strategy: ASSIST CUSTOMERS				
		Output (Volume):				
		Number of Customer Complaints Concluded	15,000		15,000	
		Efficiencies:				
		Average Number of Days to Conclude Customer Complaints	24	<u>25</u>	24	<u>25</u>
		C. Goal: ELECTRIC UTILITY RESTRUCTURING				
		Outcome (Result/Impact):				
		Percent of Eligible Low-income Customers Provided a Discount for Electric Service	93%	<u>95%</u>	93%	<u>95%</u>

**3.B. Rider Revisions and Additions Request
(continued)**

2	VIII-84	<p>Capital Budget. None of the funds appropriated above may be expended for capital budget items except as listed below. The amounts shown below shall be expended only for the purposes shown and are not available for expenditure for other purposes. Amounts appropriated above and identified in this provision as appropriations either for “Lease Payments to the Master Lease Purchase Program” or for items with a “(MLPP)” notation shall be expended only for the purpose of making lease-purchase payments to the Texas Public Finance Authority pursuant to the provisions of Government Code § 1232.103. Upon approval from the Legislative Budget Board, capital budget funds listed below under “Acquisition of Information Resource Technologies” may be used to lease information resources hardware and/or software versus the purchase of information resources hardware and/or software, if determined by commission management to be in the best interest of the State of Texas.</p> <table border="0" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 60%;"></th> <th style="text-align: right; width: 10%;"><u>2010</u></th> <th style="text-align: right; width: 10%;"><u>2012</u></th> <th style="text-align: right; width: 10%;"><u>2011</u></th> <th style="text-align: right; width: 10%;"><u>2013</u></th> </tr> </thead> <tbody> <tr> <td>a. Acquisition of Information Resource Technology</td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td> (1) Digital Copiers Lease</td> <td style="text-align: right;">\$160,000</td> <td></td> <td style="text-align: right;">\$160,000</td> <td></td> </tr> <tr> <td> (2) Desktop Software and Desktop Computer Lease</td> <td style="text-align: right;">\$240,000</td> <td></td> <td style="text-align: right;">\$240,000</td> <td></td> </tr> <tr> <td> (3) Data Center Consolidation</td> <td style="text-align: right;">\$479,000</td> <td style="text-align: right;">\$344,552</td> <td style="text-align: right;">\$386,440</td> <td style="text-align: right;">\$332,869</td> </tr> <tr> <td> Total, Acquisition of Information Resource Technologies</td> <td style="text-align: right;">\$879,000</td> <td style="text-align: right;">\$343,552</td> <td style="text-align: right;">\$786,440</td> <td style="text-align: right;">\$332,869</td> </tr> <tr> <td>Method of Financing (Capital Budget):</td> <td style="text-align: right;">\$879,000</td> <td style="text-align: right;">\$343,552</td> <td style="text-align: right;">\$786,440</td> <td style="text-align: right;">\$332,869</td> </tr> <tr> <td> General Revenue Fund</td> <td style="text-align: right;">\$879,000</td> <td style="text-align: right;">\$343,552</td> <td style="text-align: right;">\$786,440</td> <td style="text-align: right;">\$332,869</td> </tr> <tr> <td> Total, Method of Finance</td> <td style="text-align: right;">\$879,000</td> <td style="text-align: right;">\$343,552</td> <td style="text-align: right;">\$786,440</td> <td style="text-align: right;">\$332,869</td> </tr> </tbody> </table> <p><i>The proposed changes remove the PUC’s digital copier lease and software/computer lease from the capital budget rider. In accordance with LBB approval, these two items have been redefined as a part of the agency’s daily operations.</i></p>		<u>2010</u>	<u>2012</u>	<u>2011</u>	<u>2013</u>	a. Acquisition of Information Resource Technology					(1) Digital Copiers Lease	\$160,000		\$160,000		(2) Desktop Software and Desktop Computer Lease	\$240,000		\$240,000		(3) Data Center Consolidation	\$479,000	\$344,552	\$386,440	\$332,869	Total, Acquisition of Information Resource Technologies	\$879,000	\$343,552	\$786,440	\$332,869	Method of Financing (Capital Budget):	\$879,000	\$343,552	\$786,440	\$332,869	General Revenue Fund	\$879,000	\$343,552	\$786,440	\$332,869	Total, Method of Finance	\$879,000	\$343,552	\$786,440	\$332,869
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5	VIII-84	<p>Appropriation of Unexpended Balances: <u>2010</u> <u>2012</u> Appropriations. The unobligated and unexpended balances of appropriations to the Public Utility Commission for the fiscal year ending August 31, <u>2010</u> <u>2012</u> are hereby appropriated to the commission for the same purposes for the fiscal year ending August 31, <u>2011</u> <u>2013</u>.</p> <p><i>The proposed changes update the rider for the 2012-13 biennium.</i></p>																																													

3.B. Rider Revisions and Additions Request (continued)

6	VIII-84 – VIII-85	<p>Contingent Revenue. Of the amounts appropriated above the Public Utility Commission from the GR Dedicated – System Benefit Account No. 5100, the estimated amounts of \$122,525,075 <u>\$109,468,572</u> in fiscal year 2010 <u>2012</u> and \$135,246,066 <u>\$116,007,100</u> in fiscal year 2011 <u>2012</u> are contingent on the Public Utility Commission assessing a rate sufficient to generate the estimated needs of the GR – Dedicated System Benefit Account No. 5100. The Public Utility Commission, upon completion of necessary actions for the assessment, shall furnish copies of the Public Utility Commission’s order and other information supporting the estimated revenues to be generated for the 2010-11 <u>2012-13</u> biennium to the Comptroller of Public Accounts. If the comptroller finds the information sufficient to support the projection of revenues, a finding of fact to that effect shall be issued and the contingent appropriation shall be made available for the intended purposes.</p> <p style="text-align: center;"><i>The proposed changes update the rider for the 2012-13 biennium.</i></p>																																								
7	VIII-85	<p>Allocation of System Benefit Account. The maximum statutory assessment rate of the GR Dedicated – System Benefit Account No. 5100 is estimated to be \$147,936,000 <u>\$145,609,908</u> in fiscal year 2010 <u>2012</u> and \$149,922,000 <u>\$149,068,148</u> in fiscal year 2011 <u>2013</u>. The estimated balance as of August 31, 2009 <u>2011</u> is \$561,169,000 <u>\$723,406,667</u> and interest income is estimated to be \$66,640,000 <u>\$21,243,371</u> for the biennium. The total account balance available for appropriation for 2010-2011 <u>2012-2013</u>, estimated to be \$929,667,000 <u>\$1,039,328,094</u>, has been allocated throughout this act as follows:</p> <table style="width: 100%; margin-top: 10px;"> <thead> <tr> <th style="width: 60%;"></th> <th style="width: 10%; text-align: center;">2010</th> <th style="width: 10%; text-align: center;"><u>2012</u></th> <th style="width: 10%; text-align: center;">2011</th> <th style="width: 10%; text-align: center;"><u>2013</u></th> </tr> </thead> <tbody> <tr> <td colspan="5">Public Utility Commission:</td> </tr> <tr> <td>Electric Market Oversight Contracts</td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>Wholesale and Retail Market</td> <td></td> <td style="text-align: right;">\$1,200,000</td> <td></td> <td style="text-align: right;">\$1,200,000</td> </tr> <tr> <td>Low Income Discount</td> <td style="text-align: right;">119,570,603</td> <td style="text-align: right;"><u>\$106,514,100</u></td> <td style="text-align: right;">132,291,594</td> <td style="text-align: right;"><u>\$113,052,628</u></td> </tr> <tr> <td>Customer Education</td> <td></td> <td style="text-align: right;">\$750,000</td> <td></td> <td style="text-align: right;">\$750,000</td> </tr> <tr> <td>Administration</td> <td></td> <td style="text-align: right;">\$1,004,472</td> <td></td> <td style="text-align: right;">\$1,004,472</td> </tr> <tr> <td>Total</td> <td style="text-align: right;">122,525,075</td> <td style="text-align: right;">\$109,468,572</td> <td style="text-align: right;">135,246,066</td> <td style="text-align: right;">\$116,007,100</td> </tr> </tbody> </table> <p style="text-align: center; margin-top: 10px;"><i>The proposed changes update the rider for the 2012-13 biennium. Funding for the Low Income Discount has been allocated to provide a 15 percent discount in both fiscal years of the biennium, based upon PUC estimates of program participation and kWh usage.</i></p>		2010	<u>2012</u>	2011	<u>2013</u>	Public Utility Commission:					Electric Market Oversight Contracts					Wholesale and Retail Market		\$1,200,000		\$1,200,000	Low Income Discount	119,570,603	<u>\$106,514,100</u>	132,291,594	<u>\$113,052,628</u>	Customer Education		\$750,000		\$750,000	Administration		\$1,004,472		\$1,004,472	Total	122,525,075	\$109,468,572	135,246,066	\$116,007,100
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3.B. Rider Revisions and Additions Request (continued)

8	VIII-85	<p>Low Income Discount. All amounts appropriated above in Strategy C.1.1, Energy Assistance, shall only be expended to provide a discount of up to 17 <u>20</u> percent during the months of May through September in each fiscal year.</p> <p><i>The PUC is requesting this rider revision in order for the rider to match PURA §39.903(h), which authorizes the Commission a discount of up to 20 percent, based upon the amount of legislative appropriation. The PUC projects that the 17 percent cap will result in lapse of approximately \$15.0 million in low-income discount funding at the conclusion of the 2010-11 biennium (\$19.4 million total lapse).</i></p>
9	VIII-85	<p>Consumer Education Requirements. Out of amounts appropriated above, the Public Utility Commission shall develop a section on its “power to choose” website as well as other educational materials for retail and commercial customers that provides easily comparable information about buy back rates offered by all retail electric providers (REPs) for electricity generated on-site, and renewable energy credit marketers and the contract terms they offer. If a REP does not offer a buy back rate for on-site generated power, the column will indicate “no offer.” The PUC shall also make available on its website information about on-site renewable rebates and tax incentives offered by the state, federal government and utilities.</p> <p><i>The PUC is requesting this rider be deleted because the specified requirements were fully implemented in fiscal year 2010.</i></p>
10	VIII-85	<p>Cable and Video Service Provider Study. Out of the funds appropriated above, the Public Utility Commission shall conduct a comprehensive and objective study to determine the extent to which any cable service providers or video service providers currently offering cable services or video services in Texas engage in the discriminatory practice of redlining. The commission shall report any and all objecting and verifiable data at its disposal, including but not limited to average home value, median household income, race of household occupants, and whether or not the cable service or video service consumers own or rent their residences, of areas served per county compared to these same statistics for areas not served within the same county. The commission shall include in its study an analysis, for all cable service providers or video service providers that have been granted a state issued certificate of franchise authority of workforce diversity and way to increase opportunities for historically underutilized businesses. The commission shall provide a copy of its study to every member of the Texas Legislature, and the Texas Sunset Commission, by December 31, 2009.</p> <p><i>The PUC is requesting this rider be deleted because this study has been completed and copies were distributed in December of 2009, as required by the rider.</i></p>

Agency code: 473

Agency name: Public Utility Commission of Texas

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE	Est 2010	Bud 2011	BL 2012	BL 2013
5005 Acquisition of Information Resource Technologies				
<i>1/1 Data Center Consolidation</i>				
OBJECTS OF EXPENSE				
<u>Capital</u>				
General 2001 PROFESSIONAL FEES AND SERVICES	\$317,694	\$346,440	\$343,552	\$332,869
Capital Subtotal OOE, Project 1	\$317,694	\$346,440	\$343,552	\$332,869
Subtotal OOE, Project 1	\$317,694	\$346,440	\$343,552	\$332,869
TYPE OF FINANCING				
<u>Capital</u>				
General CA 1 General Revenue Fund	\$317,694	\$346,440	\$343,552	\$332,869
Capital Subtotal TOF, Project 1	\$317,694	\$346,440	\$343,552	\$332,869
Subtotal TOF, Project 1	\$317,694	\$346,440	\$343,552	\$332,869
<i>2/2 Desktop Software and Desktop Computer Lease</i>				
OBJECTS OF EXPENSE				
<u>Capital</u>				
General 2007 RENT - MACHINE AND OTHER	\$217,466	\$325,787	\$0	\$0
Capital Subtotal OOE, Project 2	\$217,466	\$325,787	\$0	\$0
Subtotal OOE, Project 2	\$217,466	\$325,787	\$0	\$0
TYPE OF FINANCING				
<u>Capital</u>				
General CA 1 General Revenue Fund	\$217,466	\$325,787	\$0	\$0
Capital Subtotal TOF, Project 2	\$217,466	\$325,787	\$0	\$0

5.A. CAPITAL BUDGET PROJECT SCHEDULE
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2010
 TIME : 3:57:56PM

Agency code: 473

Agency name: Public Utility Commission of Texas

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE	Est 2010	Bud 2011	BL 2012	BL 2013
Subtotal TOF, Project 2	\$217,466	\$325,787	\$0	\$0
<i>3/3 Digital Copiers Lease</i>				
OBJECTS OF EXPENSE				
<u>Capital</u>				
General 2007 RENT - MACHINE AND OTHER	\$158,053	\$160,000	\$0	\$0
Capital Subtotal OOE, Project 3	\$158,053	\$160,000	\$0	\$0
Subtotal OOE, Project 3	\$158,053	\$160,000	\$0	\$0
TYPE OF FINANCING				
<u>Capital</u>				
General CA 1 General Revenue Fund	\$158,053	\$160,000	\$0	\$0
Capital Subtotal TOF, Project 3	\$158,053	\$160,000	\$0	\$0
Subtotal TOF, Project 3	\$158,053	\$160,000	\$0	\$0
Capital Subtotal, Category 5005	\$693,213	\$832,227	\$343,552	\$332,869
Informational Subtotal, Category 5005				
Total, Category 5005	\$693,213	\$832,227	\$343,552	\$332,869
AGENCY TOTAL -CAPITAL	\$693,213	\$832,227	\$343,552	\$332,869
AGENCY TOTAL -INFORMATIONAL				
AGENCY TOTAL	\$693,213	\$832,227	\$343,552	\$332,869

Agency code: 473

Agency name: Public Utility Commission of Texas

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE	Est 2010	Bud 2011	BL 2012	BL 2013
METHOD OF FINANCING:				
<u>Capital</u>				
General 1 General Revenue Fund	\$693,213	\$832,227	\$343,552	\$332,869
Total, Method of Financing-Capital	\$693,213	\$832,227	\$343,552	\$332,869
Total, Method of Financing	\$693,213	\$832,227	\$343,552	\$332,869
TYPE OF FINANCING:				
<u>Capital</u>				
General CA CURRENT APPROPRIATIONS	\$693,213	\$832,227	\$343,552	\$332,869
Total, Type of Financing-Capital	\$693,213	\$832,227	\$343,552	\$332,869
Total,Type of Financing	\$693,213	\$832,227	\$343,552	\$332,869

5.B. CAPITAL BUDGET PROJECT INFORMATION
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2010
 TIME: 3:58:54PM

Agency Code:	473	Agency name:	Public Utility Commission of Texas
Category Number:	5005	Category Name:	ACQUISITN INFO RES TECH.
Project number:	1	Project Name:	Data Center Consolidation

PROJECT DESCRIPTION

General Information

On November 22, 2006, DIR executed a contract with International Business Machines Corporation (IBM) to provide data center services for Texas state agencies. The contract commenced on March 31, 2007, and expires on August 31, 2014, with three optional, one-year extensions. The agreement includes management of services in 31 legacy data centers, consolidation of these services to the Austin and San Angelo Data Centers, and ongoing operations. The state retains ownership of all assets until end of life and retains ownership of all data on the in-scope systems.

The state's goals for the data center services (DCS) contract include effective management of in-place services, migration of services to the consolidated data centers, and improvements to services, security, and disaster recovery capability.

Number of Units / Average Unit Cost	0		
Estimated Completion Date	08/31/2014		
Additional Capital Expenditure Amounts Required		2014	2015
		0	0
Type of Financing	CA	CURRENT APPROPRIATIONS	
Projected Useful Life			
Estimated/Actual Project Cost	\$ 0		
Length of Financing/ Lease Period			

ESTIMATED/ACTUAL DEBT OBLIGATION PAYMENTS

2012	2013	2014	2015	Total over project life
343,552	332,869	0	0	0

REVENUE GENERATION / COST SAVINGS

<u>REVENUE</u>	<u>COST FLAG</u>	<u>MOF_CODE</u>	<u>AVERAGE AMOUNT</u>

Explanation: Texas Government Code §2054.375, Subchapter L. Statewide Technology Centers requires DIR to manage a statewide data center consolidation and identify agencies for participation.

Project Location: Austin, Texas

Beneficiaries: The agency will benefit from having a secure and reliable data center.

Frequency of Use and External Factors Affecting Use:

This will be used in the daily operations of the agency.

Agency code: 473 Agency name: Public Utility Commission of Texas

Category Code/Name

Project Sequence/Project Id/Name

Goal/Obj/Str	Strategy Name	Est 2010	Bud 2011	BL 2012	BL 2013	
5005 Acquisition of Information Resource Technologies						
<i>1/1</i>	<i>Data Center Consolidation</i>					
<u>GENERAL BUDGET</u>						
Capital	1-1-1	MARKET COMPETITION	92,131	100,468	\$99,630	\$96,532
	1-2-1	UTILITY REGULATION	88,954	97,003	96,195	93,203
	1-3-1	INVESTIGATION AND ENFORCEMENT	44,477	48,502	48,097	46,602
	2-2-1	ASSIST CUSTOMERS	19,062	20,786	20,613	19,972
	2-1-1	PROVIDE FACTS ABOUT CHANGES	15,885	17,322	17,178	16,644
	4-1-1	CENTRAL ADMINISTRATION	38,123	41,573	41,226	39,944
	4-1-2	INFORMATION RESOURCES	15,885	17,322	17,178	16,643
	4-1-3	OTHER SUPPORT SERVICES	3,177	3,464	3,435	3,329
		TOTAL, PROJECT	\$317,694	\$346,440	\$343,552	\$332,869

2/2 Software & Computer Lease

<u>GENERAL BUDGET</u>						
Capital	1-1-1	MARKET COMPETITION	63,065	94,478	0	0
	1-2-1	UTILITY REGULATION	60,891	91,221	0	0
	1-3-1	INVESTIGATION AND ENFORCEMENT	30,445	45,610	0	0
	2-2-1	ASSIST CUSTOMERS	13,048	19,547	0	0
	2-1-1	PROVIDE FACTS ABOUT CHANGES	10,873	16,289	0	0
	4-1-1	CENTRAL ADMINISTRATION	26,096	39,095	0	0
	4-1-2	INFORMATION RESOURCES	10,873	16,289	0	0
	4-1-3	OTHER SUPPORT SERVICES	2,175	3,258	0	0

5.C. CAPITAL BUDGET ALLOCATION TO STRATEGIES (BASELINE)
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/13/2010**
TIME: **4:00:15PM**

Agency code: **473** Agency name: **Public Utility Commission of Texas**

Category Code/Name

Project Sequence/Project Id/Name

Goal/Obj/Str	Strategy Name	Est 2010	Bud 2011	BL 2012	BL 2013
	TOTAL, PROJECT	\$217,466	\$325,787	\$0	\$0
3/3	Digital Copiers Lease				
<u>GENERAL BUDGET</u>					
Capital	1-1-1 MARKET COMPETITION	45,835	46,400	\$0	\$0
	1-2-1 UTILITY REGULATION	44,255	44,800	0	0
	1-3-1 INVESTIGATION AND ENFORCEMENT	22,127	22,400	0	0
	2-2-1 ASSIST CUSTOMERS	9,483	9,600	0	0
	2-1-1 PROVIDE FACTS ABOUT CHANGES	7,903	8,000	0	0
	4-1-1 CENTRAL ADMINISTRATION	18,966	19,200	0	0
	4-1-2 INFORMATION RESOURCES	7,903	8,000	0	0
	4-1-3 OTHER SUPPORT SERVICES	1,581	1,600	0	0
	TOTAL, PROJECT	\$158,053	\$160,000	\$0	\$0
	TOTAL CAPITAL, ALL PROJECTS	\$693,213	\$832,227	\$343,552	\$332,869
	TOTAL INFORMATIONAL, ALL PROJECTS				
	TOTAL, ALL PROJECTS	\$693,213	\$832,227	\$343,552	\$332,869

6.A. HISTORICALLY UNDERUTILIZED BUSINESS SUPPORTING SCHEDULE

82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/18/2010
Time: 10:50:07AM

Agency Code: 473 Agency: Public Utility Commission of Texas

COMPARISON TO STATEWIDE HUB PROCUREMENT GOALS

A. Fiscal Year 2008 - 2009 HUB Expenditure Information

Statewide HUB Goals	Procurement Category	<u>HUB Expenditures FY 2008</u>				<u>Total Expenditures</u>		<u>HUB Expenditures FY 2009</u>				<u>Total Expenditures</u>	
		% Goal	% Actual	Diff	Actual \$	FY 2008	% Goal	% Actual	Diff	Actual \$	FY 2009		
20.0%	Professional Services	20.0 %	0.0%	-20.0%	\$0	\$0	20.0 %	100.0%	80.0%	\$6,800	\$6,800		
33.0%	Other Services	33.0 %	30.6%	-2.4%	\$557,438	\$1,823,419	33.0 %	41.3%	8.3%	\$1,622,428	\$3,925,357		
12.6%	Commodities	12.6 %	42.0%	29.4%	\$245,687	\$585,219	12.6 %	56.4%	43.8%	\$358,269	\$634,972		
	Total Expenditures		33.3%		\$803,125	\$2,408,638		43.5%		\$1,987,497	\$4,567,129		

B. Assessment of Fiscal Year 2008 - 2009 Efforts to Meet HUB Procurement Goals

Attainment:

The agency exceeded one of two, or 50%, of the applicable statewide HUB procurement goals in FY2008.
The agency exceeded three of three, or 100%, of the applicable statewide HUB procurement goals in FY2009.

Applicability:

The agency has no strategies, or programs, related to Heavy Construction, Building Construction or Special Trade purchases. \$0 were spent by the agency under each of these categories. Additionally, \$0 were spent in FY2008 on Professional Services.

Factors Affecting Attainment:

The Public Utility Commission contracts for Other Services. They are highly specialized in nature and few of the qualified vendors are certified as HUBs.

In fiscal year 2008, the goal for the Other Services category was not met. The PUC's low percentage in this category was negatively affected by the total dollar amount spent on larger projects. These larger projects increased the overall expenditures in this category, and even though the PUC paid subcontractors as part of its contracts, this consideration was not enough to bring the percentage up to the statewide goal.

"Good-Faith" Efforts:

The agency makes the following good-faith efforts to comply with the statewide HUB procurement goals per 1 TAC, Section 111.13(c):

Obtained the required minimum two HUB bids (minority-owned business from the state's HUB database) for purchases of commodities and/or services over \$5,000, except when releasing from master contracts.

For spot purchases below \$5,000, which do not require bids, the agency routinely attempts to obtain bids from HUB vendors whenever possible. When competitive bids on spot purchases are not available, the agency routinely purchases from HUB vendors. This is particularly evident in office supplies and IT equipment and supplies.

6.A. HISTORICALLY UNDERUTILIZED BUSINESS SUPPORTING SCHEDULE

82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: **8/18/2010**
Time: **10:50:07AM**

Agency Code: **473** Agency: **Public Utility Commission of Texas**

The PUC also includes the HUB Subcontracting Plan in every request for proposal, not just those with estimated value of \$100,000 or more, unless the proposal is exempted by the Texas Government Code.

6.C. FEDERAL FUNDS SUPPORTING SCHEDULE
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/13/2010**
 TIME: **3:55:26PM**

Agency code: 473		Agency name: Public Utility Commission of Texas				
CFDA NUMBER/ STRATEGY	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013	
81.122.000 Elctrcy Dlvry & Rlibty-Stimulus						
1 - 1 - 1 MARKET COMPETITION	0	177,548	568,644	541,666	82,197	
TOTAL, ALL STRATEGIES	\$0	\$177,548	\$568,644	\$541,666	\$82,197	
ADDL FED FNDS FOR EMPL BENEFITS	0	0	0	0	0	
TOTAL, FEDERAL FUNDS	\$0	\$177,548	\$568,644	\$541,666	\$82,197	
ADDL GR FOR EMPL BENEFITS	\$0	\$0	\$0	\$0	\$0	

Agency code: **473** Agency name: Public Utility Commission of Texas

CFDA NUMBER/ STRATEGY	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
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SUMMARY LISTING OF FEDERAL PROGRAM AMOUNTS

81.122.000 Elctrcy Dlvry & Rliblty-Stimulus	0	177,548	568,644	541,666	82,197
TOTAL, ALL STRATEGIES	\$0	\$177,548	\$568,644	\$541,666	\$82,197
TOTAL, ADDL FED FUNDS FOR EMPL BENEFITS	0	0	0	0	0
TOTAL, FEDERAL FUNDS	\$0	\$177,548	\$568,644	\$541,666	\$82,197
TOTAL, ADDL GR FOR EMPL BENEFITS	\$0	\$0	\$0	\$0	\$0

SUMMARY OF SPECIAL CONCERNS/ISSUES

Assumptions and Methodology:

Potential Loss:

6.D. FEDERAL FUNDS TRACKING SCHEDULE
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2010
 TIME : 3:56:32PM

Agency code: **473** Agency name: **Public Utility Commission of Texas**

Federal FY	Award Amount	Expended SFY 2007	Expended SFY 2008	Expended SFY 2009	Expended SFY 2010	Expended SFY 2011	Budgeted SFY 2012	Estimated SFY 2013	Total	Difference from Award
CFDA 81.122.000 Elctrcy Dlvry & Rliblty-Stimulus										
2010	\$1,370,054	\$0	\$0	\$0	\$177,548	\$568,644	\$541,666	\$82,196	\$1,370,054	\$0
Total	\$1,370,054	\$0	\$0	\$0	\$177,548	\$568,644	\$541,666	\$82,196	\$1,370,054	\$0
<hr/>										
Empl. Benefit Payment		\$0	\$0	\$0	\$33,440	\$113,467	\$107,688	\$15,782	\$270,377	

6.E. ESTIMATED REVENUE COLLECTIONS SUPPORTING SCHEDULE

82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2010
TIME: 3:51:25PM

Agency Code: **473**

Agency name: **Public Utility Commission of Texas**

FUND/ACCOUNT	Act 2009	Exp 2010	Exp 2011	Bud 2012	Est 2013
666 Appropriated Receipts					
Beginning Balance (Unencumbered):	\$0	\$0	\$0	\$0	\$0
Estimated Revenue:					
3603 Universal Srvc Fund Reimbursement	472,960	460,247	400,000	400,000	400,000
3719 Fees/Copies or Filing of Records	16,575	14,753	75,000	75,000	75,000
Subtotal: Actual/Estimated Revenue	489,535	475,000	475,000	475,000	475,000
Total Available	\$489,535	\$475,000	\$475,000	\$475,000	\$475,000
DEDUCTIONS:					
Texas Universal Service Fund Costs	(472,960)	(460,247)	(400,000)	(400,000)	(400,000)
GAA Art. IX, Sale of Print Material	(14,705)	(13,000)	(45,000)	(45,000)	(45,000)
GAA Art. VIII, PUC Rider 3, Interch	(1,870)	(1,753)	(30,000)	(30,000)	(30,000)
Total, Deductions	\$(489,535)	\$(475,000)	\$(475,000)	\$(475,000)	\$(475,000)
Ending Fund/Account Balance	\$0	\$0	\$0	\$0	\$0

REVENUE ASSUMPTIONS:

CONTACT PERSON:

James Albright

6.E. ESTIMATED REVENUE COLLECTIONS SUPPORTING SCHEDULE

DATE: 8/13/2010

82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

TIME: 3:51:38PM

Agency Code: **473**

Agency name: **Public Utility Commission of Texas**

FUND/ACCOUNT	Act 2009	Exp 2010	Exp 2011	Bud 2012	Est 2013
5100 System Benefit Account					
Beginning Balance (Unencumbered):	\$473,798,803	\$523,416,170	\$576,344,918	\$600,135,314	\$646,549,210
Estimated Revenue:					
3244 Non-Bypassable Utility Fee	137,963,195	140,986,240	143,303,777	145,609,908	149,068,148
3857 Int on State Deposits/Treasury Inv	11,640,528	8,308,518	9,596,281	10,272,560	10,970,811
Subtotal: Actual/Estimated Revenue	149,603,723	149,294,758	152,900,058	155,882,468	160,038,959
Total Available	\$623,402,526	\$672,710,928	\$729,244,976	\$756,017,782	\$806,588,169
DEDUCTIONS:					
Customer Education Campaign	(841,918)	(366,627)	(1,133,373)	(750,000)	(750,000)
Low-Income Discount Program	(97,305,626)	(94,037,437)	(125,529,291)	(106,514,100)	(113,052,628)
PUC Administration	(986,944)	(1,004,472)	(1,004,472)	(1,004,472)	(1,004,472)
Electric Market Monitoring	(556,294)	(957,474)	(1,442,526)	(1,200,000)	(1,200,000)
Benefits Proportional by Fund	(198,750)	0	0	0	0
Statewide Cost Alloc. Plan (SWCAP)	(92,446)	0	0	0	0
Benefits Replacement Pay	(4,378)	0	0	0	0
Total, Deductions	\$(99,986,356)	\$(96,366,010)	\$(129,109,662)	\$(109,468,572)	\$(116,007,100)
Ending Fund/Account Balance	\$523,416,170	\$576,344,918	\$600,135,314	\$646,549,210	\$690,581,069

REVENUE ASSUMPTIONS:

CONTACT PERSON:

James Albright

6.G HOMELAND SECURITY FUNDING SCHEDULE - PART A TERRORISM

DATE: 8/13/2010
 TIME: 3:50:04PM

82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **473** Agency name: **PUBLIC UTILITY COMM**

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
OBJECTS OF EXPENSE						
1001	SALARIES AND WAGES	\$25,155	\$141,900	\$171,700	\$160,900	\$25,200
2001	PROFESSIONAL FEES AND SERVICES	\$633	\$3,900	\$3,900	\$3,900	\$600
2004	UTILITIES	\$908	\$1,300	\$1,300	\$1,300	\$900
2005	TRAVEL	\$1,136	\$13,400	\$4,900	\$5,300	\$1,100
2007	RENT - MACHINE AND OTHER	\$715	\$4,700	\$4,700	\$4,700	\$700
2009	OTHER OPERATING EXPENSE	\$433	\$8,100	\$2,900	\$2,900	\$400
TOTAL, OBJECTS OF EXPENSE		\$28,980	\$173,300	\$189,400	\$179,000	\$28,900
METHOD OF FINANCING						
1	General Revenue Fund	\$28,980	\$58,600	\$55,800	\$55,700	\$28,900
	Subtotal, MOF (General Revenue Funds)	\$28,980	\$58,600	\$55,800	\$55,700	\$28,900
777	Interagency Contracts	\$0	\$114,700	\$133,600	\$123,300	\$0
	Subtotal, MOF (Other Funds)	\$0	\$114,700	\$133,600	\$123,300	\$0
TOTAL, METHOD OF FINANCE		\$28,980	\$173,300	\$189,400	\$179,000	\$28,900
FULL-TIME-EQUIVALENT POSITIONS		0.4	2.6	2.6	2.6	0.4

USE OF HOMELAND SECURITY FUNDS

The Homeland Security Coordinator (HSC) and the Emergency Management Coordinator (EMC) for the Public Utility Commission of Texas (PUC) communicates with the divisions and the Commissioners and prepares and updates the Business Continuity plan. The HSC and the EMC work with the State Office of Risk Management and the Texas Facilities Commission to make sure that the state owned-building occupied by PUC is prepared for both terrorism and natural or manmade disasters. Since the PUC regulates the Telecommunications and Electric Utilities, the HSC and the EMC travel to telecommunications utilities and the electric utilities working in coordination with that utility's Emergency Operations Team. During a natural disaster or terrorism event the PUC Emergency Management Response Team (made up of the HSC, EMC, and the rotating roster) coordinates with the Texas State Operations Center to prioritize and assist the affected utilities in the restoration of power and communications. The HSC and EMC create various reports for the Executive Director and the Commissioners. The HSC and the EMC also serve on various local, state, and national committees that address critical infrastructure and homeland security matters.

6.G HOMELAND SECURITY FUNDING SCHEDULE - PART A TERRORISM

Funds Passed through to Local Entities

82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2010
TIME: 3:50:23PM

Agency code: **473** Agency name: **PUBLIC UTILITY COMM**

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
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6.G HOMELAND SECURITY FUNDING SCHEDULE - PART A TERRORISM

Funds Passed through to State Agencies

82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2010
TIME: 3:50:23PM

Agency code: **473** Agency name: **PUBLIC UTILITY COMM**

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
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6.G HOMELAND SECURITY FUNDING SCHEDULE - PART B NATURAL OR MAN-MADE DISASTERS

DATE: 8/13/2010
TIME: 3:50:23PM

82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **473** Agency name: **PUBLIC UTILITY COMM**

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
OBJECTS OF EXPENSE						
1001	SALARIES AND WAGES	\$64,059	\$154,400	\$184,200	\$173,500	\$64,100
2001	PROFESSIONAL FEES AND SERVICES	\$1,536	\$4,200	\$4,200	\$4,200	\$1,500
2004	UTILITIES	\$2,234	\$1,400	\$1,400	\$1,400	\$2,200
2005	TRAVEL	\$2,661	\$13,900	\$5,100	\$5,500	\$2,700
2007	RENT - MACHINE AND OTHER	\$1,734	\$5,000	\$5,000	\$5,000	\$1,700
2009	OTHER OPERATING EXPENSE	\$675	\$5,600	\$3,100	\$3,100	\$700
TOTAL, OBJECTS OF EXPENSE		\$72,899	\$184,500	\$203,000	\$192,700	\$72,900
METHOD OF FINANCING						
1	General Revenue Fund	\$72,899	\$69,200	\$69,200	\$69,200	\$72,900
	Subtotal, MOF (General Revenue Funds)	\$72,899	\$69,200	\$69,200	\$69,200	\$72,900
777	Interagency Contracts	\$0	\$115,300	\$133,800	\$123,500	\$0
	Subtotal, MOF (Other Funds)	\$0	\$115,300	\$133,800	\$123,500	\$0
TOTAL, METHOD OF FINANCE		\$72,899	\$184,500	\$203,000	\$192,700	\$72,900
FULL-TIME-EQUIVALENT POSITIONS		0.9	2.8	2.8	2.8	0.9

USE OF HOMELAND SECURITY FUNDS

The Homeland Security Coordinator (HSC) and the Emergency Management Coordinator (EMC) for the Public Utility Commission of Texas (PUC) communicates with the divisions and the Commissioners and prepares and updates the Business Continuity plan. The HSC and the EMC work with the State Office of Risk Management and the Texas Facilities Commission to make sure that the state owned-building occupied by PUC is prepared for both terrorism and natural or manmade disasters. Since the PUC regulates the Telecommunications and Electric Utilities, the HSC and the EMC travel to telecommunications utilities and the electric utilities working in coordination with that utility's Emergency Operations Team. During a natural disaster or terrorism event the PUC Emergency Management Response Team (made up of the HSC, EMC, and the rotating roster) coordinates with the Texas State Operations Center to prioritize and assist the affected utilities in the restoration of power and communications. The HSC and EMC create various reports for the Executive Director and the Commissioners. The HSC and the EMC also serve on various local, state, and national committees that address critical infrastructure and homeland security matters.

6.G HOMELAND SECURITY FUNDING SCHEDULE - PART B NATURAL OR MAN-MADE DISASTERS

Funds Passed through to Local Entities

82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2010
TIME: 3:50:23PM

Agency code: **473** Agency name: **PUBLIC UTILITY COMM**

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
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6.G HOMELAND SECURITY FUNDING SCHEDULE - PART B NATURAL OR MAN-MADE DISASTERS

Funds Passed through to State Agencies

82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2010
TIME: 3:50:23PM

Agency code: **473** Agency name: **PUBLIC UTILITY COMM**

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
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6.H. Estimated Total of All Agency Funds Outside the GAA Bill Pattern
Public Utility Commission

Fund Name

Estimated Beginning Balance in FY 2010	\$	228,432,178
Estimated Revenues FY 2010	\$	378,908,389
Estimated Revenues FY 2011	\$	<u>368,200,072</u>
FY 2010-11 Total	\$	975,540,639
Estimated Beginning Balance in FY 2012	\$	62,421,448
Estimated Revenues FY 2012	\$	357,154,072
Estimated Revenues FY 2013	\$	<u>346,439,452</u>
FY 2012-13 Total	\$	766,014,972

Constitutional or Statutory Creation and Use of Funds:

The Public Utility Regulatory Act (PURA) Chapter 56 (Telecommunications Assistance and Universal Service Fund), Subchapter B. (Universal Service Fund) Sec. 56.021 (Universal Service Fund Established) requires the Commission to adopt and enforce rules requiring Local Exchange Telephone companies to establish a universal service fund. The fund may be used to (1) assist telecommunications providers in providing basic local telecommunications service at reasonable rates in high cost rural areas; (2) reimburse the telecommunications carrier that provides the statewide telecommunications relay access service, Relay Texas, under Subchapter D; (3) finance the specialized telecommunications assistance program under Subchapter E; (4) reimburse the department of Health and Human Services, Texas Commission for the Deaf and Hard of Hearing, and the Public Utility Commission for costs incurred in implementing Chapter 56 and Chapter 57 of PURA; (5) reimburse telecommunication carriers that provide Lifeline telephone discount to low income customers as provided by 47 C.F.R. Part 54, Subpart E, as amended; (6) finance the implementation and administration of an integrated eligibility process created under Section 17.007 for Lifeline discounts, including outreach expenses the commission determines are reasonable and necessary; (7) reimburse a provider designated to serve an uncertificated area under Subchapter F; (8) reimburse a successor utility designated to serve under Subchapter G; and (9) finance the audio newspaper assistance program.

Method of Calculation and Revenue Assumptions:

PURA Sec. 56.022 requires that the Universal Service Fund be funded by a statewide uniform charge set by the Public Utility Commission. The fee is assessed on telecommunications receipts, and is charged to and paid by each telecommunications provider that has access to the customer base. The carriers are authorized to pass the charge on to the customer.

The revenue estimate is based on a 3 year trend analysis of the taxable telecommunications receipts for 2007-2009. The current Texas Universal Service Fund taxable rate is 3.4%. The estimated taxable receipts are: FY 2010 \$11,050,422,566; FY 2011 \$10,718,909,889; FY 2012 \$10,397,342,593; FY 2013 \$10,085,422,315. This estimate assumes a 3% reduction per year in taxable communications receipts based on the trend analysis.

6.I 10 PERCENT BIENNIAL BASE REDUCTION OPTIONS
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/18/2010
 Time: 8:53:37AM

Agency code: 473 Agency name: **Public Utility Commission of Texas**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2012	2013	Biennial Total	2012	2013	Biennial Total	
1 Low-Income Discount Program							
Category: Programs - Grant/Loan/Pass-through Reductions							
Item Comment: This item will result in the low-income discount rate being reduced from 15 percent each fiscal year to 13.5 percent each fiscal year.							
Strategy: 3-1-1 Energy Assistance. Nontransferable							
<u>Gr Dedicated</u>							
5100 System Benefit Account	\$0	\$0	\$0	\$11,273,783	\$11,273,784	\$22,547,567	
Gr Dedicated Total	\$0	\$0	\$0	\$11,273,783	\$11,273,784	\$22,547,567	
Item Total	\$0	\$0	\$0	\$11,273,783	\$11,273,784	\$22,547,567	
FTE Reductions (From FY 2012 and FY 2013 Base Request)							
2 Administration							
Category: Administrative - FTEs / Layoffs							
Item Comment: The PUC has used in-house resources to develop tools for companies to make filings via the Internet. Continuation of these efforts could be delayed if in-house IT resources are reduced. Overall agency productivity is affected when administration resources are reduced.							
Strategy: 4-1-1 Central Administration							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$58,140	\$58,140	\$116,280	
General Revenue Funds Total	\$0	\$0	\$0	\$58,140	\$58,140	\$116,280	
Strategy: 4-1-2 Information Resources							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$58,140	\$58,140	\$116,280	
General Revenue Funds Total	\$0	\$0	\$0	\$58,140	\$58,140	\$116,280	
Item Total	\$0	\$0	\$0	\$116,280	\$116,280	\$232,560	

6.I 10 PERCENT BIENNIAL BASE REDUCTION OPTIONS
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/18/2010
 Time: 8:53:37AM

Agency code: 473 Agency name: **Public Utility Commission of Texas**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2012	2013	Biennial Total	2012	2013	Biennial Total	
FTE Reductions (From FY 2012 and FY 2013 Base Request)				2.0	2.0		
3 Customer Education and Complaint Resolution							
Category: Programs - Service Reductions (FTEs-Layoffs)							
Item Comment: Utility customers who contact the PUC by telephone will experience longer wait times before talking to a call center employee. Time to process informal complaints may increase. Overall quality may decrease due to higher turnover caused by reduced staffing.							
Strategy: 2-1-1 Provide Information about Changes in Electric and Telecom Industries							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$58,140	\$58,140	\$116,280	
General Revenue Funds Total	\$0	\$0	\$0	\$58,140	\$58,140	\$116,280	
Strategy: 2-2-1 Assist Customers in Resolving Disputes							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$58,140	\$58,140	\$116,280	
General Revenue Funds Total	\$0	\$0	\$0	\$58,140	\$58,140	\$116,280	
Item Total	\$0	\$0	\$0	\$116,280	\$116,280	\$232,560	
FTE Reductions (From FY 2012 and FY 2013 Base Request)				2.0	2.0		
4 Competitive Market Oversight							
Category: Programs - Service Reductions (FTEs-Layoffs)							
Item Comment: Development of rules needed to oversee competitive markets may be delayed or eliminated. Oversight of ERCOT, including Staff participation in protocol development would be reduced. Time to process applications for licenses for competitive providers would increase.							
Strategy: 1-1-1 Foster and Monitor Market Competition							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$116,280	\$116,280	\$232,560	
General Revenue Funds Total	\$0	\$0	\$0	\$116,280	\$116,280	\$232,560	

6.I 10 PERCENT BIENNIAL BASE REDUCTION OPTIONS
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/18/2010
 Time: 8:53:37AM

Agency code: 473 Agency name: **Public Utility Commission of Texas**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2012	2013	Biennial Total	2012	2013	Biennial Total	
Item Comment: The PUC has used in-house resources to develop tools for companies to make filings via the Internet. Continuation of these efforts could be delayed if in-house IT resources are reduced. Overall agency productivity is affected when administration resources are reduced.							
Strategy: 4-1-1 Central Administration							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$58,140	\$58,140	\$116,280	
General Revenue Funds Total	\$0	\$0	\$0	\$58,140	\$58,140	\$116,280	
Item Total	\$0	\$0	\$0	\$58,140	\$58,140	\$116,280	
FTE Reductions (From FY 2012 and FY 2013 Base Request)				1.0	1.0		
7 Customer Education and Complaint Resolution							
Category: Programs - Service Reductions (FTEs-Layoffs)							
Item Comment: Utility customers who contact the PUC by telephone will experience longer wait times before talking to a call center employee. Time to process informal complaints may increase. Overall quality may decrease due to higher turnover caused by reduced staffing.							
Strategy: 2-1-1 Provide Information about Changes in Electric and Telecom Industries							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$58,140	\$58,140	\$116,280	
General Revenue Funds Total	\$0	\$0	\$0	\$58,140	\$58,140	\$116,280	
Strategy: 2-2-1 Assist Customers in Resolving Disputes							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$58,140	\$58,140	\$116,280	
General Revenue Funds Total	\$0	\$0	\$0	\$58,140	\$58,140	\$116,280	
Item Total	\$0	\$0	\$0	\$116,280	\$116,280	\$232,560	
FTE Reductions (From FY 2012 and FY 2013 Base Request)				2.0	2.0		

6.I 10 PERCENT BIENNIAL BASE REDUCTION OPTIONS
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/18/2010
 Time: 8:53:37AM

Agency code: 473 Agency name: **Public Utility Commission of Texas**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2012	2013	Biennial Total	2012	2013	Biennial Total	

8 Competitive Market Oversight

Category: Programs - Service Reductions (FTEs-Layoffs)

Item Comment: Development of rules needed to oversee competitive markets may be delayed or eliminated. Oversight of ERCOT, including Staff participation in protocol development would be reduced. Time to process applications for licenses for competitive providers would increase.

Strategy: 1-1-1 Foster and Monitor Market Competition

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$174,420	\$174,420	\$348,840
General Revenue Funds Total	\$0	\$0	\$0	\$174,420	\$174,420	\$348,840

Strategy: 1-3-1 Conduct Investigations and Initiate Enforcement Actions

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$58,140	\$58,140	\$116,280
General Revenue Funds Total	\$0	\$0	\$0	\$58,140	\$58,140	\$116,280
Item Total	\$0	\$0	\$0	\$232,560	\$232,560	\$465,120

FTE Reductions (From FY 2012 and FY 2013 Base Request) 4.0 4.0

9 Rate and Service Quality Regulation Oversight

Category: Programs - Service Reductions (FTEs-Layoffs)

Item Comment: Staff participation in contested cases such as rate cases and transmission line CCNs would be further limited, and the Commission would need to rely more on intervenors to challenge the utility's case.

Strategy: 1-2-1 Conduct Rate Cases for Regulated Telephone and Electric Utilities

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$107,515	\$107,515	\$215,030
General Revenue Funds Total	\$0	\$0	\$0	\$107,515	\$107,515	\$215,030

6.I 10 PERCENT BIENNIAL BASE REDUCTION OPTIONS
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/18/2010
 Time: 8:53:37AM

Agency code: 473 Agency name: **Public Utility Commission of Texas**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2012	2013	Biennial Total	2012	2013	Biennial Total	
Item Total	\$0	\$0	\$0	\$107,515	\$107,515	\$215,030	
FTE Reductions (From FY 2012 and FY 2013 Base Request)				1.8	1.8		
AGENCY TOTALS							
General Revenue Total				\$1,028,990	\$1,028,991	\$2,057,981	
GR Dedicated Total				\$11,273,783	\$11,273,784	\$22,547,567	
Agency Grand Total	\$0	\$0	\$0	\$12,302,773	\$12,302,775	\$24,605,548	
Difference, Options Total Less Target						\$24,605,548	
Agency FTE Reductions (From FY 2012 and FY 2013 Base Request)				17.6	17.6		

7.A. INDIRECT ADMINISTRATIVE AND SUPPORT COSTS
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2010
 TIME : 3:53:30PM

Agency code: 473

Agency name: Public Utility Commission of Texas

Strategy	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
4-1-1 Central Administration					
OBJECTS OF EXPENSE:					
1001 SALARIES AND WAGES	\$ 1,047,714	\$ 1,056,388	\$ 1,091,768	\$ 1,071,862	\$ 1,071,862
1002 OTHER PERSONNEL COSTS	47,721	49,551	48,467	48,467	48,467
2001 PROFESSIONAL FEES AND SERVICES	72,953	41,067	45,173	44,826	43,544
2003 CONSUMABLE SUPPLIES	7,093	6,380	7,200	7,200	7,200
2004 UTILITIES	4,573	2,676	3,062	2,974	2,974
2005 TRAVEL	3,785	5,939	7,800	7,800	7,800
2006 RENT - BUILDING	1,089	1,181	1,200	1,200	1,200
2007 RENT - MACHINE AND OTHER	43,236	45,062	58,294	50,300	51,582
2009 OTHER OPERATING EXPENSE	67,874	51,445	60,924	57,159	57,159
Total, Objects of Expense	\$ 1,296,038	\$ 1,259,689	\$ 1,323,888	\$ 1,291,788	\$ 1,291,788
METHOD OF FINANCING:					
1 General Revenue Fund	1,243,788	1,202,689	1,266,888	1,234,788	1,234,788
666 Appropriated Receipts	52,250	57,000	57,000	57,000	57,000
Total, Method of Financing	\$ 1,296,038	\$ 1,259,689	\$ 1,323,888	\$ 1,291,788	\$ 1,291,788
FULL TIME EQUIVALENT POSITIONS	19.8	19.7	20.1	20.1	20.1
Method of Allocation					

The Indirect Administrative and Support Costs are based on proportional salary costs of the members of the Administrative, Operations and Fund Management group to the total salaries of the agency. That percentage is in the range of 11% to 12% of agency salary costs. This method was chosen because salary represents over 85% of the total operating costs of the agency. The Central Administration costs are closely related to this method of calculation.

7.A. INDIRECT ADMINISTRATIVE AND SUPPORT COSTS
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2010
 TIME : 3:53:41PM

Agency code: 473

Agency name: Public Utility Commission of Texas

Strategy	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
4-1-2 Information Resources					
OBJECTS OF EXPENSE:					
1001 SALARIES AND WAGES	\$ 476,233	\$ 440,161	\$ 454,903	\$ 446,609	\$ 446,609
1002 OTHER PERSONNEL COSTS	21,691	20,646	20,195	20,195	20,195
2001 PROFESSIONAL FEES AND SERVICES	33,161	17,111	18,822	18,678	18,143
2003 CONSUMABLE SUPPLIES	3,224	2,658	3,000	3,000	3,000
2004 UTILITIES	2,079	1,115	1,276	1,239	1,239
2005 TRAVEL	1,720	2,475	3,250	3,250	3,250
2006 RENT - BUILDING	495	492	500	500	500
2007 RENT - MACHINE AND OTHER	19,653	18,776	24,289	20,958	21,493
2009 OTHER OPERATING EXPENSE	30,852	21,436	25,385	23,816	23,816
Total, Objects of Expense	\$ 589,108	\$ 524,870	\$ 551,620	\$ 538,245	\$ 538,245
METHOD OF FINANCING:					
1 General Revenue Fund	565,358	501,120	527,870	514,495	514,495
666 Appropriated Receipts	23,750	23,750	23,750	23,750	23,750
Total, Method of Financing	\$ 589,108	\$ 524,870	\$ 551,620	\$ 538,245	\$ 538,245
FULL TIME EQUIVALENT POSITIONS	9.0	9.1	9.3	9.3	9.3
Method of Allocation					

The Indirect Administrative and Support Costs are based on proportional salary costs of the members of the Information Resources group to the total salaries of the agency. That percentage is in the range of 5% of agency salary costs. This method was chosen because salary represents over 85% of the total operating costs of the agency. Information Resources costs are closely related to this method of calculation.

7.A. INDIRECT ADMINISTRATIVE AND SUPPORT COSTS
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2010
 TIME : 3:53:41PM

Agency code: 473

Agency name: Public Utility Commission of Texas

Strategy		Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
4-1-3	Other Support Services					
OBJECTS OF EXPENSE:						
1001	SALARIES AND WAGES	\$ 95,247	\$ 88,032	\$ 90,981	\$ 89,322	\$ 89,322
1002	OTHER PERSONNEL COSTS	4,338	4,129	4,039	4,039	4,039
2001	PROFESSIONAL FEES AND SERVICES	6,632	3,422	3,764	3,735	3,629
2003	CONSUMABLE SUPPLIES	645	532	600	600	600
2004	UTILITIES	416	223	255	248	248
2005	TRAVEL	344	495	650	650	650
2006	RENT - BUILDING	99	99	100	100	100
2007	RENT - MACHINE AND OTHER	3,931	3,755	4,858	4,192	4,298
2009	OTHER OPERATING EXPENSE	6,170	4,287	5,077	4,763	4,763
Total, Objects of Expense		\$ 117,822	\$ 104,974	\$ 110,324	\$ 107,649	\$ 107,649
METHOD OF FINANCING:						
1	General Revenue Fund	113,072	100,224	105,574	102,899	102,899
666	Appropriated Receipts	4,750	4,750	4,750	4,750	4,750
Total, Method of Financing		\$ 117,822	\$ 104,974	\$ 110,324	\$ 107,649	\$ 107,649
FULL TIME EQUIVALENT POSITIONS		1.8	1.8	1.8	1.8	1.8
Method of Allocation						

The Indirect Administrative and Support Costs are based on proportional salary costs of the members of the Other Support Services group (Librarian, Purchaser, Facilities & Mailroom) to the total salaries of the agency. That percentage is in the range of 1% of agency salary costs. This method was chosen because salary represents over 85% of the total operating costs of the agency. The Other Support Services costs are closely related to this method of calculation.

7.A. INDIRECT ADMINISTRATIVE AND SUPPORT COSTS
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2010
 TIME : 3:53:41PM

Agency code: 473

Agency name: Public Utility Commission of Texas

	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
GRAND TOTALS					
Objects of Expense					
1001 SALARIES AND WAGES	\$1,619,194	\$1,584,581	\$1,637,652	\$1,607,793	\$1,607,793
1002 OTHER PERSONNEL COSTS	\$73,750	\$74,326	\$72,701	\$72,701	\$72,701
2001 PROFESSIONAL FEES AND SERVICES	\$112,746	\$61,600	\$67,759	\$67,239	\$65,316
2003 CONSUMABLE SUPPLIES	\$10,962	\$9,570	\$10,800	\$10,800	\$10,800
2004 UTILITIES	\$7,068	\$4,014	\$4,593	\$4,461	\$4,461
2005 TRAVEL	\$5,849	\$8,909	\$11,700	\$11,700	\$11,700
2006 RENT - BUILDING	\$1,683	\$1,772	\$1,800	\$1,800	\$1,800
2007 RENT - MACHINE AND OTHER	\$66,820	\$67,593	\$87,441	\$75,450	\$77,373
2009 OTHER OPERATING EXPENSE	\$104,896	\$77,168	\$91,386	\$85,738	\$85,738
Total, Objects of Expense	\$2,002,968	\$1,889,533	\$1,985,832	\$1,937,682	\$1,937,682
Method of Financing					
1 General Revenue Fund	\$1,922,218	\$1,804,033	\$1,900,332	\$1,852,182	\$1,852,182
666 Appropriated Receipts	\$80,750	\$85,500	\$85,500	\$85,500	\$85,500
Total, Method of Financing	\$2,002,968	\$1,889,533	\$1,985,832	\$1,937,682	\$1,937,682
Full-Time-Equivalent Positions (FTE)	30.6	30.6	31.2	31.2	31.2

7.B. DIRECT ADMINISTRATIVE AND SUPPORT COSTS

82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2010

TIME : 3:54:15PM

Agency code: **473**

Agency name: **Public Utility Commission of Texas**

Strategy	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
1-1-1 Foster and Monitor Market Competition					
OBJECTS OF EXPENSE:					
1001 SALARIES AND WAGES	\$ 342,888	\$ 293,730	\$ 334,021	\$ 326,500	\$ 291,028
1002 OTHER PERSONNEL COSTS	15,618	16,851	25,366	24,730	14,620
2001 PROFESSIONAL FEES AND SERVICES	23,876	10,917	12,008	11,916	11,576
2003 CONSUMABLE SUPPLIES	2,321	1,696	1,914	1,914	1,914
2004 UTILITIES	1,497	711	814	790	791
2005 TRAVEL	1,239	2,595	4,188	4,077	2,527
2006 RENT - BUILDING	356	314	319	319	319
2007 RENT - MACHINE AND OTHER	14,150	11,979	15,497	13,372	13,712
2009 OTHER OPERATING EXPENSE	22,213	15,604	20,358	19,366	15,955
Total, Objects of Expense	\$ 424,158	\$ 354,397	\$ 414,485	\$ 402,984	\$ 352,442
METHOD OF FINANCING:					
1 General Revenue Fund	407,058	338,361	396,639	385,202	336,891
666 Appropriated Receipts	17,100	16,036	17,846	17,782	15,551
Total, Method of Financing	\$ 424,158	\$ 354,397	\$ 414,485	\$ 402,984	\$ 352,442
FULL-TIME-EQUIVALENT POSITIONS (FTE):	10.8	10.3	10.5	10.5	10.5
DESCRIPTION					

The Direct Administrative and Support Costs are based on proportional salary costs of administrative and support employees whose time is spent on this Strategy to the total salaries of this Strategy. The percentage is generally in the range of 11% to 16% of Strategy salary costs. This method was chosen because salary represents over 85% of the total operating costs of the agency. The other costs are closely related to this method of salary calculation.

7.B. DIRECT ADMINISTRATIVE AND SUPPORT COSTS

82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2010

TIME : 3:54:29PM

Agency code: **473**

Agency name: **Public Utility Commission of Texas**

Strategy	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
1-2-1	Conduct Rate Cases for Regulated Telephone and Electric Utilities				
OBJECTS OF EXPENSE:					
1001 SALARIES AND WAGES	\$ 309,552	\$ 346,123	\$ 366,032	\$ 363,441	\$ 325,131
1002 OTHER PERSONNEL COSTS	14,099	22,351	24,638	25,619	14,702
2001 PROFESSIONAL FEES AND SERVICES	21,554	20,907	40,352	51,297	13,209
2003 CONSUMABLE SUPPLIES	2,096	1,935	2,184	2,184	2,184
2004 UTILITIES	1,351	812	929	902	902
2005 TRAVEL	1,118	3,101	3,675	3,657	2,366
2006 RENT - BUILDING	322	358	364	364	364
2007 RENT - MACHINE AND OTHER	12,774	13,669	17,683	15,258	15,647
2009 OTHER OPERATING EXPENSE	20,054	21,372	24,239	22,750	17,338
Total, Objects of Expense	\$ 382,920	\$ 430,628	\$ 480,096	\$ 485,472	\$ 391,843
METHOD OF FINANCING:					
1 General Revenue Fund	367,483	411,143	459,426	464,051	374,553
666 Appropriated Receipts	15,437	19,485	20,670	21,421	17,290
Total, Method of Financing	\$ 382,920	\$ 430,628	\$ 480,096	\$ 485,472	\$ 391,843
FULL-TIME-EQUIVALENT POSITIONS (FTE):	9.5	10.5	10.7	10.7	9.8
DESCRIPTION					

The Direct Administrative and Support Costs are based on proportional salary costs of administrative and support employees whose time is spent on this Strategy to the total salaries of this Strategy. The percentage is generally in the range of 11% to 16% of Strategy salary costs. This method was chosen because salary represents over 85% of the total operating costs of the agency. The other costs are closely related to this method of salary calculation.

7.B. DIRECT ADMINISTRATIVE AND SUPPORT COSTS

82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2010

TIME : 3:54:29PM

Agency code: **473**

Agency name: **Public Utility Commission of Texas**

Strategy	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
1-3-1	Conduct Investigations and Initiate Enforcement Actions				

OBJECTS OF EXPENSE:

1001	SALARIES AND WAGES	\$ 171,444	\$ 172,543	\$ 178,322	\$ 175,071	\$ 175,071
1002	OTHER PERSONNEL COSTS	7,809	8,093	7,916	7,916	7,916
2001	PROFESSIONAL FEES AND SERVICES	11,938	6,708	7,378	7,321	7,112
2003	CONSUMABLE SUPPLIES	1,161	1,042	1,176	1,176	1,176
2004	UTILITIES	748	437	500	486	486
2005	TRAVEL	619	970	1,274	1,274	1,274
2006	RENT - BUILDING	178	193	196	196	196
2007	RENT - MACHINE AND OTHER	7,075	7,360	9,522	8,216	8,425
2009	OTHER OPERATING EXPENSE	11,107	8,403	9,951	9,336	9,336
Total, Objects of Expense		\$ 212,079	\$ 205,749	\$ 216,235	\$ 210,992	\$ 210,992

METHOD OF FINANCING:

1	General Revenue Fund	203,529	196,439	206,925	201,682	201,682
666	Appropriated Receipts	8,550	9,310	9,310	9,310	9,310
Total, Method of Financing		\$ 212,079	\$ 205,749	\$ 216,235	\$ 210,992	\$ 210,992

FULL-TIME-EQUIVALENT POSITIONS (FTE):

5.7	5.4	5.6	5.6	5.6
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DESCRIPTION

The Direct Administrative and Support Costs are based on proportional salary costs of administrative and support employees whose time is spent on this Strategy to the total salaries of this Strategy. The percentage is generally in the range of 11% to 16% of Strategy salary costs. This method was chosen because salary represents over 85% of the total operating costs of the agency. The other costs are closely related to this method of salary calculation.

7.B. DIRECT ADMINISTRATIVE AND SUPPORT COSTS

82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2010

TIME : 3:54:29PM

Agency code: **473**

Agency name: **Public Utility Commission of Texas**

Strategy	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
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2-1-1 Provide Information about Changes in Electric and Telecom Industries

OBJECTS OF EXPENSE:

1001	SALARIES AND WAGES	\$ 120,011	\$ 48,418	\$ 50,039	\$ 49,127	\$ 49,127
1002	OTHER PERSONNEL COSTS	5,466	2,271	2,222	2,221	2,221
2001	PROFESSIONAL FEES AND SERVICES	8,356	1,882	2,070	2,055	1,996
2003	CONSUMABLE SUPPLIES	812	293	330	330	330
2004	UTILITIES	524	123	140	136	136
2005	TRAVEL	434	272	358	358	358
2006	RENT - BUILDING	125	54	55	55	55
2007	RENT - MACHINE AND OTHER	4,952	2,065	2,672	2,305	2,364
2009	OTHER OPERATING EXPENSE	7,775	2,358	2,792	2,620	2,620
Total, Objects of Expense		\$ 148,455	\$ 57,736	\$ 60,678	\$ 59,207	\$ 59,207

METHOD OF FINANCING:

1	General Revenue Fund	142,470	55,123	58,066	56,594	56,594
666	Appropriated Receipts	5,985	2,613	2,612	2,613	2,613
Total, Method of Financing		\$ 148,455	\$ 57,736	\$ 60,678	\$ 59,207	\$ 59,207

FULL-TIME-EQUIVALENT POSITIONS (FTE):

2.9	3.1	3.2	3.2	3.2
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DESCRIPTION

The Direct Administrative and Support Costs are based on proportional salary costs of administrative and support employees whose time is spent on this Strategy to the total salaries of this Strategy. The percentage is generally in the range of 11% to 16% of Strategy salary costs. This method was chosen because salary represents over 85% of the total operating costs of the agency. The other costs are closely related to this method of salary calculation.

7.B. DIRECT ADMINISTRATIVE AND SUPPORT COSTS

82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2010

TIME : 3:54:29PM

Agency code: 473

Agency name: Public Utility Commission of Texas

Strategy	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
2-2-1 Assist Customers in Resolving Disputes					
OBJECTS OF EXPENSE:					
1001 SALARIES AND WAGES	\$ 15,239	\$ 84,511	\$ 87,341	\$ 85,749	\$ 85,749
1002 OTHER PERSONNEL COSTS	694	3,964	3,877	3,877	3,877
2001 PROFESSIONAL FEES AND SERVICES	1,061	3,285	3,614	3,586	3,483
2003 CONSUMABLE SUPPLIES	103	510	576	576	576
2004 UTILITIES	67	214	245	238	238
2005 TRAVEL	55	475	624	624	624
2006 RENT - BUILDING	16	95	96	96	96
2007 RENT - MACHINE AND OTHER	629	3,605	4,664	4,024	4,127
2009 OTHER OPERATING EXPENSE	987	4,116	4,874	4,573	4,573
Total, Objects of Expense	\$ 18,851	\$ 100,775	\$ 105,911	\$ 103,343	\$ 103,343
METHOD OF FINANCING:					
1 General Revenue Fund	18,091	96,215	101,351	98,783	98,783
666 Appropriated Receipts	760	4,560	4,560	4,560	4,560
Total, Method of Financing	\$ 18,851	\$ 100,775	\$ 105,911	\$ 103,343	\$ 103,343
FULL-TIME-EQUIVALENT POSITIONS (FTE):	0.4	1.4	1.5	1.5	1.5

DESCRIPTION

The Direct Administrative and Support Costs are based on proportional salary costs of administrative and support employees whose time is spent on this Strategy to the total salaries of this Strategy. The percentage is generally in the range of 11% to 16% of Strategy salary costs. This method was chosen because salary represents over 85% of the total operating costs of the agency. The other costs are closely related to this method of salary calculation.

7.B. DIRECT ADMINISTRATIVE AND SUPPORT COSTS
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2010
 TIME : 3:54:29PM

Agency code: 473

Agency name: Public Utility Commission of Texas

	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
GRAND TOTALS					
Objects of Expense					
1001 SALARIES AND WAGES	\$959,134	\$945,325	\$1,015,755	\$999,888	\$926,106
1002 OTHER PERSONNEL COSTS	\$43,686	\$53,530	\$64,019	\$64,363	\$43,336
2001 PROFESSIONAL FEES AND SERVICES	\$66,785	\$43,699	\$65,422	\$76,175	\$37,376
2003 CONSUMABLE SUPPLIES	\$6,493	\$5,476	\$6,180	\$6,180	\$6,180
2004 UTILITIES	\$4,187	\$2,297	\$2,628	\$2,552	\$2,553
2005 TRAVEL	\$3,465	\$7,413	\$10,119	\$9,990	\$7,149
2006 RENT - BUILDING	\$997	\$1,014	\$1,030	\$1,030	\$1,030
2007 RENT - MACHINE AND OTHER	\$39,580	\$38,678	\$50,038	\$43,175	\$44,275
2009 OTHER OPERATING EXPENSE	\$62,136	\$51,853	\$62,214	\$58,645	\$49,822
Total, Objects of Expense	\$1,186,463	\$1,149,285	\$1,277,405	\$1,261,998	\$1,117,827
Method of Financing					
1 General Revenue Fund	\$1,138,631	\$1,097,281	\$1,222,407	\$1,206,312	\$1,068,503
666 Appropriated Receipts	\$47,832	\$52,004	\$54,998	\$55,686	\$49,324
Total, Method of Financing	\$1,186,463	\$1,149,285	\$1,277,405	\$1,261,998	\$1,117,827
Full-Time-Equivalent Positions (FTE)	29.3	30.7	31.5	31.5	30.6