

Legislative Appropriations Request

For Fiscal Years 2014 and 2015

**Submitted to the
Governor's Office of Budget, Planning and Policy
and the Legislative Budget Board**

by



Public Utility Commission of Texas

August 16, 2012



CERTIFICATE

Agency Name: **Public Utility Commission of Texas**

This is to certify that the information contained in the agency Legislative Appropriations Request filed with the Legislative Budget Board (LBB) and the Governor's Office of Budget, Planning and Policy (GOBPP) is accurate to the best of my knowledge and that the electronic submission to the LBB via the Automated Budget and Evaluation System of Texas (ABEST) and the PDF file submitted via the LBB Document Submission application are identical.

Additionally, should it become likely at any time that unexpended balances will accrue for any account, the LBB and the GOBPP will be notified in writing in accordance with Article IX, Section 7.01 (2012-13 GAA).

Chief Executive Office or Presiding Judge

Brian H. Lloyd
Signature

Brian H. Lloyd
Printed Name

EXECUTIVE DIRECTOR
Title

8/13/12
Date

Board or Commission Chair

Dana L Nelson
Signature

Dana L Nelson
Printed Name

Chairman
Title

8/9/12
Date

Chief Financial Officer

James O Albright
Signature

James O Albright
Printed Name

Director of Operations
Title

8/13/12
Date

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HIGH-LEVEL AGENCY OVERVIEW

Over the past 17 years, the Public Utility Commission of Texas (PUC or Commission) has been called upon to implement Legislative mandates associated with the restructuring of the electric and telecommunications industries. The agency continues to adjust its focus as telecommunications and electric markets mature, while continuing to regulate parts of the State not open to competition. In the telecommunications industry and the electric industry within the majority of the state, the PUC's traditional regulatory role has decreased over the years, but has been replaced with responsibilities for oversight of competitive markets. In the portion of the state not open to electric competition, PUC oversight remains unchanged. The overall result is that the PUC has not experienced a decreased need for funding of its core functions.

Each division within the PUC has been structured to allow for maximum efficiency and effectiveness through functional alignment. The PUC's basic functions are reflected in the agency's strategies: competitive market oversight; rate and service quality regulation; enforcement of statutes, rules, and orders; providing information to customers, utilities and competitive providers; assisting customers in resolving disputes with service providers; customer education related to electric restructuring; and administration of the electric low-income discount program.

The PUC is comprised of three members, appointed by the Governor, with the advice and consent of the Senate. The Commission is currently composed of the following members:

PUC COMMISSIONERS

Chairman Donna L. Nelson	August 15, 2008 – August 31, 2015	Austin
Commissioner Kenneth W. Anderson, Jr.	September 2, 2008 – August 31, 2017	Dallas
Commissioner Rolando Pablos	September 20, 2011 – August 31, 2013	San Antonio

APPROPRIATIONS REQUEST

The PUC's appropriations request was developed in accordance with the detailed instructions provided by the Legislative Budget Board and the Governor's Office of Budget, Planning and Policy. The total all-funds baseline request totals \$177,433,720. This includes approximately \$9.11 million in General Revenue funding; approximately \$167.37 million in General Revenue – Dedicated System Benefit Fund (SBF) funding; and \$0.95 million in Appropriated Receipts funding. The request does not include Federal Funds – American Recovery and Reinvestment Act funding, as all funding from this method-of-finance will be expended during the 2012-13 biennium. The baseline request also includes 167.0 FTEs each fiscal year.

In an audit report on the System Benefit Fund and the Low-Income Electric Discount Program published in July of 2011, the State Auditor's Office recommended the PUC develop and implement policies to align the System Benefit Fund fee with the statutory requirement that the amount of revenue generated from the fee be the amount necessary to fund the purposes for which the funds may be expended. Furthermore, the Texas Budget Compact, proposed by Governor Perry, emphasized the goal of reducing the use of dedicated accounts for anything other than the purposes for which they were collected. Other state officials have echoed these sentiments during and since the last legislative session. In response to these recommendations, the PUC's request also includes setting the System Benefit Fund fee at zero. The current estimated beginning balance for the fiscal year 2014-15 biennium is approximately \$804.56 million, or \$637.19 million greater than the Commission's baseline appropriation request. Prospectively, the Commission will continue to analyze the revenue needs of the fund on an annual basis and set the fee at a level necessary to fund legislative appropriations once the balance of the fund is spent down.

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An alternative to authorizing the Commission to spend down the corpus of the System Benefit Fund would be to authorize the Commission to level SBF fee generated revenue with legislative appropriations. This could be accomplished by referencing an appropriation-leveled assessment rate, rather than the maximum statutory assessment rate in Rider 8, Allocation of System Benefit Fund Account. Conforming changes would also need to be made to the revenue estimates provided in both this rider and Rider 7, Contingent Revenue.

EXCEPTIONAL ITEMS

The Commission is requesting consideration of three exceptional items:

Item 1: Increased Data Center Consolidation Project Costs

The first requested item is additional appropriation authority to cover increased costs for the Data Center Consolidation project. The PUC's baseline capital budget appropriation contains \$603,344 in General Revenue to fund the agency's data center activities. The Department of Information Resources is projecting the Commission's total costs for the project during the 2014-15 biennium will be \$965,013, resulting in a shortfall of \$361,669. The PUC does not have sufficient baseline funding to cover this 59.95 percent increase. The increased cost projections are a result of the State's newly negotiated data center contract. The cost increases are not a product of increase in baseline resource units used by the PUC.

Item 2: Restoration of 10 Percent cut during the 82nd Legislative Session

The PUC's FTE cap has been reduced by 75.0 FTEs, or 31.0 percent since 2003. As such, restoring the agency's 10 percent reduction from the last legislative session is a high priority. The reduction consisted of \$2,057,981 in General Revenue Funding and 17.6 FTEs. The PUC is requesting the funding be fully restored; however, the agency is also requesting to change the method-of-finance to General Revenue – Dedicated SBF. Also, the PUC is requesting the restoration of 14.0 FTEs (4.0 Enforcement Analysts; 2 Electric Market Analysts; 2 Electric Market Economists; 2 Sr. Investigators; 1 Financial Auditor; 1 Electric Utility Engineer; 1 Sr. Information Specialist; and 1 Customer Service Representative), which would set the agency's FTE cap at 181.0. Over the past decade, a greater proportion of PUC work has dealt with the deregulated electric market, than either the regulated electric market or telecommunications industry. As such, the legislature began funding the vast majority of agency operations from the SBF in the 82nd Legislative Session. During the 2012-13 biennium, approximately 60 percent of the PUC's operations are funding by this method-of-finance. The Commission anticipates this trend to continue and therefore would request the funding restoration come from this method-of-finance.

Item 3: Increase FTE Cap by 4.0 – Contingent on Not Approving Item 2

The final item, which is contingent on item two not being approved, is to increase the FTE cap for the PUC from 167.0 State-funded FTEs each fiscal year to 171.0. Over the past two budget cycles, the PUC has encountered significant turnover in management and more experienced staff, due to retirements and general turnover. As such, the PUC needs the FTE flexibility to hire additional personnel to fulfill the job requirements of these departing individuals who had a broad breadth of institutional and subject matter knowledge. The PUC has performed a staffing analysis based on current and projected workloads and estimates that 4.0 additional FTEs would allow the flexibility necessary for the agency to react to anticipated retirements and turnover within base-level resources. The Commission is not requesting additional appropriation authority associated with this FTE cap increase.

10 PERCENT REDUCTION

General Revenue: As required by the Legislative Appropriations Request instructions, the PUC has included the 10 Percent Biennial Base Reduction Options Schedule

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that demonstrates the reductions to the baseline request that are proposed if the agency's funding were decreased by 10 percent. Approximately 88 percent of the Commission's General Revenue appropriation is allocated to fund staff salaries; therefore, decreases in funding would necessarily result in a reduction in agency staff. Due to past decreases in funding and changes in statutory responsibilities which have occurred, the PUC assigns a lower priority to work that could be considered discretionary. The Commission may exercise discretion in the prioritization and timing of its work, and at times, in the overall level of resources that can be expended on a particular function. For example, the PUC's enforcement activities, which assure that customers receive the benefits of competition, are not statutorily required. Unlike the Commission's statutory responsibility to respond to various applications for regulatory approvals, the burden to initiate enforcement actions is with the Commission and is dependent on the agency's ability to commit resources to act in a proactive, rather than reactive mode. In the area of ratemaking and service quality, the Commission has a responsibility for ensuring that customers receive just and reasonable rates and high quality of service. Decreased funding would result in fewer resources being allocated to ensuring service quality standards. Another area of concern would be the PUC's informal complaint resolution program. The agency places a high priority on timely completion of informal complaints, and are currently exceeding our performance measure for that function. However, decreased funding would result in a lower level of service in this area. Finally, the PUC oversees and implements many programs, projects and rulemakings. A project manager develops project budgets, schedules, work plans, resource requirements, and cost estimates and projections for specific projects and programs. This personnel is also responsible for coordinating project activities with other state agencies, governmental jurisdictions, and private sector contractors. The reduction of this position would reduce the PUC's ability to efficiently and effectively administer certain programs, produce analytical reports, and implement rulemakings. Overall, a 10 percent reduction to General Revenue funding would result in PUC needing to reduce staffing for both fiscal year 2014 and 2015 from 167.0 State-funded FTEs to 161.0 State-funded FTEs. The FTE reduction would include 2.0 Enforcement Analysts; 2.0 Customer Care Representatives; 1.0 Utility Specialist; and 1.0 Program Analyst. Schedule 6.I.10 displays the agency's total reduction in order from least to most impactful to agency operations.

General Revenue – Dedicated: Approximately 91 percent of the PUC's SBF appropriation is allocated for Low-Income Discount program funding. Therefore, decreases in SBF funding by 10 percent would result in a reduced discount program. Based on the agency's current request of approximately \$75,099,515 million in fiscal year 2014 and approximately \$77,075,530 million in fiscal year 2015, the PUC is projecting that the program will provide a 10.0 percent discount to program participants each year from May through September. Reducing the overall biennial appropriation by 10 percent, or approximately \$16.7 million, would result in reduced program discount of 9.0 percent each year. Based on average customer consumption of 1,000 kWh per month, the average discount would be reduced from \$16.50 to \$14.85 per month. Reducing each SBF expenditure line-item pro rata would not result in an increased discount rate for the biennium.

SUBSTANTIVE RIDER CHANGES

Performance Measure Targets: Performance measure targets for key measures have been updated based on current PUC projections.

Capital Budget: The Commission's capital budget rider has been updated to allocate the \$603,334 baseline appropriation amount evenly over both years of the biennium. The PUC is requesting an exceptional item in the amount of \$361,669 to cover increased costs as projected by DIR.

Contingent Revenue: The PUC is requesting to delete this rider in order to allow the Commission to spend the corpus of the System Benefit Fund before collecting additional revenue. At such time when legislative appropriations dictate that the available balance in the fund are inadequate to cover expenditures, the Commission will set the fee at a level sufficient to generate the necessary revenue.

Allocation of System Benefit Account: The Commission is requesting two changes to this rider. First, the agency is requesting conforming changes to this rider that reflect the PUC request to delete the System Benefit Fund Contingent Revenue rider. Second, the PUC is requesting the term "Contracts" be deleted from the "Electric

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Market Oversight Contracts” line-item. This funding is expended on both third-party contracts and staff salaries, depending on the particular subject matter and which method will be more cost-effective. As currently written, the rider line-item may cause confusion as to the use of this funding.

Contingency Rider: Power to Choose Website: The PUC is requesting to delete this rider because the provisions were contingent on legislation that failed to pass from the 82nd Legislative Session.

REMOVE NON-TRANSFERABLE DESIGNATION FROM STRATEGY C.1.2: CONSUMER EDUCATION

Currently, the appropriation authority in Strategy C.1.2, Consumer Education, is nontransferable to other strategies within the PUC's budget. This constrains the Commission's ability to effectively allocate resources. The focuses of the PUC’s educational activities are fluid and the dynamic nature of educational outreach results in these funds not being fully expended during certain periods, such as the 2010-11 biennium. Therefore, the PUC is requesting the latitude to expend funds designated for educating consumers on all issues relating to electric utility restructuring. This strategy modification would allow the Commission the flexibility to better align funding resources with the consumer needs of Texas electric customers and industry regulatory requirements.

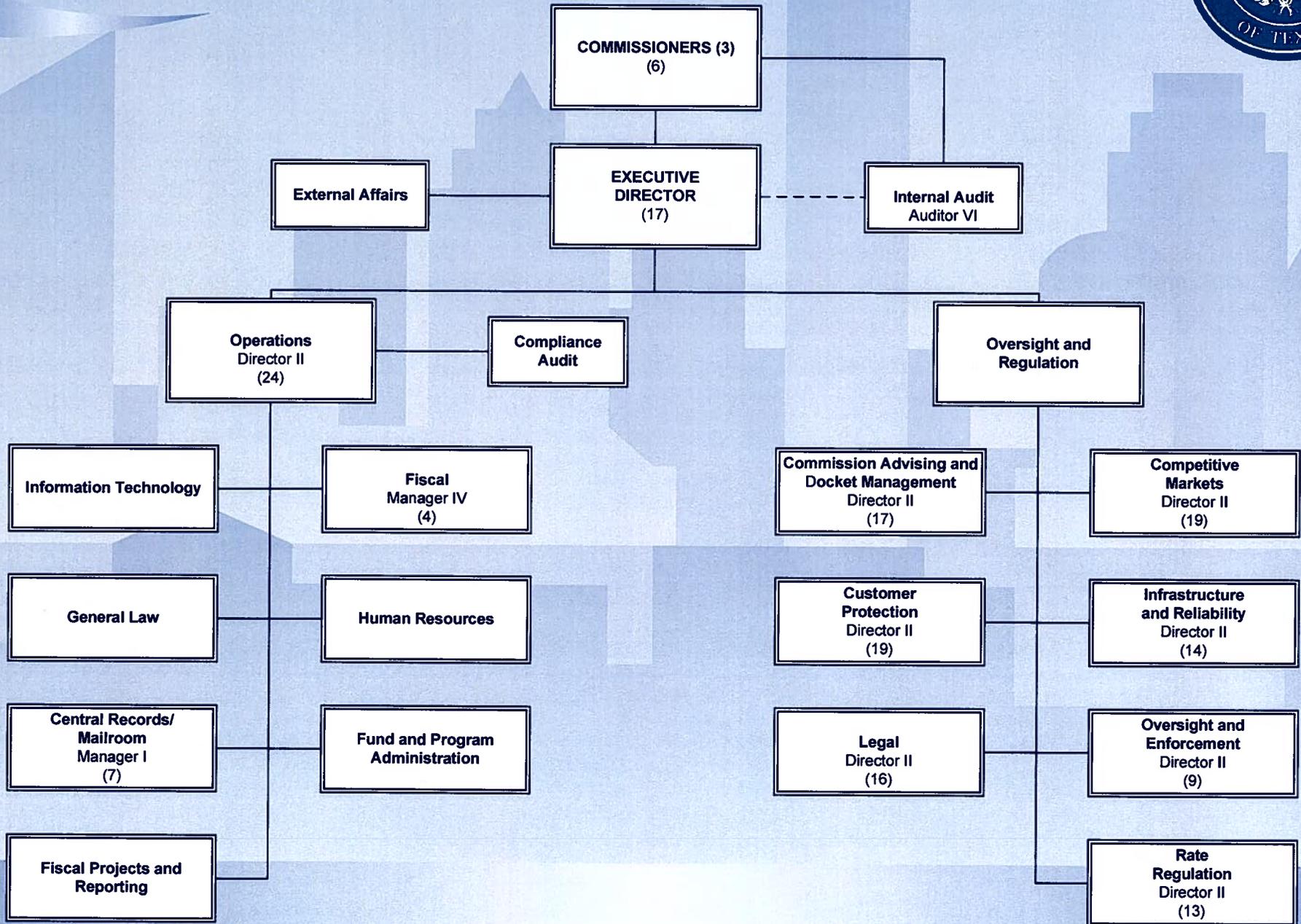
CRIMINAL HISTORY BACKGROUND CHECK AUTHORITY

The PUC does not have explicit statutory authority to conduct criminal background checks, and does not conduct background checks on current or prospective employees.

Brian H. Lloyd
Executive Director

**PUBLIC UTILITY COMMISSION OF TEXAS
FUNCTIONAL ORGANIZATION CHART
Fiscal Year 2012**

July 2012



2.A. Summary of Base Request by Strategy

8/16/2012 10:06:54AM

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Automated Budget and Evaluation System of Texas (ABEST)

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Goal / Objective / STRATEGY	Exp 2011	Est 2012	Bud 2013	Req 2014	Req 2015
1 Ensure Competition, Choice, Just Rates, and Reliable Quality Service					
1 <i>Maintain Policies to Foster Competition in Telecom & Elec Mkts</i>					
1 MARKET COMPETITION	3,989,715	4,220,357	4,095,909	3,888,156	3,888,156
2 <i>Regulate Providers Ensuring Companies Meet Service Quality Standards</i>					
1 UTILITY REGULATION	3,834,333	4,321,841	3,869,868	3,743,088	3,743,088
3 <i>Ensure Compliance with Statutes, Rules, and Orders</i>					
1 INVESTIGATION AND ENFORCEMENT	1,772,772	1,804,207	1,938,364	1,874,974	1,874,974
TOTAL, GOAL 1	\$9,596,820	\$10,346,405	\$9,904,141	\$9,506,218	\$9,506,218
2 Educate Customers and Assist Customers					
1 <i>Inform Customers of Choices & Rights & Facilitate Information Access</i>					
1 PROVIDE FACTS ABOUT CHANGES	633,008	643,874	691,783	669,144	669,144
2 <i>Resolve Complaints Consistent w/Laws & PUC Rules & Orders</i>					
1 ASSIST CUSTOMERS	759,261	771,289	828,767	801,600	801,600

2.A. Summary of Base Request by Strategy

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Goal / Objective / STRATEGY		Exp 2011	Est 2012	Bud 2013	Req 2014	Req 2015
TOTAL, GOAL	2	\$1,392,269	\$1,415,163	\$1,520,550	\$1,470,744	\$1,470,744
3 Electric Utility Restructuring						
1 <i>Provide Financial Assistance</i>						
1 ENERGY ASSISTANCE		70,661,919	65,105,051	87,069,994	75,099,515	77,075,531
2 CONSUMER EDUCATION		291,002	750,000	750,000	750,000	750,000
TOTAL, GOAL	3	\$70,952,921	\$65,855,051	\$87,819,994	\$75,849,515	\$77,825,531
4 Indirect Administration						
1 <i>Indirect Administration</i>						
1 CENTRAL ADMINISTRATION		1,263,779	549,962	655,917	601,583	601,583
2 INFORMATION RESOURCES		526,575	229,151	273,299	250,660	250,660
3 OTHER SUPPORT SERVICES		105,315	45,830	54,660	50,132	50,132
TOTAL, GOAL	4	\$1,895,669	\$824,943	\$983,876	\$902,375	\$902,375
TOTAL, AGENCY STRATEGY REQUEST		\$83,837,679	\$78,441,562	\$100,228,561	\$87,728,852	\$89,704,868

2.A. Summary of Base Request by Strategy

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Goal / Objective / STRATEGY	Exp 2011	Est 2012	Bud 2013	Req 2014	Req 2015
TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST*				\$0	\$0
GRAND TOTAL, AGENCY REQUEST	\$83,837,679	\$78,441,562	\$100,228,561	\$87,728,852	\$89,704,868
<u>METHOD OF FINANCING:</u>					
General Revenue Funds:					
1 General Revenue Fund	9,987,728	4,108,018	5,002,083	4,555,051	4,555,050
SUBTOTAL	\$9,987,728	\$4,108,018	\$5,002,083	\$4,555,051	\$4,555,050
General Revenue Dedicated Funds:					
5100 System Benefit Account	72,699,745	72,704,338	94,669,281	82,698,801	84,674,818
SUBTOTAL	\$72,699,745	\$72,704,338	\$94,669,281	\$82,698,801	\$84,674,818
Federal Funds:					
369 Fed Recovery & Reinvestment Fund	315,908	433,978	82,197	0	0
SUBTOTAL	\$315,908	\$433,978	\$82,197	\$0	\$0
Other Funds:					
666 Appropriated Receipts	543,764	475,000	475,000	475,000	475,000
777 Interagency Contracts	290,534	720,228	0	0	0
SUBTOTAL	\$834,298	\$1,195,228	\$475,000	\$475,000	\$475,000
TOTAL, METHOD OF FINANCING	\$83,837,679	\$78,441,562	\$100,228,561	\$87,728,852	\$89,704,868

2.A. Summary of Base Request by Strategy

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Goal / Objective / STRATEGY	Exp 2011	Est 2012	Bud 2013	Req 2014	Req 2015
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*Rider appropriations for the historical years are included in the strategy amounts.

2.B. Summary of Base Request by Method of Finance
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 Automated Budget and Evaluation System of Texas (ABEST)

8/16/2012 10:06:54AM

Agency code: **473** Agency name: **Public Utility Commission of Texas**

METHOD OF FINANCING	Exp 2011	Est 2012	Bud 2013	Req 2014	Req 2015
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GENERAL REVENUE

1 General Revenue Fund

REGULAR APPROPRIATIONS

Regular Appropriations from MOF Table (2010-11 GAA)

\$10,785,198	\$0	\$0	\$0	\$0
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Regular Appropriations from MOF Table (2012-13 GAA)

\$0	\$4,591,590	\$4,591,588	\$0	\$0
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Regular Appropriations Request (2014-15)

\$0	\$0	\$0	\$4,555,051	\$4,555,050
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RIDER APPROPRIATION

Art IX, Sec 17.01(a), Data Center-Reductions for Cost of Living Adjust

\$0	\$(30,247)	\$(36,384)	\$0	\$0
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Art IX, Sec 17.01(b), Data Center-Reductions for Administrative Rate C

\$0	\$(3,295)	\$(3,151)	\$0	\$0
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Art IX, Sec 14.03(j), Capital Budget UB (2010-11 GAA)

\$71,879	\$0	\$0	\$0	\$0
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2.B. Summary of Base Request by Method of Finance
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

8/16/2012 10:06:54AM

Agency code: 473	Agency name: Public Utility Commission of Texas				
METHOD OF FINANCING	Exp 2011	Est 2012	Bud 2013	Req 2014	Req 2015
<u>GENERAL REVENUE</u>					
Art IX, Sec 14.03(j), Capital Budget UB (2012-13 GAA)	\$0	\$(30,000)	\$30,000	\$0	\$0
Art VIII, pg 74, Rider 5 (2010-11 GAA)	\$281,266	\$0	\$0	\$0	\$0
Article IX, Sec. 18.15, Payments to DIR (2012-13 Biennium)	\$0	\$56,849	\$0	\$0	\$0
Art VIII, pg 62, Rider 5 (2012-13 GAA)	\$0	\$(420,030)	\$420,030	\$0	\$0
<i>SUPPLEMENTAL, SPECIAL OR EMERGENCY APPROPRIATIONS</i>					
HB 4, 82nd Leg, Regular Session, Sec 1(a) General Revenue Reductions.	\$(808,890)	\$0	\$0	\$0	\$0
<i>LAPSED APPROPRIATIONS</i>					
Article IX, Sec. 8.03, Reimbursements and Payments	\$(369)	\$0	\$0	\$0	\$0

2.B. Summary of Base Request by Method of Finance
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8/16/2012 10:06:54AM

Agency code: 473		Agency name: Public Utility Commission of Texas				
METHOD OF FINANCING		Exp 2011	Est 2012	Bud 2013	Req 2014	Req 2015
<u>GENERAL REVENUE</u>						
Article IX, Sec. 18.15, Payments to DIR (2012-13 Biennium)		\$0	\$(56,849)	\$0	\$0	\$0
Regular Appropriations from MOF Table (2010-11 GAA)		\$(341,356)	\$0	\$0	\$0	\$0
TOTAL,	General Revenue Fund	\$9,987,728	\$4,108,018	\$5,002,083	\$4,555,051	\$4,555,050
TOTAL, ALL	GENERAL REVENUE	\$9,987,728	\$4,108,018	\$5,002,083	\$4,555,051	\$4,555,050

GENERAL REVENUE FUND - DEDICATED

5100 GR Dedicated - System Benefit Account No. 5100

REGULAR APPROPRIATIONS

Regular Appropriations from MOF Table (2010-11 GAA)		\$135,246,066	\$0	\$0	\$0	\$0
Regular Appropriations from MOF Table (2012-13 GAA)		\$0	\$81,234,862	\$86,138,757	\$0	\$0

2.B. Summary of Base Request by Method of Finance
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Agency code: 473		Agency name: Public Utility Commission of Texas				
METHOD OF FINANCING		Exp 2011	Est 2012	Bud 2013	Req 2014	Req 2015
<u>GENERAL REVENUE FUND - DEDICATED</u>						
Regular Appropriations Request (2014-15)		\$0	\$0	\$0	\$82,698,801	\$84,674,818
<i>SUPPLEMENTAL, SPECIAL OR EMERGENCY APPROPRIATIONS</i>						
HB 4, 82nd Leg, Regular Session, Sec 1(d) General Revenue-Dedicated Re		\$(86,762,303)	\$0	\$0	\$0	\$0
<i>LAPSED APPROPRIATIONS</i>						
Regular Appropriations from MOF Table (2010-11 GAA)		\$(4,388,292)	\$0	\$0	\$0	\$0
<i>UNEXPENDED BALANCES AUTHORITY</i>						
Art VIII, pg 74, Rider 5 (2010-11 GAA)		\$28,604,274	\$0	\$0	\$0	\$0
Art VIII, pg 62, Rider 5 (2012-13 GAA)		\$0	\$(8,530,524)	\$8,530,524	\$0	\$0
TOTAL,	GR Dedicated - System Benefit Account No. 5100	\$72,699,745	\$72,704,338	\$94,669,281	\$82,698,801	\$84,674,818
TOTAL, ALL	GENERAL REVENUE FUND - DEDICATED	\$72,699,745	\$72,704,338	\$94,669,281	\$82,698,801	\$84,674,818

2.B. Summary of Base Request by Method of Finance
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Agency code: 473		Agency name: Public Utility Commission of Texas				
METHOD OF FINANCING		Exp 2011	Est 2012	Bud 2013	Req 2014	Req 2015
TOTAL,	GR & GR-DEDICATED FUNDS	\$82,687,473	\$76,812,356	\$99,671,364	\$87,253,852	\$89,229,868
<u>FEDERAL FUNDS</u>						
<u>369</u> Federal American Recovery and Reinvestment Fund						
<i>REGULAR APPROPRIATIONS</i>						
Regular Appropriations from MOF Table (2012-13 GAA)						
		\$0	\$541,666	\$82,197	\$0	\$0
<i>RIDER APPROPRIATION</i>						
Art IX, Sec 8.02, Federal Funds/Block Grants (2010-11 GAA)						
		\$315,908	\$0	\$0	\$0	\$0
<i>LAPSED APPROPRIATIONS</i>						
Regular Appropriations from MOF Table (2012-13 GAA)						
		\$0	\$(107,688)	\$0	\$0	\$0
TOTAL,	Federal American Recovery and Reinvestment Fund	\$315,908	\$433,978	\$82,197	\$0	\$0
TOTAL, ALL	FEDERAL FUNDS	\$315,908	\$433,978	\$82,197	\$0	\$0

OTHER FUNDS

2.B. Summary of Base Request by Method of Finance
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 Automated Budget and Evaluation System of Texas (ABEST)

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Agency code: 473		Agency name: Public Utility Commission of Texas				
METHOD OF FINANCING		Exp 2011	Est 2012	Bud 2013	Req 2014	Req 2015
<u>OTHER FUNDS</u>						
<u>666</u> Appropriated Receipts						
<i>REGULAR APPROPRIATIONS</i>						
Regular Appropriations from MOF Table (2010-11 GAA)						
		\$475,000	\$0	\$0	\$0	\$0
Regular Appropriations from MOF Table (2012-13 GAA)						
		\$0	\$475,000	\$475,000	\$0	\$0
Regular Appropriations Request (2014-15)						
		\$0	\$0	\$0	\$475,000	\$475,000
<i>RIDER APPROPRIATION</i>						
Art VIII, pg 74, Rider 5 (2010-11 GAA)						
		\$1,183	\$0	\$0	\$0	\$0
Art IX, Sec 8.03, Reimbursements and Payments (2010-11 GAA)						
		\$67,581	\$0	\$0	\$0	\$0
TOTAL,	Appropriated Receipts	\$543,764	\$475,000	\$475,000	\$475,000	\$475,000

2.B. Summary of Base Request by Method of Finance
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

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Agency code: 473		Agency name: Public Utility Commission of Texas				
METHOD OF FINANCING		Exp 2011	Est 2012	Bud 2013	Req 2014	Req 2015
<u>OTHER FUNDS</u>						
<u>777</u> Interagency Contracts						
<i>REGULAR APPROPRIATIONS</i>						
Regular Appropriations from MOF Table (2012-13 GAA)						
		\$0	\$720,228	\$0	\$0	\$0
<i>RIDER APPROPRIATION</i>						
Art IX, Sec 8.02, Federal Funds/Block Grants (2010-11 GAA)						
		\$290,534	\$0	\$0	\$0	\$0
TOTAL,	Interagency Contracts	\$290,534	\$720,228	\$0	\$0	\$0
TOTAL, ALL	OTHER FUNDS	\$834,298	\$1,195,228	\$475,000	\$475,000	\$475,000
GRAND TOTAL		\$83,837,679	\$78,441,562	\$100,228,561	\$87,728,852	\$89,704,868

2.C. Summary of Base Request by Object of Expense

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Automated Budget and Evaluation System of Texas (ABEST)

473 Public Utility Commission of Texas

OBJECT OF EXPENSE	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
1001 SALARIES AND WAGES	\$11,193,859	\$10,701,108	\$10,656,783	\$10,601,403	\$10,601,403
1002 OTHER PERSONNEL COSTS	\$404,747	\$478,981	\$405,782	\$390,000	\$390,000
2001 PROFESSIONAL FEES AND SERVICES	\$3,805,820	\$4,367,938	\$4,898,400	\$4,341,977	\$4,341,977
2003 CONSUMABLE SUPPLIES	\$59,856	\$60,000	\$30,000	\$50,000	\$50,000
2004 UTILITIES	\$25,945	\$24,700	\$12,000	\$13,000	\$13,000
2005 TRAVEL	\$64,216	\$93,138	\$54,125	\$65,000	\$65,000
2006 RENT - BUILDING	\$23,565	\$20,000	\$10,000	\$10,000	\$10,000
2007 RENT - MACHINE AND OTHER	\$401,698	\$224,000	\$220,000	\$220,000	\$220,000
2009 OTHER OPERATING EXPENSE	\$614,980	\$606,646	\$391,477	\$457,957	\$457,957
3001 CLIENT SERVICES	\$67,242,993	\$61,865,051	\$83,549,994	\$71,579,515	\$73,555,531
OOE Total (Excluding Riders)	\$83,837,679	\$78,441,562	\$100,228,561	\$87,728,852	\$89,704,868
OOE Total (Riders)					
Grand Total	\$83,837,679	\$78,441,562	\$100,228,561	\$87,728,852	\$89,704,868

2.D. Summary of Base Request Objective Outcomes
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

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473 Public Utility Commission of Texas

Goal/ Objective / Outcome	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
1 Ensure Competition, Choice, Just Rates, and Reliable Quality Service					
1 Maintain Policies to Foster Competition in Telecom & Elec Mkts					
KEY 1 % Tx Cities Srvd by 3 or More Certificated Telecommunication Providers					
	76.25%	78.13%	80.00%	80.00 %	80.00 %
2 % Comp Res Customers Served by More Than Five Electric Providers					
	99.73%	99.70%	99.70%	99.70 %	99.70 %
3 Percent of Goal Achieved for Renewable Energy					
	293.51%	245.00%	323.00%	352.00 %	340.00 %
KEY 4 Avg Price Elec/kWh in TX for Residential Customers As % of Nat'l Avg					
	104.61%	103.84%	103.07%	102.31 %	101.54 %
5 Avg Price Electricity/kWh for TX Commercial Customers As % Nat'l Avg					
	91.52%	92.94%	94.35%	95.76 %	97.17 %
6 Avg Price Electricity/kWh for TX Industrial Customers As % Nat'l Avg					
	102.01%	101.68%	101.34%	101.01 %	100.67 %
KEY 7 Avg Ann Resid Elec Bill from Competitive Suppliers as a % of Nat'l Avg					
	136.73%	131.12%	125.51%	121.26 %	117.71 %
8 Avg Price/kWh Offered on Power-to-Choose as % of Nat'l Avg					
	82.91%	86.32%	90.00%	90.00 %	90.00 %
2 Regulate Providers Ensuring Companies Meet Service Quality Standards					
KEY 1 Average Annual Residential Telephone Bill as a % of National Average					
	102.55%	102.13%	101.70%	101.28 %	100.85 %
2 Avg Price Elec/kWh for Res Cust from Reg Suppliers as % of Nat'l Avg					
	78.27%	81.59%	84.91%	87.42 %	89.52 %
3 Avg Price Elec/kWh for Comm Cust from Reg Suppliers as % of Nat'l Avg					
	73.25%	77.34%	81.42%	84.52 %	87.10 %
4 Average Annual Res Elec Bill from Reg Suppliers as % of Nat'l Avg					
	90.95%	92.34%	93.72%	94.76 %	95.64 %

2.D. Summary of Base Request Objective Outcomes
 83rd Regular Session, Agency Submission, Version 1
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473 Public Utility Commission of Texas

Goal/ Objective / Outcome	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
5 % of Subscribers Served by Exchanges Meeting Service Quality Standards	60.00%	80.00%	80.00%	80.00 %	80.00 %
6 % Electric Customers Served by TDUs Meeting Service Quality Standards	96.50%	96.50%	96.50%	96.50 %	96.50 %
<i>3 Ensure Compliance with Statutes, Rules, and Orders</i>					
1 % Agreements with Specific Provisions for Avoiding Future Violations	92.00%	93.50%	95.00%	97.00 %	98.00 %
2 Educate Customers and Assist Customers					
<i>2 Resolve Complaints Consistent w/Laws & PUC Rules & Orders</i>					
KEY 1 % Customer Complaints Resolved through Informal Resolution Process	99.71%	99.00%	99.00%	99.00 %	99.00 %
2 Credits & Refunds Obtained for Customers through Complaint Resolution	889,508.00	1,000,000.00	1,000,000.00	1,000,000.00	1,000,000.00
3 Electric Utility Restructuring					
<i>1 Provide Financial Assistance</i>					
KEY 1 % of Elig Low-income Customers Provided a Discount for Elec Service	96.69%	96.00%	96.00%	96.00 %	96.00 %

2.E. Summary of Exceptional Items Request
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/16/2012
 TIME : 10:06:55AM

Agency code: 473

Agency name: **Public Utility Commission of Texas**

Priority	Item	2014			2015			Biennium	
		GR and GR/GR Dedicated	All Funds	FTEs	GR and GR Dedicated	All Funds	FTEs	GR and GR Dedicated	All Funds
1	Increased DCS Project Costs	\$171,156	\$171,156		\$190,513	\$190,513		\$361,669	\$361,669
2	10 Percent Restoration	\$1,028,991	\$1,028,991	14.0	\$1,028,990	\$1,028,990	14.0	\$2,057,981	\$2,057,981
3	Increase FTE Cap by 4.0 FTEs	\$0	\$0	4.0	\$0	\$0	4.0	\$0	\$0
Total, Exceptional Items Request		\$1,200,147	\$1,200,147	18.0	\$1,219,503	\$1,219,503	18.0	\$2,419,650	\$2,419,650

Method of Financing

General Revenue	\$171,156	\$171,156		\$190,513	\$190,513		\$361,669	\$361,669
General Revenue - Dedicated	1,028,991	1,028,991		1,028,990	1,028,990		2,057,981	2,057,981
Federal Funds								
Other Funds								
	\$1,200,147	\$1,200,147		\$1,219,503	\$1,219,503		\$2,419,650	\$2,419,650

Full Time Equivalent Positions

18.0

18.0

Number of 100% Federally Funded FTEs

0.0

0.0

2.F. Summary of Total Request by Strategy
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 8/16/2012
 TIME : 10:06:55AM

Agency code: 473 Agency name: Public Utility Commission of Texas

Goal/Objective/STRATEGY	Base 2014	Base 2015	Exceptional 2014	Exceptional 2015	Total Request 2014	Total Request 2015
1 Ensure Competition, Choice, Just Rates, and Reliable Quality Service						
<i>1 Maintain Policies to Foster Competition in Telecom & Elec Mkts</i>						
1 MARKET COMPETITION	\$3,888,156	\$3,888,156	\$362,835	\$368,449	\$4,250,991	\$4,256,605
<i>2 Regulate Providers Ensuring Companies Meet Service Quality Stand</i>						
1 UTILITY REGULATION	3,743,088	3,743,088	216,274	221,694	3,959,362	3,964,782
<i>3 Ensure Compliance with Statutes, Rules, and Orders</i>						
1 INVESTIGATION AND ENFORCEMENT	1,874,974	1,874,974	355,962	358,672	2,230,936	2,233,646
TOTAL, GOAL 1	\$9,506,218	\$9,506,218	\$935,071	\$948,815	\$10,441,289	\$10,455,033
2 Educate Customers and Assist Customers						
<i>1 Inform Customers of Choices & Rights & Facilitate Information Acce</i>						
1 PROVIDE FACTS ABOUT CHANGES	669,144	669,144	107,710	108,871	776,854	778,015
<i>2 Resolve Complaints Consistent w/Laws & PUC Rules & Orders</i>						
1 ASSIST CUSTOMERS	801,600	801,600	126,558	127,526	928,158	929,126
TOTAL, GOAL 2	\$1,470,744	\$1,470,744	\$234,268	\$236,397	\$1,705,012	\$1,707,141
3 Electric Utility Restructuring						
<i>1 Provide Financial Assistance</i>						
1 ENERGY ASSISTANCE	75,099,515	77,075,531	0	0	75,099,515	77,075,531
2 CONSUMER EDUCATION	750,000	750,000	0	0	750,000	750,000
TOTAL, GOAL 3	\$75,849,515	\$77,825,531	\$0	\$0	\$75,849,515	\$77,825,531

2.F. Summary of Total Request by Strategy
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 8/16/2012

TIME : 10:06:55AM

Agency code: 473 Agency name: Public Utility Commission of Texas

Goal/Objective/STRATEGY	Base 2014	Base 2015	Exceptional 2014	Exceptional 2015	Total Request 2014	Total Request 2015
4 Indirect Administration						
1 Indirect Administration						
1 CENTRAL ADMINISTRATION	\$601,583	\$601,583	\$20,539	\$22,862	\$622,122	\$624,445
2 INFORMATION RESOURCES	250,660	250,660	8,558	9,525	259,218	260,185
3 OTHER SUPPORT SERVICES	50,132	50,132	1,711	1,904	51,843	52,036
TOTAL, GOAL 4	\$902,375	\$902,375	\$30,808	\$34,291	\$933,183	\$936,666
TOTAL, AGENCY STRATEGY REQUEST	\$87,728,852	\$89,704,868	\$1,200,147	\$1,219,503	\$88,928,999	\$90,924,371
TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST						
GRAND TOTAL, AGENCY REQUEST	\$87,728,852	\$89,704,868	\$1,200,147	\$1,219,503	\$88,928,999	\$90,924,371

2.F. Summary of Total Request by Strategy
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 8/16/2012
 TIME : 10:06:55AM

Agency code: 473 Agency name: Public Utility Commission of Texas

Goal/Objective/STRATEGY	Base 2014	Base 2015	Exceptional 2014	Exceptional 2015	Total Request 2014	Total Request 2015
General Revenue Funds:						
1 General Revenue Fund	\$4,555,051	\$4,555,050	\$171,156	\$190,513	\$4,726,207	\$4,745,563
	\$4,555,051	\$4,555,050	\$171,156	\$190,513	\$4,726,207	\$4,745,563
General Revenue Dedicated Funds:						
5100 System Benefit Account	82,698,801	84,674,818	1,028,991	1,028,990	83,727,792	85,703,808
	\$82,698,801	\$84,674,818	\$1,028,991	\$1,028,990	\$83,727,792	\$85,703,808
Federal Funds:						
369 Fed Recovery & Reinvestment Fund	0	0	0	0	0	0
	\$0	\$0	\$0	\$0	\$0	\$0
Other Funds:						
666 Appropriated Receipts	475,000	475,000	0	0	475,000	475,000
777 Interagency Contracts	0	0	0	0	0	0
	\$475,000	\$475,000	\$0	\$0	\$475,000	\$475,000
TOTAL, METHOD OF FINANCING	\$87,728,852	\$89,704,868	\$1,200,147	\$1,219,503	\$88,928,999	\$90,924,371
FULL TIME EQUIVALENT POSITIONS	167.0	167.0	18.0	18.0	185.0	185.0

2.G. Summary of Total Request Objective Outcomes
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 8/16/2012
 Time: 10:06:55AM

Agency code: 473 Agency name: Public Utility Commission of Texas

Goal/ Objective / Outcome

	BL 2014	BL 2015	Excp 2014	Excp 2015	Total Request 2014	Total Request 2015
1	Ensure Competition, Choice, Just Rates, and Reliable Quality Service					
1	<i>Maintain Policies to Foster Competition in Telecom & Elec Mkts</i>					
KEY	1 % Tx Cities Srvd by 3 or More Certificated Telecommunication Providers					
	80.00%	80.00%			80.00%	80.00 %
	2 % Comp Res Customers Served by More Than Five Electric Providers					
	99.70%	99.70%			99.70%	99.70 %
	3 Percent of Goal Achieved for Renewable Energy					
	352.00%	340.00%			352.00%	340.00 %
KEY	4 Avg Price Elec/kWh in TX for Residential Customers As % of Nat'l Avg					
	102.31%	101.54%			102.31%	101.54 %
	5 Avg Price Electricity/kWh for TX Commercial Customers As % Nat'l Avg					
	95.76%	97.17%			95.76%	97.17 %
	6 Avg Price Electricity/kWh for TX Industrial Customers As % Nat'l Avg					
	101.01%	100.67%			101.01%	100.67 %
KEY	7 Avg Ann Resid Elec Bill from Competitive Suppliers as a % of Nat'l Avg					
	121.26%	117.71%			121.26%	117.71 %
	8 Avg Price/kWh Offered on Power-to-Choose as % of Nat'l Avg					
	90.00%	90.00%			90.00%	90.00 %
2	<i>Regulate Providers Ensuring Companies Meet Service Quality Standards</i>					

2.G. Summary of Total Request Objective Outcomes
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 8/16/2012
 Time: 10:06:55AM

Agency code: 473 Agency name: Public Utility Commission of Texas

Goal/ Objective / Outcome

	BL 2014	BL 2015	Excp 2014	Excp 2015	Total Request 2014	Total Request 2015
KEY						
1 Average Annual Residential Telephone Bill as a % of National Average	101.28%	100.85%			101.28%	100.85 %
2 Avg Price Elec/kWh for Res Cust from Reg Suppliers as % of Nat'l Avg	87.42%	89.52%			87.42%	89.52 %
3 Avg Price Elec/kWh for Comm Cust from Reg Suppliers as % of Nat'l Avg	84.52%	87.10%			84.52%	87.10 %
4 Average Annual Res Elec Bill from Reg Suppliers as % of Nat'l Avg	94.76%	95.64%			94.76%	95.64 %
5 % of Subscribers Served by Exchanges Meeting Service Quality Standards	80.00%	80.00%			80.00%	80.00 %
6 % Electric Customers Served by TDUs Meeting Service Quality Standards	96.50%	96.50%			96.50%	96.50 %
3 <i>Ensure Compliance with Statutes, Rules, and Orders</i>						
1 % Agreements with Specific Provisions for Avoiding Future Violations	97.00%	98.00%			97.00%	98.00 %
2 Educate Customers and Assist Customers						
2 <i>Resolve Complaints Consistent w/Laws & PUC Rules & Orders</i>						
KEY						
1 % Customer Complaints Resolved through Informal Resolution Process	99.00%	99.00%			99.00%	99.00 %

2.G. Summary of Total Request Objective Outcomes
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 8/16/2012
 Time: 10:06:55AM

Agency code: 473 Agency name: Public Utility Commission of Texas

Goal/ Objective / Outcome

	BL 2014	BL 2015	Excp 2014	Excp 2015	Total Request 2014	Total Request 2015
2 Credits & Refunds Obtained for Customers through Complaint Resolution						
	1,000,000.00	1,000,000.00	1,300,000.00	1,300,000.00	1,300,000.00	1,300,000.00
3	Electric Utility Restructuring					
1	Provide Financial Assistance					
KEY	1 % of Elig Low-income Customers Provided a Discount for Elec Service					
	96.00%	96.00%			96.00%	96.00 %

473 Public Utility Commission of Texas

GOAL:	1	Ensure Competition, Choice, Just Rates, and Reliable Quality Service	Statewide Goal/Benchmark:	7	8
OBJECTIVE:	1	Maintain Policies to Foster Competition in Telecom & Elec Mkts	Service Categories:		
STRATEGY:	1	Foster and Monitor Market Competition	Service:	17	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
Output Measures:						
	1 Number of Retail Electric Providers Registered	165.00	120.00	120.00	120.00	120.00
KEY	2 Number of Wholesale Electric Market Investigations Completed	8.00	8.00	8.00	8.00	8.00
KEY	3 Number of Cases Completed Related to Competition Among Providers	308.00	265.00	300.00	300.00	300.00
Efficiency Measures:						
KEY	1 Avg # of Days to Process an Application for a Telecom COA & SPCOA	41.00	46.00	45.00	45.00	45.00
Explanatory/Input Measures:						
	1 # of Elect Coops and Municipal Utilities Reg for Wholesale Trans Rate	38.00	38.00	38.00	38.00	38.00
	2 % of Statewide Electric Generating Capacity Above Peak Demand in ERCOT	15.17 %	17.74 %	14.30 %	9.80 %	6.90 %
	3 Energy Savings Due to Energy Efficiency Programs	233.00 %	197.00 %	189.00 %	181.00 %	173.00 %
	4 Demand Reductions Due to Energy Efficiency Programs	245.00 %	320.00 %	269.00 %	269.00 %	269.00 %
	5 Number of Power Generation Companies in Texas	211.00	261.00	261.00	261.00	261.00
	6 Number of Aggregators in Texas	275.00	247.00	247.00	247.00	247.00

473 Public Utility Commission of Texas

GOAL: 1 Ensure Competition, Choice, Just Rates, and Reliable Quality Service Statewide Goal/Benchmark: 7 8
 OBJECTIVE: 1 Maintain Policies to Foster Competition in Telecom & Elec Mkts Service Categories:
 STRATEGY: 1 Foster and Monitor Market Competition Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
7	Average Cost of Natural Gas in Texas as a Percent of 2001 Avg Costs	101.51 %	100.00 %	100.00 %	100.00 %	100.00 %
8	Number of Applications & Amendments for Cable Franchise Certificates	83.00	83.00	83.00	83.00	83.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$3,423,246	\$3,641,435	\$3,489,036	\$3,433,656	\$3,433,656
1002	OTHER PERSONNEL COSTS	\$117,377	\$118,966	\$133,298	\$117,516	\$117,516
2001	PROFESSIONAL FEES AND SERVICES	\$108,574	\$128,216	\$257,636	\$95,815	\$95,815
2003	CONSUMABLE SUPPLIES	\$17,334	\$17,400	\$8,700	\$14,500	\$14,500
2004	UTILITIES	\$7,524	\$7,163	\$3,480	\$3,770	\$3,770
2005	TRAVEL	\$19,879	\$37,061	\$18,625	\$18,850	\$18,850
2006	RENT - BUILDING	\$4,108	\$5,800	\$2,900	\$2,900	\$2,900
2007	RENT - MACHINE AND OTHER	\$116,492	\$64,960	\$63,800	\$63,800	\$63,800
2009	OTHER OPERATING EXPENSE	\$175,181	\$199,356	\$118,434	\$137,349	\$137,349
TOTAL, OBJECT OF EXPENSE		\$3,989,715	\$4,220,357	\$4,095,909	\$3,888,156	\$3,888,156
Method of Financing:						
1	General Revenue Fund	\$2,896,441	\$1,191,325	\$1,458,488	\$1,332,933	\$1,332,932

473 Public Utility Commission of Texas

GOAL: 1 Ensure Competition, Choice, Just Rates, and Reliable Quality Service Statewide Goal/Benchmark: 7 8
 OBJECTIVE: 1 Maintain Policies to Foster Competition in Telecom & Elec Mkts Service Categories:
 STRATEGY: 1 Foster and Monitor Market Competition Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$2,896,441	\$1,191,325	\$1,458,488	\$1,332,933	\$1,332,932
Method of Financing:						
5100	System Benefit Account	\$619,674	\$2,457,304	\$2,417,474	\$2,417,473	\$2,417,474
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$619,674	\$2,457,304	\$2,417,474	\$2,417,473	\$2,417,474
Method of Financing:						
369	Fed Recovery & Reinvestment Fund					
	81.122.000 Elctrety Dlvry & Rliblty-Stimulus	\$315,908	\$433,978	\$82,197	\$0	\$0
CFDA Subtotal, Fund	369	\$315,908	\$433,978	\$82,197	\$0	\$0
SUBTOTAL, MOF (FEDERAL FUNDS)		\$315,908	\$433,978	\$82,197	\$0	\$0
Method of Financing:						
666	Appropriated Receipts	\$157,692	\$137,750	\$137,750	\$137,750	\$137,750
SUBTOTAL, MOF (OTHER FUNDS)		\$157,692	\$137,750	\$137,750	\$137,750	\$137,750

473 Public Utility Commission of Texas

GOAL:	1	Ensure Competition, Choice, Just Rates, and Reliable Quality Service	Statewide Goal/Benchmark:	7	8
OBJECTIVE:	1	Maintain Policies to Foster Competition in Telecom & Elec Mkts	Service Categories:		
STRATEGY:	1	Foster and Monitor Market Competition	Service:	17	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$3,888,156	\$3,888,156
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$3,989,715	\$4,220,357	\$4,095,909	\$3,888,156	\$3,888,156
FULL TIME EQUIVALENT POSITIONS:		55.9	57.4	56.1	54.1	54.1

STRATEGY DESCRIPTION AND JUSTIFICATION:

Public Utility Regulatory Act (PURA) Chapters 39, 52, 54, 60, and 65 require the Public Utility Commission (PUC) to oversee competitive electric and telecom markets and contain provisions establishing ongoing specific responsibilities. Key activities are evaluating the design and operation of competitive wholesale and retail markets, including generation adequacy; identifying and implementing improvements in market design and operations through contested cases and rulemakings; overseeing requirements related to renewable energy and energy efficiency; licensing market participants; ruling on petitions to deregulate telecommunications markets; and resolving disputes among market participants.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

External factors such as changes in state and federal law, environmental regulations, federal incentives, natural gas prices, and overall economic conditions can affect the functioning of competitive markets and therefore the amount of resources which must be dedicated to this strategy. The number and timing of filings made by market participants, and the complexity and duration of contested case proceedings are mostly outside the control of the PUC. Internal factors affecting this strategy include difficulty recruiting and retaining employees with the necessary training and experience to oversee competitive markets.

473 Public Utility Commission of Texas

GOAL: 1 Ensure Competition, Choice, Just Rates, and Reliable Quality Service Statewide Goal/Benchmark: 7 8
 OBJECTIVE: 2 Regulate Providers Ensuring Companies Meet Service Quality Standards Service Categories:
 STRATEGY: 1 Conduct Rate Cases for Regulated Telephone and Electric Utilities Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
Output Measures:						
KEY 1	Number of Rate Cases Completed for Regulated Electric Utilities	50.00	50.00	50.00	50.00	50.00
KEY 2	Number of Rate Cases Completed for Telecommunications Providers	8.00	3.00	5.00	5.00	5.00
	3 Number of Investigations Completed Concerning Telecom Service Quality	0.00	1.00	1.00	1.00	1.00
	4 Number of Investigations Completed Concerning Electric Service Quality	7.00	7.00	7.00	7.00	7.00
Efficiency Measures:						
KEY 1	Average Number of Days to Process a Major Rate Case for TDU	270.00	220.00	220.00	220.00	220.00
Explanatory/Input Measures:						
	1 Number of Electric Utilities Regulated	10.00	10.00	10.00	10.00	10.00
	2 Number of Telecommunications Service Providers Regulated	63.00	63.00	63.00	63.00	63.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$3,261,009	\$3,440,403	\$3,309,868	\$3,309,868	\$3,309,868
1002	OTHER PERSONNEL COSTS	\$113,329	\$198,790	\$113,409	\$113,409	\$113,409

473 Public Utility Commission of Texas

GOAL: 1 Ensure Competition, Choice, Just Rates, and Reliable Quality Service Statewide Goal/Benchmark: 7 8
 OBJECTIVE: 2 Regulate Providers Ensuring Companies Meet Service Quality Standards Service Categories:
 STRATEGY: 1 Conduct Rate Cases for Regulated Telephone and Electric Utilities Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
2001	PROFESSIONAL FEES AND SERVICES	\$117,330	\$376,088	\$248,752	\$92,511	\$92,511
2003	CONSUMABLE SUPPLIES	\$16,736	\$16,800	\$8,400	\$14,000	\$14,000
2004	UTILITIES	\$7,265	\$6,916	\$3,360	\$3,640	\$3,640
2005	TRAVEL	\$34,307	\$28,127	\$14,000	\$18,200	\$18,200
2006	RENT - BUILDING	\$3,966	\$5,600	\$2,800	\$2,800	\$2,800
2007	RENT - MACHINE AND OTHER	\$112,475	\$62,720	\$61,600	\$61,600	\$61,600
2009	OTHER OPERATING EXPENSE	\$167,916	\$186,397	\$107,679	\$127,060	\$127,060
TOTAL, OBJECT OF EXPENSE		\$3,834,333	\$4,321,841	\$3,869,868	\$3,743,088	\$3,743,088
Method of Financing:						
1	General Revenue Fund	\$2,796,564	\$1,150,245	\$1,397,474	\$1,270,694	\$1,270,694
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$2,796,564	\$1,150,245	\$1,397,474	\$1,270,694	\$1,270,694
Method of Financing:						
5100	System Benefit Account	\$594,982	\$2,318,368	\$2,339,394	\$2,339,394	\$2,339,394
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$594,982	\$2,318,368	\$2,339,394	\$2,339,394	\$2,339,394
Method of Financing:						
666	Appropriated Receipts	\$152,253	\$133,000	\$133,000	\$133,000	\$133,000

473 Public Utility Commission of Texas

GOAL:	1	Ensure Competition, Choice, Just Rates, and Reliable Quality Service	Statewide Goal/Benchmark:	7	8
OBJECTIVE:	2	Regulate Providers Ensuring Companies Meet Service Quality Standards	Service Categories:		
STRATEGY:	1	Conduct Rate Cases for Regulated Telephone and Electric Utilities	Service:	17	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
777	Interagency Contracts	\$290,534	\$720,228	\$0	\$0	\$0
SUBTOTAL, MOF (OTHER FUNDS)		\$442,787	\$853,228	\$133,000	\$133,000	\$133,000
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$3,743,088	\$3,743,088
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$3,834,333	\$4,321,841	\$3,869,868	\$3,743,088	\$3,743,088
FULL TIME EQUIVALENT POSITIONS:		53.0	54.3	53.0	52.2	52.2

STRATEGY DESCRIPTION AND JUSTIFICATION:

The PUC has responsibility for ensuring that customers receive high quality service at just and reasonable rates. The PUC regulates rates and services in both the telecommunications and electric industries. PURA Chapter 36 provides for electric rate regulation. PURA Chapter 38 provides for regulation of service quality. Within the Electric Reliability Council of Texas (ERCOT), the PUC regulates the rates and service quality of transmission and distribution utilities, and the rates of wholesale transmission providers. Areas outside ERCOT are not open to retail competition and the PUC continues to be responsible for rate and service quality regulation for integrated utilities. The PUC is also responsible for licensing transmission facilities throughout the state under PURA Chapter 37. PURA Chapter 53 provides for rate regulation of local exchange providers. Regulated telecom providers may elect incentive regulation under PURA Chapters 58 and 59, which limits PUC jurisdiction over rates and services. PURA Chapter 65 provides for deregulation of telecom exchanges based on adequate development of competitive markets, which has resulted in a decrease in PUC regulation of the telecommunications industry. Functions related to emergency response and Homeland Security are also funded by this strategy.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

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GOAL:	1	Ensure Competition, Choice, Just Rates, and Reliable Quality Service	Statewide Goal/Benchmark:	7	8
OBJECTIVE:	2	Regulate Providers Ensuring Companies Meet Service Quality Standards	Service Categories:		
STRATEGY:	1	Conduct Rate Cases for Regulated Telephone and Electric Utilities	Service:	17	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
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The number and timing of filings made by companies seeking necessary regulatory approvals, and the complexity and duration of contested case proceedings are mostly outside the control of the PUC. Natural disasters and other emergencies can affect the amount of resources dedicated to this strategy.

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GOAL: 1 Ensure Competition, Choice, Just Rates, and Reliable Quality Service Statewide Goal/Benchmark: 7 8
 OBJECTIVE: 3 Ensure Compliance with Statutes, Rules, and Orders Service Categories:
 STRATEGY: 1 Conduct Investigations and Initiate Enforcement Actions Service: 17 Income: NA Age: NA

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
Output Measures:						
1	Number of Enforcement Investigations Conducted	45.00	45.00	45.00	45.00	45.00
Explanatory/Input Measures:						
1	Dollar Amount Administrative Penalties Assessed for Violations	1,714,250.00	1,700,000.00	1,700,000.00	1,700,000.00	1,700,000.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$1,508,691	\$1,576,222	\$1,658,330	\$1,658,330	\$1,658,330
1002	OTHER PERSONNEL COSTS	\$56,665	\$57,439	\$56,739	\$56,739	\$56,739
2001	PROFESSIONAL FEES AND SERVICES	\$52,415	\$43,044	\$124,376	\$46,255	\$46,255
2003	CONSUMABLE SUPPLIES	\$8,368	\$8,400	\$4,200	\$7,000	\$7,000
2004	UTILITIES	\$3,632	\$3,458	\$1,680	\$1,820	\$1,820
2005	TRAVEL	\$3,266	\$9,100	\$7,000	\$9,100	\$9,100
2006	RENT - BUILDING	\$1,983	\$2,800	\$1,400	\$1,400	\$1,400
2007	RENT - MACHINE AND OTHER	\$56,238	\$31,360	\$30,800	\$30,800	\$30,800
2009	OTHER OPERATING EXPENSE	\$81,514	\$72,384	\$53,839	\$63,530	\$63,530
TOTAL, OBJECT OF EXPENSE		\$1,772,772	\$1,804,207	\$1,938,364	\$1,874,974	\$1,874,974

Method of Financing:

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GOAL:	1	Ensure Competition, Choice, Just Rates, and Reliable Quality Service	Statewide Goal/Benchmark:	7	8
OBJECTIVE:	3	Ensure Compliance with Statutes, Rules, and Orders	Service Categories:		
STRATEGY:	1	Conduct Investigations and Initiate Enforcement Actions	Service:	17	Income: NA Age: NA

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
1	General Revenue Fund	\$1,398,282	\$575,123	\$698,737	\$635,347	\$635,347
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$1,398,282	\$575,123	\$698,737	\$635,347	\$635,347
Method of Financing:						
5100	System Benefit Account	\$298,363	\$1,162,584	\$1,173,127	\$1,173,127	\$1,173,127
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$298,363	\$1,162,584	\$1,173,127	\$1,173,127	\$1,173,127
Method of Financing:						
666	Appropriated Receipts	\$76,127	\$66,500	\$66,500	\$66,500	\$66,500
SUBTOTAL, MOF (OTHER FUNDS)		\$76,127	\$66,500	\$66,500	\$66,500	\$66,500
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$1,874,974	\$1,874,974
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$1,772,772	\$1,804,207	\$1,938,364	\$1,874,974	\$1,874,974
FULL TIME EQUIVALENT POSITIONS:		24.5	24.9	26.6	26.1	26.1
STRATEGY DESCRIPTION AND JUSTIFICATION:						

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GOAL:	1	Ensure Competition, Choice, Just Rates, and Reliable Quality Service	Statewide Goal/Benchmark:	7	8
OBJECTIVE:	3	Ensure Compliance with Statutes, Rules, and Orders	Service Categories:		
STRATEGY:	1	Conduct Investigations and Initiate Enforcement Actions	Service:	17	Income: NA Age: NA

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
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PURA §15.023 provides that the PUC may impose administrative penalties for violations of PURA or a PUC rule or order. Ensuring compliance is essential to proper functioning of competitive markets so that customers receive the benefits of competition. Ensuring that regulated utilities comply with service quality standards and tariffs results in customers receiving high quality service at reasonable rates. Functions under this strategy include investigating possible instances of noncompliance, issuing notices of violations, participating in contested case hearings, and assessment of penalties when violations are found.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The PUC has some ability to control the resources dedicated to this strategy because the agency must initiate and prosecute cases based on alleged violations. However, the degree to which service providers comply with laws, and the complexity and duration of contested case proceedings are mostly outside the control of the PUC.

473 Public Utility Commission of Texas

GOAL: 2 Educate Customers and Assist Customers Statewide Goal/Benchmark: 7 8
 OBJECTIVE: 1 Inform Customers of Choices & Rights & Facilitate Information Access Service Categories:
 STRATEGY: 1 Provide Information about Changes in Electric and Telecom Industries Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
Output Measures:						
KEY 1	Number of Information Requests to Which Responses Were Provided	94,848.00	75,000.00	75,000.00	75,000.00	75,000.00
2	Number of Customer Information Products Distributed	550,573.00	600,000.00	600,000.00	600,000.00	600,000.00
Efficiency Measures:						
KEY 1	% Customer Information Product Distributed Electronically	86.42 %	80.00 %	80.00 %	80.00 %	80.00 %
Explanatory/Input Measures:						
KEY 1	Number of Website Hits to Customer Protection Home Page	281,674.00	283,000.00	284,350.00	285,700.00	287,000.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$538,694	\$562,456	\$591,775	\$591,775	\$591,775
1002	OTHER PERSONNEL COSTS	\$20,237	\$20,509	\$20,259	\$20,259	\$20,259
2001	PROFESSIONAL FEES AND SERVICES	\$18,720	\$16,800	\$44,420	\$18,100	\$18,100
2003	CONSUMABLE SUPPLIES	\$2,989	\$3,000	\$1,500	\$2,500	\$2,500
2004	UTILITIES	\$1,297	\$1,235	\$600	\$650	\$650
2005	TRAVEL	\$1,166	\$3,250	\$2,500	\$3,250	\$3,250
2006	RENT - BUILDING	\$708	\$1,000	\$500	\$500	\$500

473 Public Utility Commission of Texas

GOAL: 2 Educate Customers and Assist Customers Statewide Goal/Benchmark: 7 8
 OBJECTIVE: 1 Inform Customers of Choices & Rights & Facilitate Information Access Service Categories:
 STRATEGY: 1 Provide Information about Changes in Electric and Telecom Industries Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
2007	RENT - MACHINE AND OTHER	\$20,085	\$11,200	\$11,000	\$11,000	\$11,000
2009	OTHER OPERATING EXPENSE	\$29,112	\$24,424	\$19,229	\$21,110	\$21,110
TOTAL, OBJECT OF EXPENSE		\$633,008	\$643,874	\$691,783	\$669,144	\$669,144
Method of Financing:						
1	General Revenue Fund	\$499,386	\$205,401	\$249,549	\$226,910	\$226,910
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$499,386	\$205,401	\$249,549	\$226,910	\$226,910
Method of Financing:						
5100	System Benefit Account	\$106,434	\$414,723	\$418,484	\$418,484	\$418,484
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$106,434	\$414,723	\$418,484	\$418,484	\$418,484
Method of Financing:						
666	Appropriated Receipts	\$27,188	\$23,750	\$23,750	\$23,750	\$23,750
SUBTOTAL, MOF (OTHER FUNDS)		\$27,188	\$23,750	\$23,750	\$23,750	\$23,750

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GOAL:	2	Educate Customers and Assist Customers	Statewide Goal/Benchmark:	7	8
OBJECTIVE:	1	Inform Customers of Choices & Rights & Facilitate Information Access	Service Categories:		
STRATEGY:	1	Provide Information about Changes in Electric and Telecom Industries	Service:	17	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$669,144	\$669,144
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$633,008	\$643,874	\$691,783	\$669,144	\$669,144
FULL TIME EQUIVALENT POSITIONS:		8.7	8.9	9.4	9.3	9.3

STRATEGY DESCRIPTION AND JUSTIFICATION:

PURA§17.003 requires the PUC to promote awareness of changes in electric and telecom markets, provide customers with information necessary to make informed choices, and ensure that customers have an adequate understanding of their rights. These education efforts must be conducted in English, Spanish, and other languages as necessary. Providing information assists utility customers who purchase services in competitive markets in selecting providers and choosing services and rate plans that are appropriate for the customers' needs. Customers who are served by companies that remain regulated need information to assist them in understanding their bills, and understanding issues that relate to service quality, such as tree trimming. The PUC provides information using a toll-free customer hot line; postings on the PUC's Web page; printed materials; walk-in, Internet and on-site electronic access to all documents filed in the PUC's Central Records; and responses to written inquiries, including requests under the Public Information Act.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The PUC has some ability to control the level of effort devoted to providing information to customers. The PUC's call center function is included in this strategy, and the agency has no ability to control the number of persons who call the PUC seeking information or assistance. Similarly, the PUC cannot control the number or scope of Public Information Act requests which are received.

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GOAL:	2	Educate Customers and Assist Customers	Statewide Goal/Benchmark:	7	8
OBJECTIVE:	2	Resolve Complaints Consistent w/Laws & PUC Rules & Orders	Service Categories:		
STRATEGY:	1	Assist Customers in Resolving Disputes	Service:	17	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
Output Measures:						
KEY 1	Number of Customer Complaints Concluded	10,012.00	10,000.00	10,000.00	10,000.00	10,000.00
Efficiency Measures:						
KEY 1	Average Number of Days to Conclude Customer Complaints	15.00	20.00	20.00	20.00	20.00
Explanatory/Input Measures:						
1	Number Complaints Received for Unauthorized Changes in Service	1,764.00	1,700.00	1,700.00	1,700.00	1,700.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$646,083	\$673,601	\$708,772	\$708,772	\$708,772
1002	OTHER PERSONNEL COSTS	\$24,285	\$24,597	\$24,297	\$24,297	\$24,297
2001	PROFESSIONAL FEES AND SERVICES	\$22,464	\$18,447	\$53,304	\$19,824	\$19,824
2003	CONSUMABLE SUPPLIES	\$3,586	\$3,600	\$1,800	\$3,000	\$3,000
2004	UTILITIES	\$1,557	\$1,482	\$720	\$780	\$780
2005	TRAVEL	\$1,400	\$3,900	\$3,000	\$3,900	\$3,900
2006	RENT - BUILDING	\$850	\$1,200	\$600	\$600	\$600
2007	RENT - MACHINE AND OTHER	\$24,102	\$13,440	\$13,200	\$13,200	\$13,200
2009	OTHER OPERATING EXPENSE	\$34,934	\$31,022	\$23,074	\$27,227	\$27,227

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GOAL:	2	Educate Customers and Assist Customers	Statewide Goal/Benchmark:	7	8
OBJECTIVE:	2	Resolve Complaints Consistent w/Laws & PUC Rules & Orders	Service Categories:		
STRATEGY:	1	Assist Customers in Resolving Disputes	Service:	17	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
TOTAL, OBJECT OF EXPENSE		\$759,261	\$771,289	\$828,767	\$801,600	\$801,600
Method of Financing:						
1	General Revenue Fund	\$599,264	\$246,481	\$299,459	\$272,292	\$272,292
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$599,264	\$246,481	\$299,459	\$272,292	\$272,292
Method of Financing:						
5100	System Benefit Account	\$127,371	\$496,308	\$500,808	\$500,808	\$500,808
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$127,371	\$496,308	\$500,808	\$500,808	\$500,808
Method of Financing:						
666	Appropriated Receipts	\$32,626	\$28,500	\$28,500	\$28,500	\$28,500
SUBTOTAL, MOF (OTHER FUNDS)		\$32,626	\$28,500	\$28,500	\$28,500	\$28,500
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$801,600	\$801,600
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$759,261	\$771,289	\$828,767	\$801,600	\$801,600
FULL TIME EQUIVALENT POSITIONS:		10.5	10.6	11.3	11.2	11.2

473 Public Utility Commission of Texas

GOAL:	2	Educate Customers and Assist Customers	Statewide Goal/Benchmark:	7	8
OBJECTIVE:	2	Resolve Complaints Consistent w/Laws & PUC Rules & Orders	Service Categories:		
STRATEGY:	1	Assist Customers in Resolving Disputes	Service:	17	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
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STRATEGY DESCRIPTION AND JUSTIFICATION:

PURA §15.051 provides that an affected person may complain to the PUC about a public utility alleged to be in violation of a statute, rule or order, and the PUC is required to maintain certain records concerning complaints that are received. PURA §17.157 authorizes the PUC to resolve disputes between customers and service providers. PURA §§17.102 and 39.101 require the PUC to adopt and enforce rules providing specific customer protections. The PUC assists customers in resolving disputes with their electric and telecommunications service providers by investigating complaints about alleged wrong-doings, making informal determinations about whether certain activities appear to comply with applicable statutes and rules, and recommending corrective actions where appropriate. Customers may file complaints by letter, fax, telephone, appearing in person, or Internet submission. Records are maintained in a database which is capable of producing a variety of reports summarizing data about complaints received.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The PUC has no ability to control the number of persons who seek help with informal resolution of disputes by filing complaints about service providers. Factors such as extreme weather and natural gas prices can affect utility costs for consumers and result in more complaints about billing and service.

473 Public Utility Commission of Texas

GOAL: 3 Electric Utility Restructuring Statewide Goal/Benchmark: 7 8
 OBJECTIVE: 1 Provide Financial Assistance Service Categories:
 STRATEGY: 1 Energy Assistance. Nontransferable Service: 17 Income: A.1 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
Efficiency Measures:						
	1 % Low-Incom-Discount Program Participants Who Automatically Enroll	84.81 %	86.00 %	86.00 %	86.00 %	86.00 %
	2 Average Number of Days for Retail Electric Provider Reimbursement	2.11	3.00	3.00	3.00	3.00
Explanatory/Input Measures:						
	1 Total # of Low-Income-Discount Participants	915,281.00	920,000.00	924,600.00	930,000.00	934,650.00
Objects of Expense:						
	2001 PROFESSIONAL FEES AND SERVICES	\$3,418,926	\$3,240,000	\$3,520,000	\$3,520,000	\$3,520,000
	3001 CLIENT SERVICES	\$67,242,993	\$61,865,051	\$83,549,994	\$71,579,515	\$73,555,531
	TOTAL, OBJECT OF EXPENSE	\$70,661,919	\$65,105,051	\$87,069,994	\$75,099,515	\$77,075,531
Method of Financing:						
	5100 System Benefit Account	\$70,661,919	\$65,105,051	\$87,069,994	\$75,099,515	\$77,075,531
	SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)	\$70,661,919	\$65,105,051	\$87,069,994	\$75,099,515	\$77,075,531

473 Public Utility Commission of Texas

GOAL:	3	Electric Utility Restructuring	Statewide Goal/Benchmark:	7	8
OBJECTIVE:	1	Provide Financial Assistance	Service Categories:		
STRATEGY:	1	Energy Assistance. Nontransferable	Service:	17	Income: A.1 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$75,099,515	\$77,075,531
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$70,661,919	\$65,105,051	\$87,069,994	\$75,099,515	\$77,075,531

FULL TIME EQUIVALENT POSITIONS:

STRATEGY DESCRIPTION AND JUSTIFICATION:

Amendments to the Public Utility Regulatory Act (PURA) enacted in 1999 established a low-income electric discount program for areas of the state open to retail competition to be funded by the System Benefit Fund in General Revenue Dedicated. The System Benefit Fund is financed by a non-bypassable fee not to exceed 65 cents per megawatt hour paid by electric utility customers in areas of the state open to retail competition. Eligibility is determined by a third party administrator based on statutory criteria. Eligible customers are enrolled automatically based on their participation in Department of Health and Human Services programs, or they may self enroll. The discount is provided by Retail Electric Providers who are reimbursed by the PUC. The amount of the discount is established by the PUC based on the availability of funds, and may not exceed 20%.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The Legislature establishes the funding for this strategy based on the level of benefits to be provided and the number of program participants, which is based on eligibility criteria in PURA. Within a biennium, overall economic conditions and population changes can affect the number of program participants. The PUC can respond to increases in program participation by decreasing the percent rate reduction provided to stay within the appropriated amount.

473 Public Utility Commission of Texas

GOAL:	3	Electric Utility Restructuring	Statewide Goal/Benchmark:	7	8
OBJECTIVE:	1	Provide Financial Assistance	Service Categories:		
STRATEGY:	2	Customer Education. Nontransferable	Service:	17	Income: A.1 Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
Output Measures:						
1	# of Customers Information Products Distributed	35,100.00	35,000.00	35,000.00	35,000.00	35,000.00
Explanatory/Input Measures:						
1	# of Power-to-Choose Website Hits	2,117,238.00	2,122,200.00	2,127,200.00	2,132,200.00	2,137,200.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$260,000	\$260,000	\$260,000	\$260,000	\$260,000
2001	PROFESSIONAL FEES AND SERVICES	\$0	\$490,000	\$490,000	\$490,000	\$490,000
2003	CONSUMABLE SUPPLIES	\$83	\$0	\$0	\$0	\$0
2006	RENT - BUILDING	\$9,400	\$0	\$0	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$21,519	\$0	\$0	\$0	\$0
TOTAL, OBJECT OF EXPENSE		\$291,002	\$750,000	\$750,000	\$750,000	\$750,000
Method of Financing:						
5100	System Benefit Account	\$291,002	\$750,000	\$750,000	\$750,000	\$750,000
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$291,002	\$750,000	\$750,000	\$750,000	\$750,000

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GOAL:	3	Electric Utility Restructuring	Statewide Goal/Benchmark:	7	8
OBJECTIVE:	1	Provide Financial Assistance	Service Categories:		
STRATEGY:	2	Customer Education. Nontransferable	Service: 17	Income: A.1	Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$750,000	\$750,000
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$291,002	\$750,000	\$750,000	\$750,000	\$750,000
FULL TIME EQUIVALENT POSITIONS:		4.0	4.0	4.0	4.0	4.0

STRATEGY DESCRIPTION AND JUSTIFICATION:

PURA § 39.902 requires the PUC to conduct ongoing customer education designed to help customers make informed choices of electric services and retail electric providers. This strategy is funded from the System Benefit Fund in General Revenue Dedicated. The System Benefit Fund is financed by a non-bypassable fee not to exceed 65 cents per megawatt hour paid by electric utility customers in areas of the state open to retail competition.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The PUC can respond to reduced funding for this strategy by reducing or eliminating customer education efforts.

473 Public Utility Commission of Texas

GOAL:	4	Indirect Administration	Statewide Goal/Benchmark:	7	8
OBJECTIVE:	1	Indirect Administration	Service Categories:		
STRATEGY:	1	Central Administration	Service: 17	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
Objects of Expense:						
1001	SALARIES AND WAGES	\$1,037,424	\$364,661	\$426,001	\$426,001	\$426,001
1002	OTHER PERSONNEL COSTS	\$48,570	\$39,120	\$38,520	\$38,520	\$38,520
2001	PROFESSIONAL FEES AND SERVICES	\$44,927	\$36,895	\$106,608	\$39,648	\$39,648
2003	CONSUMABLE SUPPLIES	\$7,173	\$7,200	\$3,600	\$6,000	\$6,000
2004	UTILITIES	\$3,114	\$2,964	\$1,440	\$1,560	\$1,560
2005	TRAVEL	\$2,799	\$7,800	\$6,000	\$7,800	\$7,800
2006	RENT - BUILDING	\$1,700	\$2,400	\$1,200	\$1,200	\$1,200
2007	RENT - MACHINE AND OTHER	\$48,204	\$26,880	\$26,400	\$26,400	\$26,400
2009	OTHER OPERATING EXPENSE	\$69,868	\$62,042	\$46,148	\$54,454	\$54,454
TOTAL, OBJECT OF EXPENSE		\$1,263,779	\$549,962	\$655,917	\$601,583	\$601,583
Method of Financing:						
1	General Revenue Fund	\$1,198,527	\$492,962	\$598,917	\$544,583	\$544,583
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$1,198,527	\$492,962	\$598,917	\$544,583	\$544,583
Method of Financing:						
666	Appropriated Receipts	\$65,252	\$57,000	\$57,000	\$57,000	\$57,000

473 Public Utility Commission of Texas

GOAL:	4	Indirect Administration	Statewide Goal/Benchmark:	7	8
OBJECTIVE:	1	Indirect Administration	Service Categories:		
STRATEGY:	1	Central Administration	Service: 17	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
SUBTOTAL, MOF (OTHER FUNDS)		\$65,252	\$57,000	\$57,000	\$57,000	\$57,000
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$601,583	\$601,583
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$1,263,779	\$549,962	\$655,917	\$601,583	\$601,583
FULL TIME EQUIVALENT POSITIONS:		16.8	5.8	6.8	6.7	6.7

STRATEGY DESCRIPTION AND JUSTIFICATION:

PURA §12.103 provides that the Executive Director is responsible for the daily operations of the PUC and coordinating activities of PUC employees. This strategy includes Fiscal Services, General Law, Human Resources, Governmental Relations, and Communications. Strategy activities include strategic planning; budget administration; administration of electric low income discount program; ensuring compliance with state travel regulations; human resources management; ensuring agency compliance with state and federal laws affecting personnel, and state procurement laws and regulations; responding to requests from the Legislature and state and federal agencies; and preparation of contracts with third party vendors.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Changes in state and federal laws affecting human resources and procurement can affect activities under this strategy. The PUC seeks to improve efficiencies through automation of administrative functions.

473 Public Utility Commission of Texas

GOAL:	4	Indirect Administration	Statewide Goal/Benchmark:	7	8
OBJECTIVE:	1	Indirect Administration	Service Categories:		
STRATEGY:	2	Information Resources	Service: 17	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
Objects of Expense:						
1001	SALARIES AND WAGES	\$432,260	\$151,942	\$177,501	\$177,501	\$177,501
1002	OTHER PERSONNEL COSTS	\$20,237	\$16,300	\$16,050	\$16,050	\$16,050
2001	PROFESSIONAL FEES AND SERVICES	\$18,720	\$15,373	\$44,420	\$16,520	\$16,520
2003	CONSUMABLE SUPPLIES	\$2,989	\$3,000	\$1,500	\$2,500	\$2,500
2004	UTILITIES	\$1,297	\$1,235	\$600	\$650	\$650
2005	TRAVEL	\$1,166	\$3,250	\$2,500	\$3,250	\$3,250
2006	RENT - BUILDING	\$708	\$1,000	\$500	\$500	\$500
2007	RENT - MACHINE AND OTHER	\$20,085	\$11,200	\$11,000	\$11,000	\$11,000
2009	OTHER OPERATING EXPENSE	\$29,113	\$25,851	\$19,228	\$22,689	\$22,689
TOTAL, OBJECT OF EXPENSE		\$526,575	\$229,151	\$273,299	\$250,660	\$250,660
Method of Financing:						
1	General Revenue Fund	\$499,387	\$205,401	\$249,549	\$226,910	\$226,910
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$499,387	\$205,401	\$249,549	\$226,910	\$226,910
Method of Financing:						
666	Appropriated Receipts	\$27,188	\$23,750	\$23,750	\$23,750	\$23,750

473 Public Utility Commission of Texas

GOAL:	4	Indirect Administration	Statewide Goal/Benchmark:	7	8
OBJECTIVE:	1	Indirect Administration	Service Categories:		
STRATEGY:	2	Information Resources	Service: 17	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
SUBTOTAL, MOF (OTHER FUNDS)		\$27,188	\$23,750	\$23,750	\$23,750	\$23,750
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$250,660	\$250,660
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$526,575	\$229,151	\$273,299	\$250,660	\$250,660
FULL TIME EQUIVALENT POSITIONS:		7.0	2.4	2.8	2.8	2.8

STRATEGY DESCRIPTION AND JUSTIFICATION:

The Information Resources strategy provides resources for IT technology support for all areas of the Commission. The PUC Fiscal and Information Services Division maintains the PUC network and desktop operating environments providing internal and external users with a stable, scalable, and responsive computing environment. The strategy includes PUC technology planning including but not limited to development of the Information Resource Strategic Plan and the Biennial Operating Plan.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The Data Center Services Project affects the PUC's implementation of this strategy. Advances in information technology affect the PUC's use of computers and electronic information resources.

473 Public Utility Commission of Texas

GOAL:	4	Indirect Administration	Statewide Goal/Benchmark:	7	8
OBJECTIVE:	1	Indirect Administration	Service Categories:		
STRATEGY:	3	Other Support Services	Service: 17	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
Objects of Expense:						
1001	SALARIES AND WAGES	\$86,452	\$30,388	\$35,500	\$35,500	\$35,500
1002	OTHER PERSONNEL COSTS	\$4,047	\$3,260	\$3,210	\$3,210	\$3,210
2001	PROFESSIONAL FEES AND SERVICES	\$3,744	\$3,075	\$8,884	\$3,304	\$3,304
2003	CONSUMABLE SUPPLIES	\$598	\$600	\$300	\$500	\$500
2004	UTILITIES	\$259	\$247	\$120	\$130	\$130
2005	TRAVEL	\$233	\$650	\$500	\$650	\$650
2006	RENT - BUILDING	\$142	\$200	\$100	\$100	\$100
2007	RENT - MACHINE AND OTHER	\$4,017	\$2,240	\$2,200	\$2,200	\$2,200
2009	OTHER OPERATING EXPENSE	\$5,823	\$5,170	\$3,846	\$4,538	\$4,538
TOTAL, OBJECT OF EXPENSE		\$105,315	\$45,830	\$54,660	\$50,132	\$50,132
Method of Financing:						
1	General Revenue Fund	\$99,877	\$41,080	\$49,910	\$45,382	\$45,382
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$99,877	\$41,080	\$49,910	\$45,382	\$45,382
Method of Financing:						
666	Appropriated Receipts	\$5,438	\$4,750	\$4,750	\$4,750	\$4,750

473 Public Utility Commission of Texas

GOAL:	4	Indirect Administration	Statewide Goal/Benchmark:	7	8
OBJECTIVE:	1	Indirect Administration	Service Categories:		
STRATEGY:	3	Other Support Services	Service: 17	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
SUBTOTAL, MOF (OTHER FUNDS)		\$5,438	\$4,750	\$4,750	\$4,750	\$4,750
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$50,132	\$50,132
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$105,315	\$45,830	\$54,660	\$50,132	\$50,132
FULL TIME EQUIVALENT POSITIONS:		1.4	0.5	0.6	0.6	0.6

STRATEGY DESCRIPTION AND JUSTIFICATION:

The Other Support Services strategy includes library, mail room, purchasing, and facilities management activities. The PUC maintains a specialized legal and reference library, providing expert reference information to agency staff. The Mail Room provides reproduction and copying services, mail delivery and pick up, and receiving and distribution of items purchased. Staff responsible for agency purchasing and facilities management activities is also budgeted from this strategy.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The PUC seeks efficiencies in procurement through automation and coordination with other agencies in areas such as HUB procurement.

SUMMARY TOTALS:

OBJECTS OF EXPENSE:	\$83,837,679	\$78,441,562	\$100,228,561	\$87,728,852	\$89,704,868
METHODS OF FINANCE (INCLUDING RIDERS):				\$87,728,852	\$89,704,868
METHODS OF FINANCE (EXCLUDING RIDERS):	\$83,837,679	\$78,441,562	\$100,228,561	\$87,728,852	\$89,704,868
FULL TIME EQUIVALENT POSITIONS:	181.8	168.8	170.6	167.0	167.0

3.B. Rider Revisions and Additions Request

Agency Code: 473	Agency Name: Public Utility Commission of Texas	Prepared By: Jim Albright	Date: August 16, 2012	Request Level: Base
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Current Rider Number	Page Number in 2012-13 GAA	Proposed Rider Language																																																							
1	VIII-61 – VIII-62	<p>Performance Measure Targets. The following is a listing of the key performance target levels for the Public Utility Commission of Texas. It is the intent of the Legislature that appropriations made by this Act be utilized in the most efficient and effective manner possible to achieve the intended mission of the Public Utility Commission of Texas. In order to achieve the objectives and service standards established by this Act, the Public Utility Commission of Texas shall make every effort to attain the following designated key performance target levels associated with each item of appropriation.</p> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 70%;"></th> <th style="text-align: center; width: 5%;"><u>2012</u></th> <th style="text-align: center; width: 5%;"><u>2014</u></th> <th style="text-align: center; width: 5%;"><u>2011</u></th> <th style="text-align: center; width: 5%;"><u>2015</u></th> </tr> </thead> <tbody> <tr> <td colspan="5">A. Goal: COMPETITION/CHOICE/RATES/SERVICE</td> </tr> <tr> <td colspan="5">Outcome (Results/Impact):</td> </tr> <tr> <td>Percent of Texas Cities Served by Three or More Certificated Telecommunication Providers</td> <td style="text-align: center;">80.00%</td> <td></td> <td style="text-align: center;">80.00%</td> <td></td> </tr> <tr> <td>Average Price of Electricity Per kWh in Texas For Residential Customers from Competitive Suppliers as a Percentage of the National Residential Average</td> <td style="text-align: center;">127.6%</td> <td style="text-align: center;"><u>102.31%</u></td> <td style="text-align: center;">127.6%</td> <td style="text-align: center;"><u>101.54%</u></td> </tr> <tr> <td>Average Annual Residential Electric Bill from Competitive Suppliers as a Percentage of the National Average</td> <td style="text-align: center;">159.9%</td> <td style="text-align: center;"><u>121.26%</u></td> <td style="text-align: center;">159.9%</td> <td style="text-align: center;"><u>117.71%</u></td> </tr> <tr> <td>Average Annual Residential Telephone Bill in Texas as a Percentage of the National Average</td> <td style="text-align: center;">73%</td> <td style="text-align: center;"><u>101.28%</u></td> <td style="text-align: center;">73%</td> <td style="text-align: center;"><u>100.85%</u></td> </tr> <tr> <td colspan="5">A.1.1. Strategy: MARKET COMPETITION</td> </tr> <tr> <td colspan="5">Output (Volume):</td> </tr> <tr> <td>Number of Wholesale Electric Market Investigations Conducted Completed for Market Power, Market Design, or Anti-competitive Conduct in the Electric Market</td> <td style="text-align: center;">8</td> <td></td> <td style="text-align: center;">8</td> <td></td> </tr> <tr> <td>Number of Cases Completed Related to Competition Among Providers</td> <td style="text-align: center;">350</td> <td style="text-align: center;"><u>300</u></td> <td style="text-align: center;">350</td> <td style="text-align: center;"><u>300</u></td> </tr> </tbody> </table>		<u>2012</u>	<u>2014</u>	<u>2011</u>	<u>2015</u>	A. Goal: COMPETITION/CHOICE/RATES/SERVICE					Outcome (Results/Impact):					Percent of Texas Cities Served by Three or More Certificated Telecommunication Providers	80.00%		80.00%		Average Price of Electricity Per kWh in Texas For Residential Customers from Competitive Suppliers as a Percentage of the National Residential Average	127.6%	<u>102.31%</u>	127.6%	<u>101.54%</u>	Average Annual Residential Electric Bill from Competitive Suppliers as a Percentage of the National Average	159.9%	<u>121.26%</u>	159.9%	<u>117.71%</u>	Average Annual Residential Telephone Bill in Texas as a Percentage of the National Average	73%	<u>101.28%</u>	73%	<u>100.85%</u>	A.1.1. Strategy: MARKET COMPETITION					Output (Volume):					Number of Wholesale Electric Market Investigations Conducted Completed for Market Power, Market Design, or Anti-competitive Conduct in the Electric Market	8		8		Number of Cases Completed Related to Competition Among Providers	350	<u>300</u>	350	<u>300</u>
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3.B. Rider Revisions and Additions Request (continued)

		Efficiencies:				
		Average Number of Days to Process an Application for a Certificate of Authority and Service Provider Certificate of Authority	35	<u>50</u>	35	<u>50</u>
		A.2.1. Strategy: UTILITY REGULATION				
		Number of Rate Cases Completed for Regulated Electric Utilities	30	<u>45</u>	30	<u>45</u>
		Number of Rate Cases Completed for Regulated Telecommunications Providers	7	<u>5</u>	7	<u>5</u>
		Efficiencies:				
		Average Number of Days to Process a Major Rate Case for a Transmission and Distribution Utility		220		220
		B. Goal: EDUCATION AND CUSTOMER ASSISTANCE				
		Outcome (Result/Impact):				
		Percentage of Customer Complaints Resolved through Informal Complaint Resolution Process		99%		99%
		B.1.1. Strategy: PROVIDE FACTS ABOUT CHANGES				
		Output (Volume):				
		Number of information Requests to Which Responses Were Provided		75,000		75,000
		Efficiencies:				
		Percent of Customer Information Products Distributed Electronically	75%	<u>80.00%</u>	75%	<u>80.00%</u>
		Explanatory:				
		Number of Website Hits to Customer Protection Page	280,500	<u>285,700</u>	280,500	<u>287,000</u>
		B.2.1. Strategy: ASSIST CUSTOMERS				
		Output (Volume):				
		Number of Customer Complaints Concluded	13,000	<u>10,000</u>	13,000	<u>10,000</u>
		Efficiencies:				
		Average Number of Days to Conclude Customer Complaints	30	<u>20</u>	30	<u>20</u>
		C. Goal: ELECTRIC UTILITY RESTRUCTURING				
		Outcome (Result/Impact):				
		Percent of Eligible Low-income Customers Provided a Discount for Electric Service	95%	<u>96.00%</u>	95%	<u>96.00%</u>

**3.B. Rider Revisions and Additions Request
(continued)**

2	VIII-62	<p>Capital Budget. None of the funds appropriated above may be expended for capital budget items except as listed below. The amounts shown below shall be expended only for the purposes shown and are not available for expenditure for other purposes. Amounts appropriated above and identified in this provision as appropriations either for “Lease Payments to the Master Lease Purchase Program” or for items with a “(MLPP)” notation shall be expended only for the purpose of making lease-purchase payments to the Texas Public Finance Authority pursuant to the provisions of Government Code § 1232.103. Upon approval from the Legislative Budget Board, capital budget funds listed below under “Acquisition of Information Resource Technologies” may be used to lease information resources hardware and/or software versus the purchase of information resources hardware and/or software, if determined by commission management to be in the best interest of the State of Texas.</p> <table border="0" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 60%;"></th> <th style="text-align: right; width: 10%;"><u>2012</u></th> <th style="text-align: right; width: 10%;"><u>2014</u></th> <th style="text-align: right; width: 10%;"><u>2013</u></th> <th style="text-align: right; width: 10%;"><u>2015</u></th> </tr> </thead> <tbody> <tr> <td>a. Acquisition of Information Resource Technology</td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td> (1) Data Center Consolidation</td> <td style="text-align: right;">\$<u>310,010</u></td> <td style="text-align: right;">\$<u>301,672</u></td> <td style="text-align: right;">\$<u>293,334</u></td> <td style="text-align: right;">\$<u>301,672</u></td> </tr> <tr> <td> Total, Capital Budget</td> <td style="text-align: right;">\$<u>310,010</u></td> <td style="text-align: right;">\$<u>301,672</u></td> <td style="text-align: right;">\$<u>293,334</u></td> <td style="text-align: right;">\$<u>301,672</u></td> </tr> <tr> <td>Method of Financing (Capital Budget):</td> <td style="text-align: right;">\$<u>310,010</u></td> <td style="text-align: right;">\$<u>301,672</u></td> <td style="text-align: right;">\$<u>293,334</u></td> <td style="text-align: right;">\$<u>301,672</u></td> </tr> <tr> <td> General Revenue Fund</td> <td style="text-align: right;">\$<u>310,010</u></td> <td style="text-align: right;">\$<u>301,672</u></td> <td style="text-align: right;">\$<u>293,334</u></td> <td style="text-align: right;">\$<u>301,672</u></td> </tr> <tr> <td> Total, Method of Finance</td> <td style="text-align: right;">\$<u>310,010</u></td> <td style="text-align: right;">\$<u>301,672</u></td> <td style="text-align: right;">\$<u>293,334</u></td> <td style="text-align: right;">\$<u>301,672</u></td> </tr> </tbody> </table> <p><i>The agency’s 2014-15 baseline request evenly divides the PUC’s 2012-13 appropriation between the two fiscal years. The PUC is requesting an exceptional item in the amount of \$361,669 to cover increased data center service costs that have been identified by DIR for the 2014-15 biennium. These cost increases reflect an increase in data center cost of service resulting from new DCS contract, which became effective in July 2012.</i></p>		<u>2012</u>	<u>2014</u>	<u>2013</u>	<u>2015</u>	a. Acquisition of Information Resource Technology					(1) Data Center Consolidation	\$ <u>310,010</u>	\$ <u>301,672</u>	\$ <u>293,334</u>	\$ <u>301,672</u>	Total, Capital Budget	\$ <u>310,010</u>	\$ <u>301,672</u>	\$ <u>293,334</u>	\$ <u>301,672</u>	Method of Financing (Capital Budget):	\$ <u>310,010</u>	\$ <u>301,672</u>	\$ <u>293,334</u>	\$ <u>301,672</u>	General Revenue Fund	\$ <u>310,010</u>	\$ <u>301,672</u>	\$ <u>293,334</u>	\$ <u>301,672</u>	Total, Method of Finance	\$ <u>310,010</u>	\$ <u>301,672</u>	\$ <u>293,334</u>	\$ <u>301,672</u>
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5	VIII-62	<p>Appropriation of Unexpended Balances: 2010 <u>2012</u> Appropriations. The unobligated and unexpended balances of appropriations to the Public Utility Commission for the fiscal year ending August 31, 2012 <u>2014</u> are hereby appropriated to the commission for the same purposes for the fiscal year ending August 31, 2013 <u>2015</u>.</p> <p><i>The proposed changes update the rider for the 2014-15 biennium.</i></p>																																			

**3.B. Rider Revisions and Additions Request
(continued)**

6	VIII-62	<p>Sunset Contingency. Funds appropriated above for fiscal year 2013 <u>2015</u> for the Public Utility Commission are made contingent on the continuation of the Public Utility commission by the Eighty-second Legislature <u>Eighty-third Legislature</u>. In the event that the agency is not continued, the funds appropriated for fiscal year 2012 <u>2014</u> or as much thereof as may be necessary are to be used to provide the phase out of the agency operations.</p> <p><i>The proposed changes update the rider for the 2014-15 biennium.</i></p>
7	VIII-62 – VIII-63	<p>Contingent Revenue. Of the amounts appropriated above the Public Utility Commission from the GR Dedicated—System Benefit Account No. 5100, the estimated amounts of \$81,234,862 in fiscal year 2012 and \$86,138,757 in fiscal year 2013 are contingent on the Public Utility Commission assessing a rate sufficient to generate the estimated needs of the GR—Dedicated System Benefit Account No. 5100. The Public Utility Commission, upon completion of necessary actions for the assessment, shall furnish copies of the Public Utility Commission’s order and other information supporting the estimated revenues to be generated for the 2012-13 biennium to the Comptroller of Public Accounts. If the comptroller finds the information sufficient to support the projection of revenues, a finding of fact to that effect shall be issued and the contingent appropriation shall be made available for the intended purposes.</p> <p><i>The proposed deletion would allow the Commission to set the rate at zero and spend a portion of the balance of the fund rather than generate additional revenue to cover appropriations for the 2014-15 biennium.</i></p>

**3.B. Rider Revisions and Additions Request
(continued)**

8	VIII-63	<p>Allocation of System Benefit Account. The maximum statutory assessment rate of the GR Dedicated— System Benefit Account No. 5100 is estimated to be \$153,858,000 in fiscal year 2012 and \$155,887,000 in fiscal year 2013. The estimated balance as of August 31, 2011 2013 is \$561,169,000 \$804,595,261 and interest income is estimated to be \$66,640,000 \$8,334,000 for the biennium. The total account balance available for appropriation for 2012-2013 2014-2015, estimated to be \$929,667,000 \$812,929,261, has been allocated throughout this act as follows:</p> <table border="0" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 60%;"></th> <th style="text-align: right; width: 10%;"><u>2012</u></th> <th style="text-align: right; width: 10%;"><u>2014</u></th> <th style="text-align: right; width: 10%;"><u>2013</u></th> <th style="text-align: right; width: 10%;"><u>2015</u></th> </tr> </thead> <tbody> <tr> <td colspan="5">Public Utility Commission:</td> </tr> <tr> <td>Electric Market Oversight Contracts</td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>Wholesale and Retail Market</td> <td></td> <td style="text-align: right;">\$6,041,736</td> <td></td> <td style="text-align: right;">\$6,041,736</td> </tr> <tr> <td>Low Income Discount</td> <td style="text-align: right;">\$73,635,575</td> <td style="text-align: right;">\$75,099,515</td> <td style="text-align: right;">\$78,539,470</td> <td style="text-align: right;">\$77,075,530</td> </tr> <tr> <td>Customer Education</td> <td></td> <td style="text-align: right;">\$750,000</td> <td></td> <td style="text-align: right;">\$750,000</td> </tr> <tr> <td>Administration</td> <td></td> <td style="text-align: right;">\$807,551</td> <td></td> <td style="text-align: right;">\$807,551</td> </tr> <tr> <td>Total</td> <td style="text-align: right;">\$81,234,862</td> <td style="text-align: right;">\$82,698,802</td> <td style="text-align: right;">\$86,138,757</td> <td style="text-align: right;">\$84,674,817</td> </tr> </tbody> </table> <p><i>The proposed changes update the rider for the 2014-15 biennium and reflect the PUC's request to set the rate at zero and begin to spend the corpus of the SBF to cover appropriations. Funding for the Low Income Discount has been allocated to provide a 10 percent discount in both fiscal years of the biennium, based upon PUC estimates of program participation and kWh usage. The request also deletes the term "Contracts" from the Electric Market Oversight line-item to clarify that these funds are not be expended solely on third-party contracts, but rather on a combination of contracts, staff salaries, and associated personnel costs.</i></p>		<u>2012</u>	<u>2014</u>	<u>2013</u>	<u>2015</u>	Public Utility Commission:					Electric Market Oversight Contracts					Wholesale and Retail Market		\$6,041,736		\$6,041,736	Low Income Discount	\$73,635,575	\$75,099,515	\$78,539,470	\$77,075,530	Customer Education		\$750,000		\$750,000	Administration		\$807,551		\$807,551	Total	\$81,234,862	\$82,698,802	\$86,138,757	\$84,674,817
	<u>2012</u>	<u>2014</u>	<u>2013</u>	<u>2015</u>																																						
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Administration		\$807,551		\$807,551																																						
Total	\$81,234,862	\$82,698,802	\$86,138,757	\$84,674,817																																						
10	VIII-63	<p>Contingency Rider: Power to Choose Website. Contingent upon the passage of Senate Bill 1219, or similar legislation, out of funds appropriated above, the Public Utility Commission may allocate up to \$150,000 to redesign the state's Power to Choose website to improve usability and facilitate customer choice in the state's deregulated electric market and the Commission may accept gifts and grants as authorized by general law to pay for the additional costs of redesigning the Power to Choose website.</p> <p><i>The PUC is requesting this rider be deleted because the provisions were contingent on legislation from the 82nd Legislative Session.</i></p>																																								

**3.B. Rider Revisions and Additions Request
(continued)**

4.A. Exceptional Item Request Schedule
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/16/2012
 TIME: 10:06:56AM

Agency code: 473

Agency name: **Public Utility Commission of Texas**

CODE	DESCRIPTION	Excp 2014	Excp 2015
	Item Name: The PUC is requesting additional capital budget appropriation authority to cover increased Data Center Consolidation Costs as projected by DIR.		
	Item Priority: 1		
	Includes Funding for the Following Strategy or Strategies:		
	01-01-01 Foster and Monitor Market Competition		
	01-02-01 Conduct Rate Cases for Regulated Telephone and Electric Utilities		
	01-03-01 Conduct Investigations and Initiate Enforcement Actions		
	02-01-01 Provide Information about Changes in Electric and Telecom Industries		
	02-02-01 Assist Customers in Resolving Disputes		
	04-01-01 Central Administration		
	04-01-02 Information Resources		
	04-01-03 Other Support Services		

OBJECTS OF EXPENSE:

2001	PROFESSIONAL FEES AND SERVICES	171,156	190,513
TOTAL, OBJECT OF EXPENSE		\$171,156	\$190,513

METHOD OF FINANCING:

1	General Revenue Fund	171,156	190,513
TOTAL, METHOD OF FINANCING		\$171,156	\$190,513

DESCRIPTION / JUSTIFICATION:

The PUC's baseline capital budget appropriation contains \$603,344 in General Revenue to fund the agency's data center activities. The Department of Information Resources is projecting the Commission's total costs for the project during the 2014-15 biennium will be \$965,013, resulting in a shortfall of \$361,669. The PUC does not have sufficient baseline funding to cover this 59.95 percent increase.

EXTERNAL/INTERNAL FACTORS:

The increased cost projections are a result of increased resource usage rates that have been negotiated in the State's new Data Center Services contract. The cost increases are not a product of the PUC utilizing additional data center resources.

4.A. Exceptional Item Request Schedule
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/16/2012
 TIME: 10:06:56AM

Agency code: 473

Agency name: Public Utility Commission of Texas

CODE	DESCRIPTION	Excp 2014	Excp 2015
Item Name: The PUC is requesting restoration of its 10 percent appropriation reduction from the 82nd Session in 2011.			
Item Priority: 2			
Includes Funding for the Following Strategy or Strategies:			
	01-01-01 Foster and Monitor Market Competition		
	01-02-01 Conduct Rate Cases for Regulated Telephone and Electric Utilities		
	01-03-01 Conduct Investigations and Initiate Enforcement Actions		
	02-01-01 Provide Information about Changes in Electric and Telecom Industries		
	02-02-01 Assist Customers in Resolving Disputes		
	03-01-02 Customer Education. Nontransferable		
 OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	892,000	892,000
1002	OTHER PERSONNEL COSTS	37,350	37,350
2003	CONSUMABLE SUPPLIES	7,425	7,425
2005	TRAVEL	10,050	10,050
2007	RENT - MACHINE AND OTHER	38,300	38,300
2009	OTHER OPERATING EXPENSE	43,866	43,865
TOTAL, OBJECT OF EXPENSE		\$1,028,991	\$1,028,990
 METHOD OF FINANCING:			
5100	System Benefit Account	1,028,991	1,028,990
TOTAL, METHOD OF FINANCING		\$1,028,991	\$1,028,990
 FULL-TIME EQUIVALENT POSITIONS (FTE):		14.00	14.00

DESCRIPTION / JUSTIFICATION:

The PUC's FTE cap has been reduced by 75.0 FTEs, or 31.0 percent since 2003. As such, restoring the agency's 10 percent reduction from the last legislative session is a high priority. The reduction consisted of \$2,057,981 in General Revenue Funding and 17.6 FTEs. The PUC is requesting the funding be fully restored; however, the agency is also requesting to change the method-of-finance to General Revenue – Dedicated SBF. Also, the PUC is requesting the restoration of 14.0 FTEs (4.0 Enforcement Analysts; 2 Electric Market Analysts; 2 Electric Market Economists; 2 Sr. Investigators; 1 Financial Auditor; 1 Electric Utility Engineer; 1 Sr. Information Specialist; and 1 Customer Service Representative), which would set the agency's FTE cap at 181.0. Over the past decade, a greater proportion of PUC work has dealt with the deregulated electric market, than either the regulated electric market or telecommunications industry. As such, the legislature began funding the vast majority of agency operations from the SBF in the 82nd Legislative Session. During the 2012-13 biennium, approximately 60 percent of the PUC's operations are funding by this method-of-finance. The Commission anticipates this trend to continue and therefore would request the funding restoration come from this method-of-finance.

EXTERNAL/INTERNAL FACTORS:

4.A. Exceptional Item Request Schedule
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/16/2012
 TIME: 10:06:56AM

Agency code: 473

Agency name: Public Utility Commission of Texas

CODE	DESCRIPTION	Excp 2014	Excp 2015
	Item Name: Contingent request to increase the PUC's FTE cap by 4.0 FTE from 167.0 to 171.0.		
	Item Priority: 3		
	Includes Funding for the Following Strategy or Strategies: 01-01-01 Foster and Monitor Market Competition		
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	0	0
	TOTAL, OBJECT OF EXPENSE	\$0	\$0
METHOD OF FINANCING:			
1	General Revenue Fund	0	0
	TOTAL, METHOD OF FINANCING	\$0	\$0
	FULL-TIME EQUIVALENT POSITIONS (FTE):	4.00	4.00

DESCRIPTION / JUSTIFICATION:

Over the past two budget cycles, the PUC has encountered significant turnover in management and more experienced staff, due to retirements and general turnover. As such, the PUC needs the FTE flexibility to hire additional personnel to fulfill the job requirements of these departing individuals who had a broad breadth of institutional and subject matter knowledge. The PUC has performed a staffing analysis based on current and projected workloads and estimates that 4.0 additional FTEs would allow the flexibility necessary for the agency to react to anticipated retirements and turnover.

EXTERNAL/INTERNAL FACTORS:

Agency code: 473 Agency name: Public Utility Commission of Texas

Code	Description	Excp 2014	Excp 2015
Item Name:		The PUC is requesting additional capital budget appropriation authority to cover increased Data Center Consolidation Costs as projected by DIR.	
Allocation to Strategy:		1-1-1 Foster and Monitor Market Competition	
OBJECTS OF EXPENSE:			
2001	PROFESSIONAL FEES AND SERVICES	49,635	55,249
TOTAL, OBJECT OF EXPENSE		\$49,635	\$55,249
METHOD OF FINANCING:			
1	General Revenue Fund	49,635	55,249
TOTAL, METHOD OF FINANCING		\$49,635	\$55,249

Agency code: 473 Agency name: Public Utility Commission of Texas

Code	Description	Excp 2014	Excp 2015
Item Name: The PUC is requesting additional capital budget appropriation authority to cover increased Data Center Consolidation Costs as projected by DIR.			
Allocation to Strategy: 1-2-1 Conduct Rate Cases for Regulated Telephone and Electric Utilities			
OBJECTS OF EXPENSE:			
2001	PROFESSIONAL FEES AND SERVICES	47,924	53,344
TOTAL, OBJECT OF EXPENSE		\$47,924	\$53,344
METHOD OF FINANCING:			
1	General Revenue Fund	47,924	53,344
TOTAL, METHOD OF FINANCING		\$47,924	\$53,344

Agency code: 473 Agency name: Public Utility Commission of Texas

Code	Description	Excp 2014	Excp 2015
Item Name:		The PUC is requesting additional capital budget appropriation authority to cover increased Data Center Consolidation Costs as projected by DIR.	
Allocation to Strategy:		1-3-1 Conduct Investigations and Initiate Enforcement Actions	
OBJECTS OF EXPENSE:			
2001	PROFESSIONAL FEES AND SERVICES	23,962	26,672
TOTAL, OBJECT OF EXPENSE		\$23,962	\$26,672
METHOD OF FINANCING:			
1	General Revenue Fund	23,962	26,672
TOTAL, METHOD OF FINANCING		\$23,962	\$26,672

Agency code: 473 Agency name: Public Utility Commission of Texas

Code	Description	Excp 2014	Excp 2015
Item Name: The PUC is requesting additional capital budget appropriation authority to cover increased Data Center Consolidation Costs as projected by DIR.			
Allocation to Strategy: 2-1-1 Provide Information about Changes in Electric and Telecom Industries			
OBJECTS OF EXPENSE:			
2001	PROFESSIONAL FEES AND SERVICES	10,269	11,431
TOTAL, OBJECT OF EXPENSE		\$10,269	\$11,431
METHOD OF FINANCING:			
1	General Revenue Fund	10,269	11,431
TOTAL, METHOD OF FINANCING		\$10,269	\$11,431

Agency code: 473 Agency name: Public Utility Commission of Texas

Code	Description	Excp 2014	Excp 2015
Item Name:		The PUC is requesting additional capital budget appropriation authority to cover increased Data Center Consolidation Costs as projected by DIR.	
Allocation to Strategy:		2-2-1 Assist Customers in Resolving Disputes	
OBJECTS OF EXPENSE:			
2001	PROFESSIONAL FEES AND SERVICES	8,558	9,526
TOTAL, OBJECT OF EXPENSE		8,558	9,526
METHOD OF FINANCING:			
1	General Revenue Fund	8,558	9,526
TOTAL, METHOD OF FINANCING		8,558	9,526

Agency code: 473 Agency name: Public Utility Commission of Texas

Code	Description	Excp 2014	Excp 2015
Item Name:		The PUC is requesting additional capital budget appropriation authority to cover increased Data Center Consolidation Costs as projected by DIR.	
Allocation to Strategy:		4-1-1	Central Administration
OBJECTS OF EXPENSE:			
2001	PROFESSIONAL FEES AND SERVICES	20,539	22,862
TOTAL, OBJECT OF EXPENSE		\$20,539	\$22,862
METHOD OF FINANCING:			
1	General Revenue Fund	20,539	22,862
TOTAL, METHOD OF FINANCING		\$20,539	\$22,862

Agency code: 473 Agency name: Public Utility Commission of Texas

Code	Description	Excp 2014	Excp 2015
Item Name:		The PUC is requesting additional capital budget appropriation authority to cover increased Data Center Consolidation Costs as projected by DIR.	
Allocation to Strategy:		4-1-2	Information Resources
OBJECTS OF EXPENSE:			
2001	PROFESSIONAL FEES AND SERVICES	8,558	9,525
TOTAL, OBJECT OF EXPENSE		\$8,558	\$9,525
METHOD OF FINANCING:			
1	General Revenue Fund	8,558	9,525
TOTAL, METHOD OF FINANCING		\$8,558	\$9,525

Agency code: 473 Agency name: Public Utility Commission of Texas

Code	Description	Excp 2014	Excp 2015
Item Name:		The PUC is requesting additional capital budget appropriation authority to cover increased Data Center Consolidation Costs as projected by DIR.	
Allocation to Strategy:		4-1-3	Other Support Services
OBJECTS OF EXPENSE:			
2001	PROFESSIONAL FEES AND SERVICES	1,711	1,904
TOTAL, OBJECT OF EXPENSE		\$1,711	\$1,904
METHOD OF FINANCING:			
1	General Revenue Fund	1,711	1,904
TOTAL, METHOD OF FINANCING		\$1,711	\$1,904

Agency code: 473 Agency name: Public Utility Commission of Texas

Code	Description	Excp 2014	Excp 2015
Item Name:		The PUC is requesting restoration of its 10 percent appropriation reduction from the 82nd Session in 2011.	
Allocation to Strategy:		1-1-1	Foster and Monitor Market Competition
OUTPUT MEASURES:			
<u>3</u>	Number of Cases Completed Related to Competition Among Providers	50.00	50.00
EFFICIENCY MEASURES:			
<u>1</u>	Avg # of Days to Process an Application for a Telecom COA & SPCOA	38.00	38.00
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	272,000	272,000
1002	OTHER PERSONNEL COSTS	11,400	11,400
2003	CONSUMABLE SUPPLIES	2,000	2,000
2005	TRAVEL	3,000	3,000
2007	RENT - MACHINE AND OTHER	11,600	11,600
2009	OTHER OPERATING EXPENSE	13,200	13,200
TOTAL, OBJECT OF EXPENSE		\$313,200	\$313,200
METHOD OF FINANCING:			
5100	System Benefit Account	313,200	313,200
TOTAL, METHOD OF FINANCING		\$313,200	\$313,200
FULL-TIME EQUIVALENT POSITIONS (FTE):		4.0	4.0

Agency code: 473 Agency name: Public Utility Commission of Texas

Code	Description	Excp 2014	Excp 2015
Item Name: The PUC is requesting restoration of its 10 percent appropriation reduction from the 82nd Session in 2011.			
Allocation to Strategy: 1-2-1 Conduct Rate Cases for Regulated Telephone and Electric Utilities			
OUTPUT MEASURES:			
<u>1</u>	Number of Rate Cases Completed for Regulated Electric Utilities	5.00	5.00
<u>2</u>	Number of Rate Cases Completed for Telecommunications Providers	2.00	2.00
EFFICIENCY MEASURES:			
<u>1</u>	Average Number of Days to Process a Major Rate Case for TDU	200.00	200.00
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	146,000	146,000
1002	OTHER PERSONNEL COSTS	6,100	6,100
2003	CONSUMABLE SUPPLIES	1,200	1,200
2005	TRAVEL	1,650	1,650
2007	RENT - MACHINE AND OTHER	6,300	6,300
2009	OTHER OPERATING EXPENSE	7,100	7,100
TOTAL, OBJECT OF EXPENSE		\$168,350	\$168,350
METHOD OF FINANCING:			
5100	System Benefit Account	168,350	168,350
TOTAL, METHOD OF FINANCING		\$168,350	\$168,350
FULL-TIME EQUIVALENT POSITIONS (FTE):		2.0	2.0

Agency code: 473 Agency name: Public Utility Commission of Texas

Code	Description	Excp 2014	Excp 2015
Item Name:		The PUC is requesting restoration of its 10 percent appropriation reduction from the 82nd Session in 2011.	
Allocation to Strategy:		1-3-1	Conduct Investigations and Initiate Enforcement Actions
OUTPUT MEASURES:			
<u>1</u>	Number of Enforcement Investigations Conducted	10.00	10.00
EXPLANATORY/INPUT MEASURES:			
<u>1</u>	Dollar Amount Administrative Penalties Assessed for Violations	2,000,000.00	2,000,000.00
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	288,000	288,000
1002	OTHER PERSONNEL COSTS	12,000	12,000
2003	CONSUMABLE SUPPLIES	2,400	2,400
2005	TRAVEL	3,200	3,200
2007	RENT - MACHINE AND OTHER	12,400	12,400
2009	OTHER OPERATING EXPENSE	14,000	14,000
TOTAL, OBJECT OF EXPENSE		\$332,000	\$332,000
METHOD OF FINANCING:			
5100	System Benefit Account	332,000	332,000
TOTAL, METHOD OF FINANCING		\$332,000	\$332,000
FULL-TIME EQUIVALENT POSITIONS (FTE):		4.0	4.0

Agency code: 473 Agency name: Public Utility Commission of Texas

Code	Description	Excp 2014	Excp 2015
Item Name:		The PUC is requesting restoration of its 10 percent appropriation reduction from the 82nd Session in 2011.	
Allocation to Strategy:		2-1-1	Provide Information about Changes in Electric and Telecom Industries
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	84,000	84,000
1002	OTHER PERSONNEL COSTS	3,550	3,550
2003	CONSUMABLE SUPPLIES	825	825
2005	TRAVEL	1,000	1,000
2007	RENT - MACHINE AND OTHER	3,600	3,600
2009	OTHER OPERATING EXPENSE	4,466	4,465
TOTAL, OBJECT OF EXPENSE		\$97,441	\$97,440
METHOD OF FINANCING:			
5100	System Benefit Account	97,441	97,440
TOTAL, METHOD OF FINANCING		\$97,441	\$97,440
FULL-TIME EQUIVALENT POSITIONS (FTE):		2.0	2.0

Agency code: 473 Agency name: Public Utility Commission of Texas

Code	Description	Excp 2014	Excp 2015
Item Name: The PUC is requesting restoration of its 10 percent appropriation reduction from the 82nd Session in 2011.			
Allocation to Strategy: 2-2-1 Assist Customers in Resolving Disputes			
STRATEGY IMPACT ON OUTCOME MEASURES:			
2	Credits & Refunds Obtained for Customers through Complaint Resolution	1,300,000.00	1,300,000.00
OUTPUT MEASURES:			
1	Number of Customer Complaints Concluded	2,000.00	2,000.00
EFFICIENCY MEASURES:			
1	Average Number of Days to Conclude Customer Complaints	16.00	16.00
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	102,000	102,000
1002	OTHER PERSONNEL COSTS	4,300	4,300
2003	CONSUMABLE SUPPLIES	1,000	1,000
2005	TRAVEL	1,200	1,200
2007	RENT - MACHINE AND OTHER	4,400	4,400
2009	OTHER OPERATING EXPENSE	5,100	5,100
TOTAL, OBJECT OF EXPENSE		\$118,000	\$118,000
METHOD OF FINANCING:			
5100	System Benefit Account	118,000	118,000
TOTAL, METHOD OF FINANCING		\$118,000	\$118,000
FULL-TIME EQUIVALENT POSITIONS (FTE):		2.0	2.0

4.B. Exceptional Items Strategy Allocation Schedule
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/16/2012**
 TIME: **10:06:57AM**

Agency code: **473** Agency name: **Public Utility Commission of Texas**

Code	Description	Excp 2014	Excp 2015
Item Name: The PUC is requesting restoration of its 10 percent appropriation reduction from the 82nd Session in 2011.			
Allocation to Strategy: 3-1-2 Customer Education. Nontransferable			
OUTPUT MEASURES:			
	<u>1</u> # of Customers Information Products Distributed	7,500.00	7,500.00

Agency code: 473 Agency name: Public Utility Commission of Texas

Code	Description	Excp 2014	Excp 2015
Item Name: Contingent request to increase the PUC's FTE cap by 4.0 FTE from 167.0 to 171.0.			
Allocation to Strategy: 1-1-1 Foster and Monitor Market Competition			
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	0	0
TOTAL, OBJECT OF EXPENSE		\$0	\$0
METHOD OF FINANCING:			
1	General Revenue Fund	0	0
TOTAL, METHOD OF FINANCING		\$0	\$0
FULL-TIME EQUIVALENT POSITIONS (FTE):		4.0	4.0

4.C. Exceptional Items Strategy Request
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/16/2012
TIME: 10:06:57AM

Agency Code: **473** Agency name: **Public Utility Commission of Texas**

GOAL: 1 Ensure Competition, Choice, Just Rates, and Reliable Quality Service Statewide Goal/Benchmark: 7 - 8
 OBJECTIVE: 1 Maintain Policies to Foster Competition in Telecom & Elec Mkts Service Categories:
 STRATEGY: 1 Foster and Monitor Market Competition Service: 17 Income: A.2 Age: B.3

CODE DESCRIPTION	Excp 2014	Excp 2015
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OUTPUT MEASURES:

<u>3</u> Number of Cases Completed Related to Competition Among Providers	50.00	50.00
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EFFICIENCY MEASURES:

<u>1</u> Avg # of Days to Process an Application for a Telecom COA & SPCOA	38.00	38.00
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OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	272,000	272,000
1002 OTHER PERSONNEL COSTS	11,400	11,400
2001 PROFESSIONAL FEES AND SERVICES	49,635	55,249
2003 CONSUMABLE SUPPLIES	2,000	2,000
2005 TRAVEL	3,000	3,000
2007 RENT - MACHINE AND OTHER	11,600	11,600
2009 OTHER OPERATING EXPENSE	13,200	13,200
Total, Objects of Expense	\$362,835	\$368,449

METHOD OF FINANCING:

1 General Revenue Fund	49,635	55,249
5100 System Benefit Account	313,200	313,200
Total, Method of Finance	\$362,835	\$368,449

FULL-TIME EQUIVALENT POSITIONS (FTE):	8.0	8.0
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EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

The PUC is requesting additional capital budget appropriation authority to cover increased Data Center Consolidation Costs as projected by DIR.

The PUC is requesting restoration of its 10 percent appropriation reduction from the 82nd Session in 2011.

4.C. Exceptional Items Strategy Request
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/16/2012
TIME: 10:06:57AM

Agency Code: **473** Agency name: **Public Utility Commission of Texas**

GOAL: 1 Ensure Competition, Choice, Just Rates, and Reliable Quality Service Statewide Goal/Benchmark: 7 - 8
 OBJECTIVE: 1 Maintain Policies to Foster Competition in Telecom & Elec Mkts Service Categories:
 STRATEGY: 1 Foster and Monitor Market Competition Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Excp 2014	Excp 2015
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Contingent request to increase the PUC's FTE cap by 4.0 FTE from 167.0 to 171.0.

4.C. Exceptional Items Strategy Request
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/16/2012
TIME: 10:06:57AM

Agency Code: **473** Agency name: **Public Utility Commission of Texas**

GOAL: 1 Ensure Competition, Choice, Just Rates, and Reliable Quality Service Statewide Goal/Benchmark: 7 - 8

OBJECTIVE: 2 Regulate Providers Ensuring Companies Meet Service Quality Standards Service Categories:

STRATEGY: 1 Conduct Rate Cases for Regulated Telephone and Electric Utilities Service: 17 Income: A.2 Age: B.3

CODE DESCRIPTION	Excp 2014	Excp 2015
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OUTPUT MEASURES:

<u>1</u> Number of Rate Cases Completed for Regulated Electric Utilities	5.00	5.00
<u>2</u> Number of Rate Cases Completed for Telecommunications Providers	2.00	2.00

EFFICIENCY MEASURES:

<u>1</u> Average Number of Days to Process a Major Rate Case for TDU	200.00	200.00
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OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	146,000	146,000
1002 OTHER PERSONNEL COSTS	6,100	6,100
2001 PROFESSIONAL FEES AND SERVICES	47,924	53,344
2003 CONSUMABLE SUPPLIES	1,200	1,200
2005 TRAVEL	1,650	1,650
2007 RENT - MACHINE AND OTHER	6,300	6,300
2009 OTHER OPERATING EXPENSE	7,100	7,100
Total, Objects of Expense	\$216,274	\$221,694

METHOD OF FINANCING:

1 General Revenue Fund	47,924	53,344
5100 System Benefit Account	168,350	168,350
Total, Method of Finance	\$216,274	\$221,694

FULL-TIME EQUIVALENT POSITIONS (FTE):

2.0	2.0
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EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

The PUC is requesting additional capital budget appropriation authority to cover increased Data Center Consolidation Costs as projected by DIR.

4.C. Exceptional Items Strategy Request
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/16/2012
TIME: 10:06:57AM

Agency Code: **473** Agency name: **Public Utility Commission of Texas**

GOAL:	1 Ensure Competition, Choice, Just Rates, and Reliable Quality Service	Statewide Goal/Benchmark:	7 - 8
OBJECTIVE:	2 Regulate Providers Ensuring Companies Meet Service Quality Standards	Service Categories:	
STRATEGY:	1 Conduct Rate Cases for Regulated Telephone and Electric Utilities	Service: 17	Income: A.2 Age: B.3

CODE	DESCRIPTION	Excp 2014	Excp 2015
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The PUC is requesting restoration of its 10 percent appropriation reduction from the 82nd Session in 2011.

4.C. Exceptional Items Strategy Request
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/16/2012
TIME: 10:06:57AM

Agency Code: **473** Agency name: **Public Utility Commission of Texas**

GOAL: 1 Ensure Competition, Choice, Just Rates, and Reliable Quality Service Statewide Goal/Benchmark: 7 - 8

OBJECTIVE: 3 Ensure Compliance with Statutes, Rules, and Orders Service Categories:

STRATEGY: 1 Conduct Investigations and Initiate Enforcement Actions Service: 17 Income: NA Age: NA

CODE DESCRIPTION	Excp 2014	Excp 2015
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OUTPUT MEASURES:

<u>1</u> Number of Enforcement Investigations Conducted	10.00	10.00
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EXPLANATORY/INPUT MEASURES:

<u>1</u> Dollar Amount Administrative Penalties Assessed for Violations	2,000,000.00	2,000,000.00
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OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	288,000	288,000
1002 OTHER PERSONNEL COSTS	12,000	12,000
2001 PROFESSIONAL FEES AND SERVICES	23,962	26,672
2003 CONSUMABLE SUPPLIES	2,400	2,400
2005 TRAVEL	3,200	3,200
2007 RENT - MACHINE AND OTHER	12,400	12,400
2009 OTHER OPERATING EXPENSE	14,000	14,000
Total, Objects of Expense	\$355,962	\$358,672

METHOD OF FINANCING:

1 General Revenue Fund	23,962	26,672
5100 System Benefit Account	332,000	332,000
Total, Method of Finance	\$355,962	\$358,672

FULL-TIME EQUIVALENT POSITIONS (FTE):	4.0	4.0
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EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

The PUC is requesting additional capital budget appropriation authority to cover increased Data Center Consolidation Costs as projected by DIR.

The PUC is requesting restoration of its 10 percent appropriation reduction from the 82nd Session in 2011.

4.C. Exceptional Items Strategy Request
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/16/2012
TIME: 10:06:57AM

Agency Code: **473** Agency name: **Public Utility Commission of Texas**

GOAL: 2 Educate Customers and Assist Customers Statewide Goal/Benchmark: 7 - 8

OBJECTIVE: 1 Inform Customers of Choices & Rights & Facilitate Information Access Service Categories:

STRATEGY: 1 Provide Information about Changes in Electric and Telecom Industries Service: 17 Income: A.2 Age: B.3

CODE DESCRIPTION	Exp 2014	Exp 2015
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OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	84,000	84,000
1002 OTHER PERSONNEL COSTS	3,550	3,550
2001 PROFESSIONAL FEES AND SERVICES	10,269	11,431
2003 CONSUMABLE SUPPLIES	825	825
2005 TRAVEL	1,000	1,000
2007 RENT - MACHINE AND OTHER	3,600	3,600
2009 OTHER OPERATING EXPENSE	4,466	4,465
Total, Objects of Expense	\$107,710	\$108,871

METHOD OF FINANCING:

1 General Revenue Fund	10,269	11,431
5100 System Benefit Account	97,441	97,440
Total, Method of Finance	\$107,710	\$108,871

FULL-TIME EQUIVALENT POSITIONS (FTE): 2.0 2.0

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

The PUC is requesting additional capital budget appropriation authority to cover increased Data Center Consolidation Costs as projected by DIR.

The PUC is requesting restoration of its 10 percent appropriation reduction from the 82nd Session in 2011.

4.C. Exceptional Items Strategy Request
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/16/2012
TIME: 10:06:57AM

Agency Code: **473** Agency name: **Public Utility Commission of Texas**

GOAL: 2 Educate Customers and Assist Customers Statewide Goal/Benchmark: 7 - 8

OBJECTIVE: 2 Resolve Complaints Consistent w/Laws & PUC Rules & Orders Service Categories:

STRATEGY: 1 Assist Customers in Resolving Disputes Service: 17 Income: A.2 Age: B.3

CODE DESCRIPTION	Exp 2014	Exp 2015
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STRATEGY IMPACT ON OUTCOME MEASURES:

<u>2</u> Credits & Refunds Obtained for Customers through Complaint Resolution	1,300,000.00	1,300,000.00
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OUTPUT MEASURES:

<u>1</u> Number of Customer Complaints Concluded	2,000.00	2,000.00
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EFFICIENCY MEASURES:

<u>1</u> Average Number of Days to Conclude Customer Complaints	16.00	16.00
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OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	102,000	102,000
1002 OTHER PERSONNEL COSTS	4,300	4,300
2001 PROFESSIONAL FEES AND SERVICES	8,558	9,526
2003 CONSUMABLE SUPPLIES	1,000	1,000
2005 TRAVEL	1,200	1,200
2007 RENT - MACHINE AND OTHER	4,400	4,400
2009 OTHER OPERATING EXPENSE	5,100	5,100

Total, Objects of Expense	\$126,558	\$127,526
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METHOD OF FINANCING:

1 General Revenue Fund	8,558	9,526
5100 System Benefit Account	118,000	118,000

Total, Method of Finance	\$126,558	\$127,526
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FULL-TIME EQUIVALENT POSITIONS (FTE):	2.0	2.0
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4.C. Exceptional Items Strategy Request
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/16/2012
TIME: 10:06:57AM

Agency Code: **473** Agency name: **Public Utility Commission of Texas**

GOAL: 2 Educate Customers and Assist Customers Statewide Goal/Benchmark: 7 - 8

OBJECTIVE: 2 Resolve Complaints Consistent w/Laws & PUC Rules & Orders Service Categories:

STRATEGY: 1 Assist Customers in Resolving Disputes Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2014	Exp 2015
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EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

The PUC is requesting additional capital budget appropriation authority to cover increased Data Center Consolidation Costs as projected by DIR.

The PUC is requesting restoration of its 10 percent appropriation reduction from the 82nd Session in 2011.

4.C. Exceptional Items Strategy Request
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/16/2012
TIME: 10:06:57AM

Agency Code: **473** Agency name: **Public Utility Commission of Texas**

GOAL: 3 Electric Utility Restructuring Statewide Goal/Benchmark: 7 - 8
 OBJECTIVE: 1 Provide Financial Assistance Service Categories:
 STRATEGY: 2 Customer Education. Nontransferable Service: 17 Income: A.1 Age: B.3

CODE DESCRIPTION	Excp 2014	Excp 2015
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OUTPUT MEASURES:

1 # of Customers Information Products Distributed	7,500.00	7,500.00
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EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

The PUC is requesting restoration of its 10 percent appropriation reduction from the 82nd Session in 2011.

4.C. Exceptional Items Strategy Request
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/16/2012
TIME: 10:06:57AM

Agency Code: **473** Agency name: **Public Utility Commission of Texas**

GOAL: 4 Indirect Administration Statewide Goal/Benchmark: 7 - 8
 OBJECTIVE: 1 Indirect Administration Service Categories:
 STRATEGY: 1 Central Administration Service: 17 Income: A.2 Age: B.3

CODE DESCRIPTION	Excp 2014	Excp 2015
OBJECTS OF EXPENSE:		
2001 PROFESSIONAL FEES AND SERVICES	20,539	22,862
Total, Objects of Expense	\$20,539	\$22,862
METHOD OF FINANCING:		
1 General Revenue Fund	20,539	22,862
Total, Method of Finance	\$20,539	\$22,862

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

The PUC is requesting additional capital budget appropriation authority to cover increased Data Center Consolidation Costs as projected by DIR.

4.C. Exceptional Items Strategy Request
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/16/2012
TIME: 10:06:57AM

Agency Code: **473** Agency name: **Public Utility Commission of Texas**

GOAL: 4 Indirect Administration Statewide Goal/Benchmark: 7 - 8
 OBJECTIVE: 1 Indirect Administration Service Categories:
 STRATEGY: 2 Information Resources Service: 17 Income: A.2 Age: B.3

CODE DESCRIPTION	Excp 2014	Excp 2015
OBJECTS OF EXPENSE:		
2001 PROFESSIONAL FEES AND SERVICES	8,558	9,525
Total, Objects of Expense	\$8,558	\$9,525
METHOD OF FINANCING:		
1 General Revenue Fund	8,558	9,525
Total, Method of Finance	\$8,558	\$9,525

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

The PUC is requesting additional capital budget appropriation authority to cover increased Data Center Consolidation Costs as projected by DIR.

4.C. Exceptional Items Strategy Request
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/16/2012
TIME: 10:06:57AM

Agency Code: **473** Agency name: **Public Utility Commission of Texas**

GOAL: 4 Indirect Administration Statewide Goal/Benchmark: 7 - 8
 OBJECTIVE: 1 Indirect Administration Service Categories:
 STRATEGY: 3 Other Support Services Service: 17 Income: A.2 Age: B.3

CODE DESCRIPTION	Excp 2014	Excp 2015
OBJECTS OF EXPENSE:		
2001 PROFESSIONAL FEES AND SERVICES	1,711	1,904
Total, Objects of Expense	\$1,711	\$1,904
METHOD OF FINANCING:		
1 General Revenue Fund	1,711	1,904
Total, Method of Finance	\$1,711	\$1,904

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

The PUC is requesting additional capital budget appropriation authority to cover increased Data Center Consolidation Costs as projected by DIR.

5.A. Capital Budget Project Schedule
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/16/2012**
 TIME : **10:06:57AM**

Agency code: **473**

Agency name: **Public Utility Commission of Texas**

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE		Est 2012	Bud 2013	BL 2014	BL 2015
5005 Acquisition of Information Resource Technologies					
<i>1/1 Data Center Consolidation</i>					
OBJECTS OF EXPENSE					
<u>Capital</u>					
General	2001 PROFESSIONAL FEES AND SERVICES	\$280,010	\$448,371	\$301,672	\$301,672
Capital Subtotal OOE, Project 1		\$280,010	\$448,371	\$301,672	\$301,672
Subtotal OOE, Project 1		\$280,010	\$448,371	\$301,672	\$301,672
TYPE OF FINANCING					
<u>Capital</u>					
General	CA 1 General Revenue Fund	\$280,010	\$448,371	\$301,672	\$301,672
Capital Subtotal TOF, Project 1		\$280,010	\$448,371	\$301,672	\$301,672
Subtotal TOF, Project 1		\$280,010	\$448,371	\$301,672	\$301,672
Capital Subtotal, Category 5005		\$280,010	\$448,371	\$301,672	\$301,672
Informational Subtotal, Category 5005					
Total, Category 5005		\$280,010	\$448,371	\$301,672	\$301,672
AGENCY TOTAL -CAPITAL		\$280,010	\$448,371	\$301,672	\$301,672
AGENCY TOTAL -INFORMATIONAL					
AGENCY TOTAL		\$280,010	\$448,371	\$301,672	\$301,672

5.A. Capital Budget Project Schedule
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/16/2012**
 TIME : **10:06:57AM**

Agency code: **473**

Agency name: **Public Utility Commission of Texas**

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE		Est 2012	Bud 2013	BL 2014	BL 2015
METHOD OF FINANCING:					
<u>Capital</u>					
General	1 General Revenue Fund	\$280,010	\$448,371	\$301,672	\$301,672
Total, Method of Financing-Capital		\$280,010	\$448,371	\$301,672	\$301,672
Total, Method of Financing		\$280,010	\$448,371	\$301,672	\$301,672
TYPE OF FINANCING:					
<u>Capital</u>					
General	CA CURRENT APPROPRIATIONS	\$280,010	\$448,371	\$301,672	\$301,672
Total, Type of Financing-Capital		\$280,010	\$448,371	\$301,672	\$301,672
Total, Type of Financing		\$280,010	\$448,371	\$301,672	\$301,672

5.B. Capital Budget Project Information
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/16/2012
 TIME: 10:06:58AM

Agency Code:	473	Agency name:	Public Utility Commission of Texas
Category Number:	5005	Category Name:	ACQUISITN INFO RES TECH.
Project number:	1	Project Name:	Data Center Consolidation

PROJECT DESCRIPTION

General Information

In December 2011, the Texas Department of Information Resources (DIR) signed three multi-year contracts to provide consolidated data center services to 28 state agencies. The first of the contracts was awarded to Capgemini North America, Inc. to act as a services integrator enabling the State to standardize processes and maximize the value of its information technology services. The six-year, approximately \$127 million contract includes service level management, service desk support, project management, IT security, business continuity, disaster recovery and financial management.

A second contract was signed with ACS State and Local Solutions, Inc., a wholly-owned subsidiary of Xerox Corporation, to provide infrastructure services in four areas: mainframes, servers, networks and data center operations. This eight-year, approximately \$1.1 billion contract emphasizes delivering improved customer services, stabilizing the State's IT infrastructure environment, and consolidating computer servers from legacy agency data centers to the State's two consolidated data centers. The third contract was awarded to Xerox Corporation to provide bulk printing and mailing services. The six-year, approximately \$56 million deal will leverage the State's significant mail volumes to keep costs low, while providing more flexibility to state agencies to meet their business needs.

Number of Units / Average Unit Cost	0			
Estimated Completion Date	8/31/2016			
Additional Capital Expenditure Amounts Required		2016		2017
		0		0
Type of Financing	CA	CURRENT APPROPRIATIONS		
Projected Useful Life				
Estimated/Actual Project Cost	\$0			
Length of Financing/ Lease Period				

<u>ESTIMATED/ACTUAL DEBT OBLIGATION PAYMENTS</u>					Total over project life
	2014	2015	2016	2017	
	0	0	0	0	0

<u>REVENUE GENERATION / COST SAVINGS</u>		
<u>REVENUE COST FLAG</u>	<u>MOF CODE</u>	<u>AVERAGE AMOUNT</u>

Explanation: Texas Government Code §2054.375, Subchapter L. Statewide Technology Centers requires DIR to manage a statewide data center consolidation and identify agencies for participation.

Project Location: Austin, Texas

Beneficiaries: The agency will benefit from having a secure and reliable data center.

Frequency of Use and External Factors Affecting Use:

This will be used in the daily operations of the agency.

Agency code: 473 Agency name: Public Utility Commission of Texas

Category Code/Name

Project Sequence/Project Id/Name

Goal/Obj/Str	Strategy Name	Est 2012	Bud 2013	BL 2014	BL 2015	
5005 Acquisition of Information Resource Technologies						
<i>1/1</i>	<i>Data Center Consolidation</i>					
<u>GENERAL BUDGET</u>						
Capital	1-1-1	MARKET COMPETITION	81,203	130,028	\$87,485	\$87,485
	1-2-1	UTILITY REGULATION	78,403	125,544	84,468	84,468
	1-3-1	INVESTIGATION AND ENFORCEMENT	39,202	62,772	42,234	42,234
	2-2-1	ASSIST CUSTOMERS	14,001	22,418	15,084	15,084
	2-1-1	PROVIDE FACTS ABOUT CHANGES	16,800	26,902	18,100	18,100
	4-1-1	CENTRAL ADMINISTRATION	33,601	53,805	36,201	36,201
	4-1-2	INFORMATION RESOURCES	14,000	22,418	15,083	15,083
	4-1-3	OTHER SUPPORT SERVICES	2,800	4,484	3,017	3,017
		TOTAL, PROJECT	\$280,010	\$448,371	\$301,672	\$301,672
		TOTAL CAPITAL, ALL PROJECTS	\$280,010	\$448,371	\$301,672	\$301,672
		TOTAL INFORMATIONAL, ALL PROJECTS				
		TOTAL, ALL PROJECTS	\$280,010	\$448,371	\$301,672	\$301,672

473 Public Utility Commission of Texas

Category Code/Name

Project Sequence/Name

Goal/Obj/Str	Strategy Name	Est 2012	Bud 2013	BL 2014	BL 2015
5005 Acquisition of Information Resource Technologies					
1 Data Center Consolidation					
OOE					
Capital					
1-1-1 MARKET COMPETITION					
<u>General Budget</u>					
2001	PROFESSIONAL FEES AND SERVICES	81,203	130,028	87,485	87,485
1-2-1 UTILITY REGULATION					
<u>General Budget</u>					
2001	PROFESSIONAL FEES AND SERVICES	78,403	125,544	84,468	84,468
1-3-1 INVESTIGATION AND ENFORCEMENT					
<u>General Budget</u>					
2001	PROFESSIONAL FEES AND SERVICES	39,202	62,772	42,234	42,234
2-1-1 PROVIDE FACTS ABOUT CHANGES					
<u>General Budget</u>					
2001	PROFESSIONAL FEES AND SERVICES	16,800	26,902	18,100	18,100
2-2-1 ASSIST CUSTOMERS					
<u>General Budget</u>					
2001	PROFESSIONAL FEES AND SERVICES	14,001	22,418	15,084	15,084
4-1-1 CENTRAL ADMINISTRATION					
<u>General Budget</u>					

473 Public Utility Commission of Texas

Category Code/Name		Est 2012	Bud 2013	BL 2014	BL 2015
Project Sequence/Name					
Goal/Obj/Str	Strategy Name				
1 Data Center Consolidation					
2001	PROFESSIONAL FEES AND SERVICES	33,601	53,805	36,201	36,201
4-1-2 INFORMATION RESOURCES					
<u>General Budget</u>					
2001	PROFESSIONAL FEES AND SERVICES	14,000	22,418	15,083	15,083
4-1-3 OTHER SUPPORT SERVICES					
<u>General Budget</u>					
2001	PROFESSIONAL FEES AND SERVICES	2,800	4,484	3,017	3,017
TOTAL, OOE's		\$280,010	\$448,371	301,672	301,672
MOF					
GENERAL REVENUE FUNDS					
Capital					
1-1-1 MARKET COMPETITION					
<u>General Budget</u>					
1	General Revenue Fund	81,203	130,028	87,485	87,485
1-2-1 UTILITY REGULATION					
<u>General Budget</u>					
1	General Revenue Fund	78,403	125,544	84,468	84,468
1-3-1 INVESTIGATION AND ENFORCEMENT					
<u>General Budget</u>					
1	General Revenue Fund	39,202	62,772	42,234	42,234
2-1-1 PROVIDE FACTS ABOUT CHANGES					
<u>General Budget</u>					

473 Public Utility Commission of Texas

Category Code/Name		Est 2012	Bud 2013	BL 2014	BL 2015
<i>Project Sequence/Name</i>					
Goal/Obj/Str	Strategy Name	Est 2012	Bud 2013	BL 2014	BL 2015
1 Data Center Consolidation					
1	General Revenue Fund	16,800	26,902	18,100	18,100
2-2-1 ASSIST CUSTOMERS					
<u>General Budget</u>					
1	General Revenue Fund	14,001	22,418	15,084	15,084
4-1-1 CENTRAL ADMINISTRATION					
<u>General Budget</u>					
1	General Revenue Fund	33,601	53,805	36,201	36,201
4-1-2 INFORMATION RESOURCES					
<u>General Budget</u>					
1	General Revenue Fund	14,000	22,418	15,083	15,083
4-1-3 OTHER SUPPORT SERVICES					
<u>General Budget</u>					
1	General Revenue Fund	2,800	4,484	3,017	3,017
TOTAL, GENERAL REVENUE FUNDS		\$280,010	\$448,371	301,672	301,672
TOTAL, MOFs		\$280,010	\$448,371	301,672	301,672

473 Public Utility Commission of Texas

		Est 2012	Bud 2013	BL 2014	BL 2015
CAPITAL					
<u>General Budget</u>					
GENERAL REVENUE FUNDS					
	TOTAL, GENERAL BUDGET	\$280,010	\$448,371	301,672	301,672
		280,010	448,371	301,672	301,672
	TOTAL, ALL PROJECTS	\$280,010	\$448,371	301,672	301,672

473 Public Utility Commission of Texas

Category Code/Name

Project Number/Name

Goal/Obj/Str	Strategy Name	Excp 2014	Excp 2015
5005 Acquisition of Information Resource Technologies			
1	Data Center Consolidation		
1 1 1	MARKET COMPETITION	49,635	55,249
1 2 1	UTILITY REGULATION	47,924	53,344
1 3 1	INVESTIGATION AND ENFORCEMENT	23,962	26,672
2 2 1	ASSIST CUSTOMERS	8,558	9,526
2 1 1	PROVIDE FACTS ABOUT CHANGES	10,269	11,431
4 1 1	CENTRAL ADMINISTRATION	20,539	22,862
4 1 2	INFORMATION RESOURCES	8,558	9,525
4 1 3	OTHER SUPPORT SERVICES	1,711	1,904
TOTAL, PROJECT		171,156	190,513
TOTAL, ALL PROJECTS		171,156	190,513

473 Public Utility Commission of Texas

Category Code / Category Name <i>Project Number / Name</i>	Excp 2014	Excp 2015
OOE / TOF / MOF CODE		
5005 Acquisition of Information Resource Technologies		
<u>1 Data Center Consolidation</u>		
Objects of Expense		
2001 PROFESSIONAL FEES AND SERVICES	171,156	190,513
Subtotal OOE, Project 1	171,156	190,513
Type of Financing		
CA 1 General Revenue Fund	171,156	190,513
Subtotal TOF, Project 1	171,156	190,513
Subtotal Category 5005	171,156	190,513
AGENCY TOTAL	171,156	190,513
METHOD OF FINANCING:		
1 General Revenue Fund	171,156	190,513
Total, Method of Financing	171,156	190,513
TYPE OF FINANCING:		
CA CURRENT APPROPRIATIONS	171,156	190,513
Total, Type of Financing	171,156	190,513

6.A. Historically Underutilized Business Supporting Schedule
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: **8/16/2012**
 Time: **10:06:59AM**

Agency Code: **473** Agency: **Public Utility Commission of Texas**

COMPARISON TO STATEWIDE HUB PROCUREMENT GOALS

A. Fiscal Year 2010 - 2011 HUB Expenditure Information

Statewide HUB Goals	Procurement Category	% Goal	HUB Expenditures FY 2010			Total Expenditures FY 2010		HUB Expenditures FY 2011			Total Expenditures FY 2011	
			% Actual	Diff	Actual \$	% Goal	% Actual	Diff	Actual \$	% Goal	% Actual	Diff
57.2%	Special Trade Construction	57.2 %	0.0%	-57.2%	\$0	\$484	0.0 %	0.0%	0.0%	\$0	\$0	
20.0%	Professional Services	20.0 %	100.0%	80.0%	\$6,800	\$6,800	20.0 %	100.0%	80.0%	\$6,800	\$6,800	
33.0%	Other Services	33.0 %	30.9%	-2.1%	\$926,639	\$2,997,532	33.0 %	23.5%	-9.5%	\$878,589	\$3,744,519	
12.6%	Commodities	12.6 %	55.3%	42.7%	\$255,008	\$461,421	12.6 %	68.9%	56.3%	\$353,127	\$512,589	
	Total Expenditures		34.3%		\$1,188,447	\$3,466,237		29.0%		\$1,238,516	\$4,263,908	

B. Assessment of Fiscal Year 2010 - 2011 Efforts to Meet HUB Procurement Goals

Attainment:

The agency exceeded two of four, or 50%, of the applicable statewide HUB procurement goals in FY 2010.

The agency exceeded two of three, or 67%, of the applicable statewide HUB procurement goals in FY 2011.

Applicability:

In FY 2010, the agency had no strategies, or programs, related to Heavy Construction or Building Construction purchases. \$0 were spent by the agency under each of these categories.

In FY 2011, the agency had no strategies, or programs, related to Heavy Construction, Building Construction or Special Trade purchases. \$0 were spent by the agency under each of these categories.

Factors Affecting Attainment:

In FY 2010, the Public Utility Commission contracted for Special Trade usually taken care of by Texas Facilities Commission. Because of the immediate need, a non-HUB vendor was used to expedite the repair.

The Public Utility Commission contracts for Other Services. They are highly specialized in nature and few of the qualified vendors are certified as HUBs.

In fiscal years 2010 and 2011, the goal for the "Other Services" category were not met. The PUC's low percentage in this category was negatively affected by the total dollar amount spent on larger projects. These larger projects increased the overall expenditures in this category, and even though the PUC paid subcontractors as part of its contracts, this consideration was not enough to bring the percentage up to the statewide goal.

6.A. Historically Underutilized Business Supporting Schedule
83rd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: **8/16/2012**
Time: **10:06:59AM**

Agency Code: **473** Agency: **Public Utility Commission of Texas**

"Good-Faith" Efforts:

The agency makes the following good-faith efforts to comply with the statewide HUB procurement goals per 1 TAC, Section 111.13(c): (1) Obtained the required minimum two HUB bids (minority-owned business from the state's HUB database) for purchases of commodities and/or services over \$5000—except when releasing from master contracts; (2) For spot purchases below \$5000, which do not require bids, the agency routinely attempts to obtain bids from HUB vendors whenever possible. When competitive bids on spot purchases are not available, the agency routinely purchases from HUB vendors. This is particularly evident in office supplies and IT equipment and supplies; (3) The PUC also includes the HUB Subcontracting Plan in every request for proposal, not just those with estimated value of \$100,000 or more, unless the proposal is exempted by the Texas Government Code.

6.C. FEDERAL FUNDS SUPPORTING SCHEDULE
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/16/2012**
 TIME: **10:06:59AM**

Agency code:	473	Agency name:	Public Utility Commission of Texas					
CFDA NUMBER/ STRATEGY				Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
81.122.000	Elctrcy Dlvry & Rliblty-Stimulus							
1 - 1 - 1	MARKET COMPETITION			315,908	433,978	82,197	0	0
TOTAL, ALL STRATEGIES				\$315,908	\$433,978	\$82,197	\$0	\$0
ADDL FED FNDS FOR EMPL BENEFITS				76,110	107,688	20,396	0	0
TOTAL, FEDERAL FUNDS				\$392,018	\$541,666	\$102,593	\$0	\$0
ADDL GR FOR EMPL BENEFITS				\$0	\$0	\$0	\$0	\$0

Agency code: 473 Agency name: Public Utility Commission of Texas

CFDA NUMBER/ STRATEGY Exp 2011 Est 2012 Bud 2013 BL 2014 BL 2015

SUMMARY LISTING OF FEDERAL PROGRAM AMOUNTS

81.122.000	Electrcy Dlvry & Rliblty-Stimulus	315,908	433,978	82,197	0	0
TOTAL, ALL STRATEGIES		\$315,908	\$433,978	\$82,197	\$0	\$0
TOTAL , ADDL FED FUNDS FOR EMPL BENEFITS		76,110	107,688	20,396	0	0
TOTAL, FEDERAL FUNDS		\$392,018	\$541,666	\$102,593	\$0	\$0
TOTAL, ADDL GR FOR EMPL BENEFITS		\$0	\$0	\$0	\$0	\$0

SUMMARY OF SPECIAL CONCERNS/ISSUES

Assumptions and Methodology:

Potential Loss:

6.D. Federal Funds Tracking Schedule

83rd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/16/2012
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Agency code: 473

Agency name: **Public Utility Commission of Texas**

Federal FY	Award Amount	Expended SFY 2009	Expended SFY 2010	Expended SFY 2011	Expended SFY 2012	Expended SFY 2013	Budgeted SFY 2014	Estimated SFY 2015	Total	Difference from Award
CFDA 81.122.000 Elctrcy Dlvry & Rliblty-Stimulus										
2010	\$0	\$0	\$333,779	\$392,018	\$541,666	\$102,593	\$0	\$0	\$1,370,056	\$-1,370,056
Total	\$0	\$0	\$333,779	\$392,018	\$541,666	\$102,593	\$0	\$0	\$1,370,056	\$-1,370,056
<hr/>										
Empl. Benefit Payment		\$0	\$66,357	\$76,110	\$107,688	\$20,396	\$0	\$0	\$270,551	

6.E. Estimated Revenue Collections Supporting Schedule
83rd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **473** Agency name: **Public Utility Commission of Texas**

FUND/ACCOUNT	Act 2011	Exp 2012	Exp 2013	Bud 2014	Est 2015
666 Appropriated Receipts					
Beginning Balance (Unencumbered):	\$0	\$0	\$0	\$0	\$0
Estimated Revenue:					
3603 Universal Srvc Fund Reimbursement	534,626	464,873	400,000	400,000	400,000
3719 Fees/Copies or Filing of Records	9,138	10,127	75,000	75,000	75,000
Subtotal: Actual/Estimated Revenue	543,764	475,000	475,000	475,000	475,000
Total Available	\$543,764	\$475,000	\$475,000	\$475,000	\$475,000
DEDUCTIONS:					
Texas Universal Service Fund Costs	(534,626)	(464,873)	(400,000)	(400,000)	(400,000)
Art. IX Sale of Printed Materials	(9,138)	(8,945)	(45,000)	(45,000)	(45,000)
Rider 3, PUC Interchange	0	(1,182)	(30,000)	(30,000)	(30,000)
Total, Deductions	\$(543,764)	\$(475,000)	\$(475,000)	\$(475,000)	\$(475,000)
Ending Fund/Account Balance	\$0	\$0	\$0	\$0	\$0

REVENUE ASSUMPTIONS:

CONTACT PERSON:

Jim Albright

6.E. Estimated Revenue Collections Supporting Schedule
83rd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **473** Agency name: **Public Utility Commission of Texas**

FUND/ACCOUNT	Act 2011	Exp 2012	Exp 2013	Bud 2014	Est 2015
5100 System Benefit Account					
Beginning Balance (Unencumbered):	\$584,453,428	\$664,859,657	\$745,073,498	\$804,595,261	\$810,140,860
Estimated Revenue:					
3244 Non-Bypassable Utility Fee	147,570,662	148,699,735	150,231,044	0	0
3857 Int on State Deposits/Treasury Inv	5,535,312	4,218,444	3,960,000	4,148,000	4,186,000
Subtotal: Actual/Estimated Revenue	153,105,974	152,918,179	154,191,044	4,148,000	4,186,000
Total Available	\$737,559,402	\$817,777,836	\$899,264,542	\$808,743,261	\$814,326,860
DEDUCTIONS:					
Electric Market Oversight	(1,200,000)	(6,041,736)	(6,041,736)	(6,041,736)	(6,041,736)
Administer Deregulation Statute	(546,824)	(777,027)	(838,075)	(807,551)	(807,551)
Customer Education	(291,002)	(750,000)	(750,000)	(750,000)	(750,000)
Low-Income Discount Program	(70,661,919)	(65,135,575)	(87,039,470)	(75,099,515)	(77,075,530)
Total, Deductions	\$(72,699,745)	\$(72,704,338)	\$(94,669,281)	\$(82,698,802)	\$(84,674,817)
Ending Fund/Account Balance	\$664,859,657	\$745,073,498	\$804,595,261	\$726,044,459	\$729,652,043

REVENUE ASSUMPTIONS:

Revenue is derived from a non-bypassable fee not to exceed cents per megawatt hour on customers' electric bills living in those areas of the state that are in market competition. This LAR assumes the fee will be set at zero for fiscal years 2014 and 2015. Assumptions are also made as to the amount of electricity consumed, the number of customers, the temperature in any given time period, the state of the economy and other information that may be derived from the companies.

CONTACT PERSON:

Jim Albright

6.G HOMELAND SECURITY FUNDING SCHEDULE - PART A TERRORISM

DATE: 8/16/2012
 TIME: 10:07:00AM

83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **473** Agency name: **Public Utility Commission of Texas**

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
OBJECTS OF EXPENSE						
1001	SALARIES AND WAGES	\$81,414	\$105,752	\$102,324	\$22,800	\$22,800
2001	PROFESSIONAL FEES AND SERVICES	\$2,101	\$19,925	\$14,685	\$400	\$400
2004	UTILITIES	\$1,090	\$0	\$0	\$0	\$0
2005	TRAVEL	\$7,110	\$13,968	\$2,100	\$2,100	\$2,100
2007	RENT - MACHINE AND OTHER	\$1,902	\$2,370	\$500	\$500	\$500
2009	OTHER OPERATING EXPENSE	\$957	\$1,360	\$800	\$800	\$800
TOTAL, OBJECTS OF EXPENSE		\$94,574	\$143,375	\$120,409	\$26,600	\$26,600
METHOD OF FINANCING						
1	General Revenue Fund	\$35,067	\$31,223	\$26,600	\$26,600	\$26,600
	Subtotal, MOF (General Revenue Funds)	\$35,067	\$31,223	\$26,600	\$26,600	\$26,600
777	Interagency Contracts	\$59,507	\$112,152	\$93,809	\$0	\$0
	Subtotal, MOF (Other Funds)	\$59,507	\$112,152	\$93,809	\$0	\$0
TOTAL, METHOD OF FINANCE		\$94,574	\$143,375	\$120,409	\$26,600	\$26,600
FULL-TIME-EQUIVALENT POSITIONS		1.2	1.5	1.6	0.4	0.4

6.G HOMELAND SECURITY FUNDING SCHEDULE - PART A TERRORISM

DATE: 8/16/2012
TIME: 10:07:00AM

83rd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **473** Agency name: **Public Utility Commission of Texas**

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
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USE OF HOMELAND SECURITY FUNDS

The Homeland Security Coordinator (HSC) and the Emergency Management Coordinator (EMC) for the Public Utility Commission of Texas (PUC) communicate with the divisions and the Commissioners and prepares and update the Business Continuity plan. The HSC and the EMC work with the State Office of Risk Management and the Texas Facilities Commission to make sure that the state owned-building occupied by PUC is prepared for both terrorism and natural or manmade disasters. Since the PUC regulates the Telecommunications and Electric Utilities, the HSC and the EMC travel to telecommunications utilities and the electric utilities working in coordination with that utility's Emergency Operations Team. During a natural disaster or terrorism event the PUC Emergency Management Response Team (made up of the HSC, EMC, and the rotating roster) coordinates with the Texas State Operations Center to prioritize and assist the affected utilities in the restoration of power and communications. The HSC and EMC create various reports for the Executive Director and the Commissioners. The HSC and the EMC also serve on various local, state, and national committees and workgroups that address critical infrastructure and homeland security matters.

6.G HOMELAND SECURITY FUNDING SCHEDULE - PART B NATURAL OR MAN-MADE DISASTERS

DATE: 8/16/2012
 TIME: 10:07:00AM

83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **473** Agency name: **Public Utility Commission of Texas**

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
OBJECTS OF EXPENSE						
1001	SALARIES AND WAGES	\$101,181	\$100,119	\$89,061	\$48,500	\$48,500
2001	PROFESSIONAL FEES AND SERVICES	\$15,040	\$252,009	\$79,884	\$1,000	\$1,000
2004	UTILITIES	\$1,334	\$0	\$0	\$0	\$0
2005	TRAVEL	\$7,017	\$13,035	\$3,100	\$3,100	\$3,100
2007	RENT - MACHINE AND OTHER	\$2,300	\$2,122	\$1,200	\$1,200	\$1,200
2009	OTHER OPERATING EXPENSE	\$1,084	\$1,220	\$900	\$900	\$900
TOTAL, OBJECTS OF EXPENSE		\$127,956	\$368,505	\$174,145	\$54,700	\$54,700
METHOD OF FINANCING						
1	General Revenue Fund	\$68,914	\$63,875	\$54,700	\$54,700	\$54,700
	Subtotal, MOF (General Revenue Funds)	\$68,914	\$63,875	\$54,700	\$54,700	\$54,700
777	Interagency Contracts	\$59,042	\$304,630	\$119,445	\$0	\$0
	Subtotal, MOF (Other Funds)	\$59,042	\$304,630	\$119,445	\$0	\$0
TOTAL, METHOD OF FINANCE		\$127,956	\$368,505	\$174,145	\$54,700	\$54,700
FULL-TIME-EQUIVALENT POSITIONS		1.5	1.4	1.3	0.7	0.7

6.G HOMELAND SECURITY FUNDING SCHEDULE - PART B NATURAL OR MAN-MADE DISASTERS

DATE: 8/16/2012
 TIME: 10:07:00AM

83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **473** Agency name: **Public Utility Commission of Texas**

CODE	DESCRIPTION	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
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USE OF HOMELAND SECURITY FUNDS

The Homeland Security Coordinator (HSC) and the Emergency Management Coordinator (EMC) for the Public Utility Commission of Texas (PUC) communicate with the divisions and the Commissioners and prepares and update the Business Continuity plan. The HSC and the EMC work with the State Office of Risk Management and the Texas Facilities Commission to make sure that the state owned-building occupied by PUC is prepared for both terrorism and natural or manmade disasters. Since the PUC regulates the Telecommunications and Electric Utilities, the HSC and the EMC travel to telecommunications utilities and the electric utilities working in coordination with that utility's Emergency Operations Team. During a natural disaster or terrorism event the PUC Emergency Management Response Team (made up of the HSC, EMC, and the rotating roster) coordinates with the Texas State Operations Center to prioritize and assist the affected utilities in the restoration of power and communications. The HSC and EMC create various reports for the Executive Director and the Commissioners. The HSC and the EMC also serve on various local, state, and national committees and workgroups that address critical infrastructure and homeland security matters.

6.H. Estimated Total of All Agency Funds Outside the GAA Bill Pattern
Public Utility Commission of Texas

ESTIMATED GRAND TOTAL OF AGENCY FUNDS OUTSIDE THE 2014-15 GAA BILL PATTERN	\$	783,538,713
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<u>Texas Universal Service Fund</u>		
Estimated Beginning Balance in FY 2012	\$	72,819,030
Estimated Revenues FY 2012	\$	378,049,318
Estimated Revenues FY 2013	\$	390,695,127
FY 2012-13 Total	\$	841,563,475
Estimated Beginning Balance in FY 2014	\$	69,240,065
Estimated Revenues FY 2014	\$	367,887,442
Estimated Revenues FY 2015	\$	346,411,206
FY 2014-15 Total	\$	783,538,713
Constitutional or Statutory Creation and Use of Funds:		
<p>The Public Utility Regulatory Act (PURA) Chapter 56 (Telecommunications Assistance and Universal Service Fund), Subchapter B. (Universal Service Fund) Sec. 56.021 (Universal Service Fund Established) requires the Commission to adopt and enforce rules requiring Local Exchange Telephone companies to establish a universal service fund. The fund may be used to (1) assist telecommunications providers in providing basic local telecommunications service at reasonable rates in high cost rural areas; (2) reimburse the telecommunications carrier that provides the statewide telecommunications relay access service, Relay Texas, under Subchapter D; (3) finance the specialized telecommunications assistance program under Subchapter E; (4) reimburse the department of Health and Human Services, Texas Commission for the Deaf and Hard of Hearing, and the Public Utility Commission for costs incurred in implementing Chapter 56 and Chapter 57 of PURA; (5) reimburse telecommunication carriers that provide Lifeline telephone discount to low income customers as provided by 47 C.F.R. Part 54, Subpart E, as amended; (6) finance the implementation and administration of an integrated eligibility process created under Section 17.007 for Lifeline discounts, including outreach expenses the commission determines are reasonable and necessary; (7) reimburse a provider designated to serve an uncertificated area under Subchapter F; (8) reimburse a successor utility designated to serve under Subchapter G; and (9) finance the audio newspaper assistance program.</p>		
Method of Calculation and Revenue Assumptions:		
<p>PURA Sec. 56.022 requires that the Universal Service Fund be funded by a statewide uniform charge set by the Public Utility Commission. The fee is assessed on telecommunications receipts, and is charged to and paid by each telecommunications provider that has access to the customer base. The carriers are authorized to pass the charge on to the customer.</p> <p>The revenue estimate is based on a 3 year trend analysis of the taxable telecommunications receipts for 2009-2011. The current Texas Universal Service Fund taxable rate is 4.3%. The estimated taxable receipts are: FY 2012 \$9,660,844,659; FY 2013 \$9,085,933,177; FY 2014 \$8,555,521,901; FY 2015 \$8,056,074,547. This estimate assumes a 6% reduction per year in taxable communications receipts based on the trend analysis.</p> <p>The PUC is currently conducting a review of all programs that receive funding from the Texas Universal Service Fund as provided for in Senate Bill 980 from the 82nd Session.</p>		

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

83rd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/16/2012
Time: 10:07:01AM

Agency code: 473 Agency name: **Public Utility Commission of Texas**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2014	2015	Biennial Total	2014	2015	Biennial Total	

1 Low-Income Discount Program

Category: Programs - Grant/Loan/Pass-through Reductions

Item Comment: This item will result in the low-income discount rate being reduced from 10.0 percent each fiscal year to 9.0 percent each fiscal year. The appropriation-leveled fee would be set at 34 cents in 2014 and 36 cents in 2015. This is a reduction from the PUC's baseline appropriation request, which would require the fee to be set at 37 cents and 39 cents respectively for each year of the biennium. Based on average customer consumption of 1,000 kWh per month, the average discount would be reduced from \$16.50 to \$14.85 per month.

Strategy: 3-1-1 Energy Assistance. Nontransferable

Gr Dedicated

5100 System Benefit Account	\$0	\$0	\$0	\$7,853,260	\$8,884,102	\$16,737,362	
Gr Dedicated Total	\$0	\$0	\$0	\$7,853,260	\$8,884,102	\$16,737,362	
Item Total	\$0	\$0	\$0	\$7,853,260	\$8,884,102	\$16,737,362	

FTE Reductions (From FY 2014 and FY 2015 Base Request)

2 Program Administration Personnel

Category: Programs - Service Reductions (FTEs-Layoffs)

Item Comment: The PUC would initiate a reduction-in-force of 1.0 Sr. Project Manager position. A program administrator is responsible for overseeing specific programs administered, monitored and overseen by the PUC. The program administrator develops project budgets, schedules, work plans, resource requirements, and cost estimates and projections for specific projects and programs. This position is also responsible for coordinating project activities with other state agencies, governmental jurisdictions, and private sector contractors. The reduction of this position would reduce the PUC's ability to efficiently and effectively administer certain programs, produce analytical reports, and implement rulemakings.

Strategy: 4-1-1 Central Administration

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$85,350	\$85,350	\$170,700	
General Revenue Funds Total	\$0	\$0	\$0	\$85,350	\$85,350	\$170,700	

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

83rd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/16/2012
Time: 10:07:01AM

Agency code: 473 Agency name: **Public Utility Commission of Texas**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2014	2015	Biennial Total	2014	2015	Biennial Total	
Item Total	\$0	\$0	\$0	\$85,350	\$85,350	\$170,700	
FTE Reductions (From FY 2014 and FY 2015 Base Request)				1.0	1.0		
3 Dispute Resolution Personnel							
Category: Programs - Service Reductions (FTEs-Layoffs)							
Item Comment: The PUC would initiate a reduction-in-force of 1.0 Sr. Customer Service Representative position. Customer Service Representatives are responsible for assisting customers in resolving disputes with electric and telecommunications companies. Each month the Customer Protection Division concludes approximately 800 complaints and receives nearly 300 inquiries. More than 99 percent of all complaints are resolved through the PUC's informal complaint resolution process, which is a function of the work performed by Customer Service Representatives. In fiscal year 2011, customer complaints were resolved in 15 days on average. Reducing the number of Customer Service Representatives will have a negative impact on the PUC's ability to process and resolve complaints.							
Strategy: 2-2-1 Assist Customers in Resolving Disputes							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$59,403	\$59,403	\$118,806	
General Revenue Funds Total	\$0	\$0	\$0	\$59,403	\$59,403	\$118,806	
Item Total	\$0	\$0	\$0	\$59,403	\$59,403	\$118,806	
FTE Reductions (From FY 2014 and FY 2015 Base Request)				1.0	1.0		

4 Investigation and Enforcement Personnel

Category: Programs - Service Reductions (FTEs-Layoffs)

Item Comment: The PUC would initiate a reduction-in-force of 1.0 Sr. Enforcement Analyst position. A Sr. Enforcement Analyst position is responsible for conducting reviews and audits to determine the need for investigations. Investigations are comprised of information gathering, company interaction, data analysis and recommendations regarding possible enforcement actions. Enforcement actions include describing and documenting violations, determining appropriate penalties, and participating in the prosecution of Notice of VIolation and Revocation proceedings, including testifying as a witness. Reducing enforcement analyst personnel will reduce the ability of the PUC's Oversight and Enforcement Divsion to efficiently and effectively investigate possible violations and enforce PUC rules and statutes.

Strategy: 1-3-1 Conduct Investigations and Initiate Enforcement Actions

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

83rd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/16/2012
Time: 10:07:01AM

Agency code: 473 Agency name: **Public Utility Commission of Texas**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2014	2015	Biennial Total	2014	2015	Biennial Total	
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$83,000	\$83,000	\$166,000	
General Revenue Funds Total	\$0	\$0	\$0	\$83,000	\$83,000	\$166,000	
Item Total	\$0	\$0	\$0	\$83,000	\$83,000	\$166,000	
FTE Reductions (From FY 2014 and FY 2015 Base Request)				1.0	1.0		

5 Utility Regulation Personnel

Category: Programs - Service Reductions (FTEs-Layoffs)

Item Comment: The PUC would initiate a reduction-in-force of 1.0 Utility Policy Analyst position. This position is responsible for complex analytical work that includes analyzing policy, economic, and service quality issues associated with electric utility operation, energy efficiency programs, and the development of the Smart Grid. The position also analyzes and evaluates electric utility restoration plans; updates energy assurance and emergency management rules and plans to incorporate Smart Grid; reviews and evaluates the service quality of utilities as related to emergency operation and extreme weather preparedness plans; and monitors and participates in the development of policies and rules that facilitate energy efficiency and Smart Grid related programs. Reducing utility regulation personnel will result in less efficient and effective implementation of Smart Grid and energy efficiency programs, as well as less oversight of utility service quality and restoration plans.

Strategy: 1-2-1 Conduct Rate Cases for Regulated Telephone and Electric Utilities

<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$85,350	\$85,350	\$170,700	
General Revenue Funds Total	\$0	\$0	\$0	\$85,350	\$85,350	\$170,700	
Item Total	\$0	\$0	\$0	\$85,350	\$85,350	\$170,700	
FTE Reductions (From FY 2014 and FY 2015 Base Request)				1.0	1.0		

6 Dispute Resolution Personnel

Category: Programs - Service Reductions (FTEs-Layoffs)

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

83rd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/16/2012
Time: 10:07:01AM

Agency code: 473 Agency name: **Public Utility Commission of Texas**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2014	2015	Biennial Total	2014	2015	Biennial Total	

Item Comment: The PUC would initiate a reduction-in-force of 1.0 Sr. Customer Service Representative position. Customer Service Representatives are responsible for assisting customers in resolving disputes with electric and telecommunications companies. Each month the Customer Protection Division concludes approximately 800 complaints and receives nearly 300 inquiries. More than 99 percent of all complaints are resolved through the PUC's informal complaint resolution process, which is a function of the work performed by Customer Service Representatives. In fiscal year 2011, customer complaints were resolved in 15 days on average. Reducing the number of Customer Service Representatives will have a negative impact on the PUC's ability to process and resolve complaints.

Strategy: 2-2-1 Assist Customers in Resolving Disputes

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$59,402	\$59,402	\$118,804
General Revenue Funds Total	\$0	\$0	\$0	\$59,402	\$59,402	\$118,804
Item Total	\$0	\$0	\$0	\$59,402	\$59,402	\$118,804

FTE Reductions (From FY 2014 and FY 2015 Base Request) **1.0** **1.0**

7 Investigation and Enforcement Personnel

Category: Programs - Service Reductions (FTEs-Layoffs)

Item Comment: The PUC would initiate a reduction-in-force of 1.0 Sr. Enforcement Analyst position. A Sr. Enforcement Analyst position is responsible for conducting reviews and audits to determine the need for investigations. Investigations are comprised of information gathering, company interaction, data analysis and recommendations regarding possible enforcement actions. Enforcement actions include describing and documenting violations, determining appropriate penalties, and participating in the prosecution of Notice of Violation and Revocation proceedings, including testifying as a witness. Reducing enforcement analyst personnel will reduce the ability of the PUC's Oversight and Enforcement Division to efficiently and effectively investigate possible violations and enforce PUC rules and statutes.

Strategy: 1-3-1 Conduct Investigations and Initiate Enforcement Actions

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$83,000	\$83,000	\$166,000
General Revenue Funds Total	\$0	\$0	\$0	\$83,000	\$83,000	\$166,000
Item Total	\$0	\$0	\$0	\$83,000	\$83,000	\$166,000

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

83rd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/16/2012
Time: 10:07:01AM

Agency code: 473 Agency name: **Public Utility Commission of Texas**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2014	2015	Biennial Total	2014	2015	Biennial Total	
FTE Reductions (From FY 2014 and FY 2015 Base Request)				1.0	1.0		
AGENCY TOTALS							
General Revenue Total				\$455,505	\$455,505	\$911,010	\$911,010
GR Dedicated Total				\$7,853,260	\$8,884,102	\$16,737,362	\$16,737,362
Agency Grand Total	\$0	\$0	\$0	\$8,308,765	\$9,339,607	\$17,648,372	
Difference, Options Total Less Target							
Agency FTE Reductions (From FY 2014 and FY 2015 Base Request)				6.0	6.0		

7.A. Indirect Administrative and Support Costs
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/16/2012
 TIME : 10:07:02AM

Agency code: 473

Agency name: Public Utility Commission of Texas

Strategy	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
4-1-1 Central Administration					
OBJECTS OF EXPENSE:					
1001 SALARIES AND WAGES	\$1,013,825	\$ 364,661	\$ 426,001	\$ 426,001	\$ 426,001
1002 OTHER PERSONNEL COSTS	60,429	39,120	38,520	38,520	38,520
2001 PROFESSIONAL FEES AND SERVICES	22,606	36,895	106,608	39,648	39,648
2003 CONSUMABLE SUPPLIES	4,091	7,200	3,600	6,000	6,000
2004 UTILITIES	1,805	2,964	1,440	1,560	1,560
2005 TRAVEL	1,849	7,800	6,000	7,800	7,800
2006 RENT - BUILDING	973	2,400	1,200	1,200	1,200
2007 RENT - MACHINE AND OTHER	20,376	26,880	26,400	26,400	26,400
2009 OTHER OPERATING EXPENSE	31,421	62,042	46,148	54,454	54,454
Total, Objects of Expense	\$1,157,375	\$549,962	\$655,917	\$601,583	\$601,583
METHOD OF FINANCING:					
1 General Revenue Fund	1,105,125	492,962	598,917	544,583	544,583
666 Appropriated Receipts	52,250	57,000	57,000	57,000	57,000
Total, Method of Financing	\$1,157,375	\$549,962	\$655,917	\$601,583	\$601,583
FULL TIME EQUIVALENT POSITIONS	16.8	5.8	6.8	6.7	6.7

7.A. Indirect Administrative and Support Costs
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/16/2012
 TIME : 10:07:02AM

Agency code: 473

Agency name: Public Utility Commission of Texas

Strategy	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
4-1-2 Information Resources					
OBJECTS OF EXPENSE:					
1001 SALARIES AND WAGES	\$462,057	\$ 151,942	\$ 177,501	\$ 177,501	\$ 177,501
1002 OTHER PERSONNEL COSTS	21,900	16,300	16,050	16,050	16,050
2001 PROFESSIONAL FEES AND SERVICES	10,319	15,373	44,420	16,520	16,520
2003 CONSUMABLE SUPPLIES	1,924	3,000	1,500	2,500	2,500
2004 UTILITIES	849	1,235	600	650	650
2005 TRAVEL	2,605	3,250	2,500	3,250	3,250
2006 RENT - BUILDING	458	1,000	500	500	500
2007 RENT - MACHINE AND OTHER	9,262	11,200	11,000	11,000	11,000
2009 OTHER OPERATING EXPENSE	13,703	25,851	19,228	22,689	22,689
Total, Objects of Expense	\$523,077	\$229,151	\$273,299	\$250,660	\$250,660
METHOD OF FINANCING:					
1 General Revenue Fund	499,327	205,401	249,549	226,910	226,910
666 Appropriated Receipts	23,750	23,750	23,750	23,750	23,750
Total, Method of Financing	\$523,077	\$229,151	\$273,299	\$250,660	\$250,660
FULL TIME EQUIVALENT POSITIONS	7.0	2.4	2.8	2.8	2.8

7.A. Indirect Administrative and Support Costs
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/16/2012
 TIME : 10:07:02AM

Agency code: 473

Agency name: Public Utility Commission of Texas

Strategy	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
4-1-3 Other Support Services					
OBJECTS OF EXPENSE:					
1001 SALARIES AND WAGES	\$65,653	\$ 30,388	\$ 35,500	\$ 35,500	\$ 35,500
1002 OTHER PERSONNEL COSTS	2,786	3,260	3,210	3,210	3,210
2001 PROFESSIONAL FEES AND SERVICES	2,056	3,075	8,884	3,304	3,304
2003 CONSUMABLE SUPPLIES	373	600	300	500	500
2004 UTILITIES	165	247	120	130	130
2005 TRAVEL	1,711	650	500	650	650
2006 RENT - BUILDING	89	200	100	100	100
2007 RENT - MACHINE AND OTHER	1,852	2,240	2,200	2,200	2,200
2009 OTHER OPERATING EXPENSE	6,205	5,170	3,846	4,538	4,538
Total, Objects of Expense	\$80,890	\$45,830	\$54,660	\$50,132	\$50,132
METHOD OF FINANCING:					
1 General Revenue Fund	76,140	41,080	49,910	45,382	45,382
666 Appropriated Receipts	4,750	4,750	4,750	4,750	4,750
Total, Method of Financing	\$80,890	\$45,830	\$54,660	\$50,132	\$50,132
FULL TIME EQUIVALENT POSITIONS	1.4	0.5	0.6	0.6	0.6

7.A. Indirect Administrative and Support Costs
 83rd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/16/2012
 TIME : 10:07:02AM

Agency code: 473

Agency name: Public Utility Commission of Texas

	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
GRAND TOTALS					
Objects of Expense					
1001 SALARIES AND WAGES	\$1,541,535	\$546,991	\$639,002	\$639,002	\$639,002
1002 OTHER PERSONNEL COSTS	\$85,115	\$58,680	\$57,780	\$57,780	\$57,780
2001 PROFESSIONAL FEES AND SERVICES	\$34,981	\$55,343	\$159,912	\$59,472	\$59,472
2003 CONSUMABLE SUPPLIES	\$6,388	\$10,800	\$5,400	\$9,000	\$9,000
2004 UTILITIES	\$2,819	\$4,446	\$2,160	\$2,340	\$2,340
2005 TRAVEL	\$6,165	\$11,700	\$9,000	\$11,700	\$11,700
2006 RENT - BUILDING	\$1,520	\$3,600	\$1,800	\$1,800	\$1,800
2007 RENT - MACHINE AND OTHER	\$31,490	\$40,320	\$39,600	\$39,600	\$39,600
2009 OTHER OPERATING EXPENSE	\$51,329	\$93,063	\$69,222	\$81,681	\$81,681
Total, Objects of Expense	\$1,761,342	\$824,943	\$983,876	\$902,375	\$902,375
Method of Financing					
1 General Revenue Fund	\$1,680,592	\$739,443	\$898,376	\$816,875	\$816,875
666 Appropriated Receipts	\$80,750	\$85,500	\$85,500	\$85,500	\$85,500
Total, Method of Financing	\$1,761,342	\$824,943	\$983,876	\$902,375	\$902,375
Full-Time-Equivalent Positions (FTE)	25.2	8.7	10.2	10.1	10.1

Agency code: 473

Agency name: Public Utility Commission of Texas

Strategy	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
1-1-1 Foster and Monitor Market Competition					
OBJECTS OF EXPENSE:					
1001 SALARIES AND WAGES	\$372,432	\$358,681	\$343,670	\$338,215	\$338,215
1002 OTHER PERSONNEL COSTS	15,042	11,718	13,130	11,575	11,575
2001 PROFESSIONAL FEES AND SERVICES	16,998	12,629	25,377	9,438	9,438
2003 CONSUMABLE SUPPLIES	1,720	1,714	857	1,428	1,428
2004 UTILITIES	486	706	343	371	371
2005 TRAVEL	1,861	3,651	1,835	1,857	1,857
2006 RENT - BUILDING	262	571	286	286	286
2007 RENT - MACHINE AND OTHER	26,798	6,399	6,284	6,284	6,284
2009 OTHER OPERATING EXPENSE	9,450	19,636	11,665	13,529	13,529
Total, Objects of Expense	\$445,049	\$415,705	\$403,447	\$382,983	\$382,983
METHOD OF FINANCING:					
1 General Revenue Fund	350,874	132,015	145,572	129,836	129,836
666 Appropriated Receipts	19,731	15,265	13,854	13,589	13,589
5100 System Benefit Account	74,444	268,425	244,021	239,558	239,558
Total, Method of Financing	\$445,049	\$415,705	\$403,447	\$382,983	\$382,983
FULL-TIME-EQUIVALENT POSITIONS (FTE):	10.1	9.4	9.2	8.9	8.9

Agency code: 473

Agency name: Public Utility Commission of Texas

Strategy	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
1-2-1	Conduct Rate Cases for Regulated Telephone and Electric Utilities				
OBJECTS OF EXPENSE:					
1001 SALARIES AND WAGES	\$328,215	\$411,472	\$395,860	\$395,860	\$395,860
1002 OTHER PERSONNEL COSTS	15,834	23,775	13,564	13,564	13,564
2001 PROFESSIONAL FEES AND SERVICES	8,223	44,980	29,751	11,064	11,064
2003 CONSUMABLE SUPPLIES	2,804	2,009	1,005	1,674	1,674
2004 UTILITIES	959	827	402	435	435
2005 TRAVEL	3,941	3,364	1,674	2,177	2,177
2006 RENT - BUILDING	906	670	335	335	335
2007 RENT - MACHINE AND OTHER	6,004	7,501	7,367	7,367	7,367
2009 OTHER OPERATING EXPENSE	35,453	22,294	12,878	15,197	15,197
Total, Objects of Expense	\$402,339	\$516,892	\$462,836	\$447,673	\$447,673
METHOD OF FINANCING:					
1 General Revenue Fund	317,202	164,149	167,001	151,767	151,767
666 Appropriated Receipts	17,838	18,980	15,893	15,884	15,884
5100 System Benefit Account	67,299	333,763	279,942	280,022	280,022
Total, Method of Financing	\$402,339	\$516,892	\$462,836	\$447,673	\$447,673
FULL-TIME-EQUIVALENT POSITIONS (FTE):	8.9	10.8	10.6	10.4	10.4

Agency code: 473

Agency name: Public Utility Commission of Texas

Strategy	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
1-3-1 Conduct Investigations and Initiate Enforcement Actions					
OBJECTS OF EXPENSE:					
1001 SALARIES AND WAGES	\$184,595	\$181,266	\$190,708	\$190,708	\$190,708
1002 OTHER PERSONNEL COSTS	10,243	6,605	6,525	6,525	6,525
2001 PROFESSIONAL FEES AND SERVICES	3,545	4,950	14,303	5,319	5,319
2003 CONSUMABLE SUPPLIES	642	966	483	805	805
2004 UTILITIES	283	398	193	209	209
2005 TRAVEL	528	1,047	805	1,047	1,047
2006 RENT - BUILDING	153	322	161	161	161
2007 RENT - MACHINE AND OTHER	3,195	3,606	3,542	3,542	3,542
2009 OTHER OPERATING EXPENSE	4,679	8,324	6,192	7,306	7,306
Total, Objects of Expense	\$207,863	\$207,484	\$222,912	\$215,622	\$215,622
METHOD OF FINANCING:					
1 General Revenue Fund	163,878	65,890	80,431	73,098	73,098
666 Appropriated Receipts	9,216	7,620	7,655	7,651	7,651
5100 System Benefit Account	34,769	133,974	134,826	134,873	134,873
Total, Method of Financing	\$207,863	\$207,484	\$222,912	\$215,622	\$215,622
FULL-TIME-EQUIVALENT POSITIONS (FTE):	5.0	4.8	5.1	5.0	5.0

Agency code: 473

Agency name: Public Utility Commission of Texas

Strategy	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
2-1-1	Provide Information about Changes in Electric and Telecom Industries				
OBJECTS OF EXPENSE:					
1001 SALARIES AND WAGES	\$64,093	\$50,846	\$53,497	\$53,497	\$53,497
1002 OTHER PERSONNEL COSTS	3,668	1,854	1,831	1,831	1,831
2001 PROFESSIONAL FEES AND SERVICES	3,015	1,390	4,016	1,493	1,493
2003 CONSUMABLE SUPPLIES	220	271	136	226	226
2004 UTILITIES	96	112	54	59	59
2005 TRAVEL	98	294	226	294	294
2006 RENT - BUILDING	52	90	45	45	45
2007 RENT - MACHINE AND OTHER	1,507	1,012	994	994	994
2009 OTHER OPERATING EXPENSE	4,799	2,337	1,738	2,051	2,051
Total, Objects of Expense	\$77,548	\$58,206	\$62,537	\$60,490	\$60,490
METHOD OF FINANCING:					
1 General Revenue Fund	61,138	18,485	22,565	20,507	20,507
666 Appropriated Receipts	3,439	2,137	2,147	2,146	2,146
5100 System Benefit Account	12,971	37,584	37,825	37,837	37,837
Total, Method of Financing	\$77,548	\$58,206	\$62,537	\$60,490	\$60,490
FULL-TIME-EQUIVALENT POSITIONS (FTE):	1.7	1.3	1.4	1.4	1.4

Agency code: 473

Agency name: Public Utility Commission of Texas

Strategy	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
2-2-1 Assist Customers in Resolving Disputes					
OBJECTS OF EXPENSE:					
1001 SALARIES AND WAGES	\$50,903	\$88,646	\$93,274	\$93,274	\$93,274
1002 OTHER PERSONNEL COSTS	1,647	3,237	3,197	3,197	3,197
2001 PROFESSIONAL FEES AND SERVICES	1,312	2,428	7,015	2,609	2,609
2003 CONSUMABLE SUPPLIES	588	474	237	395	395
2004 UTILITIES	306	195	95	103	103
2005 TRAVEL	89	513	395	513	513
2006 RENT - BUILDING	67	158	79	79	79
2007 RENT - MACHINE AND OTHER	975	1,769	1,737	1,737	1,737
2009 OTHER OPERATING EXPENSE	10,170	4,082	3,037	3,584	3,584
Total, Objects of Expense	\$66,057	\$101,502	\$109,066	\$105,491	\$105,491
METHOD OF FINANCING:					
1 General Revenue Fund	52,079	32,234	39,353	35,763	35,763
666 Appropriated Receipts	2,929	3,727	3,746	65,985	65,985
5100 System Benefit Account	11,049	65,541	65,967	3,743	3,743
Total, Method of Financing	\$66,057	\$101,502	\$109,066	\$105,491	\$105,491
FULL-TIME-EQUIVALENT POSITIONS (FTE):	1.4	2.3	2.5	2.4	2.4

Agency code: 473

Agency name: Public Utility Commission of Texas

	Exp 2011	Est 2012	Bud 2013	BL 2014	BL 2015
GRAND TOTALS					
Objects of Expense					
1001 SALARIES AND WAGES	\$1,000,238	\$1,090,911	\$1,077,009	\$1,071,554	\$1,071,554
1002 OTHER PERSONNEL COSTS	\$46,434	\$47,189	\$38,247	\$36,692	\$36,692
2001 PROFESSIONAL FEES AND SERVICES	\$33,093	\$66,377	\$80,462	\$29,923	\$29,923
2003 CONSUMABLE SUPPLIES	\$5,974	\$5,434	\$2,718	\$4,528	\$4,528
2004 UTILITIES	\$2,130	\$2,238	\$1,087	\$1,177	\$1,177
2005 TRAVEL	\$6,517	\$8,869	\$4,935	\$5,888	\$5,888
2006 RENT - BUILDING	\$1,440	\$1,811	\$906	\$906	\$906
2007 RENT - MACHINE AND OTHER	\$38,479	\$20,287	\$19,924	\$19,924	\$19,924
2009 OTHER OPERATING EXPENSE	\$64,551	\$56,673	\$35,510	\$41,667	\$41,667
Total, Objects of Expense	\$1,198,856	\$1,299,789	\$1,260,798	\$1,212,259	\$1,212,259
Method of Financing					
1 General Revenue Fund	\$945,171	\$412,773	\$454,922	\$410,971	\$410,971
666 Appropriated Receipts	\$53,153	\$47,729	\$43,295	\$105,255	\$105,255
5100 System Benefit Account	\$200,532	\$839,287	\$762,581	\$696,033	\$696,033
Total, Method of Financing	\$1,198,856	\$1,299,789	\$1,260,798	\$1,212,259	\$1,212,259
Full-Time-Equivalent Positions (FTE)	27.1	28.6	28.8	28.1	28.1