

**Legislative Appropriations Request**

**For Fiscal Years 2018 and 2019**

**Submitted to the  
Governor's Office of Budget, Planning and Policy  
and the Legislative Budget Board**

by



*Public Utility Commission of Texas*

*August 12, 2016*



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High-Level Agency Overview

In 1975, Texas became the last state in the country to provide for state-wide comprehensive regulation of electric and telecommunications utilities by creating the Public Utility Commission (PUC). For approximately the first twenty years of the PUC's existence, the agency's primary role was traditional regulation of electric and telecommunications utilities. Significant legislation enacted by the Texas Legislature in 1995, along with the Federal Telecommunications Act of 1996, dramatically changed the PUC's role by allowing for competition in telecommunications wholesale and retail services, and by creating a competitive electric wholesale market. In 1999, the Legislature provided for restructuring of the electric utility industry, further changing the PUC's mission and focus. Then, in 2005, the Legislature provided for additional deregulation of telecommunications markets with the passage of Senate Bill 5, with further deregulation in 2011 with the passage of Senate Bill 980.

Although the PUC's traditional regulatory functions related to telecommunications regulation have markedly decreased over the past decade, many of those functions have been replaced by other, more challenging responsibilities, particularly in the electric industry. The PUC is unique as the nation's only state regulator that oversees the majority of the state's wholesale market without federal involvement, due to the wholly intrastate nature of the Electric Reliability Council of Texas (ERCOT). At current prices, the ERCOT electricity market is a more than \$34 billion per year market, with 286 power generation companies and 111 retail electricity providers registered or licensed by the PUC. Restructuring of the utility industry is not simply elimination of regulation. Effective oversight of competitive wholesale and retail markets is necessary to ensure that customers receive the benefits of competition, and the PUC continues to perform its traditional regulatory function for transmission and distribution utilities across the state. Additionally, integrated utilities outside of the ERCOT power grid remain fully regulated by the PUC and the PUC is increasingly involved in multi-state efforts to implement competitive wholesale market structures and appropriate transmission planning in the Southwest Power Pool (SPP) and Midcontinent Independent System Operator (MISO) areas. Additionally, the PUC has been heavily involved with the State of Texas's appeals of a number of Environmental Protection Agency (EPA) rules that have dramatic impacts on the electricity generation sector, and represent threats to Texas's highly successful competitive electricity markets and the reliability of Texas power grids.

The passage of HB 1600 by the Texas Legislature in 2013 transferred regulatory authority for water rates and CCNs of approximately 600 water and/or sewer utilities from TCEQ to the PUC beginning in September 2014. The legislation also required the PUC to implement a new rate setting process, whereby the type and intensity of review is dependent upon the number of connections a utility has at the time an application is submitted. The PUC adopted rules governing the programs as transferred in July 2014, and adopted rules to govern the enhanced rate program in August 2015. The PUC continues to identify areas of water utility regulation where amended regulations are needed to ensure consistency with the Texas Water Code and/or to improve the regulatory process. The PUC has already identified several issues in its Strategic Plan that further these goals, and anticipates making other recommendations on possible statutory changes in reports that will be submitted to the next Legislature.

Over the past decade, funding for PUC agency operations has undergone dramatic shifts in the method of finance. Prior to fiscal year 2012, although the PUC received some funding for agency operations from the System Benefit Fund (SBF), the majority of the agency's operational funding came from General Revenue. Specifically, in fiscal year 2011, 79.4 percent of the agency's operational funding was General Revenue. In 2011, the 82nd Legislature undertook a method-of-finance swap that utilized the SBF to fund nearly all of the agency's electricity industry related functions from the SBF from 2012 through fiscal year 2016. As a result, in fiscal year 2016, less than 38% of the agency's non-water utility related funding came from the General Revenue Fund (the transfer of water utility regulation from TCEQ was funded through the Water Resource Management Account). However, fee assessments made on electricity and telecommunications providers that flow into the General Revenue Fund did not decline, as the Gross Receipts Assessment Fee is fixed by statute.

The passage of HB 7 by the Texas Legislature in 2013 directed the PUC to set the fee that funded the SBF at zero cents per kWh beginning September 1, 2013. The enactment of HB 1101 by the Texas Legislature in 2015 directed the PUC provide an electric rate discount to low-income customers at a level sufficient to exhaust the

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balance of the SBF by the conclusion of fiscal year 2016. While the legislation authorizes the PUC to provide a rate discount in fiscal year 2017 if any money remains in the SBF, the PUC currently projects that all discount funds will be exhausted by the conclusion of fiscal year 2016. With the termination of the SBF, the 2011 method-of-finance swap will need to be reversed, and all funding for PUC agency operations not related to water utility regulation will again need to come from the General Revenue Fund.

The PUC is composed of three Commissioners appointed by the Governor, with the advice and consent of the Senate. The Commissioners serve staggered six year terms, with the Governor designating the Commission Chairman. The agency employs an executive director who is responsible for the daily operations of the PUC and for coordinating the activities of PUC staff. The three Commissioners and the Executive Director are exempt from the State Classification Act; all other agency employees are classified employees.

The Commission is currently composed of the following members:

**PUC COMMISSIONERS**

Chairman Donna L. Nelson	August 15, 2008 – August 31, 2021	Austin
Commissioner Kenneth W. Anderson, Jr.	September 2, 2008 – August 31, 2017	Dallas
Commissioner Brandy Marty Marquez	August, 21 2013 – August 31, 2019	Austin

The PUC’s current organizational structure is based on the agency’s major functional responsibilities and reflects the Commission’s mission, goals and objectives as set out in the Strategic Plan. The major program area divisions are Customer Protection, Competitive Markets, Infrastructure and Reliability, Enforcement, Rate Regulation, Water Utility Regulation, Legal, and Commission Advising and Docket Management. This structure has been in place since October 2007, when the PUC undertook a comprehensive reorganization to better align the structure with the Commission’s statutory responsibilities. The reorganized PUC structure is more dynamic, allowing for easier adaptation to changes in law and the industries the agency oversees. The PUC created an additional program area for Water Utilities upon transfer of the program, and continues to evaluate whether efficiencies can be gained by incorporating those functions into other existing program divisions.

**Baseline Appropriations Request**

The PUC’s appropriations request was developed in accordance with the detailed instructions provided by the Legislative Budget Board and the Governor’s Office of Budget, Planning, and Policy. The total General Revenue related limit for the PUC is \$31.7 million.

The PUC’s baseline request incorporates the instruction to reduce baseline appropriations by 4 percent. The PUC has implemented this request through a reduction of \$20,000 each year to the Data Center Consolidation project budget; a reduction of \$490,000 each year in Customer Education Outreach contracts; a reduction of \$12,000 in travel; a reduction of \$24,000 each year in Staff Development; and a reduction of 2.0 FTEs each year and associated costs totaling \$114,246 (\$105,070 in salaries and \$8,930 in other personnel costs). \$553,322 of these reductions will come from General Revenue appropriations, while \$106,924 will come from the Water Resources Management Account (WRMA), a General Revenue-Dedicated fund. The PUC believes it can absorb these reductions with minimal impact to the agency’s mission, however, we note that based on discussions with the Texas Commission on Environmental Quality (TCEQ), the PUC understands that the Regulatory Assessment Fee paid by the utilities regulated by the PUC generates more revenue than the total PUC and Office of Public Utility Counsel appropriation from the WRMA. If the WRMA has a revenue shortfall, Art IX, Sec 18.01(c), requires TCEQ to increase fees by rule to ensure the fund’s solvency. Therefore, the PUC assumes that this appropriation reduction may result in TCEQ decreasing fees established by rule, thereby offsetting the appropriation reduction and resulting in no net savings to the budget.

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With the expiration of the System Benefit Fund (SBF) on September 1, 2017 under House Bill 7 from last session, the baseline request reflects a full biennium of non-water utility related operational funding for the PUC from General Revenue, as opposed to partial funding from the SBF, including the across the board 2.5 percent salary increase resulting from the enactment of HB 9, which increased the member contribution to the Employees Retirement System. The baseline request also reflects continued funding of PUC activities related to water utility regulation from the Water Resources Management Account.

Finally, the request includes a continuation of Appropriated Receipts Funds, which primarily includes reimbursement to the PUC for funds expended on programs relating to the Texas Universal Service Fund and the sale of agency publications.

Therefore, the baseline request includes approximately \$26.6 million in General Revenue funds; approximately \$5.1 million in General Revenue – Dedicated Water Resource Management Account funds; and \$950,000 in Appropriated Receipts funds. The baseline request also includes 215.0 FTEs each fiscal year, which reflects a reduction of 2.0 FTEs as discussed above.

**Exceptional Items**

The PUC is not requesting any exceptional items.

**Self-Funded, Self-Leveling Designation**

The PUC is requesting designation as a self-funded, self-leveling agency beginning in fiscal year 2018. Designation as a self-funded agency would require that revenue from the PUC gross receipts assessment cover the agency's GR appropriation each fiscal year.

The PUC gross receipts assessment is authorized by Public Utility Regulatory Act Section 16.001, which provides that "To defray the expenses incurred in the administration of this title...", an assessment of one sixth of one percent is collected from public utilities, electric cooperatives, and retail electric providers' gross receipts from consumers. Funds from this assessment are remitted to the general revenue fund, but have not been explicitly dedicated to funding the PUC. In contrast, similar assessments on water utilities, insurance companies, and other regulated entities have traditionally been used to explicitly fund the underlying regulatory programs at their respective agencies and those fees are generally adjustable by the respective agency to only fund the authorized appropriation.

Currently, the PUC is one of four Article VIII agencies that is not designated as self-funded (SOAH, Health Professions Council, OPUC, and the PUC). Implementing this request would require the PUC to be included in the Appropriations Limited to Revenue Collections rider located in the Special Provisions Relating to All Regulatory Agencies section of the General Appropriations Act.

The Comptroller's Biennial Revenue Estimate for fiscal years 2016 and 2017 estimates the PUC's gross receipts assessment will generate in excess of \$58.9 million in fiscal year 2017. The PUC's 2018-19 GR request is approximately \$13.3 million.

Designation as a self-leveling agency would require the PUC to set the gross receipts assessment at a rate sufficient to generate revenue in the amount of the agency's GR appropriation each fiscal year instead of the current statutory rate of one-sixth of one percent. This would require a statutory change to PURA §16.001(b). Based upon the PUC's baseline GR request, authorizing the PUC's self-leveling designation would result in an overall estimated tax reduction of \$45.6 million per year, or 77.4%.

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SOAH Funding for Water-related Work

Currently, the State Office of Administrative Hearings (SOAH) receives a direct, General Revenue appropriation to conduct PUC electric and telecommunications hearings. In contrast, the PUC and SOAH enter into an interagency contract for water-related hearings. When the regulatory authority for water and wastewater ratemaking and CCNs was transferred to the PUC, the associated appropriation transfer included \$184,000 each year that was identified as the estimated interagency contract amount to fund water hearings. The PUC and SOAH entered into a contract for that amount for fiscal year 2015. However, based on the actual number of hours worked by SOAH in fiscal year 2015, the interagency contract was renegotiated to \$270,000 each year for the 2016-17 biennium. Regardless of the estimated amount in the contract, the PUC is required by law to pay SOAH for actual costs incurred associated with conducting water cases. The PUC recommends, that the PUC's appropriation be reduced by \$270,000 and SOAH's appropriation be increased by that same amount. This ensures that any SOAH-related staffing and funding decisions related to water hearings could be addressed and reflected in the SOAH bill pattern. Under the current agreement, the PUC is required to react to increases in SOAH Administrative Law Judge salaries or the hourly rate charged by SOAH by requesting additional funding to transfer to SOAH, or find additional savings in other water-related work to fully fund the interagency contract. It is the PUC's understanding that SOAH is in agreement with this recommendation.

10 Percent Reduction Schedule

As required by the Legislative Appropriations Request instructions, the PUC has included the 10 Percent Biennial Base Reduction Options Schedule that demonstrates the reductions to the baseline request that are proposed if the agency's GR-related funding were decreased by 10 percent. This represents an annual General Revenue reduction of approximately \$1.6 million and an annual General Revenue – Dedicated reduction of approximately \$256,600.

General Revenue: Due to past decreases in funding and changes in statutory responsibilities which have occurred, the PUC assigns a lower priority to work that could be considered discretionary. Approximately 83.4 percent of the Commission's General Revenue appropriation is allocated to fund staff salaries; therefore, decreases in funding significantly beyond the 4 percent baseline reduction would necessarily result in a reduction in agency staff. The Commission may exercise discretion in the prioritization and timing of its work, and at times, in the overall level of resources that can be expended on a particular function. Consequently, the prioritized list of reductions identifies decreases in funding and FTEs in areas of customer protection and enforcement as primary options, before identifying decreases in resources utilized in processing rate cases and CCNs, or staff whose primary responsibilities include market oversight.

First, the PUC would reduce expenditures related to other professional service contracts, by \$100,000 per year for expert witnesses in contested proceedings and enforcement cases. The need for the experts is difficult to project, and lack of available funds for these services may limit the ability of the agency to effectively review large, complex issues in utility applications and complicated change-in-control proceedings that have issues of first impression for the PUC to consider, as well as pursue enforcement matters. Lack of these funds may also limit the ability of the PUC to effectively perform analysis of complex federal rules that affect the electric utility or telecommunications industries or evaluate issues in bankruptcy proceedings affecting Texas utilities.

Second, the PUC's enforcement activities and customer protection activities would be reduced. Staff members overseeing these activities ensure that customers receive the benefits of competition, but are not statutorily required. Unlike the Commission's statutory responsibility to respond to various applications for regulatory approvals, the burden to initiate enforcement actions is with the Commission and is dependent on the agency's ability to commit resources to act in a proactive, rather than reactive mode. For example, the Commission has a responsibility for ensuring a high quality of reliable utility service. Decreased funding would result in fewer resources being allocated to ensuring service quality standards. Similarly, the PUC is the only regulatory body with authority to oversee and enforce rules related to the wholesale electricity market in ERCOT. Market participants who violate these rules can inflict tens, or hundreds of millions of dollars of harm to the market, and can threaten the reliability of the state's power grid. Another area of impact would be the PUC's informal complaint resolution program. PUC places a high priority on timely completion

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of informal complaints, and are currently exceeding our performance measure for that function. The agency is also proud of the level of service it provides to consumers through the agency's call center. However, decreased funding would necessarily result in a lower level of service in this area.

Finally, the Commission would have to reduce staff in the areas of market oversight, utility application review, and agency legal activities related to utility proceedings. PUC activities related to these functions receive the most funding and would therefore need to be reduced in order to attain a requested 10 percent reduction.

Overall, a 10 percent reduction to General Revenue funding would result in PUC needing to reduce GR funding staffing for both fiscal years 2018 and 2019 by 17.0 FTEs. The FTE reduction would include 3.0 Enforcement Analysts; 4.0 Customer Care Representatives; 3.0 Utility Specialists; 3.0 Financial Analysts; and 4.0 Attorneys. These FTE reductions represent \$1,434,590 in GR funding each fiscal year. Schedule 6.I.10 displays the agency's total reduction in order from least to most impactful to agency operations.

General Revenue - Dedicated: The PUC's GR-D reduction consists of appropriation authority from the Water Resource Management Account No. 153 (WRMA). Reducing appropriations from the WRMA by approximately \$256,000 each year would drastically impact the PUC's ability to administer the water ratemaking and CCN programs that were transferred in 2015. Furthermore, the Legislature acknowledged the work associated with the enhanced ratemaking program, which began in fiscal year 2016, by appropriating additional funds and increasing the PUC's FTE cap by 16.0 FTEs. First, the PUC would reduce professional services contracts by \$50,000 each fiscal year. Currently, the PUC contracts with the Texas Rural Water Association (TRWA) to provide consulting services to mostly mid-sized and small water utilities that plan to file rate applications. Smaller, less sophisticated water companies do not always have the expertise to file completed applications, which may result in an application being insufficient and dismissed by PUC staff. TRWA has many years of experience completing regulatory documents, including rate applications. These less sophisticated water utilities benefit greatly from this expertise when maneuvering through the regulatory process. The current contract totals \$164,000 each year, so a \$50,000 per year reduction amounts to a 30.1% reduction in services. PUC staff would be required to limit the number of TRWA referrals to water utilities that are not distressed, in order to ensure that funding was available to refer TRWA to distressed utilities that may be on the verge of interrupting water and sewer service to customers, requiring the appointment of a temporary manager or receiver. Finally, approximately 85.1% of all WRMA funding is expended on staff salaries each year. Therefore, a funding decrease beyond the 4 percent baseline reduction would necessitate reducing water-related staff by 3.0 FTEs, which would consist of 2.0 Financial Analysts and 1.0 Engineering Specialist. This would dramatically reduce the level of review that staff could perform in Class A and Class B water rate cases and CCN proceedings, and would result in staff below the level contemplated by HB 1600, which transferred the program to the PUC.

Based on discussions with the TCEQ, the PUC understands that the portion of the Regulatory Assessment Fee paid by the utilities under PUC jurisdiction generates more revenue than the total PUC and Office of Public Utility Counsel appropriation from the Water Resource Management Account. If the Water Resource Management account has a revenue shortfall, Art IX, Sec 18.01(c), requires TCEQ to increase fees set by rule to ensure the fund's solvency. Therefore, the PUC assumes that this appropriation reduction may result in TCEQ decreasing fees established by rule, thereby offsetting the appropriation reduction and resulting in no net savings to the budget.

**Substantive Rider Changes**

Unexpended Balance Authority: The PUC is requesting restoration of explicit unexpended balance authority in the agency's bill pattern for the 2018-19 biennium.

Performance Measure Targets: Performance measure targets for key measures have been updated based on current PUC projections.

System Benefit Fund Riders: The PUC is requesting deletion of all SBF-related riders (Transfer Authority; System Benefit Account Reporting; Allocation of System

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Benefit Account; Low-Income Discount; and Contingency Appropriation: System Benefit Fund). The fund will sunset on September 1, 2017; therefore, no appropriations can be made from the fund for the 2018-19 biennium.

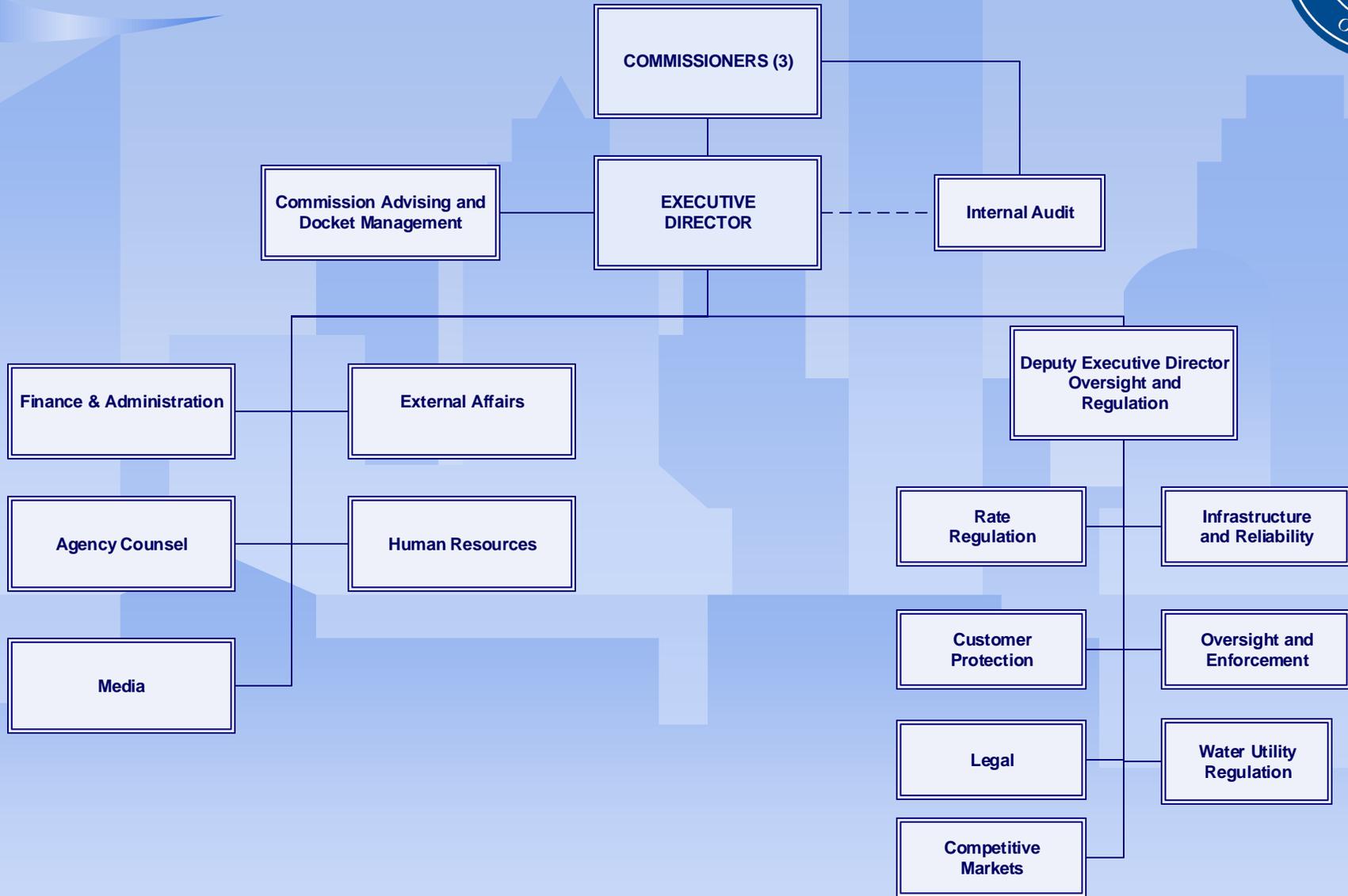
**Criminal History Background Check Authority**

The PUC does not have explicit statutory authority to conduct criminal background checks, and does not conduct background checks on current or prospective employees.

Brian H. Lloyd  
Executive Director

PUBLIC UTILITY COMMISSION OF TEXAS  
FUNCTIONAL ORGANIZATION CHART  
Fiscal Year 2016

July 2016





## CERTIFICATE

Agency Name PUBLIC UTILITY COMMISSION OF TEXAS

This is to certify that the information contained in the agency Legislative Appropriation Request filed with the Legislative Budget Board (LBB) and the Office of the Governor, Budget Division, is accurate to the best of my knowledge and that the electronic submission to the LBB via the Automated Budget and Evaluation System of Texas (ABEST) and the PDF file submitted via the LBB Document Submission application are identical.

Additionally, should it become likely at any time that unexpended balances will accrue for any account, the LBB and the Governor's office will be notified in writing in accordance with Article IX, Section 7.01 (2016-17 GAA).

**Chief Executive Officer or Presiding Judge**

  
Signature

Brian H. Lloyd  
Printed Name

Executive Director  
Title

August 10, 2016  
Date

**Board or Commission Chair**

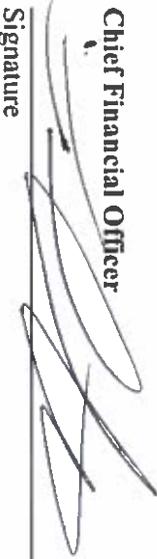
  
Signature

Donna L. Nelson  
Printed Name

Chairman  
Title

August 10, 2016  
Date

**Chief Financial Officer**

  
Signature

Thomas Gleeson  
Printed Name

Director of Finance and Administration  
Title

August 10, 2016  
Date

**Budget Overview - Biennial Amounts**  
**85th Regular Session, Agency Submission, Version 1**  
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Appropriation Years: 2018-19

EXCEPTIONAL  
ITEM  
FUNDS

	GENERAL REVENUE FUNDS		GR DEDICATED		FEDERAL FUNDS		OTHER FUNDS		ALL FUNDS		EXCEPTIONAL ITEM FUNDS
	2016-17	2018-19	2016-17	2018-19	2016-17	2018-19	2016-17	2018-19	2016-17	2018-19	
<b>Goal: 1. Ensure Competition, Choice, Just Rates, and Reliable Quality Service</b>											
1.1.1. Market Competition	5,720,819	8,501,929	2,818,945				275,500	275,500	8,815,264	8,777,429	
1.2.1. Utility Regulation	5,323,922	7,834,877	7,945,958	5,132,346			266,000	266,000	13,535,880	13,233,223	
1.3.1. Investigation And Enforcement	2,920,837	4,473,242	1,552,405				133,000	133,000	4,606,242	4,606,242	
<b>Total, Goal</b>	<b>13,965,578</b>	<b>20,810,048</b>	<b>12,317,308</b>	<b>5,132,346</b>			<b>674,500</b>	<b>674,500</b>	<b>26,957,386</b>	<b>26,616,894</b>	
<b>Goal: 2. Educate Customers and Assist Customers</b>											
2.1.1. Information And Education Efforts	1,795,194	2,112,226	1,297,032				47,500	47,500	3,139,726	2,159,726	
2.2.1. Assist Customers	1,226,350	1,864,666	638,316				57,000	57,000	1,921,666	1,921,666	
<b>Total, Goal</b>	<b>3,021,544</b>	<b>3,976,892</b>	<b>1,935,348</b>				<b>104,500</b>	<b>104,500</b>	<b>5,061,392</b>	<b>4,081,392</b>	
<b>Goal: 3. Electric Utility Restructuring</b>											
3.1.1. Energy Assistance				325,521,250					325,521,250		
<b>Total, Goal</b>				<b>325,521,250</b>					<b>325,521,250</b>		
<b>Goal: 4. Indirect Administration</b>											
4.1.1. Central Administration	1,168,710	1,168,710					114,000	114,000	1,282,710	1,282,710	
4.1.2. Information Resources	506,152	506,152					47,500	47,500	553,652	553,652	
4.1.3. Other Support Services	97,652	97,652					9,500	9,500	107,152	107,152	
<b>Total, Goal</b>	<b>1,772,514</b>	<b>1,772,514</b>					<b>171,000</b>	<b>171,000</b>	<b>1,943,514</b>	<b>1,943,514</b>	
<b>Total, Agency</b>	<b>18,759,636</b>	<b>26,559,454</b>	<b>339,773,906</b>	<b>5,132,346</b>			<b>950,000</b>	<b>950,000</b>	<b>359,483,542</b>	<b>32,641,800</b>	
<b>Total FTEs</b>									<b>217.0</b>	<b>215.0</b>	<b>0.0</b>

2.A. Summary of Base Request by Strategy

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Goal / Objective / STRATEGY	Exp 2015	Est 2016	Bud 2017	Req 2018	Req 2019
<b>1</b> Ensure Competition, Choice, Just Rates, and Reliable Quality Service					
<b>1</b> <i>Maintain Policies to Foster Competition in Telecom &amp; Elec Mkts</i>					
<b>1 MARKET COMPETITION</b>	4,340,338	4,407,632	4,407,632	4,388,715	4,388,714
<b>2</b> <i>Regulate Providers Ensuring Companies Meet Service Quality Standards</i>					
<b>1 UTILITY REGULATION</b>	5,517,111	6,767,940	6,767,940	6,616,611	6,616,612
<b>3</b> <i>Ensure Compliance with Statutes, Rules, and Orders</i>					
<b>1 INVESTIGATION AND ENFORCEMENT</b>	2,262,842	2,303,121	2,303,121	2,303,121	2,303,121
<b>TOTAL, GOAL</b> <b>1</b>	<b>\$12,120,291</b>	<b>\$13,478,693</b>	<b>\$13,478,693</b>	<b>\$13,308,447</b>	<b>\$13,308,447</b>
<b>2</b> Educate Customers and Assist Customers					
<b>1</b> <i>Inform Customers of Choices &amp; Rights &amp; Facilitate Information Access</i>					
<b>1 INFORMATION AND EDUCATION EFFORTS</b>	1,438,868	1,569,863	1,569,863	1,079,863	1,079,863
<b>2</b> <i>Resolve Complaints Consistent w/Laws &amp; PUC Rules &amp; Orders</i>					
<b>1 ASSIST CUSTOMERS</b>	933,784	960,833	960,833	960,833	960,833

2.A. Summary of Base Request by Strategy

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Goal / Objective / STRATEGY		Exp 2015	Est 2016	Bud 2017	Req 2018	Req 2019
<b>TOTAL, GOAL</b>	<b>2</b>	<b>\$2,372,652</b>	<b>\$2,530,696</b>	<b>\$2,530,696</b>	<b>\$2,040,696</b>	<b>\$2,040,696</b>
<b>3</b> Electric Utility Restructuring						
<b>1</b> Provide Financial Assistance						
<b>1 ENERGY ASSISTANCE</b>		81,845,258	325,521,250	0	0	0
<b>TOTAL, GOAL</b>	<b>3</b>	<b>\$81,845,258</b>	<b>\$325,521,250</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>4</b> Indirect Administration						
<b>1</b> Indirect Administration						
<b>1 CENTRAL ADMINISTRATION</b>		703,937	641,355	641,355	641,355	641,355
<b>2 INFORMATION RESOURCES</b>		286,256	276,826	276,826	276,826	276,826
<b>3 OTHER SUPPORT SERVICES</b>		56,716	53,576	53,576	53,576	53,576
<b>TOTAL, GOAL</b>	<b>4</b>	<b>\$1,046,909</b>	<b>\$971,757</b>	<b>\$971,757</b>	<b>\$971,757</b>	<b>\$971,757</b>
<b>TOTAL, AGENCY STRATEGY REQUEST</b>		<b>\$97,385,110</b>	<b>\$342,502,396</b>	<b>\$16,981,146</b>	<b>\$16,320,900</b>	<b>\$16,320,900</b>

2.A. Summary of Base Request by Strategy

8/11/2016 4:49:12PM

85th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

473 Public Utility Commission of Texas

Goal / Objective / STRATEGY	Exp 2015	Est 2016	Bud 2017	Req 2018	Req 2019
<b>TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST*</b>				\$0	\$0
<b>GRAND TOTAL, AGENCY REQUEST</b>	<b>\$97,385,110</b>	<b>\$342,502,396</b>	<b>\$16,981,146</b>	<b>\$16,320,900</b>	<b>\$16,320,900</b>
<u>METHOD OF FINANCING:</u>					
<b>General Revenue Funds:</b>					
1 General Revenue Fund	5,232,384	4,926,587	13,833,049	13,279,727	13,279,727
<b>SUBTOTAL</b>	<b>\$5,232,384</b>	<b>\$4,926,587</b>	<b>\$13,833,049</b>	<b>\$13,279,727</b>	<b>\$13,279,727</b>
<b>General Revenue Dedicated Funds:</b>					
153 Water Resource Management	1,626,213	2,673,097	2,673,097	2,566,173	2,566,173
5100 System Benefit Account	90,176,292	334,427,712	0	0	0
<b>SUBTOTAL</b>	<b>\$91,802,505</b>	<b>\$337,100,809</b>	<b>\$2,673,097</b>	<b>\$2,566,173</b>	<b>\$2,566,173</b>
<b>Other Funds:</b>					
666 Appropriated Receipts	350,221	475,000	475,000	475,000	475,000
<b>SUBTOTAL</b>	<b>\$350,221</b>	<b>\$475,000</b>	<b>\$475,000</b>	<b>\$475,000</b>	<b>\$475,000</b>
<b>TOTAL, METHOD OF FINANCING</b>	<b>\$97,385,110</b>	<b>\$342,502,396</b>	<b>\$16,981,146</b>	<b>\$16,320,900</b>	<b>\$16,320,900</b>

\*Rider appropriations for the historical years are included in the strategy amounts.

**2.B. Summary of Base Request by Method of Finance**  
 85th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

8/11/2016 4:49:12PM

Agency code: **473** Agency name: **Public Utility Commission of Texas**

METHOD OF FINANCING	Exp 2015	Est 2016	Bud 2017	Req 2018	Req 2019
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**GENERAL REVENUE**

**1** General Revenue Fund

*REGULAR APPROPRIATIONS*

Regular Appropriations from MOF Table (2014-15 GAA)

\$4,745,563	\$0	\$0	\$0	\$0
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Regular Appropriations from MOF Table (2016-17 GAA)

\$0	\$4,828,720	\$13,555,763	\$13,279,727	\$13,279,727
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*RIDER APPROPRIATION*

Art IX, Sec 17.08(a), Data Center Reductions (2014-15 GAA)

\$(32,187)	\$0	\$0	\$0	\$0
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Art IX, Sec 14.03(i), Capital Budget UB (2014-15 GAA)

\$54,773	\$0	\$0	\$0	\$0
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Art VIII, Pg 63, Rider 5 (2014-15 GAA)

\$555,894	\$0	\$0	\$0	\$0
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*TRANSFERS*

Art IX, Sec 17.06 Salary Increase for General State Employees (2014-15 GAA)

**2.B. Summary of Base Request by Method of Finance**  
 85th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

8/11/2016 4:49:12PM

Agency code: <b>473</b>	Agency name: <b>Public Utility Commission of Texas</b>				
<b>METHOD OF FINANCING</b>	<b>Exp 2015</b>	<b>Est 2016</b>	<b>Bud 2017</b>	<b>Req 2018</b>	<b>Req 2019</b>
<b><u>GENERAL REVENUE</u></b>					
	\$78,170	\$0	\$0	\$0	\$0
Art IX, Sec 18.02, Salary Increase for General State Employees (2016-17)					
	\$0	\$97,867	\$277,286	\$0	\$0
<p><b>Comments:</b> The amount for FY 2016 is based on actual salaries on Aug 31, 2015. The amount for FY 2017 is the total for GR and SBF in FY 2016, as all salaries paid out of SBF in FY 2017 will be funded by GR in FY 2017. The total request for 2017 matches that for 2016. All positions received the legislative increase. Therefore, the adjustment in 2017 is for the positions that received the increase in 2016. If the PUC fills positions that were unfilled on August 31, 2015 during FY 2017, the agency will cover the increase.</p>					
<i>LAPSED APPROPRIATIONS</i>					
Regular Appropriations from MOF Table (2014-15 GAA)					
	\$(169,829)	\$0	\$0	\$0	\$0
<b>TOTAL, General Revenue Fund</b>	<b>\$5,232,384</b>	<b>\$4,926,587</b>	<b>\$13,833,049</b>	<b>\$13,279,727</b>	<b>\$13,279,727</b>
<b>TOTAL, ALL GENERAL REVENUE</b>	<b>\$5,232,384</b>	<b>\$4,926,587</b>	<b>\$13,833,049</b>	<b>\$13,279,727</b>	<b>\$13,279,727</b>

**GENERAL REVENUE FUND - DEDICATED**

153 GR Dedicated - Water Resource Management Account No. 153

*REGULAR APPROPRIATIONS*

2.B. Summary of Base Request by Method of Finance

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METHOD OF FINANCING	Exp 2015	Est 2016	Bud 2017	Req 2018	Req 2019
<p>Agency code: <b>473</b> Agency name: <b>Public Utility Commission of Texas</b></p>					
<b><u>GENERAL REVENUE FUND - DEDICATED</u></b>					
Regular Appropriations from MOF Table (2016-17 GAA)	\$0	\$2,648,770	\$2,648,770	\$2,566,173	\$2,566,173
<i>TRANSFERS</i>					
Art IX, Sec 17.06 Salary Increase for General State Employees (2014-15 GAA)	\$18,158	\$0	\$0	\$0	\$0
Art IX, Sec 18.02, Salary Increase for General State Employees (2016-17)	\$0	\$24,327	\$24,327	\$0	\$0
<p><b>Comments:</b> The amount for FY 2016 is based on actual salaries on Aug 31, 2015. The total request for 2017 matches that for 2016. All positions received the legislative increase. Therefore, the adjustment in 2017 is for the positions that received the increase in 2016. If the PUC fills positions that were unfilled on August 31, 2015 during FY 2017, the agency will cover the increase.</p>					
Article IX, Section 18.05, Contingency for HB 1600	\$1,613,818	\$0	\$0	\$0	\$0
<i>LAPSED APPROPRIATIONS</i>					
Regular Appropriations from MOF Table (2014-15 GAA)	\$(5,763)	\$0	\$0	\$0	\$0

**2.B. Summary of Base Request by Method of Finance**  
 85th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

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Agency code: <b>473</b>		Agency name: <b>Public Utility Commission of Texas</b>				
<b>METHOD OF FINANCING</b>		<b>Exp 2015</b>	<b>Est 2016</b>	<b>Bud 2017</b>	<b>Req 2018</b>	<b>Req 2019</b>
<b><u>GENERAL REVENUE FUND - DEDICATED</u></b>						
<b>TOTAL,</b>	<b>GR Dedicated - Water Resource Management Account No. 153</b>	<b>\$1,626,213</b>	<b>\$2,673,097</b>	<b>\$2,673,097</b>	<b>\$2,566,173</b>	<b>\$2,566,173</b>
<u><b>5100</b></u>	GR Dedicated - System Benefit Account No. 5100					
	<i>REGULAR APPROPRIATIONS</i>					
	Regular Appropriations from MOF Table (2014-15 GAA)	\$124,241,572	\$0	\$0	\$0	\$0
	Regular Appropriations from MOF Table (2016-17 GAA)	\$0	\$107,248,293	\$0	\$0	\$0
	<i>RIDER APPROPRIATION</i>					
	Art VIII, pg 63, Rider 5 (2014-15 GAA)	\$214,251,349	\$0	\$0	\$0	\$0
	Art VIII, Rider 7, Contingency Approp. SBF (2016-17 GAA)	\$0	\$227,000,000	\$0	\$0	\$0
	<i>TRANSFERS</i>					
	Art IX, Sec 17.06 Salary Increase for General State Employees (2014-15 GAA)	\$123,380	\$0	\$0	\$0	\$0

2.B. Summary of Base Request by Method of Finance  
 85th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

8/11/2016 4:49:12PM

Agency code: 473		Agency name: Public Utility Commission of Texas				
METHOD OF FINANCING	Exp 2015	Est 2016	Bud 2017	Req 2018	Req 2019	
<b><u>GENERAL REVENUE FUND - DEDICATED</u></b>						
Art IX, Sec 18.02, Salary Increase for General State Employees (2016-17)						
	\$0	\$179,419	\$0	\$0	\$0	
<b>Comments:</b> The amount for FY 2016 is based on actual salaries on Aug 31, 2015.						
<i>LAPSED APPROPRIATIONS</i>						
Regular Appropriations from MOF Table (2014-15 GAA)						
	\$(248,440,009)	\$0	\$0	\$0	\$0	
<b>TOTAL,</b>	<b>GR Dedicated - System Benefit Account No. 5100</b>					
	<b>\$90,176,292</b>	<b>\$334,427,712</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	
<b>TOTAL, ALL</b>	<b>GENERAL REVENUE FUND - DEDICATED</b>					
	<b>\$91,802,505</b>	<b>\$337,100,809</b>	<b>\$2,673,097</b>	<b>\$2,566,173</b>	<b>\$2,566,173</b>	
<b>TOTAL,</b>	<b>GR &amp; GR-DEDICATED FUNDS</b>					
	<b>\$97,034,889</b>	<b>\$342,027,396</b>	<b>\$16,506,146</b>	<b>\$15,845,900</b>	<b>\$15,845,900</b>	

**OTHER FUNDS**

**666** Appropriated Receipts

*REGULAR APPROPRIATIONS*

Regular Appropriations from MOF Table (2014-15 GAA)

	\$475,000	\$0	\$0	\$0	\$0
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2.B. Summary of Base Request by Method of Finance  
 85th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

8/11/2016 4:49:12PM

Agency code: <b>473</b>		Agency name: <b>Public Utility Commission of Texas</b>				
<b>METHOD OF FINANCING</b>		<b>Exp 2015</b>	<b>Est 2016</b>	<b>Bud 2017</b>	<b>Req 2018</b>	<b>Req 2019</b>
<b><u>OTHER FUNDS</u></b>						
Regular Appropriations from MOF Table (2016-17 GAA)		\$0	\$475,000	\$475,000	\$475,000	\$475,000
<i>RIDER APPROPRIATION</i>						
Art IX, Sec 8.03, Reimbursements and Payments (2014-15 GAA)		\$(124,779)	\$0	\$0	\$0	\$0
<b>TOTAL,</b>	<b>Appropriated Receipts</b>	<b>\$350,221</b>	<b>\$475,000</b>	<b>\$475,000</b>	<b>\$475,000</b>	<b>\$475,000</b>
<b>TOTAL, ALL</b>	<b>OTHER FUNDS</b>	<b>\$350,221</b>	<b>\$475,000</b>	<b>\$475,000</b>	<b>\$475,000</b>	<b>\$475,000</b>
<b>GRAND TOTAL</b>		<b>\$97,385,110</b>	<b>\$342,502,396</b>	<b>\$16,981,146</b>	<b>\$16,320,900</b>	<b>\$16,320,900</b>

**2.B. Summary of Base Request by Method of Finance**

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85th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

Agency code: <b>473</b>	Agency name: <b>Public Utility Commission of Texas</b>				
<b>METHOD OF FINANCING</b>	<b>Exp 2015</b>	<b>Est 2016</b>	<b>Bud 2017</b>	<b>Req 2018</b>	<b>Req 2019</b>
<b>FULL-TIME-EQUIVALENT POSITIONS</b>					
REGULAR APPROPRIATIONS					
Regular Appropriations from MOF Table (2014-15 GAA)	181.0	0.0	0.0	0.0	0.0
Regular Appropriations from MOF Table (2016-17 GAA)	0.0	217.0	217.0	215.0	215.0
TRANSFERS					
Art IX, Sec 18.15, Contingency for HB 1600	20.0	0.0	0.0	0.0	0.0
UNAUTHORIZED NUMBER OVER (BELOW) CAP					
Reduction in FTE's due to Budget constraints & turnover ( 2014-15)	(12.3)	0.0	0.0	0.0	0.0
Reduction in FTE's due to Budget constraints & turnover ( 2016-17)	0.0	(13.0)	0.0	0.0	0.0
<b>TOTAL, ADJUSTED FTES</b>	<b>188.7</b>	<b>204.0</b>	<b>217.0</b>	<b>215.0</b>	<b>215.0</b>

**NUMBER OF 100% FEDERALLY FUNDED FTES**

2.C. Summary of Base Request by Object of Expense

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Automated Budget and Evaluation System of Texas (ABEST)

473 Public Utility Commission of Texas

OBJECT OF EXPENSE	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
1001 SALARIES AND WAGES	\$12,010,971	\$13,330,349	\$14,159,225	\$14,052,826	\$14,052,827
1002 OTHER PERSONNEL COSTS	\$1,097,893	\$722,233	\$457,430	\$454,230	\$454,230
2001 PROFESSIONAL FEES AND SERVICES	\$3,769,512	\$5,598,462	\$1,289,023	\$780,106	\$780,105
2003 CONSUMABLE SUPPLIES	\$60,999	\$83,054	\$69,100	\$68,100	\$68,100
2004 UTILITIES	\$20,667	\$17,689	\$13,000	\$13,000	\$13,000
2005 TRAVEL	\$55,844	\$102,481	\$90,161	\$78,161	\$78,161
2006 RENT - BUILDING	\$41,924	\$18,839	\$10,000	\$10,000	\$10,000
2007 RENT - MACHINE AND OTHER	\$169,408	\$323,144	\$284,700	\$282,000	\$282,000
2009 OTHER OPERATING EXPENSE	\$1,187,051	\$884,895	\$608,507	\$582,477	\$582,477
3001 CLIENT SERVICES	\$78,863,429	\$321,421,250	\$0	\$0	\$0
4000 GRANTS	\$61,770	\$0	\$0	\$0	\$0
5000 CAPITAL EXPENDITURES	\$45,642	\$0	\$0	\$0	\$0
<b>OOE Total (Excluding Riders)</b>	<b>\$97,385,110</b>	<b>\$342,502,396</b>	<b>\$16,981,146</b>	<b>\$16,320,900</b>	<b>\$16,320,900</b>
<b>OOE Total (Riders)</b>					
<b>Grand Total</b>	<b>\$97,385,110</b>	<b>\$342,502,396</b>	<b>\$16,981,146</b>	<b>\$16,320,900</b>	<b>\$16,320,900</b>

**2.D. Summary of Base Request Objective Outcomes**  
 85th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation system of Texas (ABEST)

8/11/2016 4:49:13PM

**473 Public Utility Commission of Texas**

Goal/ Objective / Outcome	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
1 Ensure Competition, Choice, Just Rates, and Reliable Quality Service					
1 Maintain Policies to Foster Competition in Telecom & Elec Mkts					
<b>KEY</b> 1 % Tx Cities Srvd by 3 or More Certificated Telecommunication Providers					
	75.17%	75.00%	75.00%	75.00%	75.00%
2 % Comp Res Customers Served by More Than Five Electric Providers					
	99.67%	99.70%	99.70%	99.70%	99.70%
<b>KEY</b> 3 Avg Price Elec/kWh in TX for Residential Customers As % of Nat'l Avg					
	100.33%	100.00%	100.00%	100.00%	100.00%
4 Avg Price Electricity/kWh for TX Commercial Customers As % Nat'l Avg					
	70.77%	70.00%	70.00%	70.00%	70.00%
5 Avg Price Electricity/kWh for TX Industrial Customers As % Nat'l Avg					
	95.31%	95.00%	95.00%	95.00%	95.00%
<b>KEY</b> 6 Avg Ann Resid Elec Bill from Competitive Suppliers as a % of Nat'l Avg					
	130.75%	120.00%	120.00%	120.00%	120.00%
<b>KEY</b> 7 Avg Price/kWh Offered on Power-to-Choose as % of Nat'l Avg					
	86.22%	85.00%	85.00%	85.00%	85.00%
2 Regulate Providers Ensuring Companies Meet Service Quality Standards					
<b>KEY</b> 1 Average Annual Residential Telephone Bill as a % of National Average					
	126.14%	125.00%	125.00%	125.00%	125.00%
2 Avg Price Elec/kWh for Res Cust from Reg Suppliers as % of Nat'l Avg					
	81.32%	80.00%	80.00%	80.00%	80.00%
3 Avg Price Elec/kWh for Comm Cust from Reg Suppliers as % of Nat'l Avg					
	70.77%	70.00%	70.00%	70.00%	70.00%
4 Average Annual Res Elec Bill from Reg Suppliers as % of Nat'l Avg					
	97.81%	97.00%	97.00%	97.00%	97.00%
5 % of Subscribers Served by Exchanges Meeting Service Quality Standards					
	53.22%	50.00%	50.00%	50.00%	50.00%

**2.D. Summary of Base Request Objective Outcomes**  
 85th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation system of Texas (ABEST)

8/11/2016 4:49:13PM

**473 Public Utility Commission of Texas**

<i>Goal/ Objective / Outcome</i>	<b>Exp 2015</b>	<b>Est 2016</b>	<b>Bud 2017</b>	<b>BL 2018</b>	<b>BL 2019</b>
<b>6 % Electric Customers Served by TDUs Meeting Service Quality Standards</b>					
	96.72%	96.50%	96.50%	96.50%	96.50%
<i>3 Ensure Compliance with Statutes, Rules, and Orders</i>					
<b>1 % Agreements with Specific Provisions for Avoiding Future Violations</b>					
	62.86%	65.00%	65.00%	65.00%	65.00%
<i>2 Educate Customers and Assist Customers</i>					
<i>2 Resolve Complaints Consistent w/Laws &amp; PUC Rules &amp; Orders</i>					
<b>KEY 1 % Customer Complaints Resolved through Informal Resolution Process</b>					
	99.64%	99.00%	99.00%	99.00%	99.00%
<b>2 Credits &amp; Refunds Obtained for Customers through Complaint Resolution</b>					
	679,090.00	900,000.00	900,000.00	900,000.00	900,000.00

2.E. Summary of Exceptional Items Request

DATE: 8/11/2016  
 TIME : 4:49:13PM

Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 473

Agency name:

Priority	Item	Biennium								
		GR and GR/GR Dedicated	All Funds	FTEs	GR and GR Dedicated	All Funds	FTEs	GR and GR Dedicated	All Funds	
<b>Total, Exceptional Items Request</b>										
<b>Method of Financing</b>										
	General Revenue									
	General Revenue - Dedicated									
	Federal Funds									
	Other Funds									
		\$0			\$0			\$0		

**Full Time Equivalent Positions**

**Number of 100% Federally Funded FTEs**

**2.F. Summary of Total Request by Strategy**  
 85th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 8/11/2016

TIME : 4:49:13PM

Agency code: 473 Agency name: Public Utility Commission of Texas

Goal/Objective/STRATEGY	Base 2018	Base 2019	Exceptional 2018	Exceptional 2019	Total Request 2018	Total Request 2019
<b>1</b> Ensure Competition, Choice, Just Rates, and Reliable Quality Service						
1 <i>Maintain Policies to Foster Competition in Telecom &amp; Elec Mkts</i>						
1 MARKET COMPETITION	\$4,388,715	\$4,388,714	\$0	\$0	\$4,388,715	\$4,388,714
2 <i>Regulate Providers Ensuring Companies Meet Service Quality Stand</i>						
1 UTILITY REGULATION	6,616,611	6,616,612	0	0	6,616,611	6,616,612
3 <i>Ensure Compliance with Statutes, Rules, and Orders</i>						
1 INVESTIGATION AND ENFORCEMENT	2,303,121	2,303,121	0	0	2,303,121	2,303,121
<b>TOTAL, GOAL 1</b>	<b>\$13,308,447</b>	<b>\$13,308,447</b>	<b>\$0</b>	<b>\$0</b>	<b>\$13,308,447</b>	<b>\$13,308,447</b>
<b>2</b> Educate Customers and Assist Customers						
1 <i>Inform Customers of Choices &amp; Rights &amp; Facilitate Information Acce</i>						
1 INFORMATION AND EDUCATION EFFORTS	1,079,863	1,079,863	0	0	1,079,863	1,079,863
2 <i>Resolve Complaints Consistent w/Laws &amp; PUC Rules &amp; Orders</i>						
1 ASSIST CUSTOMERS	960,833	960,833	0	0	960,833	960,833
<b>TOTAL, GOAL 2</b>	<b>\$2,040,696</b>	<b>\$2,040,696</b>	<b>\$0</b>	<b>\$0</b>	<b>\$2,040,696</b>	<b>\$2,040,696</b>
<b>3</b> Electric Utility Restructuring						
1 <i>Provide Financial Assistance</i>						
1 ENERGY ASSISTANCE	0	0	0	0	0	0
<b>TOTAL, GOAL 3</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

**2.F. Summary of Total Request by Strategy**  
 85th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 8/11/2016

TIME : 4:49:13PM

Agency code: 473 Agency name: Public Utility Commission of Texas

<b>Goal/Objective/STRATEGY</b>	<b>Base 2018</b>	<b>Base 2019</b>	<b>Exceptional 2018</b>	<b>Exceptional 2019</b>	<b>Total Request 2018</b>	<b>Total Request 2019</b>
<b>4 Indirect Administration</b>						
<b>1 Indirect Administration</b>						
<b>1 CENTRAL ADMINISTRATION</b>	\$641,355	\$641,355	\$0	\$0	\$641,355	\$641,355
<b>2 INFORMATION RESOURCES</b>	276,826	276,826	0	0	276,826	276,826
<b>3 OTHER SUPPORT SERVICES</b>	53,576	53,576	0	0	53,576	53,576
<b>TOTAL, GOAL 4</b>	<b>\$971,757</b>	<b>\$971,757</b>	<b>\$0</b>	<b>\$0</b>	<b>\$971,757</b>	<b>\$971,757</b>
<b>TOTAL, AGENCY STRATEGY REQUEST</b>	<b>\$16,320,900</b>	<b>\$16,320,900</b>	<b>\$0</b>	<b>\$0</b>	<b>\$16,320,900</b>	<b>\$16,320,900</b>
<b>TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST</b>						
<b>GRAND TOTAL, AGENCY REQUEST</b>	<b>\$16,320,900</b>	<b>\$16,320,900</b>	<b>\$0</b>	<b>\$0</b>	<b>\$16,320,900</b>	<b>\$16,320,900</b>

**2.F. Summary of Total Request by Strategy**  
 85th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 8/11/2016

TIME : 4:49:13PM

Agency code: 473 Agency name: Public Utility Commission of Texas

<b>Goal/Objective/STRATEGY</b>	<b>Base 2018</b>	<b>Base 2019</b>	<b>Exceptional 2018</b>	<b>Exceptional 2019</b>	<b>Total Request 2018</b>	<b>Total Request 2019</b>
<b>General Revenue Funds:</b>						
1 General Revenue Fund	\$13,279,727	\$13,279,727	\$0	\$0	\$13,279,727	\$13,279,727
	<b>\$13,279,727</b>	<b>\$13,279,727</b>	<b>\$0</b>	<b>\$0</b>	<b>\$13,279,727</b>	<b>\$13,279,727</b>
<b>General Revenue Dedicated Funds:</b>						
153 Water Resource Management	2,566,173	2,566,173	0	0	2,566,173	2,566,173
5100 System Benefit Account	0	0	0	0	0	0
	<b>\$2,566,173</b>	<b>\$2,566,173</b>	<b>\$0</b>	<b>\$0</b>	<b>\$2,566,173</b>	<b>\$2,566,173</b>
<b>Other Funds:</b>						
666 Appropriated Receipts	475,000	475,000	0	0	475,000	475,000
	<b>\$475,000</b>	<b>\$475,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$475,000</b>	<b>\$475,000</b>
<b>TOTAL, METHOD OF FINANCING</b>	<b>\$16,320,900</b>	<b>\$16,320,900</b>	<b>\$0</b>	<b>\$0</b>	<b>\$16,320,900</b>	<b>\$16,320,900</b>
<b>FULL TIME EQUIVALENT POSITIONS</b>	<b>215.0</b>	<b>215.0</b>	<b>0.0</b>	<b>0.0</b>	<b>215.0</b>	<b>215.0</b>

**2.G. Summary of Total Request Objective Outcomes**  
 85th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 8/11/2016  
 Time: 4:49:14PM

Agency code: 473 Agency name: Public Utility Commission of Texas

Goal/ Objective / Outcome

		BL 2018	BL 2019	Excp 2018	Excp 2019	Total Request 2018	Total Request 2019
1	Ensure Competition, Choice, Just Rates, and Reliable Quality Service						
1	Maintain Policies to Foster Competition in Telecom & Elec Mkts						
<b>KEY</b>	<b>1 % Tx Cities Srvd by 3 or More Certificated Telecommunication Providers</b>	75.00%	75.00%			75.00%	75.00%
	<b>2 % Comp Res Customers Served by More Than Five Electric Providers</b>	99.70%	99.70%			99.70%	99.70%
<b>KEY</b>	<b>3 Avg Price Elec/kWh in TX for Residential Customers As % of Nat'l Avg</b>	100.00%	100.00%			100.00%	100.00%
	<b>4 Avg Price Electricity/kWh for TX Commercial Customers As % Nat'l Avg</b>	70.00%	70.00%			70.00%	70.00%
	<b>5 Avg Price Electricity/kWh for TX Industrial Customers As % Nat'l Avg</b>	95.00%	95.00%			95.00%	95.00%
<b>KEY</b>	<b>6 Avg Ann Resid Elec Bill from Competitive Suppliers as a % of Nat'l Avg</b>	120.00%	120.00%			120.00%	120.00%
<b>KEY</b>	<b>7 Avg Price/kWh Offered on Power-to-Choose as % of Nat'l Avg</b>	85.00%	85.00%			85.00%	85.00%
2	Regulate Providers Ensuring Companies Meet Service Quality Standards						
<b>KEY</b>	<b>1 Average Annual Residential Telephone Bill as a % of National Average</b>	125.00%	125.00%			125.00%	125.00%

**2.G. Summary of Total Request Objective Outcomes**  
 85th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 8/11/2016  
 Time: 4:49:14PM

Agency code: 473 Agency name: Public Utility Commission of Texas

Goal/ Objective / Outcome

	BL 2018	BL 2019	Excp 2018	Excp 2019	Total Request 2018	Total Request 2019
<b>2 Avg Price Elec/kWh for Res Cust from Reg Suppliers as % of Nat'l Avg</b>	80.00%	80.00%			80.00%	80.00%
<b>3 Avg Price Elec/kWh for Comm Cust from Reg Suppliers as % of Nat'l Avg</b>	70.00%	70.00%			70.00%	70.00%
<b>4 Average Annual Res Elec Bill from Reg Suppliers as % of Nat'l Avg</b>	97.00%	97.00%			97.00%	97.00%
<b>5 % of Subscribers Served by Exchanges Meeting Service Quality Standards</b>	50.00%	50.00%			50.00%	50.00%
<b>6 % Electric Customers Served by TDUs Meeting Service Quality Standards</b>	96.50%	96.50%			96.50%	96.50%
3 <i>Ensure Compliance with Statutes, Rules, and Orders</i>						
<b>1 % Agreements with Specific Provisions for Avoiding Future Violations</b>	65.00%	65.00%			65.00%	65.00%
2 Educate Customers and Assist Customers						
2 <i>Resolve Complaints Consistent w/Laws &amp; PUC Rules &amp; Orders</i>						
<b>KEY 1 % Customer Complaints Resolved through Informal Resolution Process</b>	99.00%	99.00%			99.00%	99.00%
<b>2 Credits &amp; Refunds Obtained for Customers through Complaint Resolution</b>	900,000.00	900,000.00			900,000.00	900,000.00

**473 Public Utility Commission of Texas**

GOAL: 1 Ensure Competition, Choice, Just Rates, and Reliable Quality Service  
 OBJECTIVE: 1 Maintain Policies to Foster Competition in Telecom & Elec Mkts Service Categories:  
 STRATEGY: 1 Foster and Monitor Market Competition Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
<b>Output Measures:</b>						
	1 Number of Retail Electric Providers Registered	111.00	112.00	112.00	112.00	112.00
KEY 2	Number of Cases Completed Related to Competition Among Providers	280.00	350.00	350.00	350.00	350.00
<b>Efficiency Measures:</b>						
KEY 1	Avg # of Days to Process an Application for a Telecom COA & SPCOA	47.00	60.00	60.00	60.00	60.00
<b>Explanatory/Input Measures:</b>						
	1 # of Elect Coops and Municipal Utilities Reg for Wholesale Trans Rate	39.00	38.00	38.00	38.00	38.00
	2 % of Statewide Electric Generating Capacity Above Peak Demand in ERCOT	11.20 %	16.50 %	18.20 %	25.40 %	23.20 %
	3 Energy Savings Due to Energy Efficiency Programs	165.25 %	146.00 %	129.00 %	113.00 %	100.00 %
	4 Demand Reductions Due to Energy Efficiency Programs	209.00 %	200.00 %	200.00 %	200.00 %	200.00 %
	5 Number of Power Generation Companies in Texas	286.00	286.00	286.00	286.00	286.00
	6 Number of Aggregators in Texas	332.00	332.00	332.00	332.00	332.00
	7 Number of Applications & Amendments for Cable Franchise Certificates	81.00	80.00	80.00	80.00	80.00

**Objects of Expense:**

**473 Public Utility Commission of Texas**

GOAL: 1 Ensure Competition, Choice, Just Rates, and Reliable Quality Service  
 OBJECTIVE: 1 Maintain Policies to Foster Competition in Telecom & Elec Mkts Service Categories:  
 STRATEGY: 1 Foster and Monitor Market Competition Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
1001	SALARIES AND WAGES	\$3,448,649	\$3,727,324	\$3,854,346	\$3,854,346	\$3,854,346
1002	OTHER PERSONNEL COSTS	\$334,486	\$178,440	\$128,916	\$128,916	\$128,916
2001	PROFESSIONAL FEES AND SERVICES	\$128,154	\$174,603	\$155,628	\$136,711	\$136,710
2003	CONSUMABLE SUPPLIES	\$17,440	\$19,082	\$16,500	\$16,500	\$16,500
2004	UTILITIES	\$5,993	\$4,657	\$3,770	\$3,770	\$3,770
2005	TRAVEL	\$12,969	\$23,770	\$21,850	\$21,850	\$21,850
2006	RENT - BUILDING	\$3,687	\$3,446	\$2,900	\$2,900	\$2,900
2007	RENT - MACHINE AND OTHER	\$49,129	\$82,674	\$75,400	\$75,400	\$75,400
2009	OTHER OPERATING EXPENSE	\$306,050	\$193,636	\$148,322	\$148,322	\$148,322
5000	CAPITAL EXPENDITURES	\$33,781	\$0	\$0	\$0	\$0
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$4,340,338</b>	<b>\$4,407,632</b>	<b>\$4,407,632</b>	<b>\$4,388,715</b>	<b>\$4,388,714</b>
<b>Method of Financing:</b>						
1	General Revenue Fund	\$1,573,056	\$1,450,937	\$4,269,882	\$4,250,965	\$4,250,964
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$1,573,056</b>	<b>\$1,450,937</b>	<b>\$4,269,882</b>	<b>\$4,250,965</b>	<b>\$4,250,964</b>
<b>Method of Financing:</b>						
153	Water Resource Management	\$0	\$0	\$0	\$0	\$0

**473 Public Utility Commission of Texas**

GOAL: 1 Ensure Competition, Choice, Just Rates, and Reliable Quality Service  
 OBJECTIVE: 1 Maintain Policies to Foster Competition in Telecom & Elec Mkts Service Categories:  
 STRATEGY: 1 Foster and Monitor Market Competition Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
5100	System Benefit Account	\$2,665,718	\$2,818,945	\$0	\$0	\$0
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)</b>		<b>\$2,665,718</b>	<b>\$2,818,945</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Method of Financing:</b>						
666	Appropriated Receipts	\$101,564	\$137,750	\$137,750	\$137,750	\$137,750
<b>SUBTOTAL, MOF (OTHER FUNDS)</b>		<b>\$101,564</b>	<b>\$137,750</b>	<b>\$137,750</b>	<b>\$137,750</b>	<b>\$137,750</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$4,388,715</b>	<b>\$4,388,714</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$4,340,338</b>	<b>\$4,407,632</b>	<b>\$4,407,632</b>	<b>\$4,388,715</b>	<b>\$4,388,714</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>54.3</b>	<b>56.1</b>	<b>58.1</b>	<b>58.1</b>	<b>58.1</b>

**STRATEGY DESCRIPTION AND JUSTIFICATION:**

Public Utility Regulatory Act (PURA) Chapters 39, 52, 54, 60, and 65 require the Public Utility Commission (PUC) to oversee competitive electric and telecom markets and contain provisions establishing ongoing specific responsibilities. Key activities are evaluating the design and operation of competitive wholesale and retail markets, including generation adequacy; identifying and implementing improvements in market design and operations through contested cases and rulemakings; overseeing requirements related to renewable energy and energy efficiency; licensing market participants; ruling on petitions to deregulate telecommunications markets; and resolving disputes among market participants.

**473 Public Utility Commission of Texas**

GOAL: 1 Ensure Competition, Choice, Just Rates, and Reliable Quality Service  
 OBJECTIVE: 1 Maintain Policies to Foster Competition in Telecom & Elec Mkts Service Categories:  
 STRATEGY: 1 Foster and Monitor Market Competition Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
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**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

External factors such as changes in state and federal law, environmental regulations, federal incentives, natural gas prices, and overall economic conditions can affect the functioning of competitive markets and therefore the amount of resources which must be dedicated to this strategy. The number and timing of filings made by market participants, and the complexity and duration of contested case proceedings are mostly outside the control of the PUC. Internal factors affecting this strategy include difficulty recruiting and retaining employees with the necessary training and experience to oversee competitive markets.

**EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):**

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL CHANGE</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
<u>Base Spending (Est 2016 + Bud 2017)</u>	<u>Baseline Request (BL 2018 + BL 2019)</u>		<u>\$ Amount</u>	<u>Explanation(s) of Amount (must specify MOFs and FTEs)</u>
\$8,815,264	\$8,777,429	\$(37,835)	\$(37,835)	4% Reduction: This reflects current DIR estimates for PUC Data Center Services (DCS). The PUC will reallocate operational funding to DCS should actual costs exceed current projections.
			<b>\$(37,835)</b>	<b>Total of Explanation of Biennial Change</b>

**473 Public Utility Commission of Texas**

GOAL: 1 Ensure Competition, Choice, Just Rates, and Reliable Quality Service  
 OBJECTIVE: 2 Regulate Providers Ensuring Companies Meet Service Quality Standards Service Categories:  
 STRATEGY: 1 Conduct Rate Cases for Regulated Telephone, Electric & Water Utilities Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
<b>Output Measures:</b>						
KEY 1	Number of Rate Cases Completed for Regulated Electric Utilities	65.00	65.00	65.00	65.00	65.00
KEY 2	Number of Rate Cases Completed for Telecommunications Providers	10.00	10.00	10.00	10.00	10.00
KEY 3	Number of Water Utility Rate Reviews Performed	98.00	100.00	100.00	100.00	100.00
KEY 4	Number of Water Certificate of Convenience Applications Processed	132.00	150.00	150.00	150.00	150.00
<b>Efficiency Measures:</b>						
KEY 1	Average Number of Days to Process a Major Rate Case for TDU	186.00	200.00	200.00	200.00	200.00
<b>Explanatory/Input Measures:</b>						
1	Number of Electric Utilities Regulated	14.00	14.00	14.00	14.00	14.00
2	Number of Telecommunications Service Providers Regulated	63.00	63.00	63.00	63.00	63.00
3	Number of Water and Sewer Utilities Regulated	670.00	680.00	680.00	680.00	680.00
<b>Objects of Expense:</b>						
1001	SALARIES AND WAGES	\$4,202,867	\$5,326,340	\$5,773,640	\$5,667,241	\$5,667,242
1002	OTHER PERSONNEL COSTS	\$393,677	\$291,528	\$149,589	\$146,389	\$146,389

**473 Public Utility Commission of Texas**

GOAL: 1 Ensure Competition, Choice, Just Rates, and Reliable Quality Service  
 OBJECTIVE: 2 Regulate Providers Ensuring Companies Meet Service Quality Standards Service Categories:  
 STRATEGY: 1 Conduct Rate Cases for Regulated Telephone, Electric & Water Utilities Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
2001	PROFESSIONAL FEES AND SERVICES	\$386,312	\$566,041	\$426,757	\$426,757	\$426,757
2003	CONSUMABLE SUPPLIES	\$17,672	\$33,247	\$26,875	\$25,875	\$25,875
2004	UTILITIES	\$5,787	\$5,726	\$3,640	\$3,640	\$3,640
2005	TRAVEL	\$13,378	\$39,784	\$34,961	\$22,961	\$22,961
2006	RENT - BUILDING	\$7,203	\$5,397	\$2,800	\$2,800	\$2,800
2007	RENT - MACHINE AND OTHER	\$47,435	\$111,403	\$94,300	\$91,600	\$91,600
2009	OTHER OPERATING EXPENSE	\$369,149	\$388,474	\$255,378	\$229,348	\$229,348
4000	GRANTS	\$61,770	\$0	\$0	\$0	\$0
5000	CAPITAL EXPENDITURES	\$11,861	\$0	\$0	\$0	\$0
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$5,517,111</b>	<b>\$6,767,940</b>	<b>\$6,767,940</b>	<b>\$6,616,611</b>	<b>\$6,616,612</b>
<b>Method of Financing:</b>						
1	General Revenue Fund	\$1,337,330	\$1,362,079	\$3,961,843	\$3,917,438	\$3,917,439
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$1,337,330</b>	<b>\$1,362,079</b>	<b>\$3,961,843</b>	<b>\$3,917,438</b>	<b>\$3,917,439</b>
<b>Method of Financing:</b>						
153	Water Resource Management	\$1,626,213	\$2,673,097	\$2,673,097	\$2,566,173	\$2,566,173
5100	System Benefit Account	\$2,455,506	\$2,599,764	\$0	\$0	\$0

**473 Public Utility Commission of Texas**

GOAL: 1 Ensure Competition, Choice, Just Rates, and Reliable Quality Service  
 OBJECTIVE: 2 Regulate Providers Ensuring Companies Meet Service Quality Standards  
 STRATEGY: 1 Conduct Rate Cases for Regulated Telephone, Electric & Water Utilities

Service Categories:  
 Service: 17      Income: A.2      Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)</b>		<b>\$4,081,719</b>	<b>\$5,272,861</b>	<b>\$2,673,097</b>	<b>\$2,566,173</b>	<b>\$2,566,173</b>
<b>Method of Financing:</b>						
	666 Appropriated Receipts	\$98,062	\$133,000	\$133,000	\$133,000	\$133,000
<b>SUBTOTAL, MOF (OTHER FUNDS)</b>		<b>\$98,062</b>	<b>\$133,000</b>	<b>\$133,000</b>	<b>\$133,000</b>	<b>\$133,000</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$6,616,611</b>	<b>\$6,616,612</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$5,517,111</b>	<b>\$6,767,940</b>	<b>\$6,767,940</b>	<b>\$6,616,611</b>	<b>\$6,616,612</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>65.8</b>	<b>83.2</b>	<b>90.2</b>	<b>88.2</b>	<b>88.2</b>
<b>STRATEGY DESCRIPTION AND JUSTIFICATION:</b>						

**473 Public Utility Commission of Texas**

GOAL: 1 Ensure Competition, Choice, Just Rates, and Reliable Quality Service  
 OBJECTIVE: 2 Regulate Providers Ensuring Companies Meet Service Quality Standards Service Categories:  
 STRATEGY: 1 Conduct Rate Cases for Regulated Telephone, Electric & Water Utilities Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
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The PUC has responsibility for ensuring that customers receive high quality service at just and reasonable rates. The PUC regulates rates and services in both the telecommunications and electric industries. PURA Chapter 36 provides for electric rate regulation. PURA Chapter 38 provides for regulation of service quality. Within the Electric Reliability Council of Texas (ERCOT), the PUC regulates the rates and service quality of transmission and distribution utilities, and the rates of wholesale transmission providers. Areas outside ERCOT are not open to retail competition and the PUC continues to be responsible for rate and service quality regulation for integrated utilities. The PUC is also responsible for licensing transmission facilities throughout the state under PURA Chapter 37. PURA Chapter 53 provides for rate regulation of local exchange providers. Regulated telecom providers may elect incentive regulation under PURA Chapters 58 and 59, which limits PUC jurisdiction over rates and services. PURA Chapter 65 provides for deregulation of telecom exchanges based on adequate development of competitive markets, which has resulted in a decrease in PUC regulation of the telecommunications industry. Functions related to emergency response and Homeland Security are also funded by this strategy. Texas Water Code, as emended by HB 1600, 83rd Legislature, Regular Session, requires the PUC to provide regulatory oversight of water and sewer utilities to ensure that charges to customers are necessary and cost-based; and to promote and ensure adequate customer service.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

The number and timing of filings made by companies seeking necessary regulatory approvals, and the complexity and duration of contested case proceedings are mostly outside the control of the PUC. Natural disasters and other emergencies can affect the amount of resources dedicated to this strategy. Factors affecting water regulation include the price of wholesale water and population growth putting a strain on water infrastructure.

**473 Public Utility Commission of Texas**

GOAL: 1 Ensure Competition, Choice, Just Rates, and Reliable Quality Service  
 OBJECTIVE: 2 Regulate Providers Ensuring Companies Meet Service Quality Standards Service Categories:  
 STRATEGY: 1 Conduct Rate Cases for Regulated Telephone, Electric & Water Utilities Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
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**EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):**

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		BIENNIAL	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2016 + Bud 2017)	Baseline Request (BL 2018 + BL 2019)	CHANGE	\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$13,535,880	\$13,233,223	\$(302,657)	\$(302,657)	4% Reduction: This reduction includes 2.0 FTEs and related personnel costs, as well as \$12,000 in travel and \$24,000 in training each year.
			<b>\$(302,657)</b>	<b>Total of Explanation of Biennial Change</b>

**473 Public Utility Commission of Texas**

GOAL: 1 Ensure Competition, Choice, Just Rates, and Reliable Quality Service  
 OBJECTIVE: 3 Ensure Compliance with Statutes, Rules, and Orders Service Categories:  
 STRATEGY: 1 Conduct Investigations and Initiate Enforcement Actions Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
<b>Output Measures:</b>						
KEY 1	Number of Enforcement Investigations Conducted	190.00	200.00	200.00	200.00	200.00
<b>Explanatory/Input Measures:</b>						
1	Dollar Amount Administrative Penalties Assessed for Violations	1,638,950.00	1,700,000.00	1,700,000.00	1,700,000.00	1,700,000.00
<b>Objects of Expense:</b>						
1001	SALARIES AND WAGES	\$1,823,039	\$1,960,866	\$2,024,166	\$2,024,166	\$2,024,166
1002	OTHER PERSONNEL COSTS	\$176,665	\$94,166	\$68,739	\$68,739	\$68,739
2001	PROFESSIONAL FEES AND SERVICES	\$62,079	\$76,968	\$68,033	\$68,033	\$68,033
2003	CONSUMABLE SUPPLIES	\$8,419	\$10,612	\$9,400	\$9,400	\$9,400
2004	UTILITIES	\$2,894	\$2,237	\$1,820	\$1,820	\$1,820
2005	TRAVEL	\$6,289	\$13,205	\$12,300	\$12,300	\$12,300
2006	RENT - BUILDING	\$1,780	\$1,656	\$1,400	\$1,400	\$1,400
2007	RENT - MACHINE AND OTHER	\$23,717	\$46,614	\$43,200	\$43,200	\$43,200
2009	OTHER OPERATING EXPENSE	\$157,960	\$96,797	\$74,063	\$74,063	\$74,063
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$2,262,842</b>	<b>\$2,303,121</b>	<b>\$2,303,121</b>	<b>\$2,303,121</b>	<b>\$2,303,121</b>

**Method of Financing:**

**473 Public Utility Commission of Texas**

GOAL: 1 Ensure Competition, Choice, Just Rates, and Reliable Quality Service  
 OBJECTIVE: 3 Ensure Compliance with Statutes, Rules, and Orders  
 STRATEGY: 1 Conduct Investigations and Initiate Enforcement Actions

Service Categories:  
 Service: 17      Income: A.2      Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
1	General Revenue Fund	\$752,047	\$684,216	\$2,236,621	\$2,236,621	\$2,236,621
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$752,047</b>	<b>\$684,216</b>	<b>\$2,236,621</b>	<b>\$2,236,621</b>	<b>\$2,236,621</b>
<b>Method of Financing:</b>						
5100	System Benefit Account	\$1,461,764	\$1,552,405	\$0	\$0	\$0
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)</b>		<b>\$1,461,764</b>	<b>\$1,552,405</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Method of Financing:</b>						
666	Appropriated Receipts	\$49,031	\$66,500	\$66,500	\$66,500	\$66,500
<b>SUBTOTAL, MOF (OTHER FUNDS)</b>		<b>\$49,031</b>	<b>\$66,500</b>	<b>\$66,500</b>	<b>\$66,500</b>	<b>\$66,500</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$2,303,121</b>	<b>\$2,303,121</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$2,262,842</b>	<b>\$2,303,121</b>	<b>\$2,303,121</b>	<b>\$2,303,121</b>	<b>\$2,303,121</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>28.8</b>	<b>29.1</b>	<b>30.1</b>	<b>30.1</b>	<b>30.1</b>
<b>STRATEGY DESCRIPTION AND JUSTIFICATION:</b>						

**473 Public Utility Commission of Texas**

GOAL: 1 Ensure Competition, Choice, Just Rates, and Reliable Quality Service  
 OBJECTIVE: 3 Ensure Compliance with Statutes, Rules, and Orders Service Categories:  
 STRATEGY: 1 Conduct Investigations and Initiate Enforcement Actions Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
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PURA §15.023 provides that the PUC may impose administrative penalties for violations of PURA or a PUC rule or order. Ensuring compliance is essential to proper functioning of competitive markets so that customers receive the benefits of competition. Ensuring that regulated utilities comply with service quality standards and tariffs results in customers receiving high quality service at reasonable rates. Functions under this strategy include investigating possible instances of noncompliance, issuing notices of violations, participating in contested case hearings, and assessment of penalties when violations are found.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

The PUC has some ability to control the resources dedicated to this strategy because the agency must initiate and prosecute cases based on alleged violations. However, the degree to which service providers comply with laws, and the complexity and duration of contested case proceedings are mostly outside the control of the PUC.

**EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):**

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2016 + Bud 2017)	Baseline Request (BL 2018 + BL 2019)	CHANGE	\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$4,606,242	\$4,606,242	\$0	\$0	No explanation needed
			<b>\$0</b>	<b>Total of Explanation of Biennial Change</b>

**473 Public Utility Commission of Texas**

GOAL: 2 Educate Customers and Assist Customers

OBJECTIVE: 1 Inform Customers of Choices & Rights & Facilitate Information Access

Service Categories:

STRATEGY: 1 Provide Information and Educational Outreach to Customers

Service: 17

Income: A.2

Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
<b>Output Measures:</b>						
KEY 1	Number of Information Requests to Which Responses Were Provided	66,593.00	70,000.00	70,000.00	70,000.00	70,000.00
2	Number of Customer Information Products Distributed	1,250,819.00	1,250,000.00	1,250,000.00	1,250,000.00	1,250,000.00
<b>Efficiency Measures:</b>						
KEY 1	% Customer Information Product Distributed Electronically	87.79 %	88.00 %	88.00 %	88.00 %	88.00 %
<b>Explanatory/Input Measures:</b>						
KEY 1	Number of Website Hits to Customer Protection Home Page	388,040.00	390,000.00	390,000.00	390,000.00	390,000.00
2	# of Power - to - Choose Website Hits	1,093,603.00	2,000,000.00	2,000,000.00	2,000,000.00	2,000,000.00
<b>Objects of Expense:</b>						
1001	SALARIES AND WAGES	\$1,086,631	\$913,845	\$977,764	\$977,764	\$977,764
1002	OTHER PERSONNEL COSTS	\$60,569	\$34,801	\$23,809	\$23,809	\$23,809
2001	PROFESSIONAL FEES AND SERVICES	\$110,519	\$540,737	\$520,682	\$30,682	\$30,682
2003	CONSUMABLE SUPPLIES	\$3,035	\$3,876	\$3,325	\$3,325	\$3,325
2004	UTILITIES	\$1,033	\$837	\$650	\$650	\$650
2005	TRAVEL	\$12,735	\$6,561	\$4,250	\$4,250	\$4,250

**473 Public Utility Commission of Texas**

GOAL: 2 Educate Customers and Assist Customers  
 OBJECTIVE: 1 Inform Customers of Choices & Rights & Facilitate Information Access  
 STRATEGY: 1 Provide Information and Educational Outreach to Customers

Service Categories:  
 Service: 17      Income: A.2      Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
2006	RENT - BUILDING	\$26,202	\$5,255	\$500	\$500	\$500
2007	RENT - MACHINE AND OTHER	\$8,470	\$16,137	\$14,600	\$14,600	\$14,600
2009	OTHER OPERATING EXPENSE	\$129,674	\$47,814	\$24,283	\$24,283	\$24,283
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$1,438,868</b>	<b>\$1,569,863</b>	<b>\$1,569,863</b>	<b>\$1,079,863</b>	<b>\$1,079,863</b>
<b>Method of Financing:</b>						
1	General Revenue Fund	\$266,793	\$249,081	\$1,546,113	\$1,056,113	\$1,056,113
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$266,793</b>	<b>\$249,081</b>	<b>\$1,546,113</b>	<b>\$1,056,113</b>	<b>\$1,056,113</b>
<b>Method of Financing:</b>						
5100	System Benefit Account	\$1,154,564	\$1,297,032	\$0	\$0	\$0
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)</b>		<b>\$1,154,564</b>	<b>\$1,297,032</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Method of Financing:</b>						
666	Appropriated Receipts	\$17,511	\$23,750	\$23,750	\$23,750	\$23,750
<b>SUBTOTAL, MOF (OTHER FUNDS)</b>		<b>\$17,511</b>	<b>\$23,750</b>	<b>\$23,750</b>	<b>\$23,750</b>	<b>\$23,750</b>

**473 Public Utility Commission of Texas**

GOAL: 2 Educate Customers and Assist Customers  
 OBJECTIVE: 1 Inform Customers of Choices & Rights & Facilitate Information Access Service Categories:  
 STRATEGY: 1 Provide Information and Educational Outreach to Customers Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$1,079,863</b>	<b>\$1,079,863</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$1,438,868</b>	<b>\$1,569,863</b>	<b>\$1,569,863</b>	<b>\$1,079,863</b>	<b>\$1,079,863</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>17.0</b>	<b>14.3</b>	<b>15.3</b>	<b>15.3</b>	<b>15.3</b>

**STRATEGY DESCRIPTION AND JUSTIFICATION:**

PURA §17.003 requires the PUC to promote awareness of changes in electric and telecom markets, provide customers with information necessary to make informed choices, and ensure that customers have an adequate understanding of their rights. These education efforts must be conducted in English, Spanish, and other languages as necessary. Providing information assists utility customers who purchase services in competitive markets in selecting providers and choosing services and rate plans that are appropriate for the customers' needs. Customers who are served by companies that remain regulated need information to assist them in understanding their bills, and understanding issues that relate to service quality, such as tree trimming. The PUC provides information using a toll-free customer hot line; postings on the PUC's Web page; printed materials; walk-in, Internet and on-site electronic access to all documents filed in the PUC's Central Records; and responses to written inquiries, including requests under the Public Information Act. PURA § 39.902 requires the PUC to conduct ongoing customer education designed to help customers make informed choices of electric services and retail electric providers.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

The PUC has some ability to control the level of effort devoted to providing information to customers. The PUC's call center function is included in this strategy, and the agency has no ability to control the number of persons who call the PUC seeking information or assistance. Similarly, the PUC cannot control the number or scope of Public Information Act requests which are received.

**473 Public Utility Commission of Texas**

GOAL: 2 Educate Customers and Assist Customers  
 OBJECTIVE: 1 Inform Customers of Choices & Rights & Facilitate Information Access  
 STRATEGY: 1 Provide Information and Educational Outreach to Customers

Service Categories:  
 Service: 17      Income: A.2      Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
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**EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):**

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL CHANGE</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
<u>Base Spending (Est 2016 + Bud 2017)</u>	<u>Baseline Request (BL 2018 + BL 2019)</u>		<u>\$ Amount</u>	<u>Explanation(s) of Amount (must specify MOFs and FTEs)</u>
\$3,139,726	\$2,159,726	\$(980,000)	\$(980,000)	4% Reduction: This reduction is comprised of the PUC's outside services contracts for customer education. The PUC will maintain a customer education program as required by PURA Sec. 17.003.
			<u>\$(980,000)</u>	<b>Total of Explanation of Biennial Change</b>

**473 Public Utility Commission of Texas**

GOAL: 2 Educate Customers and Assist Customers  
 OBJECTIVE: 2 Resolve Complaints Consistent w/Laws & PUC Rules & Orders  
 STRATEGY: 1 Assist Customers in Resolving Disputes

Service Categories:  
 Service: 17      Income: A.2      Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
<b>Output Measures:</b>						
KEY 1	Number of Customer Complaints Concluded	7,625.00	6,500.00	7,500.00	7,500.00	7,500.00
<b>Efficiency Measures:</b>						
KEY 1	Average Number of Days to Conclude Customer Complaints	19.00	17.00	15.00	15.00	15.00
<b>Explanatory/Input Measures:</b>						
1	Number Complaints Received for Unauthorized Changes in Service	1,254.00	1,400.00	1,400.00	1,400.00	1,400.00
<b>Objects of Expense:</b>						
1001	SALARIES AND WAGES	\$755,373	\$779,190	\$843,204	\$843,204	\$843,204
1002	OTHER PERSONNEL COSTS	\$72,788	\$54,713	\$28,597	\$28,597	\$28,597
2001	PROFESSIONAL FEES AND SERVICES	\$23,083	\$38,427	\$30,145	\$30,145	\$30,145
2003	CONSUMABLE SUPPLIES	\$3,608	\$5,295	\$4,000	\$4,000	\$4,000
2004	UTILITIES	\$1,240	\$1,225	\$780	\$780	\$780
2005	TRAVEL	\$2,690	\$6,065	\$5,100	\$5,100	\$5,100
2006	RENT - BUILDING	\$763	\$874	\$600	\$600	\$600
2007	RENT - MACHINE AND OTHER	\$10,164	\$21,247	\$17,600	\$17,600	\$17,600
2009	OTHER OPERATING EXPENSE	\$64,075	\$53,797	\$30,807	\$30,807	\$30,807

**473 Public Utility Commission of Texas**

GOAL: 2 Educate Customers and Assist Customers  
 OBJECTIVE: 2 Resolve Complaints Consistent w/Laws & PUC Rules & Orders  
 STRATEGY: 1 Assist Customers in Resolving Disputes

Service Categories:  
 Service: 17      Income: A.2      Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$933,784</b>	<b>\$960,833</b>	<b>\$960,833</b>	<b>\$960,833</b>	<b>\$960,833</b>
<b>Method of Financing:</b>						
1	General Revenue Fund	\$319,289	\$294,017	\$932,333	\$932,333	\$932,333
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$319,289</b>	<b>\$294,017</b>	<b>\$932,333</b>	<b>\$932,333</b>	<b>\$932,333</b>
<b>Method of Financing:</b>						
5100	System Benefit Account	\$593,482	\$638,316	\$0	\$0	\$0
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)</b>		<b>\$593,482</b>	<b>\$638,316</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Method of Financing:</b>						
666	Appropriated Receipts	\$21,013	\$28,500	\$28,500	\$28,500	\$28,500
<b>SUBTOTAL, MOF (OTHER FUNDS)</b>		<b>\$21,013</b>	<b>\$28,500</b>	<b>\$28,500</b>	<b>\$28,500</b>	<b>\$28,500</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$960,833</b>	<b>\$960,833</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$933,784</b>	<b>\$960,833</b>	<b>\$960,833</b>	<b>\$960,833</b>	<b>\$960,833</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>11.8</b>	<b>12.2</b>	<b>13.2</b>	<b>13.2</b>	<b>13.2</b>

**473 Public Utility Commission of Texas**

GOAL: 2 Educate Customers and Assist Customers  
 OBJECTIVE: 2 Resolve Complaints Consistent w/Laws & PUC Rules & Orders Service Categories:  
 STRATEGY: 1 Assist Customers in Resolving Disputes Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
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**STRATEGY DESCRIPTION AND JUSTIFICATION:**

PURA §15.051 provides that an affected person may complain to the PUC about a public utility alleged to be in violation of a statute, rule or order, and the PUC is required to maintain certain records concerning complaints that are received. PURA §17.157 authorizes the PUC to resolve disputes between customers and service providers. PURA §§17.102 and 39.101 require the PUC to adopt and enforce rules providing specific customer protections. The PUC assists customers in resolving disputes with their electric and telecommunications service providers by investigating complaints about alleged wrong-doings, making informal determinations about whether certain activities appear to comply with applicable statutes and rules, and recommending corrective actions where appropriate. Customers may file complaints by letter, fax, telephone, appearing in person, or Internet submission. Records are maintained in a database which is capable of producing a variety of reports summarizing data about complaints received.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

The PUC has no ability to control the number of persons who seek help with informal resolution of disputes by filing complaints about service providers. Factors such as extreme weather and natural gas prices can affect utility costs for consumers and result in more complaints about billing and service.

**EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):**

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		BIENNIAL	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2016 + Bud 2017)	Baseline Request (BL 2018 + BL 2019)	CHANGE	\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$1,921,666	\$1,921,666	\$0	\$0	No explanation needed
			<b>\$0</b>	<b>Total of Explanation of Biennial Change</b>

**473 Public Utility Commission of Texas**

GOAL:           3   Electric Utility Restructuring  
 OBJECTIVE:    1   Provide Financial Assistance  
 STRATEGY:    1   Energy Assistance. Nontransferable

Service Categories:  
 Service: 17       Income: A.1       Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
<b>Objects of Expense:</b>						
2001	PROFESSIONAL FEES AND SERVICES	\$2,981,829	\$4,100,000	\$0	\$0	\$0
3001	CLIENT SERVICES	\$78,863,429	\$321,421,250	\$0	\$0	\$0
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$81,845,258</b>	<b>\$325,521,250</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Method of Financing:</b>						
5100	System Benefit Account	\$81,845,258	\$325,521,250	\$0	\$0	\$0
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)</b>		<b>\$81,845,258</b>	<b>\$325,521,250</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$0</b>	<b>\$0</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$81,845,258</b>	<b>\$325,521,250</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

**FULL TIME EQUIVALENT POSITIONS:**

**STRATEGY DESCRIPTION AND JUSTIFICATION:**

**473 Public Utility Commission of Texas**

GOAL: 3 Electric Utility Restructuring  
 OBJECTIVE: 1 Provide Financial Assistance Service Categories:  
 STRATEGY: 1 Energy Assistance. Nontransferable Service: 17 Income: A.1 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
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Amendments to the Public Utility Regulatory Act (PURA) enacted in 1999 established a low-income electric discount program for areas of the state open to retail competition to be funded by the System Benefit Fund in General Revenue Dedicated. The System Benefit Fund was financed by a non-bypassable fee not to exceed 65 cents per megawatt hour paid by electric utility customers in areas of the state open to retail competition. This fee expired August 31, 2013 with the passage of HB 7, 83rd Legislature, Regular Session. Eligibility is determined by a third party administrator based on statutory criteria. Eligible customers are enrolled automatically based on their participation in Department of Health and Human Services programs, or they may self enroll. The discount is provided by Retail Electric Providers who are reimbursed by the PUC. The amount of the discount is established by the PUC based on the availability of funds, not to exceed a statutory cap.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

The Legislature establishes the funding for this strategy based on the level of benefits to be provided and the number of program participants, which is based on eligibility criteria in PURA. Within a biennium, overall economic conditions and population changes can affect the number of program participants. Changes in the Provider-of-Last-Resort rate will also impact the discount percentage. The PUC can respond to increases in program participation by decreasing the percent rate reduction provided to stay within the appropriated amount.

**473 Public Utility Commission of Texas**

GOAL: 3 Electric Utility Restructuring  
 OBJECTIVE: 1 Provide Financial Assistance  
 STRATEGY: 1 Energy Assistance. Nontransferable

Service Categories:  
 Service: 17      Income: A.1      Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
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**EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):**

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		BIENNIAL	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2016 + Bud 2017)	Baseline Request (BL 2018 + BL 2019)	CHANGE	\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$325,521,250	\$0	\$(325,521,250)	\$(325,521,250)	PURA Sec. 39.9039 eliminates the SBF at the conclusion of fiscal year 2017. The PUC anticipates expending all funds by the conclusion of fiscal year 2016.
			<b>\$(325,521,250)</b>	<b>Total of Explanation of Biennial Change</b>

**473 Public Utility Commission of Texas**

GOAL: 4 Indirect Administration  
 OBJECTIVE: 1 Indirect Administration  
 STRATEGY: 1 Central Administration

Service Categories:  
 Service: 09      Income: A.2      Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
<b>Objects of Expense:</b>						
1001	SALARIES AND WAGES	\$468,580	\$384,391	\$447,712	\$447,712	\$447,712
1002	OTHER PERSONNEL COSTS	\$40,161	\$49,325	\$38,520	\$38,520	\$38,520
2001	PROFESSIONAL FEES AND SERVICES	\$51,693	\$73,852	\$59,944	\$59,944	\$59,944
2003	CONSUMABLE SUPPLIES	\$7,217	\$7,942	\$6,000	\$6,000	\$6,000
2004	UTILITIES	\$2,480	\$2,227	\$1,560	\$1,560	\$1,560
2005	TRAVEL	\$5,189	\$9,196	\$7,800	\$7,800	\$7,800
2006	RENT - BUILDING	\$1,526	\$1,611	\$1,200	\$1,200	\$1,200
2007	RENT - MACHINE AND OTHER	\$20,329	\$31,869	\$26,400	\$26,400	\$26,400
2009	OTHER OPERATING EXPENSE	\$106,762	\$80,942	\$52,219	\$52,219	\$52,219
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$703,937</b>	<b>\$641,355</b>	<b>\$641,355</b>	<b>\$641,355</b>	<b>\$641,355</b>
<b>Method of Financing:</b>						
1	General Revenue Fund	\$661,910	\$584,355	\$584,355	\$584,355	\$584,355
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$661,910</b>	<b>\$584,355</b>	<b>\$584,355</b>	<b>\$584,355</b>	<b>\$584,355</b>
<b>Method of Financing:</b>						
666	Appropriated Receipts	\$42,027	\$57,000	\$57,000	\$57,000	\$57,000

**473 Public Utility Commission of Texas**

GOAL: 4 Indirect Administration  
 OBJECTIVE: 1 Indirect Administration  
 STRATEGY: 1 Central Administration

Service Categories:  
 Service: 09      Income: A.2      Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
<b>SUBTOTAL, MOF (OTHER FUNDS)</b>		<b>\$42,027</b>	<b>\$57,000</b>	<b>\$57,000</b>	<b>\$57,000</b>	<b>\$57,000</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$641,355</b>	<b>\$641,355</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$703,937</b>	<b>\$641,355</b>	<b>\$641,355</b>	<b>\$641,355</b>	<b>\$641,355</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>7.4</b>	<b>5.7</b>	<b>6.7</b>	<b>6.7</b>	<b>6.7</b>

**STRATEGY DESCRIPTION AND JUSTIFICATION:**

PURA §12.103 provides that the Executive Director is responsible for the daily operations of the PUC and coordinating activities of PUC employees. This strategy includes Fiscal Services, General Law, Human Resources, Governmental Relations, and Communications. Strategy activities include strategic planning; budget administration; administration of electric low income discount program; ensuring compliance with state travel regulations; human resources management; ensuring agency compliance with state and federal laws affecting personnel, and state procurement laws and regulations; responding to requests from the Legislature and state and federal agencies; and preparation of contracts with third party vendors.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

Changes in state and federal laws affecting human resources and procurement can affect activities under this strategy. The PUC seeks to improve efficiencies through automation of administrative functions.

**473 Public Utility Commission of Texas**

GOAL: 4 Indirect Administration  
 OBJECTIVE: 1 Indirect Administration  
 STRATEGY: 1 Central Administration

Service Categories:  
 Service: 09      Income: A.2      Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
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**EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):**

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		BIENNIAL	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2016 + Bud 2017)	Baseline Request (BL 2018 + BL 2019)	CHANGE	\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$1,282,710	\$1,282,710	\$0	\$0	No explanation needed
			<u>\$0</u>	<b>Total of Explanation of Biennial Change</b>

**473 Public Utility Commission of Texas**

GOAL: 4 Indirect Administration  
 OBJECTIVE: 1 Indirect Administration  
 STRATEGY: 2 Information Resources

Service Categories:  
 Service: 09      Income: A.2      Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
<b>Objects of Expense:</b>						
1001	SALARIES AND WAGES	\$188,612	\$201,082	\$201,082	\$201,082	\$201,082
1002	OTHER PERSONNEL COSTS	\$16,316	\$16,050	\$16,050	\$16,050	\$16,050
2001	PROFESSIONAL FEES AND SERVICES	\$21,536	\$22,771	\$22,771	\$22,771	\$22,771
2003	CONSUMABLE SUPPLIES	\$3,007	\$2,500	\$2,500	\$2,500	\$2,500
2004	UTILITIES	\$1,033	\$650	\$650	\$650	\$650
2005	TRAVEL	\$2,162	\$3,250	\$3,250	\$3,250	\$3,250
2006	RENT - BUILDING	\$636	\$500	\$500	\$500	\$500
2007	RENT - MACHINE AND OTHER	\$8,470	\$11,000	\$11,000	\$11,000	\$11,000
2009	OTHER OPERATING EXPENSE	\$44,484	\$19,023	\$19,023	\$19,023	\$19,023
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$286,256</b>	<b>\$276,826</b>	<b>\$276,826</b>	<b>\$276,826</b>	<b>\$276,826</b>
<b>Method of Financing:</b>						
1	General Revenue Fund	\$268,745	\$253,076	\$253,076	\$253,076	\$253,076
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$268,745</b>	<b>\$253,076</b>	<b>\$253,076</b>	<b>\$253,076</b>	<b>\$253,076</b>
<b>Method of Financing:</b>						
666	Appropriated Receipts	\$17,511	\$23,750	\$23,750	\$23,750	\$23,750

**473 Public Utility Commission of Texas**

GOAL: 4 Indirect Administration  
 OBJECTIVE: 1 Indirect Administration  
 STRATEGY: 2 Information Resources

Service Categories:  
 Service: 09      Income: A.2      Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
<b>SUBTOTAL, MOF (OTHER FUNDS)</b>		<b>\$17,511</b>	<b>\$23,750</b>	<b>\$23,750</b>	<b>\$23,750</b>	<b>\$23,750</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$276,826</b>	<b>\$276,826</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$286,256</b>	<b>\$276,826</b>	<b>\$276,826</b>	<b>\$276,826</b>	<b>\$276,826</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>3.0</b>	<b>2.8</b>	<b>2.8</b>	<b>2.8</b>	<b>2.8</b>

**STRATEGY DESCRIPTION AND JUSTIFICATION:**

The Information Resources strategy provides resources for IT technology support for all areas of the Commission. The PUC Fiscal and Information Services Division maintains the PUC network and desktop operating environments providing internal and external users with a stable, scalable, and responsive computing environment. The strategy includes PUC technology planning including but not limited to development of the Information Resource Strategic Plan and the Biennial Operating Plan.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

The Data Center Services Project affects the PUC's implementation of this strategy. Advances in information technology affect the PUC's use of computers and electronic information resources.

**473 Public Utility Commission of Texas**

GOAL: 4 Indirect Administration  
 OBJECTIVE: 1 Indirect Administration  
 STRATEGY: 2 Information Resources

Service Categories:  
 Service: 09      Income: A.2      Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
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**EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):**

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		BIENNIAL CHANGE	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2016 + Bud 2017)	Baseline Request (BL 2018 + BL 2019)		\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$553,652	\$553,652	\$0	\$0	No explanation needed
			<b>\$0</b>	<b>Total of Explanation of Biennial Change</b>

**473 Public Utility Commission of Texas**

GOAL: 4 Indirect Administration  
 OBJECTIVE: 1 Indirect Administration  
 STRATEGY: 3 Other Support Services

Service Categories:  
 Service: 09      Income: A.2      Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
<b>Objects of Expense:</b>						
1001	SALARIES AND WAGES	\$37,220	\$37,311	\$37,311	\$37,311	\$37,311
1002	OTHER PERSONNEL COSTS	\$3,231	\$3,210	\$3,210	\$3,210	\$3,210
2001	PROFESSIONAL FEES AND SERVICES	\$4,307	\$5,063	\$5,063	\$5,063	\$5,063
2003	CONSUMABLE SUPPLIES	\$601	\$500	\$500	\$500	\$500
2004	UTILITIES	\$207	\$130	\$130	\$130	\$130
2005	TRAVEL	\$432	\$650	\$650	\$650	\$650
2006	RENT - BUILDING	\$127	\$100	\$100	\$100	\$100
2007	RENT - MACHINE AND OTHER	\$1,694	\$2,200	\$2,200	\$2,200	\$2,200
2009	OTHER OPERATING EXPENSE	\$8,897	\$4,412	\$4,412	\$4,412	\$4,412
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$56,716</b>	<b>\$53,576</b>	<b>\$53,576</b>	<b>\$53,576</b>	<b>\$53,576</b>
<b>Method of Financing:</b>						
1	General Revenue Fund	\$53,214	\$48,826	\$48,826	\$48,826	\$48,826
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$53,214</b>	<b>\$48,826</b>	<b>\$48,826</b>	<b>\$48,826</b>	<b>\$48,826</b>
<b>Method of Financing:</b>						
666	Appropriated Receipts	\$3,502	\$4,750	\$4,750	\$4,750	\$4,750

**473 Public Utility Commission of Texas**

GOAL: 4 Indirect Administration  
 OBJECTIVE: 1 Indirect Administration  
 STRATEGY: 3 Other Support Services

Service Categories:  
 Service: 09      Income: A.2      Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
<b>SUBTOTAL, MOF (OTHER FUNDS)</b>		<b>\$3,502</b>	<b>\$4,750</b>	<b>\$4,750</b>	<b>\$4,750</b>	<b>\$4,750</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$53,576</b>	<b>\$53,576</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$56,716</b>	<b>\$53,576</b>	<b>\$53,576</b>	<b>\$53,576</b>	<b>\$53,576</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>0.6</b>	<b>0.6</b>	<b>0.6</b>	<b>0.6</b>	<b>0.6</b>

**STRATEGY DESCRIPTION AND JUSTIFICATION:**

The Other Support Services strategy includes library, mail room, purchasing, and facilities management activities. The PUC maintains a specialized legal and reference library, providing expert reference information to agency staff. The Mail Room provides reproduction and copying services, mail delivery and pick up, and receiving and distribution of items purchased. Staff responsible for agency purchasing and facilities management activities is also budgeted from this strategy.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

The PUC seeks efficiencies in procurement through automation and coordination with other agencies in areas such as HUB procurement.

**473 Public Utility Commission of Texas**

GOAL: 4 Indirect Administration  
 OBJECTIVE: 1 Indirect Administration  
 STRATEGY: 3 Other Support Services

Service Categories:  
 Service: 09      Income: A.2      Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
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**EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):**

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		BIENNIAL CHANGE	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2016 + Bud 2017)	Baseline Request (BL 2018 + BL 2019)		\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$107,152	\$107,152	\$0	\$0	No explanation needed
			<b>\$0</b>	<b>Total of Explanation of Biennial Change</b>

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**SUMMARY TOTALS:**

<b>OBJECTS OF EXPENSE:</b>	<b>\$97,385,110</b>	<b>\$342,502,396</b>	<b>\$16,981,146</b>	<b>\$16,320,900</b>	<b>\$16,320,900</b>
<b>METHODS OF FINANCE (INCLUDING RIDERS):</b>				<b>\$16,320,900</b>	<b>\$16,320,900</b>
<b>METHODS OF FINANCE (EXCLUDING RIDERS):</b>	<b>\$97,385,110</b>	<b>\$342,502,396</b>	<b>\$16,981,146</b>	<b>\$16,320,900</b>	<b>\$16,320,900</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>188.7</b>	<b>204.0</b>	<b>217.0</b>	<b>215.0</b>	<b>215.0</b>

**3.A.1. PROGRAM-LEVEL REQUEST SCHEDULE**  
85th Regular Session, Agency Submission, Version 1

Agency Code: 473		Agency: Public Utility Commission of Texas				Prepared By: Thomas Gleeson					
Date: August 12, 2016						16-17 Base	Requested 2018	Requested 2019	Biennial Total	Biennial Difference	
Goal	Goal Name	Strategy	Strategy Name	Program	Program Name					\$	%
A	Competition/Choice/Rates/Service	A.1.1	Market Competition	1	Electric Market Oversight	\$8,091,344	\$4,026,755	\$4,026,754	\$8,053,509	(\$37,835)	-0.5%
A	Competition/Choice/Rates/Service	A.1.1	Market Competition	2	Telecommunications Market Oversight	\$723,920	\$361,960	\$361,960	\$723,920	\$0	0.0%
A	Competition/Choice/Rates/Service	A.2.1	Utility Regulation	3	Electric Regulation	\$7,396,921	\$3,654,056	\$3,654,057	\$7,308,112	(\$88,809)	-1.2%
A	Competition/Choice/Rates/Service	A.2.1	Utility Regulation	4	Telecommunications Regulation	\$792,765	\$396,382	\$396,382	\$792,765	\$0	0.0%
A	Competition/Choice/Rates/Service	A.2.1	Utility Regulation	5	Water and Wastewater Regulation	\$5,346,194	\$2,566,173	\$2,566,173	\$5,132,346	(\$213,848)	-4.0%
A	Competition/Choice/Rates/Service	A.3.1	Market Competition	6	Investigation and Enforcement	\$4,606,242	\$2,303,121	\$2,303,121	\$4,606,242	\$0	0.0%
B	Education and Customer Assistance	B.1.1	Information and Education	7	Electric and Telecommunications Industry Awareness	\$3,139,726	\$1,079,863	\$1,079,863	\$2,159,726	(\$980,000)	-31.2%
B	Education and Customer Assistance	B.2.1	Assist Customers	8	Customer Dispute Resolution	\$1,921,666	\$960,833	\$960,833	\$1,921,666	\$0	0.0%
C	Electric Utility Restructuring	C.1.1	Energy Assistance	9	Low-Income Electric Discount Program	\$325,521,250	\$0	\$0	\$0	(\$325,521,250)	-100.0%
D	Indirect Administration	D.1.1	Central Administration	10	Agency Administration	\$1,943,514	\$971,757	\$971,757	\$1,943,514	\$0	0.0%
		D.1.2	Information Resources								
		D.1.3	Other Support Services								
<b>TOTALS</b>						\$359,483,542	\$16,320,900	\$16,320,900	\$32,641,800	(\$326,841,742)	-90.9%

### 3.B. Rider Revisions and Additions Request

<b>Agency Code:</b> 473	<b>Agency Name:</b> Public Utility Commission of Texas	<b>Prepared By:</b> Thomas Gleeson	<b>Date:</b> August 12, 2014	<b>Request Level:</b> Base
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Current Rider Number	Page Number in 2014-15 GAA	Proposed Rider Language																																																							
1	VIII-61 – VIII-62	<p><b>Performance Measure Targets.</b> The following is a listing of the key performance target levels for the Public Utility Commission of Texas. It is the intent of the Legislature that appropriations made by this Act be utilized in the most efficient and effective manner possible to achieve the intended mission of the Public Utility Commission of Texas. In order to achieve the objectives and service standards established by this Act, the Public Utility Commission of Texas shall make every effort to attain the following designated key performance target levels associated with each item of appropriation.</p> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 60%;"></th> <th style="text-align: center; width: 10%;"><u>2016</u></th> <th style="text-align: center; width: 10%;"><u>2018</u></th> <th style="text-align: center; width: 10%;"><u>2017</u></th> <th style="text-align: center; width: 10%;"><u>2019</u></th> </tr> </thead> <tbody> <tr> <td colspan="5"><b>A. Goal: COMPETITION/CHOICE/RATES/SERVICE</b></td> </tr> <tr> <td colspan="5"><b>Outcome (Results/Impact):</b></td> </tr> <tr> <td>Percent of Texas Cities Served by Three or More Certificated Telecommunication Providers</td> <td style="text-align: center;">75.00%</td> <td></td> <td style="text-align: center;">75.00%</td> <td></td> </tr> <tr> <td>Average Price of Electricity Per kWh in Texas For Residential Customers from Competitive Suppliers as a Percentage of the National Residential Average</td> <td style="text-align: center;"><del>99.00%</del></td> <td style="text-align: center;"><u>100.00%</u></td> <td></td> <td style="text-align: center;">100.00%</td> </tr> <tr> <td>Average Annual Residential Electric Bill from Competitive Suppliers as a Percentage of the National Average</td> <td style="text-align: center;"><del>119.00%</del></td> <td style="text-align: center;"><u>120.00%</u></td> <td style="text-align: center;"><del>116.00%</del></td> <td style="text-align: center;"><u>120.00%</u></td> </tr> <tr> <td><u>Avg Price/kWh Offered on Power-to-Choose as % of Nat'l Avg</u></td> <td style="text-align: center;"><del>90.00%</del></td> <td style="text-align: center;"><u>85.00%</u></td> <td style="text-align: center;"><del>90.00%</del></td> <td style="text-align: center;"><u>85.00%</u></td> </tr> <tr> <td>Average Annual Residential Telephone Bill as a % of National Average</td> <td style="text-align: center;"><del>110.00%</del></td> <td style="text-align: center;"><u>125.00%</u></td> <td style="text-align: center;"><del>108.00%</del></td> <td style="text-align: center;"><u>125.00%</u></td> </tr> <tr> <td colspan="5"><b>A.1.1. Strategy: MARKET COMPETITION</b></td> </tr> <tr> <td colspan="5"><b>Output (Volume):</b></td> </tr> <tr> <td>Number of Cases Completed Related to Competition Among Providers</td> <td></td> <td style="text-align: center;">350</td> <td></td> <td style="text-align: center;">350</td> </tr> </tbody> </table>		<u>2016</u>	<u>2018</u>	<u>2017</u>	<u>2019</u>	<b>A. Goal: COMPETITION/CHOICE/RATES/SERVICE</b>					<b>Outcome (Results/Impact):</b>					Percent of Texas Cities Served by Three or More Certificated Telecommunication Providers	75.00%		75.00%		Average Price of Electricity Per kWh in Texas For Residential Customers from Competitive Suppliers as a Percentage of the National Residential Average	<del>99.00%</del>	<u>100.00%</u>		100.00%	Average Annual Residential Electric Bill from Competitive Suppliers as a Percentage of the National Average	<del>119.00%</del>	<u>120.00%</u>	<del>116.00%</del>	<u>120.00%</u>	<u>Avg Price/kWh Offered on Power-to-Choose as % of Nat'l Avg</u>	<del>90.00%</del>	<u>85.00%</u>	<del>90.00%</del>	<u>85.00%</u>	Average Annual Residential Telephone Bill as a % of National Average	<del>110.00%</del>	<u>125.00%</u>	<del>108.00%</del>	<u>125.00%</u>	<b>A.1.1. Strategy: MARKET COMPETITION</b>					<b>Output (Volume):</b>					Number of Cases Completed Related to Competition Among Providers		350		350
	<u>2016</u>	<u>2018</u>	<u>2017</u>	<u>2019</u>																																																					
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Number of Cases Completed Related to Competition Among Providers		350		350																																																					

### 3.B. Rider Revisions and Additions Request (continued)

		<b>Efficiencies:</b>			
		Average Number of Days to Process an Application for a Certificate of Authority and Service Provider Certificate of Authority	60	60	
		<b>A.2.1. Strategy: UTILITY REGULATION</b>			
		Number of Rate Cases Completed for Regulated Electric Utilities	<del>50</del> <u>65</u>	<del>50</del> <u>65</u>	
		Number of Rate Cases Completed for Regulated Telecommunications Providers	10	10	
		Number of Water Utility Rate Reviews Performed	<del>450</del> <u>100</u>	<del>450</del> <u>100</u>	
		Number of Water CCN Applications Processed	<del>130</del> <u>150</u>	<del>130</del> <u>150</u>	
		<b>Efficiencies:</b>			
		Average Number of Days to Process a Major Rate Case for a Transmission and Distribution Utility	<del>220</del> <u>200</u>	<del>220</del> <u>200</u>	
		<b>A.3.1. Strategy: INVESTIGATION &amp; ENFORCEMENT</b>			
		<b>Output (Volume):</b>			
		Number of Enforcement Investigations Conducted	<u>200</u>	<u>200</u>	
		<b>B. Goal: EDUCATION AND CUSTOMER ASSISTANCE</b>			
		<b>Outcome (Result/Impact):</b>			
		Percentage of Customer Complaints Resolved through Informal Complaint Resolution Process	99.00%	99.00%	
		<b>B.1.1. Strategy: PROVIDE FACTS ABOUT CHANGES</b>			
		<b>Output (Volume):</b>			
		Number of information Requests to Which Responses Were Provided	70,000	70,000	
		<b>Efficiencies:</b>			
		Percent of Customer Information Products Distributed Electronically	<del>80.00%</del> <u>88.00%</u>	<del>80.00%</del> <u>88.00%</u>	
		<b>Explanatory:</b>			
		Number of Website Hits to Customer Protection Page	<del>360,000</del> <u>390,000</u>	<del>360,000</del> <u>390,000</u>	
		<b>B.2.1. Strategy: ASSIST CUSTOMERS</b>			
		<b>Output (Volume):</b>			
		Number of Customer Complaints Concluded	<del>9,000</del> <u>7,500</u>	<del>9,000</del> <u>7,500</u>	
		<b>Efficiencies:</b>			
		Average Number of Days to Conclude Customer Complaints	15	15	
3.B. Page 2					

**3.B. Rider Revisions and Additions Request  
(continued)**

2	VIII-61	<p><b>Capital Budget.</b> None of the funds appropriated above may be expended for capital budget items except as listed below. The amounts shown below shall be expended only for the purposes shown and are not available for expenditure for other purposes. Amounts appropriated above and identified in this provision as appropriations either for “Lease Payments to the Master Lease Purchase Program” or for items with a “(MLPP)” notation shall be expended only for the purpose of making lease-purchase payments to the Texas Public Finance Authority pursuant to the provisions of Government Code § 1232.103. Upon approval from the Legislative Budget Board, capital budget funds listed below under “Acquisition of Information Resource Technologies” may be used to lease information resources hardware and/or software versus the purchase of information resources hardware and/or software, if determined by commission management to be in the best interest of the State of Texas.</p>					
			<u>2016</u>	<u>2018</u>	<u>2017</u>	<u>2019</u>	
		a.	Acquisition of Information Resource Technologies				
			(1) PC Replacement	98,000		98,000	
		b.	Data Center Consolidation				
			(1) Data Center Consolidation	<u>\$445,394</u>	<u>\$427,718</u>	<u>\$436,168</u>	<u>\$415,969</u>
			Total, Capital Budget	<u>\$543,394</u>	<u>\$525,718</u>	<u>\$534,168</u>	<u>\$513,969</u>
			Method of Financing (Capital Budget):				
			General Revenue Fund	<u>\$543,394</u>	<u>\$525,718</u>	<u>\$534,168</u>	<u>\$513,969</u>
			Total, Method of Finance	<u>\$543,394</u>	<u>\$525,718</u>	<u>\$534,168</u>	<u>\$513,969</u>
<p><i>The PUC’s 2018-19 baseline request for PC replacement reflects projected expenditures that are consistent with 2016-17 expenditures. The agency’s 2018-19 baseline request for DCS reflects that most recent cost projection from DIR. The PUC’s total capital budget reflects a decrease of \$37,875, which has been recaptured in the agency’s mandated 4 percent base level appropriation reduction.</i></p>							

**3.B. Rider Revisions and Additions Request  
(continued)**

3	VIII-61	<p><del><b>Transfer Authority.</b> The Public Utility Commission shall not transfer an appropriation to or from any item under Strategy C.1.1, Energy Assistance, and shall not expend amounts above those appropriated above in Strategy C.1.1, Energy Assistance.</del></p> <p><i>The PUC requests deletion of this rider because the Low-Income Discount program statutorily sunsets at the conclusion of fiscal year 2017; therefore, no funds will be appropriated in Strategy C.1.1, Energy Assistance, during the 2018-19 biennium.</i></p>																								
4	VIII-61	<p><del><b>System Benefit Account Reporting.</b> The Public Utility Commission shall submit a quarterly report to the Legislative Budget Board and the Governor on revenues and expenditures made from GR Dedicated System Benefit Account No. 5100. The report shall be submitted with documentation as specified by the Legislative Budget Board and the Governor.</del></p> <p><i>The PUC requests deletion of this rider because the System Benefit Fund statutorily sunsets at the conclusion of fiscal year 2017; therefore, no revenues will be collected or expenditures made from the fund during the 2018-19 biennium.</i></p>																								
5	VIII-61	<p><del><b>Allocation of System Benefit Account.</b> Appropriations from the GR Dedicated System Benefit Account No. 5100 have been allocated throughout this act for the 2016-17 biennium as follows:</del></p> <table border="0" data-bbox="667 906 1627 1312"> <thead> <tr> <th></th> <th align="right"><u>2016</u></th> <th align="right"><u>2017</u></th> </tr> </thead> <tbody> <tr> <td colspan="3"><b>Public Utility Commission:</b></td> </tr> <tr> <td>Electric Market Oversight</td> <td></td> <td></td> </tr> <tr> <td>Wholesale and Retail Market</td> <td align="right">\$7,169,492</td> <td align="right">\$0</td> </tr> <tr> <td>Low Income Discount</td> <td align="right"><u>\$98,521,250</u></td> <td align="right"><u>\$0</u></td> </tr> <tr> <td>Customer Education</td> <td align="right">\$750,000</td> <td align="right">\$0</td> </tr> <tr> <td>Administration</td> <td align="right">\$807,551</td> <td align="right">\$0</td> </tr> <tr> <td><b>Total</b></td> <td align="right"><u>\$107,248,293</u></td> <td align="right"><u>\$0</u></td> </tr> </tbody> </table> <p><i>The PUC is requesting deletion of this rider because the System Benefit Fund statutorily sunsets at the conclusion of fiscal year 2017; therefore, no appropriations will be made from the fund during the 2018-19 biennium.</i></p>		<u>2016</u>	<u>2017</u>	<b>Public Utility Commission:</b>			Electric Market Oversight			Wholesale and Retail Market	\$7,169,492	\$0	Low Income Discount	<u>\$98,521,250</u>	<u>\$0</u>	Customer Education	\$750,000	\$0	Administration	\$807,551	\$0	<b>Total</b>	<u>\$107,248,293</u>	<u>\$0</u>
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**3.B. Rider Revisions and Additions Request  
(continued)**

6	VIII-61	<p><del><b>Low Income Discount.</b> All amounts appropriated above in Strategy C.1.1, Energy Assistance, shall only be expended to provide a discount pursuant to §39.9039(b), Utilities Code.</del></p> <p><i>The PUC requests deletion of this rider because the Low-Income Discount program statutorily sunsets at the conclusion of fiscal year 2017; therefore, no funds will be appropriated in Strategy C.1.1, Energy Assistance, during the 2018-19 biennium.</i></p>
7	VIII-61	<p><del><b>Contingency Appropriation: System Benefit Fund.</b></del></p> <p><del>a. Included in the amounts appropriated above, the projected balance of the System Benefit Fund Account No. 5100 at the end of fiscal year 2016 (estimated to be \$227 million) is appropriated to the agency in Strategy C.1.1, Energy Assistance contingent upon passage of legislation that authorizes the Public Utility Commission to set a rate or time period for the low income discount sufficient to use the entire balance by the end of fiscal year 2017 and does not reauthorize fees to be paid into the fund. This allocation to the Low Income Discount program is in addition to the amounts listed in Rider 5. Allocation of the System Benefit Account. Any unexpended balances remaining as of August 31, 2016, are appropriated to the Public Utility Commission in the fiscal biennium beginning September 1, 2016, for the same purpose.</del></p> <p><del>b. In the event that legislation relating to the appropriation of the projected balance of the System Benefit Fund Account No. 5100 is not enacted by the Eighty fourth Legislature, Regular Session, appropriations made to the Public Utility Commission out of the General Revenue Fund in fiscal year 2017 are increased in Strategy C.1.1, Energy Assistance, by an amount equal to the total General Revenue Dedicated funds remaining in the System Benefit Fund Account No. 5100 as of August 31, 2016 (estimated to be \$227 million).</del></p> <p><i>The PUC requests deletion of this rider because the System Benefit Fund and the Low-Income Discount both program statutorily sunset at the conclusion of fiscal year 2017; therefore, no funds will be appropriated from the System Benefit Fund in Strategy C.1.1, Energy Assistance, during the 2018-19 biennium.</i></p>

**3.B. Rider Revisions and Additions Request  
(continued)**

New	NA	<p><b><u>Unexpended Balance Authority.</u></b> <u>The unobligated and unexpended balances of appropriations to the Public Utility Commission for the fiscal year ending August 31, 2018 are hereby appropriated to the commission for the same purposes for the fiscal year ending August 31, 2019.</u></p> <p><i>The PUC requests adding this rider because explicit UB authority in the PUC bill pattern provides the agency with maximum flexibility to address issues in the dynamic industries that the PUC regulates, monitors, and oversees. Prior to fiscal year 2016, the PUC had specific UB authority in its bill pattern. However, consistent with decisions made for many Art. VIII agencies, that specific authority was removed during the 84<sup>th</sup> Legislative Session. The PUC requested UB authority on April 5, 2016, in accordance with Art. IX, Sec. 14.05, General Appropriations Act, 84<sup>th</sup> Legislative Session. The PUC's UB request was approved on July 19, 2016 for a total of \$886,000. The agency was unable to effectively plan for fiscal year 2017 activities because positions remained unfilled until a decision was made on the request. Explicit authority allows the PUC to better allocate resources throughout the year and plan effectively to address future agency workload.</i></p>
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**5.A. Capital Budget Project Schedule**  
 85th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/11/2016**  
 TIME : **4:49:17PM**

Agency code: **473**

Agency name: **Public Utility Commission of Texas**

**Category Code / Category Name**

*Project Sequence/Project Id/ Name*

<b>OOE / TOF / MOF CODE</b>		<b>Est 2016</b>	<b>Bud 2017</b>	<b>BL 2018</b>	<b>BL 2019</b>
<b>5005 Acquisition of Information Resource Technologies</b>					
<i>2/2 PC Replacement - Leased Desktops and Laptops</i>					
<b>OBJECTS OF EXPENSE</b>					
<u>Capital</u>					
General	2007 RENT - MACHINE AND OTHER	\$98,000	\$98,000	\$98,000	\$98,000
	Capital Subtotal OOE, Project 2	\$98,000	\$98,000	\$98,000	\$98,000
	Subtotal OOE, Project 2	<b>\$98,000</b>	<b>\$98,000</b>	<b>\$98,000</b>	<b>\$98,000</b>
<b>TYPE OF FINANCING</b>					
<u>Capital</u>					
General	CA 1 General Revenue Fund	\$98,000	\$98,000	\$98,000	\$98,000
	Capital Subtotal TOF, Project 2	\$98,000	\$98,000	\$98,000	\$98,000
	Subtotal TOF, Project 2	<b>\$98,000</b>	<b>\$98,000</b>	<b>\$98,000</b>	<b>\$98,000</b>
	Capital Subtotal, Category 5005	\$98,000	\$98,000	\$98,000	\$98,000
	Informational Subtotal, Category 5005				
	<b>Total, Category 5005</b>	<b>\$98,000</b>	<b>\$98,000</b>	<b>\$98,000</b>	<b>\$98,000</b>
<b>7000 Data Center Consolidation</b>					
<i>1/1 Data Center Consolidation</i>					
<b>OBJECTS OF EXPENSE</b>					
<u>Capital</u>					
General	2001 PROFESSIONAL FEES AND SERVICES	\$445,394	\$436,168	\$427,718	\$415,969
	Capital Subtotal OOE, Project 1	\$445,394	\$436,168	\$427,718	\$415,969

**5.A. Capital Budget Project Schedule**  
 85th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/11/2016  
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Agency code: 473

Agency name: Public Utility Commission of Texas

**Category Code / Category Name**

*Project Sequence/Project Id/ Name*

OOE / TOF / MOF CODE		Est 2016	Bud 2017	BL 2018	BL 2019
Subtotal OOE, Project 1		<b>\$445,394</b>	<b>\$436,168</b>	<b>\$427,718</b>	<b>\$415,969</b>
<b>TYPE OF FINANCING</b>					
<u>Capital</u>					
General	CA 1 General Revenue Fund	\$445,394	\$436,168	\$427,718	\$415,969
Capital Subtotal TOF, Project 1		\$445,394	\$436,168	\$427,718	\$415,969
Subtotal TOF, Project 1		<b>\$445,394</b>	<b>\$436,168</b>	<b>\$427,718</b>	<b>\$415,969</b>
Capital Subtotal, Category 7000		\$445,394	\$436,168	\$427,718	\$415,969
Informational Subtotal, Category 7000					
<b>Total, Category 7000</b>		<b>\$445,394</b>	<b>\$436,168</b>	<b>\$427,718</b>	<b>\$415,969</b>
<b>AGENCY TOTAL -CAPITAL</b>		<b>\$543,394</b>	<b>\$534,168</b>	<b>\$525,718</b>	<b>\$513,969</b>
<b>AGENCY TOTAL -INFORMATIONAL</b>					
<b>AGENCY TOTAL</b>		<b>\$543,394</b>	<b>\$534,168</b>	<b>\$525,718</b>	<b>\$513,969</b>
<b>METHOD OF FINANCING:</b>					
<u>Capital</u>					
General	1 General Revenue Fund	\$543,394	\$534,168	\$525,718	\$513,969
Total, Method of Financing-Capital		\$543,394	\$534,168	\$525,718	\$513,969
<b>Total, Method of Financing</b>		<b>\$543,394</b>	<b>\$534,168</b>	<b>\$525,718</b>	<b>\$513,969</b>

**5.A. Capital Budget Project Schedule**  
 85th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/11/2016**  
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Agency code: **473**

Agency name: **Public Utility Commission of Texas**

**Category Code / Category Name**

*Project Sequence/Project Id/ Name*

**OOE / TOF / MOF CODE**

**Est 2016**

**Bud 2017**

**BL 2018**

**BL 2019**

**TYPE OF FINANCING:**

Capital

General CA CURRENT APPROPRIATIONS

\$543,394

\$534,168

\$525,718

\$513,969

Total, Type of Financing-Capital

\$543,394

\$534,168

\$525,718

\$513,969

**Total, Type of Financing**

**\$543,394**

**\$534,168**

**\$525,718**

**\$513,969**

**5.B. Capital Budget Project Information**  
 85th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/11/2016  
 TIME: 4:49:17PM

Agency Code:	<b>473</b>	Agency name:	<b>Public Utility Commission of Texas</b>
Category Number:	<b>7000</b>	Category Name:	<b>Data Center Consolidation</b>
Project number:	<b>1</b>	Project Name:	<b>Data Center Consolidation</b>

**PROJECT DESCRIPTION**

**General Information**

The Texas Data Center Services (DCS) program allows the state to employ an enterprise approach to improve management of major technology infrastructure projects and promote efficient and effective data center operations. It includes two hardened data centers, designed around government security and disaster recovery requirements, and flexible service tiers to meet needs and budgets. Joining the program allows agencies delegate data center management while they focus on delivering direct, mission-related value to their business users and clients.

<b>Number of Units / Average Unit Cost</b>	BL 2018 \$427,718		
<b>Estimated Completion Date</b>	On-going Project		
<b>Additional Capital Expenditure Amounts Required</b>		<b>2020</b>	<b>2021</b>
		415,969	415,969
<b>Type of Financing</b>	CA	CURRENT APPROPRIATIONS	
<b>Projected Useful Life</b>	On-going		
<b>Estimated/Actual Project Cost</b>	\$843,687		
<b>Length of Financing/ Lease Period</b>	2 years		

**ESTIMATED/ACTUAL DEBT OBLIGATION PAYMENTS**

	<b>2018</b>	<b>2019</b>	<b>2020</b>	<b>2021</b>	<b>Total over project life</b>
	427,718	415,969	415,969	415,969	1,675,265

**REVENUE GENERATION / COST SAVINGS**

<b><u>REVENUE COST FLAG</u></b>	<b><u>MOF CODE</u></b>	<b><u>AVERAGE AMOUNT</u></b>

**Explanation:** Texas Government Code §2054.375, Subchapter L. Statewide Technology Centers requires DIR to manage a statewide data center consolidation and identify agencies for participation.

**Project Location:** Public Utility Commission of Texas  
 1701 N. Congress Ave.  
 Austin, TX 78711-3326

**Beneficiaries:** As part of the Texas DCS program, participating agencies, internal and external customers.

**Frequency of Use and External Factors Affecting Use:**

Daily Operations

**5.B. Capital Budget Project Information**  
 85th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/11/2016  
 TIME: 4:49:17PM

Agency Code:	<b>473</b>	Agency name:	<b>Public Utility Commission of Texas</b>
Category Number:	<b>5005</b>	Category Name:	<b>ACQUISITN INFO RES TECH.</b>
Project number:	<b>2</b>	Project Name:	<b>PC Replacement</b>

**PROJECT DESCRIPTION**

**General Information**

Agency desktop technology refresh plan to replace the existing inventory of end of life personal computers (PC's), and laptops with better processor technology capable of supporting a graphical user interface, client/server applications, and geographic information systems (GIS) to achieve enhanced employee productivity. This project also provides laptops to allow our Commissioner's, attorneys, analysts, engineers and investigators to remotely access and input data and information in real-time using wireless data communication. Request is based on annual funding needs for a four year obligation on leasing of equipment. The PUC's current lease expires in June 2019.

<b>Number of Units / Average Unit Cost</b>	BL 2018 \$98,000
<b>Estimated Completion Date</b>	August 31, 2019
<b>Additional Capital Expenditure Amounts Required</b>	
	<b>2020</b>
	98,000
	<b>2021</b>
	98,000
<b>Type of Financing</b>	CA CURRENT APPROPRIATIONS
<b>Projected Useful Life</b>	4 years
<b>Estimated/Actual Project Cost</b>	\$392,000
<b>Length of Financing/ Lease Period</b>	4 years

**ESTIMATED/ACTUAL DEBT OBLIGATION PAYMENTS**

				<b>Total over</b>
	<b>2018</b>	<b>2019</b>	<b>2020</b>	<b>project life</b>
	98,000	98,000	98,000	392,000

**REVENUE GENERATION / COST SAVINGS**

<b><u>REVENUE COST FLAG</u></b>	<b><u>MOF CODE</u></b>	<b><u>AVERAGE AMOUNT</u></b>
---------------------------------	------------------------	------------------------------

**Explanation:** Without the full operation of the installed personal computer base infrastructure the agency could not accomplish its mission to protect customers, foster competition and promote high quality infrastructure.

**Project Location:** Public Utility Commission of Texas  
 1701 N. Congress Ave.  
 Austin, TX 78711-3326

**Beneficiaries:** Internal and external customers of the PUC.

**Frequency of Use and External Factors Affecting Use:**

Daily Operations

Agency code: **473**                      Agency name: **Public Utility Commission of Texas**

**Category Code/Name**

*Project Sequence/Project Id/Name*

<b>Goal/Obj/Str</b>	<b>Strategy Name</b>	<b>Est 2016</b>	<b>Bud 2017</b>	<b>BL 2018</b>	<b>BL 2019</b>	
<b>5005 Acquisition of Information Resource Technologies</b>						
<i>2/2</i>	<i>PC Replacement</i>					
<b><u>GENERAL BUDGET</u></b>						
Capital	1-1-1	MARKET COMPETITION	28,420	28,420	\$28,420	\$28,420
	1-2-1	UTILITY REGULATION	27,440	27,440	27,440	27,440
	1-3-1	INVESTIGATION AND ENFORCEMENT	13,720	13,720	13,720	13,720
	2-2-1	ASSIST CUSTOMERS	4,900	4,900	4,900	4,900
	2-1-1	INFORMATION AND EDUCATION EFFORTS	5,880	5,880	5,880	5,880
	4-1-1	CENTRAL ADMINISTRATION	11,760	11,760	11,760	11,760
	4-1-2	INFORMATION RESOURCES	4,900	4,900	4,900	4,900
	4-1-3	OTHER SUPPORT SERVICES	980	980	980	980
		TOTAL, PROJECT	<b>\$98,000</b>	<b>\$98,000</b>	<b>\$98,000</b>	<b>\$98,000</b>

**7000 Data Center Consolidation**

*1/1 Data Center Consolidation*

**GENERAL BUDGET**

Capital	1-1-1	MARKET COMPETITION	129,165	126,488	124,039	120,632
	1-2-1	UTILITY REGULATION	124,710	122,127	119,761	116,471
	1-3-1	INVESTIGATION AND ENFORCEMENT	62,355	61,064	59,880	58,236
	2-2-1	ASSIST CUSTOMERS	22,270	21,809	21,386	20,799
	2-1-1	INFORMATION AND EDUCATION EFFORTS	26,724	26,170	25,663	24,958
	4-1-1	CENTRAL ADMINISTRATION	53,448	52,340	51,327	49,917

**5.C. Capital Budget Allocation to Strategies (Baseline)**  
 85th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/11/2016**  
 TIME: **4:49:17PM**

Agency code: **473**                      Agency name: **Public Utility Commission of Texas**

**Category Code/Name**

*Project Sequence/Project Id/Name*

	<b>Goal/Obj/Str</b>	<b>Strategy Name</b>	<b>Est 2016</b>	<b>Bud 2017</b>	<b>BL 2018</b>	<b>BL 2019</b>
Capital	4-1-2	INFORMATION RESOURCES	22,269	21,809	\$21,385	\$20,798
	4-1-3	OTHER SUPPORT SERVICES	4,453	4,361	4,277	4,158
		TOTAL, PROJECT	\$445,394	\$436,168	\$427,718	\$415,969
		TOTAL CAPITAL, ALL PROJECTS	\$543,394	\$534,168	\$525,718	\$513,969
		TOTAL INFORMATIONAL, ALL PROJECTS				
		TOTAL, ALL PROJECTS	\$543,394	\$534,168	\$525,718	\$513,969

473 Public Utility Commission of Texas

Category Code/Name		Est 2016	Bud 2017	BL 2018	BL 2019
<i>Project Sequence/Name</i>					
Goal/Obj/Str	Strategy Name				
<b>5005 Acquisition of Information Resource Technologies</b>					
<b>2 PC Replacement</b>					
<b>OOE</b>					
<b>Capital</b>					
<b>1-1-1 MARKET COMPETITION</b>					
<b><u>General Budget</u></b>					
2007	RENT - MACHINE AND OTHER	28,420	28,420	28,420	28,420
<b>1-2-1 UTILITY REGULATION</b>					
<b><u>General Budget</u></b>					
2007	RENT - MACHINE AND OTHER	27,440	27,440	27,440	27,440
<b>1-3-1 INVESTIGATION AND ENFORCEMENT</b>					
<b><u>General Budget</u></b>					
2007	RENT - MACHINE AND OTHER	13,720	13,720	13,720	13,720
<b>2-1-1 INFORMATION AND EDUCATION EFFORTS</b>					
<b><u>General Budget</u></b>					
2007	RENT - MACHINE AND OTHER	5,880	5,880	5,880	5,880
<b>2-2-1 ASSIST CUSTOMERS</b>					
<b><u>General Budget</u></b>					
2007	RENT - MACHINE AND OTHER	4,900	4,900	4,900	4,900
<b>4-1-1 CENTRAL ADMINISTRATION</b>					
<b><u>General Budget</u></b>					

473 Public Utility Commission of Texas

Category Code/Name		Est 2016	Bud 2017	BL 2018	BL 2019
Project Sequence/Name					
Goal/Obj/Str	Strategy Name				
<b>2 PC Replacement</b>					
2007	RENT - MACHINE AND OTHER	11,760	11,760	11,760	11,760
<b>4-1-2 INFORMATION RESOURCES</b>					
<u>General Budget</u>					
2007	RENT - MACHINE AND OTHER	4,900	4,900	4,900	4,900
<b>4-1-3 OTHER SUPPORT SERVICES</b>					
<u>General Budget</u>					
2007	RENT - MACHINE AND OTHER	980	980	980	980
<b>TOTAL, OOE's</b>		<b>\$98,000</b>	<b>\$98,000</b>	<b>98,000</b>	<b>98,000</b>
<b>MOF</b>					
<b>GENERAL REVENUE FUNDS</b>					
<b>Capital</b>					
<b>1-1-1 MARKET COMPETITION</b>					
<u>General Budget</u>					
1	General Revenue Fund	28,420	28,420	28,420	28,420
<b>1-2-1 UTILITY REGULATION</b>					
<u>General Budget</u>					
1	General Revenue Fund	27,440	27,440	27,440	27,440
<b>1-3-1 INVESTIGATION AND ENFORCEMENT</b>					
<u>General Budget</u>					
1	General Revenue Fund	13,720	13,720	13,720	13,720
<b>2-1-1 INFORMATION AND EDUCATION EFFORTS</b>					
<u>General Budget</u>					

473 Public Utility Commission of Texas

Category Code/Name		Est 2016	Bud 2017	BL 2018	BL 2019
Project Sequence/Name					
Goal/Obj/Str	Strategy Name				
<b>2 PC Replacement</b>					
1	General Revenue Fund	5,880	5,880	5,880	5,880
<b>2-2-1 ASSIST CUSTOMERS</b>					
<u>General Budget</u>					
1	General Revenue Fund	4,900	4,900	4,900	4,900
<b>4-1-1 CENTRAL ADMINISTRATION</b>					
<u>General Budget</u>					
1	General Revenue Fund	11,760	11,760	11,760	11,760
<b>4-1-2 INFORMATION RESOURCES</b>					
<u>General Budget</u>					
1	General Revenue Fund	4,900	4,900	4,900	4,900
<b>4-1-3 OTHER SUPPORT SERVICES</b>					
<u>General Budget</u>					
1	General Revenue Fund	980	980	980	980
<b>TOTAL, GENERAL REVENUE FUNDS</b>		<b>\$98,000</b>	<b>\$98,000</b>	<b>98,000</b>	<b>98,000</b>
<b>TOTAL, MOFs</b>		<b>\$98,000</b>	<b>\$98,000</b>	<b>98,000</b>	<b>98,000</b>

7000 Data Center Consolidation

473 Public Utility Commission of Texas

Category Code/Name

Project Sequence/Name

Goal/Obj/Str	Strategy Name	Est 2016	Bud 2017	BL 2018	BL 2019
<b>1 Data Center Consolidation</b>					
<b>OOE</b>					
<b>Capital</b>					
<b>1-1-1 MARKET COMPETITION</b>					
<b><u>General Budget</u></b>					
2001	PROFESSIONAL FEES AND SERVICES	129,165	126,488	124,039	120,632
<b>1-2-1 UTILITY REGULATION</b>					
<b><u>General Budget</u></b>					
2001	PROFESSIONAL FEES AND SERVICES	124,710	122,127	119,761	116,471
<b>1-3-1 INVESTIGATION AND ENFORCEMENT</b>					
<b><u>General Budget</u></b>					
2001	PROFESSIONAL FEES AND SERVICES	62,355	61,064	59,880	58,236
<b>2-1-1 INFORMATION AND EDUCATION EFFORTS</b>					
<b><u>General Budget</u></b>					
2001	PROFESSIONAL FEES AND SERVICES	26,724	26,170	25,663	24,958
<b>2-2-1 ASSIST CUSTOMERS</b>					
<b><u>General Budget</u></b>					
2001	PROFESSIONAL FEES AND SERVICES	22,270	21,809	21,386	20,799
<b>4-1-1 CENTRAL ADMINISTRATION</b>					
<b><u>General Budget</u></b>					
2001	PROFESSIONAL FEES AND SERVICES	53,448	52,340	51,327	49,917

473 Public Utility Commission of Texas

Category Code/Name

Project Sequence/Name

Goal/Obj/Str	Strategy Name	Est 2016	Bud 2017	BL 2018	BL 2019
<b>1 Data Center Consolidation</b>					
<b>4-1-2 INFORMATION RESOURCES</b>					
<b><u>General Budget</u></b>					
2001	PROFESSIONAL FEES AND SERVICES	22,269	21,809	21,385	20,798
<b>4-1-3 OTHER SUPPORT SERVICES</b>					
<b><u>General Budget</u></b>					
2001	PROFESSIONAL FEES AND SERVICES	4,453	4,361	4,277	4,158
<b>TOTAL, OOE's</b>		<b>\$445,394</b>	<b>\$436,168</b>	<b>427,718</b>	<b>415,969</b>
<b>MOF</b>					
<b>GENERAL REVENUE FUNDS</b>					
<b>Capital</b>					
<b>1-1-1 MARKET COMPETITION</b>					
<b><u>General Budget</u></b>					
1	General Revenue Fund	129,165	126,488	124,039	120,632
<b>1-2-1 UTILITY REGULATION</b>					
<b><u>General Budget</u></b>					
1	General Revenue Fund	124,710	122,127	119,761	116,471
<b>1-3-1 INVESTIGATION AND ENFORCEMENT</b>					
<b><u>General Budget</u></b>					
1	General Revenue Fund	62,355	61,064	59,880	58,236
<b>2-1-1 INFORMATION AND EDUCATION EFFORTS</b>					
<b><u>General Budget</u></b>					
1	General Revenue Fund	26,724	26,170	25,663	24,958

473 Public Utility Commission of Texas

Category Code/Name

Project Sequence/Name

Goal/Obj/Str	Strategy Name	Est 2016	Bud 2017	BL 2018	BL 2019
<b>1 Data Center Consolidation</b>					
<b>2-2-1 ASSIST CUSTOMERS</b>					
<b><u>General Budget</u></b>					
1	General Revenue Fund	22,270	21,809	21,386	20,799
<b>4-1-1 CENTRAL ADMINISTRATION</b>					
<b><u>General Budget</u></b>					
1	General Revenue Fund	53,448	52,340	51,327	49,917
<b>4-1-2 INFORMATION RESOURCES</b>					
<b><u>General Budget</u></b>					
1	General Revenue Fund	22,269	21,809	21,385	20,798
<b>4-1-3 OTHER SUPPORT SERVICES</b>					
<b><u>General Budget</u></b>					
1	General Revenue Fund	4,453	4,361	4,277	4,158
<b>TOTAL, GENERAL REVENUE FUNDS</b>		<b>\$445,394</b>	<b>\$436,168</b>	<b>427,718</b>	<b>415,969</b>
<b>TOTAL, MOFs</b>		<b>\$445,394</b>	<b>\$436,168</b>	<b>427,718</b>	<b>415,969</b>

**473 Public Utility Commission of Texas**

	<b>Est 2016</b>	<b>Bud 2017</b>	<b>BL 2018</b>	<b>BL 2019</b>
<b>CAPITAL</b>				
<u><b>General Budget</b></u>				
GENERAL REVENUE FUNDS				
TOTAL, GENERAL BUDGET	\$543,394	\$534,168	525,718	513,969
TOTAL, ALL PROJECTS	543,394	534,168	525,718	513,969
	<b>\$543,394</b>	<b>\$534,168</b>	<b>525,718</b>	<b>513,969</b>

**6.A. Historically Underutilized Business Supporting Schedule**  
 85th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

Date: **8/11/2016**  
 Time: **4:49:18PM**

Agency Code: **473**      Agency: **Public Utility Commission of Texas**

COMPARISON TO STATEWIDE HUB PROCUREMENT GOALS

**A. Fiscal Year 2014 - 2015 HUB Expenditure Information**

Statewide HUB Goals	Procurement Category	% Goal	HUB Expenditures FY 2014			Total Expenditures FY 2014		HUB Expenditures FY 2015			Total Expenditures FY 2015	
			% Actual	Diff	Actual \$	% Goal	% Actual	Diff	Actual \$	% Goal	% Actual	Diff
11.2%	Heavy Construction	11.2 %	0.0%	-11.2%	\$0	\$0	11.2 %	0.0%	-11.2%	\$0	\$0	
21.1%	Building Construction	21.1 %	0.0%	-21.1%	\$0	\$0	21.1 %	0.0%	-21.1%	\$0	\$0	
32.9%	Special Trade	32.9 %	0.0%	-32.9%	\$0	\$0	32.9 %	0.0%	-32.9%	\$0	\$0	
23.7%	Professional Services	23.7 %	0.0%	-23.7%	\$0	\$7,500	23.7 %	0.0%	-23.7%	\$0	\$7,500	
26.0%	Other Services	26.0 %	24.3%	-1.7%	\$1,046,297	\$4,308,833	26.0 %	16.3%	-9.7%	\$593,921	\$3,653,551	
21.1%	Commodities	21.1 %	73.6%	52.5%	\$356,333	\$483,874	21.1 %	78.1%	57.0%	\$473,186	\$606,174	
	<b>Total Expenditures</b>		<b>29.2%</b>		<b>\$1,402,630</b>	<b>\$4,800,207</b>		<b>25.0%</b>		<b>\$1,067,107</b>	<b>\$4,267,225</b>	

**B. Assessment of Fiscal Year 2014 - 2015 Efforts to Meet HUB Procurement Goals**

**Attainment:**

In FY 2014 and FY 2015, the PUC exceeded one of three of the applicable statewide HUB procurement goals. In prior fiscal years, PUC exceeded two of three goals, but due to the professional services vendor losing its HUB status in FY 2014, PUC no longer receives HUB credit for this vendor.

PUC far exceeds the goal for commodities due to the agency's increased efforts to utilize HUBs whenever possible for these types of purchases.

**Applicability:**

In fiscal years 2014 and 2015, the agency had no strategies, or programs, related to Heavy Construction, Building Construction or Special Trade purchases. \$0 were spent by the agency under each of these categories.

**Factors Affecting Attainment:**

The agency's work in the area categorized as Other Services is highly specialized in nature. Based on the agency's experience, only a few vendors qualified to perform these types of services are certified as HUBs.

**"Good-Faith" Efforts:**

The agency makes the following good-faith efforts to comply with the statewide HUB procurement goals per 1 TAC, Section 111.13(c): (1) obtained the required minimum two HUB bids (minority-owned business from the state's HUB database) for purchases of commodities and/or services over \$5000-except when releasing from master contracts; (2) for spot purchases below \$5000, which do not require bids, the agency routinely attempts to obtain bids from HUB vendors whenever possible. When competitive bids on spot purchases are not available, the agency routinely purchases from HUB vendors. This is particularly evident in office supplies and IT equipment and supplies; and (3) the PUC also includes the HUB Subcontracting Plan in every request for proposal, not just those with estimated value

**6.A. Historically Underutilized Business Supporting Schedule**  
85th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

Date: **8/11/2016**  
Time: **4:49:18PM**

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Agency Code: **473** Agency: **Public Utility Commission of Texas**

of \$100,000 or more, unless the proposal is exempted by the Texas Government Code.

**6.E. Estimated Revenue Collections Supporting Schedule**  
 85th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **473** Agency name: **Public Utility Commission of Texas**

<b>FUND/ACCOUNT</b>	<b>Act 2015</b>	<b>Exp 2016</b>	<b>Exp 2017</b>	<b>Bud 2018</b>	<b>Est 2019</b>
<b>666 Appropriated Receipts</b>					
Beginning Balance (Unencumbered):	\$0	\$0	\$0	\$0	\$0
Estimated Revenue:					
3603 Universal Srvc Fund Reimbursement	342,361	468,863	465,000	465,000	465,000
3719 Fees/Copies or Filing of Records	7,836	6,137	10,000	10,000	10,000
Subtotal: Actual/Estimated Revenue	350,197	475,000	475,000	475,000	475,000
<b>Total Available</b>	<b>\$350,197</b>	<b>\$475,000</b>	<b>\$475,000</b>	<b>\$475,000</b>	<b>\$475,000</b>
<b>DEDUCTIONS:</b>					
Texas Universal Service Fund Costs	(342,361)	(468,863)	(465,000)	(465,000)	(465,000)
Art IX Sec 12.02 Sale of Printed Material	(7,836)	(6,137)	(10,000)	(10,000)	(10,000)
<b>Total, Deductions</b>	<b>\$(350,197)</b>	<b>\$(475,000)</b>	<b>\$(475,000)</b>	<b>\$(475,000)</b>	<b>\$(475,000)</b>
<b>Ending Fund/Account Balance</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

**REVENUE ASSUMPTIONS:**

The PUC's revenue projections are based on historical revenues and deductions from Fund 666 - Appropriated Receipts.

**CONTACT PERSON:**

Thomas Gleeson

**6.E. Estimated Revenue Collections Supporting Schedule**  
85th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **473** Agency name: **Public Utility Commission of Texas**

<b>FUND/ACCOUNT</b>	<b>Act 2015</b>	<b>Exp 2016</b>	<b>Exp 2017</b>	<b>Bud 2018</b>	<b>Est 2019</b>
<b><u>5100</u> System Benefit Account</b>					
Beginning Balance (Unencumbered):	\$533,248,444	\$346,947,882	\$0	\$0	\$0
Estimated Revenue:					
3244 Non-Bypassable Utility Fee	5,672	2,900	0	0	0
Subtotal: Actual/Estimated Revenue	5,672	2,900	0	0	0
<b>Total Available</b>	<b>\$533,254,116</b>	<b>\$346,950,782</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>DEDUCTIONS:</b>					
Customer Education Campaign	(772,173)	(750,000)	0	0	0
Low-Income Discount Program	(176,328,223)	(336,038,419)	0	0	0
PUC Administration	(807,551)	(807,551)	0	0	0
Electric Market Monitoring	(7,095,072)	(7,169,492)	0	0	0
Employee Benefits Proportional by Fund	(1,150,035)	(1,986,967)	0	0	0
Statewide Cost Allocations Plan	(153,180)	(198,353)	0	0	0
<b>Total, Deductions</b>	<b>\$(186,306,234)</b>	<b>\$(346,950,782)</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Ending Fund/Account Balance</b>	<b>\$346,947,882</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

**REVENUE ASSUMPTIONS:**

HB 7, 83rd Legislature, Regular Session, provided for the expiration of the fee at the end of fiscal year 2013. Minimal revenues are shown for 2015 & 2016 due to cancel/rebills for service prior to FY 2014.

**CONTACT PERSON:**

Thomas Gleeson

**6.G HOMELAND SECURITY FUNDING SCHEDULE - PART A TERRORISM**

DATE: 8/11/2016  
TIME: 4:49:19PM

85th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **473**      Agency name: **Public Utility Commission of Texas**

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
<b>OBJECTS OF EXPENSE</b>						
1001	SALARIES AND WAGES	\$27,259	\$34,817	\$35,000	\$35,000	\$35,000
2005	TRAVEL	\$994	\$941	\$1,000	\$1,000	\$1,000
2007	RENT - MACHINE AND OTHER	\$476	\$343	\$400	\$400	\$400
2009	OTHER OPERATING EXPENSE	\$476	\$343	\$400	\$400	\$400
<b>TOTAL, OBJECTS OF EXPENSE</b>		<b>\$29,205</b>	<b>\$36,444</b>	<b>\$36,800</b>	<b>\$36,800</b>	<b>\$36,800</b>
<b>METHOD OF FINANCING</b>						
1	General Revenue Fund	\$29,205	\$36,444	\$36,800	\$36,800	\$36,800
	Subtotal, MOF (General Revenue Funds)	\$29,205	\$36,444	\$36,800	\$36,800	\$36,800
<b>TOTAL, METHOD OF FINANCE</b>		<b>\$29,205</b>	<b>\$36,444</b>	<b>\$36,800</b>	<b>\$36,800</b>	<b>\$36,800</b>
<b>FULL-TIME-EQUIVALENT POSITIONS</b>		<b>0.4</b>	<b>0.6</b>	<b>0.6</b>	<b>0.6</b>	<b>0.6</b>

**NO FUNDS WERE PASSED THROUGH TO LOCAL ENTITIES**

**NO FUNDS WERE PASSED THROUGH TO OTHER STATE AGENCIES OR INSTITUTIONS OF HIGHER EDUCATION**

**USE OF HOMELAND SECURITY FUNDS**

The PUC addresses homeland security through a variety of activities. It has a cyber security and physical security team that is working with utilities and the Texas Department of Public Safety (DPS) to defend and respond to security threats. The PUC also has an emergency management response team that helps staff the State Operations Center (SOC) operated by the DPS's Texas Division of Emergency Management (TDEM) during emergency activations of the SOC. In addition, the PUC provides input in the Annual Implementation Plan for the Texas Homeland Security Strategic Plan, and the Homeland Security Strategic Plan, which is produced every 5 years, and works with the DPS in response to information requested by the federal Department of Homeland Security. The PUC also attends various homeland security related meetings, including quarterly meetings of the Texas Homeland Security Council and the Drought Preparedness Council.

**6.G HOMELAND SECURITY FUNDING SCHEDULE - PART A TERRORISM**

DATE: 8/11/2016

**Funds Passed through to Local Entities**

TIME: 4:49:19PM

85th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

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Agency code: **473**      Agency name: **Public Utility Commission of Texas**

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<b>CODE</b>	<b>DESCRIPTION</b>	<b>Exp 2015</b>	<b>Est 2016</b>	<b>Bud 2017</b>	<b>BL 2018</b>	<b>BL 2019</b>
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**6.G HOMELAND SECURITY FUNDING SCHEDULE - PART A TERRORISM**

DATE: 8/11/2016

**Funds Passed through to State Agencies**

TIME: 4:49:19PM

85th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

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Agency code: **473**      Agency name: **Public Utility Commission of Texas**

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<b>CODE</b>	<b>DESCRIPTION</b>	<b>Exp 2015</b>	<b>Est 2016</b>	<b>Bud 2017</b>	<b>BL 2018</b>	<b>BL 2019</b>
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**6.G HOMELAND SECURITY FUNDING SCHEDULE - PART B NATURAL OR MAN-MADE DISASTERS**

DATE: 8/11/2016  
TIME: 4:49:19PM

85th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **473**      Agency name: **Public Utility Commission of Texas**

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
<b>OBJECTS OF EXPENSE</b>						
1001	SALARIES AND WAGES	\$41,826	\$68,891	\$69,000	\$69,000	\$69,000
2005	TRAVEL	\$915	\$1,211	\$1,200	\$1,200	\$1,200
2007	RENT - MACHINE AND OTHER	\$524	\$657	\$700	\$700	\$700
2009	OTHER OPERATING EXPENSE	\$524	\$657	\$700	\$700	\$700
<b>TOTAL, OBJECTS OF EXPENSE</b>		<b>\$43,789</b>	<b>\$71,416</b>	<b>\$71,600</b>	<b>\$71,600</b>	<b>\$71,600</b>
<b>METHOD OF FINANCING</b>						
1	General Revenue Fund	\$43,789	\$71,416	\$71,600	\$71,600	\$71,600
	Subtotal, MOF (General Revenue Funds)	\$43,789	\$71,416	\$71,600	\$71,600	\$71,600
<b>TOTAL, METHOD OF FINANCE</b>		<b>\$43,789</b>	<b>\$71,416</b>	<b>\$71,600</b>	<b>\$71,600</b>	<b>\$71,600</b>
<b>FULL-TIME-EQUIVALENT POSITIONS</b>		<b>0.5</b>	<b>1.1</b>	<b>1.1</b>	<b>1.1</b>	<b>1.1</b>

**NO FUNDS WERE PASSED THROUGH TO LOCAL ENTITIES**

**NO FUNDS WERE PASSED THROUGH TO OTHER STATE AGENCIES OR INSTITUTIONS OF HIGHER EDUCATION**

**USE OF HOMELAND SECURITY FUNDS**

The PUC addresses homeland security through a variety of activities. It has a cyber security and physical security team that is working with utilities and the Texas Department of Public Safety (DPS) to defend and respond to security threats. The PUC also has an emergency management response team that helps staff the State Operations Center (SOC) operated by the DPS's Texas Division of Emergency Management (TDEM) during emergency activations of the SOC. In addition, the PUC provides input in the Annual Implementation Plan for the Texas Homeland Security Strategic Plan, and the Homeland Security Strategic Plan, which is produced every 5 years, and works with the DPS in response to information requested by the federal Department of Homeland Security. The PUC also attends various homeland security related meetings, including quarterly meetings of the Texas Homeland Security Council and the Drought Preparedness Council.

**6.G HOMELAND SECURITY FUNDING SCHEDULE - PART B NATURAL OR MAN-MADE DISASTERS**

DATE: 8/11/2016

**Funds Passed through to Local Entities**

TIME: 4:49:19PM

85th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

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Agency code: **473**      Agency name: **Public Utility Commission of Texas**

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<b>CODE</b>	<b>DESCRIPTION</b>	<b>Exp 2015</b>	<b>Est 2016</b>	<b>Bud 2017</b>	<b>BL 2018</b>	<b>BL 2019</b>
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**6.G HOMELAND SECURITY FUNDING SCHEDULE - PART B NATURAL OR MAN-MADE DISASTERS**

DATE: 8/11/2016

**Funds Passed through to State Agencies**

TIME: 4:49:19PM

85th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

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Agency code: **473**      Agency name: **Public Utility Commission of Texas**

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<b>CODE</b>	<b>DESCRIPTION</b>	<b>Exp 2015</b>	<b>Est 2016</b>	<b>Bud 2017</b>	<b>BL 2018</b>	<b>BL 2019</b>
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**6.H. Estimated Total of All Agency Funds Outside the GAA Bill Pattern  
Public Utility Commission of Texas**

<b>ESTIMATED GRAND TOTAL OF AGENCY FUNDS OUTSIDE THE 2018–19 GAA BILL PATTERN</b>	<b>\$</b>	<b>604,187,882</b>
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**Texas Universal Service Fund**

Estimated Beginning Balance in FY 2016	\$	200,260,062
Estimated Revenues FY 2016	\$	250,678,158
Estimated Revenues FY 2017	\$	236,058,282
<b>FY-2016–17 Total</b>	<b>\$</b>	<b>686,996,502</b>
Estimated Beginning Balance in FY 2018	\$	172,506,903
Estimated Revenues FY 2018	\$	222,291,872
Estimated Revenues FY 2019	\$	209,389,107
<b>FY 2018–19 Total</b>	<b>\$</b>	<b>604,187,882</b>

**Constitutional or Statutory Creation and Use of Funds:**

The Public Utility Regulatory Act (PURA) Chapter 56 (Telecommunications Assistance and Universal Service Fund), Subchapter B. (Universal Service Fund) Sec. 56.021 (Universal Service Fund Established) requires the Commission to adopt and enforce rules requiring Local Exchange Telephone companies to establish a universal service fund. The fund may be used to (1) assist telecommunications providers in providing basic local telecommunications service at reasonable rates in high cost rural areas; (2) reimburse the telecommunications carrier that provides the statewide telecommunications relay access service, Relay Texas, under Subchapter D; (3) finance the specialized telecommunications assistance program under Subchapter E; (4) reimburse the department of Health and Human Services, Texas Commission for the Deaf and Hard of Hearing, and the Public Utility Commission for costs incurred in implementing Chapter 56 and Chapter 57 of PURA; (5) reimburse telecommunication carriers that provide Lifeline telephone discount to low income customers as provided by 47 C.F.R. Part 54, Subpart E, as amended; (6) finance the implementation and administration of an integrated eligibility process created under Section 17.007 for Lifeline discounts, including outreach expenses the commission determines are reasonable and necessary; (7) reimburse a provider designated to serve an uncertificated area under Subchapter F; (8) reimburse a successor utility designated to serve under Subchapter G; and (9) finance the audio newspaper assistance program.

**Method of Calculation and Revenue Assumptions:**

PURA Sec. 56.022 requires that the Universal Service Fund be funded by a statewide uniform charge set by the Public Utility Commission. The fee is assessed on telecommunications receipts, and is charged to and paid by each telecommunications provider that has access to the customer base. The carriers are authorized to pass the charge on to the customer.

The revenue estimate is based on a 3 year trend analysis of the taxable telecommunications receipts for 2013-2015. The current Texas Universal Service Fund taxable rate is 3.3%. The estimated taxable receipts are: FY 2016 \$7,589,035,101; FY 2017 \$7,146,008,534; FY 2018 \$6,728,844,614; FY 2019 \$6,336,066,552. This estimate assumes a 6% reduction per year in taxable communications receipts based on the trend analysis. The PUC evaluates the sufficiency of the rate quarterly and makes recommendations to adjust the rate based on projected revenues and expenditures.

**6.I. Percent Biennial Base Reduction Options**

**10 % REDUCTION**

85th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/11/2016  
Time: 4:49:19PM

Agency code: 473 Agency name: **Public Utility Commission of Texas**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2018	2019	Biennial Total	2018	2019	Biennial Total	
<b>1 Expert Services</b>							
<b>Category:</b> Programs - Service Reductions (Contracted)							
<b>Item Comment:</b> This reduction would limit the PUC's ability to effectively review utility applications, pursue enforcement matter, adequately participate in certain sale, transfer, and merger proceedings, and provide necessary assistance to certain water utilities attempting to file rate and CCN applications.							
Strategy: 1-1-1 Foster and Monitor Market Competition							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$37,500	\$37,500	\$75,000	
<b>General Revenue Funds Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$37,500</b>	<b>\$37,500</b>	<b>\$75,000</b>	
Strategy: 1-2-1 Conduct Rate Cases for Regulated Telephone, Electric & Water Utilities							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$37,500	\$37,500	\$75,000	
<b>General Revenue Funds Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$37,500</b>	<b>\$37,500</b>	<b>\$75,000</b>	
<u>Gr Dedicated</u>							
153 Water Resource Management	\$0	\$0	\$0	\$50,000	\$50,000	\$100,000	
<b>Gr Dedicated Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$50,000</b>	<b>\$50,000</b>	<b>\$100,000</b>	
Strategy: 1-3-1 Conduct Investigations and Initiate Enforcement Actions							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$25,000	\$25,000	\$50,000	
<b>General Revenue Funds Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$25,000</b>	<b>\$25,000</b>	<b>\$50,000</b>	
<b>Item Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$150,000</b>	<b>\$150,000</b>	<b>\$300,000</b>	

**FTE Reductions (From FY 2018 and FY 2019 Base Request)**

**6.I. Percent Biennial Base Reduction Options**

**10 % REDUCTION**

85th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/11/2016  
Time: 4:49:19PM

Agency code: 473 Agency name: **Public Utility Commission of Texas**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2018	2019	Biennial Total	2018	2019	Biennial Total	

**2 Investigations and Enforcement**

**Category:** Programs - Service Reductions (FTEs-Layoffs)

**Item Comment:** This reduction of 2.0 Enforcement Analysts would require the PUC to initiate fewer enforcement proceedings, which would negatively impact compliance with statutes, rules, and orders. This may also result in fewer enforcement actions brought by the PUC, which would result in fewer administrative penalties and thus less revenue deposited to General Revenue. In fiscal year 2015, the PUC's Oversight and Enforcement Division completed 167 electric and telecommunications investigations, which resulted in approximately \$1.64 million in administrative penalties assessed and ultimately deposited into General Revenue for violations of statutes, PUC rules, or PUC orders.

Strategy: 1-3-1 Conduct Investigations and Initiate Enforcement Actions

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$165,300	\$165,300	\$330,600
<b>General Revenue Funds Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$165,300</b>	<b>\$165,300</b>	<b>\$330,600</b>
<b>Item Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$165,300</b>	<b>\$165,300</b>	<b>\$330,600</b>

**FTE Reductions (From FY 2018 and FY 2019 Base Request)** **2.0**      **2.0**

**3 Customer Protection - Complaint Resolution and Call Center**

**Category:** Programs - Service Reductions (FTEs-Layoffs)

**Item Comment:** This reduction of 2.0 Customer Care Representatives would negatively impact the PUC's ability to address customer complaints through its informal complaint resolution process, which currently closes more than 99 percent of all complaints filed at the PUC. The PUC investigated 7,630 complaints in fiscal year 2015, with approximately 60 percent closed within 45 days. These investigations resulted in 2,087 refunds totaling \$675,087 returned to customers. This would also increase call wait times for people who call the PUC call center to get information, which have historically been short.

Strategy: 2-2-1 Assist Customers in Resolving Disputes

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$86,800	\$86,800	\$173,600
<b>General Revenue Funds Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$86,800</b>	<b>\$86,800</b>	<b>\$173,600</b>

**6.I. Percent Biennial Base Reduction Options**

**10 % REDUCTION**

85th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

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Agency code: 473 Agency name: Public Utility Commission of Texas

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2018	2019	Biennial Total	2018	2019	Biennial Total	
<b>Item Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$86,800</b>	<b>\$86,800</b>	<b>\$173,600</b>	
<b>FTE Reductions (From FY 2018 and FY 2019 Base Request)</b>				<b>2.0</b>	<b>2.0</b>		
<b>4 Competitive Electric Market Oversight</b>							
<b>Category:</b> Programs - Service Reductions (FTEs-Layoffs)							
<b>Item Comment:</b> This reduction of 2.0 Utility Specialists would require the PUC to defer or eliminate needed updates to rules, to decrease participation in ERCOT oversight activities, and to decrease overall market oversight activities.							
Strategy: 1-1-1 Foster and Monitor Market Competition							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$169,300	\$169,300	\$338,600	
<b>General Revenue Funds Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$169,300</b>	<b>\$169,300</b>	<b>\$338,600</b>	
<b>Item Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$169,300</b>	<b>\$169,300</b>	<b>\$338,600</b>	
<b>FTE Reductions (From FY 2018 and FY 2019 Base Request)</b>				<b>2.0</b>	<b>2.0</b>		
<b>5 Utility Application Review</b>							
<b>Category:</b> Programs - Service Reductions (FTEs-Layoffs)							
<b>Item Comment:</b> This reduction of 1.0 Financial Analyst and 1.0 Attorney would require the PUC to defer or eliminate needed updates to rules, to decrease the scope of participation in rate and transmission line CCN cases, and to eliminate formal earnings reviews. In fiscal year 2015, the PUC's Rate Regulation Division completed 65 rate cases, helping to ensure that utility rates are just and reasonable. These rate reviews helped contribute to the average retail price on the Power-to-Choose website being approximately 14 percent lower than the national average retail price for electricity.							
Strategy: 1-2-1 Conduct Rate Cases for Regulated Telephone, Electric & Water Utilities							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$142,587	\$142,587	\$285,174	
<b>General Revenue Funds Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$142,587</b>	<b>\$142,587</b>	<b>\$285,174</b>	

**6.I. Percent Biennial Base Reduction Options**

**10 % REDUCTION**

85th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/11/2016  
Time: 4:49:19PM

Agency code: 473 Agency name: Public Utility Commission of Texas

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2018	2019	Biennial Total	2018	2019	Biennial Total	
<b>Item Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$142,587</b>	<b>\$142,587</b>	<b>\$285,174</b>	
<b>FTE Reductions (From FY 2018 and FY 2019 Base Request)</b>				<b>2.0</b>	<b>2.0</b>		
<b>6 Water Utility Regulation</b>							
<b>Category:</b> Programs - Service Reductions (FTEs-Layoffs)							
<b>Item Comment:</b> This reduction of 1.0 Water Engineering Specialist would negatively impact the PUC's ability to review and process water utility CCN applications within statutory timelines. In fiscal year 2015, the PUC's Water Regulation Division completed 132 CCN applications.							
Strategy: 1-2-1 Conduct Rate Cases for Regulated Telephone, Electric & Water Utilities							
<u>Gr Dedicated</u>							
153 Water Resource Management	\$0	\$0	\$0	\$78,308	\$78,308	\$156,616	
<b>Gr Dedicated Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$78,308</b>	<b>\$78,308</b>	<b>\$156,616</b>	
<b>Item Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$78,308</b>	<b>\$78,308</b>	<b>\$156,616</b>	
<b>FTE Reductions (From FY 2018 and FY 2019 Base Request)</b>				<b>1.0</b>	<b>1.0</b>		

**7 Investigations and Enforcement**

**Category:** Programs - Service Reductions (FTEs-Layoffs)

**Item Comment:** This reduction of 1.0 Enforcement Analyst and 1.0 Attorney would require the PUC to initiate fewer enforcement proceedings, which would negatively impact compliance with statutes, rules, and orders. This may also result in fewer enforcement actions brought by the PUC, which would result in fewer administrative penalties and thus less revenue deposited to General Revenue. In fiscal year 2015, the PUC's Oversight and Enforcement Division completed 167 electric and telecommunications investigations, which resulted in approximately \$1.64 million in administrative penalties assessed and ultimately deposited into General Revenue for violations of statutes, PUC rules, or PUC orders.

Strategy: 1-3-1 Conduct Investigations and Initiate Enforcement Actions

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$152,900	\$152,900	\$305,800	
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**6.I. Percent Biennial Base Reduction Options**

**10 % REDUCTION**

85th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/11/2016  
Time: 4:49:19PM

Agency code: 473 Agency name: Public Utility Commission of Texas

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2018	2019	Biennial Total	2018	2019	Biennial Total	
<b>General Revenue Funds Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$152,900</b>	<b>\$152,900</b>	<b>\$305,800</b>	
<b>Item Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$152,900</b>	<b>\$152,900</b>	<b>\$305,800</b>	
<b>FTE Reductions (From FY 2018 and FY 2019 Base Request)</b>				<b>2.0</b>	<b>2.0</b>		
<b>8 Customer Protection - Complaint Resolution and Call Center</b>							
<b>Category:</b> Programs - Service Reductions (Contracted)							
<b>Item Comment:</b> This reduction of 2.0 Customer Care Representatives would negatively impact the PUC's ability to address customer complaints through its informal complaint resolution process, which currently closes more than 99 percent of all complaints filed at the PUC. The PUC investigated 7,630 complaints in fiscal year 2015, with approximately 60 percent closed within 45 days. These investigations resulted in 2,087 refunds totaling \$675,087 returned to customers. This would also increase call wait times for people who call the PUC call center to get information, which have historically been short.							
Strategy: 2-2-1 Assist Customers in Resolving Disputes							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$86,800	\$86,800	\$173,600	
<b>General Revenue Funds Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$86,800</b>	<b>\$86,800</b>	<b>\$173,600</b>	
<b>Item Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$86,800</b>	<b>\$86,800</b>	<b>\$173,600</b>	
<b>FTE Reductions (From FY 2018 and FY 2019 Base Request)</b>				<b>2.0</b>	<b>2.0</b>		

**9 Competitive Electric Market Oversight**

**Category:** Programs - Service Reductions (FTEs-Layoffs)

**Item Comment:** This reduction of 1.0 Utility Specialist and 1.0 Attorneys would require the PUC to defer or eliminate needed updates to rules, to decrease participation in ERCOT oversight activities, and to decrease overall market oversight activities.

Strategy: 1-1-1 Foster and Monitor Market Competition

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$154,900	\$154,900	\$309,800	
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**6.I. Percent Biennial Base Reduction Options**

**10 % REDUCTION**

85th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/11/2016  
Time: 4:49:19PM

Agency code: 473 Agency name: Public Utility Commission of Texas

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2018	2019	Biennial Total	2018	2019	Biennial Total	
<b>General Revenue Funds Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$154,900</b>	<b>\$154,900</b>	<b>\$309,800</b>	
<b>Item Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$154,900</b>	<b>\$154,900</b>	<b>\$309,800</b>	
<b>FTE Reductions (From FY 2018 and FY 2019 Base Request)</b>				<b>2.0</b>	<b>2.0</b>		

**10 Utility Application Review**

**Category:** Programs - Service Reductions (FTEs-Layoffs)

**Item Comment:** This reduction of 2.0 Financial Analysts and 1.0 Managing Attorney would require the PUC to defer or eliminate needed updates to rules, to decrease the scope of participation in rate and transmission line CCN cases, and to eliminate formal earnings reviews. In fiscal year 2015, the PUC's Rate Regulation Division completed 65 rate cases, helping to ensure that utility rates are just and reasonable. These rate reviews helped contribute to the average retail price on the Power-to-Choose website being approximately 14 percent lower than the national average retail price for electricity.

Strategy: 1-2-1 Conduct Rate Cases for Regulated Telephone, Electric & Water Utilities

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$269,387	\$269,386	\$538,773	
<b>General Revenue Funds Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$269,387</b>	<b>\$269,386</b>	<b>\$538,773</b>	
<b>Item Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$269,387</b>	<b>\$269,386</b>	<b>\$538,773</b>	
<b>FTE Reductions (From FY 2018 and FY 2019 Base Request)</b>				<b>3.0</b>	<b>3.0</b>		

**11 Water Utility Regulation**

**Category:** Programs - Service Reductions (FTEs-Layoffs)

**Item Comment:** This reduction of 2.0 Financial Analysts would negatively impact the PUC's ability to review and process water utility rate applications within statutory timelines. In fiscal year 2015, the PUC's Water Regulation Division completed 98 water utility rate reviews, helping to ensure that water utilities were charging just and reasonable rates, and generating enough revenue to provide continuous and adequate service.

Strategy: 1-2-1 Conduct Rate Cases for Regulated Telephone, Electric & Water Utilities

Gr Dedicated

**6.I. Percent Biennial Base Reduction Options**

**10 % REDUCTION**

85th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/11/2016  
Time: 4:49:19PM

Agency code: 473 Agency name: Public Utility Commission of Texas

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2018	2019	Biennial Total	2018	2019	Biennial Total	
153 Water Resource Management	\$0	\$0	\$0	\$128,308	\$128,309	\$256,617	
<b>Gr Dedicated Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$128,308</b>	<b>\$128,309</b>	<b>\$256,617</b>	
<b>Item Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$128,308</b>	<b>\$128,309</b>	<b>\$256,617</b>	
<b>FTE Reductions (From FY 2018 and FY 2019 Base Request)</b>				<b>2.0</b>	<b>2.0</b>		
<b>AGENCY TOTALS</b>							
<b>General Revenue Total</b>				<b>\$1,327,974</b>	<b>\$1,327,973</b>	<b>\$2,655,947</b>	<b>\$1,800,925</b>
<b>GR Dedicated Total</b>				<b>\$256,616</b>	<b>\$256,617</b>	<b>\$513,233</b>	<b>\$1,368,255</b>
<b>Agency Grand Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$1,584,590</b>	<b>\$1,584,590</b>	<b>\$3,169,180</b>	
<b>Difference, Options Total Less Target</b>							
<b>Agency FTE Reductions (From FY 2018 and FY 2019 Base Request)</b>				<b>20.0</b>	<b>20.0</b>		

7.A. Indirect Administrative and Support Costs

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Strategy		Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
<b>4-1-1</b>	<b>Central Administration</b>					
<b>OBJECTS OF EXPENSE:</b>						
1001	SALARIES AND WAGES	\$468,580	\$ 384,391	\$ 447,712	\$ 447,712	\$ 447,712
1002	OTHER PERSONNEL COSTS	40,161	49,325	38,520	38,520	38,520
2001	PROFESSIONAL FEES AND SERVICES	51,693	73,852	59,944	59,944	59,944
2003	CONSUMABLE SUPPLIES	7,217	7,942	6,000	6,000	6,000
2004	UTILITIES	2,480	2,227	1,560	1,560	1,560
2005	TRAVEL	5,189	9,196	7,800	7,800	7,800
2006	RENT - BUILDING	1,526	1,611	1,200	1,200	1,200
2007	RENT - MACHINE AND OTHER	20,329	31,869	26,400	26,400	26,400
2009	OTHER OPERATING EXPENSE	106,762	80,942	52,219	52,219	52,219
<b>Total, Objects of Expense</b>		<b>\$703,937</b>	<b>\$641,355</b>	<b>\$641,355</b>	<b>\$641,355</b>	<b>\$641,355</b>
<b>METHOD OF FINANCING:</b>						
1	General Revenue Fund	703,937	641,355	641,355	641,355	641,355
<b>Total, Method of Financing</b>		<b>\$703,937</b>	<b>\$641,355</b>	<b>\$641,355</b>	<b>\$641,355</b>	<b>\$641,355</b>
<b>FULL TIME EQUIVALENT POSITIONS</b>		<b>7.4</b>	<b>5.7</b>	<b>6.7</b>	<b>6.7</b>	<b>6.7</b>

Method of Allocation

**7.A. Indirect Administrative and Support Costs**

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Automated Budget and Evaluation System of Texas (ABEST)

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**473 Public Utility Commission of Texas**

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<b>Strategy</b>	<b>Exp 2015</b>	<b>Est 2016</b>	<b>Bud 2017</b>	<b>BL 2018</b>	<b>BL 2019</b>
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Indirect administration is used to account for staff time that does not relate to a direct program, specific project, rule, and/or contested case at the PUC.

7.A. Indirect Administrative and Support Costs

8/11/2016 4:49:20PM

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Strategy	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
<b>4-1-2 Information Resources</b>					
<b>OBJECTS OF EXPENSE:</b>					
1001 SALARIES AND WAGES	\$188,612	\$ 201,082	\$ 201,082	\$ 201,082	\$ 201,082
1002 OTHER PERSONNEL COSTS	16,316	16,050	16,050	16,050	16,050
2001 PROFESSIONAL FEES AND SERVICES	21,536	22,771	22,771	22,771	22,771
2003 CONSUMABLE SUPPLIES	3,007	2,500	2,500	2,500	2,500
2004 UTILITIES	1,033	650	650	650	650
2005 TRAVEL	2,162	3,250	3,250	3,250	3,250
2006 RENT - BUILDING	636	500	500	500	500
2007 RENT - MACHINE AND OTHER	8,470	11,000	11,000	11,000	11,000
2009 OTHER OPERATING EXPENSE	44,484	19,023	19,023	19,023	19,023
<b>Total, Objects of Expense</b>	<b>\$286,256</b>	<b>\$276,826</b>	<b>\$276,826</b>	<b>\$276,826</b>	<b>\$276,826</b>
<b>METHOD OF FINANCING:</b>					
1 General Revenue Fund	286,256	276,826	276,826	276,826	276,826
<b>Total, Method of Financing</b>	<b>\$286,256</b>	<b>\$276,826</b>	<b>\$276,826</b>	<b>\$276,826</b>	<b>\$276,826</b>
<b>FULL TIME EQUIVALENT POSITIONS</b>	<b>3.0</b>	<b>2.8</b>	<b>2.8</b>	<b>2.8</b>	<b>2.8</b>

Method of Allocation

**7.A. Indirect Administrative and Support Costs**

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Automated Budget and Evaluation System of Texas (ABEST)

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**473 Public Utility Commission of Texas**

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<b>Strategy</b>	<b>Exp 2015</b>	<b>Est 2016</b>	<b>Bud 2017</b>	<b>BL 2018</b>	<b>BL 2019</b>
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Indirect administration is used to account for administrative support staff time that does not relate to a direct program, specific project, rule, and/or contested case at the PUC.

7.A. Indirect Administrative and Support Costs

8/11/2016 4:49:20PM

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Automated Budget and Evaluation System of Texas (ABEST)

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Strategy		Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
<b>4-1-3</b>	<b>Other Support Services</b>					
<b>OBJECTS OF EXPENSE:</b>						
1001	SALARIES AND WAGES	\$37,220	\$ 37,311	\$ 37,311	\$ 37,311	\$ 37,311
1002	OTHER PERSONNEL COSTS	3,231	3,210	3,210	3,210	3,210
2001	PROFESSIONAL FEES AND SERVICES	4,307	5,063	5,063	5,063	5,063
2003	CONSUMABLE SUPPLIES	601	500	500	500	500
2004	UTILITIES	207	130	130	130	130
2005	TRAVEL	432	650	650	650	650
2006	RENT - BUILDING	127	100	100	100	100
2007	RENT - MACHINE AND OTHER	1,694	2,200	2,200	2,200	2,200
2009	OTHER OPERATING EXPENSE	8,897	4,412	4,412	4,412	4,412
<b>Total, Objects of Expense</b>		<b>\$56,716</b>	<b>\$53,576</b>	<b>\$53,576</b>	<b>\$53,576</b>	<b>\$53,576</b>
<b>METHOD OF FINANCING:</b>						
1	General Revenue Fund	56,716	53,576	53,576	53,576	53,576
<b>Total, Method of Financing</b>		<b>\$56,716</b>	<b>\$53,576</b>	<b>\$53,576</b>	<b>\$53,576</b>	<b>\$53,576</b>
<b>FULL TIME EQUIVALENT POSITIONS</b>		<b>0.6</b>	<b>0.6</b>	<b>0.6</b>	<b>0.6</b>	<b>0.6</b>
<b>Method of Allocation</b>						

**7.A. Indirect Administrative and Support Costs**

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**473 Public Utility Commission of Texas**

**Exp 2015**

**Est 2016**

**Bud 2017**

**BL 2018**

**BL 2019**

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Indirect administration is used to account for administrative support staff time that does not relate to a direct program, specific project, rule, and/or contested case at the PUC.

7.A. Indirect Administrative and Support Costs

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Automated Budget and Evaluation System of Texas (ABEST)

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	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
<b>GRAND TOTALS</b>					
<b>Objects of Expense</b>					
1001 SALARIES AND WAGES	\$694,412	\$622,784	\$686,105	\$686,105	\$686,105
1002 OTHER PERSONNEL COSTS	\$59,708	\$68,585	\$57,780	\$57,780	\$57,780
2001 PROFESSIONAL FEES AND SERVICES	\$77,536	\$101,686	\$87,778	\$87,778	\$87,778
2003 CONSUMABLE SUPPLIES	\$10,825	\$10,942	\$9,000	\$9,000	\$9,000
2004 UTILITIES	\$3,720	\$3,007	\$2,340	\$2,340	\$2,340
2005 TRAVEL	\$7,783	\$13,096	\$11,700	\$11,700	\$11,700
2006 RENT - BUILDING	\$2,289	\$2,211	\$1,800	\$1,800	\$1,800
2007 RENT - MACHINE AND OTHER	\$30,493	\$45,069	\$39,600	\$39,600	\$39,600
2009 OTHER OPERATING EXPENSE	\$160,143	\$104,377	\$75,654	\$75,654	\$75,654
<b>Total, Objects of Expense</b>	<b>\$1,046,909</b>	<b>\$971,757</b>	<b>\$971,757</b>	<b>\$971,757</b>	<b>\$971,757</b>
<b>Method of Financing</b>					
1 General Revenue Fund	\$1,046,909	\$971,757	\$971,757	\$971,757	\$971,757
<b>Total, Method of Financing</b>	<b>\$1,046,909</b>	<b>\$971,757</b>	<b>\$971,757</b>	<b>\$971,757</b>	<b>\$971,757</b>
<b>Full-Time-Equivalent Positions (FTE)</b>	<b>11.0</b>	<b>9.1</b>	<b>10.1</b>	<b>10.1</b>	<b>10.1</b>

Agency code: 473

Agency name: Public Utility Commission of Texas

Strategy	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
<b>1-1-1 Foster and Monitor Market Competition</b>					
<b>OBJECTS OF EXPENSE:</b>					
1001 SALARIES AND WAGES	\$339,692	\$367,141	\$379,653	\$379,653	\$379,653
1002 OTHER PERSONNEL COSTS	32,947	17,576	12,698	12,698	12,698
2001 PROFESSIONAL FEES AND SERVICES	12,623	17,198	15,329	15,329	15,329
2003 CONSUMABLE SUPPLIES	1,718	1,880	1,625	1,625	1,625
2004 UTILITIES	590	459	371	371	371
2005 TRAVEL	1,277	2,341	2,152	2,152	2,152
2006 RENT - BUILDING	363	339	286	286	286
2007 RENT - MACHINE AND OTHER	4,839	8,143	7,427	7,427	7,427
2009 OTHER OPERATING EXPENSE	30,146	19,074	14,610	14,610	14,610
5000 CAPITAL EXPENDITURES	3,327	0	0	0	0
<b>Total, Objects of Expense</b>	<b>\$427,522</b>	<b>\$434,151</b>	<b>\$434,151</b>	<b>\$434,151</b>	<b>\$434,151</b>
<b>METHOD OF FINANCING:</b>					
1 General Revenue Fund	154,945	143,041	420,583	420,583	420,583
666 Appropriated Receipts	10,004	13,567	13,568	13,568	13,568
5100 System Benefit Account	262,573	277,543	0	0	0
<b>Total, Method of Financing</b>	<b>\$427,522</b>	<b>\$434,151</b>	<b>\$434,151</b>	<b>\$434,151</b>	<b>\$434,151</b>
<b>FULL-TIME-EQUIVALENT POSITIONS (FTE):</b>	<b>5.3</b>	<b>5.5</b>	<b>5.7</b>	<b>5.7</b>	<b>5.7</b>
<b>DESCRIPTION</b>					
Direct administration is used to account for administrative support staff time that directly supports a PUC program, specific project, rule, and/or contested case.					

Agency code: 473

Agency name: Public Utility Commission of Texas

Strategy	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
<b>1-2-1</b>	<b>Conduct Rate Cases for Regulated Telephone, Electric &amp; Water Utilities</b>				
<b>OBJECTS OF EXPENSE:</b>					
1001 SALARIES AND WAGES	\$502,663	\$637,030	\$690,528	\$690,528	\$690,528
1002 OTHER PERSONNEL COSTS	47,084	34,867	17,891	17,891	17,891
2001 PROFESSIONAL FEES AND SERVICES	46,203	67,699	51,040	51,040	51,040
2003 CONSUMABLE SUPPLIES	2,114	3,976	3,214	3,214	3,214
2004 UTILITIES	692	685	435	435	435
2005 TRAVEL	1,600	4,758	4,181	4,181	4,181
2006 RENT - BUILDING	861	645	335	335	335
2007 RENT - MACHINE AND OTHER	5,673	13,324	11,278	11,278	11,278
2009 OTHER OPERATING EXPENSE	44,150	46,461	30,543	30,543	30,543
4000 GRANTS	7,388	0	0	0	0
5000 CAPITAL EXPENDITURES	1,419	0	0	0	0
<b>Total, Objects of Expense</b>	<b>\$659,847</b>	<b>\$809,445</b>	<b>\$809,445</b>	<b>\$809,445</b>	<b>\$809,445</b>
<b>METHOD OF FINANCING:</b>					
1 General Revenue Fund	159,945	162,904	473,836	473,836	473,836
153 Water Resource Management	194,495	319,702	319,702	319,702	319,702
666 Appropriated Receipts	11,728	15,907	15,907	15,907	15,907
5100 System Benefit Account	293,679	310,932	0	0	0
<b>Total, Method of Financing</b>	<b>\$659,847</b>	<b>\$809,445</b>	<b>\$809,445</b>	<b>\$809,445</b>	<b>\$809,445</b>
<b>FULL-TIME-EQUIVALENT POSITIONS (FTE):</b>	<b>7.9</b>	<b>10.0</b>	<b>10.8</b>	<b>10.8</b>	<b>10.8</b>
<b>DESCRIPTION</b>					

Direct administration is used to account for administrative support staff time that directly supports a PUC program, specific project, rule, and/or contested case.

Agency code: 473

Agency name: Public Utility Commission of Texas

Strategy	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
<b>1-3-1</b>	<b>Conduct Investigations and Initiate Enforcement Actions</b>				
<b>OBJECTS OF EXPENSE:</b>					
1001 SALARIES AND WAGES	\$209,649	\$225,500	\$232,779	\$232,779	\$232,779
1002 OTHER PERSONNEL COSTS	20,316	10,829	7,905	7,905	7,905
2001 PROFESSIONAL FEES AND SERVICES	7,139	8,851	7,824	7,824	7,824
2003 CONSUMABLE SUPPLIES	968	1,220	1,081	1,081	1,081
2004 UTILITIES	333	257	209	209	209
2005 TRAVEL	723	1,519	1,415	1,415	1,415
2006 RENT - BUILDING	205	190	161	161	161
2007 RENT - MACHINE AND OTHER	2,727	5,361	4,968	4,968	4,968
2009 OTHER OPERATING EXPENSE	18,165	11,132	8,517	8,517	8,517
<b>Total, Objects of Expense</b>	<b>\$260,225</b>	<b>\$264,859</b>	<b>\$264,859</b>	<b>\$264,859</b>	<b>\$264,859</b>
<b>METHOD OF FINANCING:</b>					
1 General Revenue Fund	86,483	78,684	257,211	257,211	257,211
666 Appropriated Receipts	5,639	7,648	7,648	7,648	7,648
5100 System Benefit Account	168,103	178,527	0	0	0
<b>Total, Method of Financing</b>	<b>\$260,225</b>	<b>\$264,859</b>	<b>\$264,859</b>	<b>\$264,859</b>	<b>\$264,859</b>
<b>FULL-TIME-EQUIVALENT POSITIONS (FTE):</b>	<b>3.3</b>	<b>3.3</b>	<b>3.5</b>	<b>3.5</b>	<b>3.5</b>
<b>DESCRIPTION</b>					
Direct administration is used to account for administrative support staff time that directly supports a PUC program, specific project, rule, and/or contested case.					

Agency code: 473

Agency name: Public Utility Commission of Texas

Strategy	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
<b>2-1-1 Provide Information and Educational Outreach to Customers</b>					
<b>OBJECTS OF EXPENSE:</b>					
1001 SALARIES AND WAGES	\$98,231	\$82,612	\$88,390	\$88,390	\$88,390
1002 OTHER PERSONNEL COSTS	5,475	3,146	2,152	2,152	2,152
2001 PROFESSIONAL FEES AND SERVICES	9,991	48,883	47,070	47,070	47,070
2003 CONSUMABLE SUPPLIES	274	350	301	301	301
2004 UTILITIES	93	76	59	59	59
2005 TRAVEL	1,151	593	384	384	384
2006 RENT - BUILDING	2,369	475	45	45	45
2007 RENT - MACHINE AND OTHER	766	1,459	1,320	1,320	1,320
2009 OTHER OPERATING EXPENSE	11,723	4,322	2,195	2,195	2,195
<b>Total, Objects of Expense</b>	<b>\$130,073</b>	<b>\$141,916</b>	<b>\$141,916</b>	<b>\$141,916</b>	<b>\$141,916</b>
<b>METHOD OF FINANCING:</b>					
1 General Revenue Fund	24,117	22,517	139,769	139,769	139,769
666 Appropriated Receipts	1,583	2,147	2,147	2,147	2,147
5100 System Benefit Account	104,373	117,252	0	0	0
<b>Total, Method of Financing</b>	<b>\$130,073</b>	<b>\$141,916</b>	<b>\$141,916</b>	<b>\$141,916</b>	<b>\$141,916</b>
<b>FULL-TIME-EQUIVALENT POSITIONS (FTE):</b>	<b>1.5</b>	<b>1.3</b>	<b>1.4</b>	<b>1.4</b>	<b>1.4</b>
<b>DESCRIPTION</b>					
Direct administration is used to account for administrative support staff time that directly supports a PUC program, specific project, rule, and/or contested case.					

Agency code: 473

Agency name: Public Utility Commission of Texas

Strategy	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
<b>2-2-1 Assist Customers in Resolving Disputes</b>					
<b>OBJECTS OF EXPENSE:</b>					
1001 SALARIES AND WAGES	\$99,407	\$102,541	\$110,966	\$110,966	\$110,966
1002 OTHER PERSONNEL COSTS	9,579	7,200	3,763	3,763	3,763
2001 PROFESSIONAL FEES AND SERVICES	3,038	5,057	3,967	3,967	3,967
2003 CONSUMABLE SUPPLIES	475	697	526	526	526
2004 UTILITIES	163	161	103	103	103
2005 TRAVEL	354	798	671	671	671
2006 RENT - BUILDING	100	115	79	79	79
2007 RENT - MACHINE AND OTHER	1,338	2,796	2,316	2,316	2,316
2009 OTHER OPERATING EXPENSE	8,432	7,080	4,054	4,054	4,054
<b>Total, Objects of Expense</b>	<b>\$122,886</b>	<b>\$126,445</b>	<b>\$126,445</b>	<b>\$126,445</b>	<b>\$126,445</b>
<b>METHOD OF FINANCING:</b>					
1 General Revenue Fund	42,019	38,692	122,694	122,694	122,694
666 Appropriated Receipts	2,765	3,751	3,751	3,751	3,751
5100 System Benefit Account	78,102	84,002	0	0	0
<b>Total, Method of Financing</b>	<b>\$122,886</b>	<b>\$126,445</b>	<b>\$126,445</b>	<b>\$126,445</b>	<b>\$126,445</b>
<b>FULL-TIME-EQUIVALENT POSITIONS (FTE):</b>	<b>1.6</b>	<b>1.6</b>	<b>1.7</b>	<b>1.7</b>	<b>1.7</b>
<b>DESCRIPTION</b>					

Direct administration is used to account for administrative support staff time that directly supports a PUC program, specific project, rule, and/or contested case.

Agency code: 473

Agency name: Public Utility Commission of Texas

	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
<b>GRAND TOTALS</b>					
<b>Objects of Expense</b>					
1001 SALARIES AND WAGES	\$1,249,642	\$1,414,824	\$1,502,316	\$1,502,316	\$1,502,316
1002 OTHER PERSONNEL COSTS	\$115,401	\$73,618	\$44,409	\$44,409	\$44,409
2001 PROFESSIONAL FEES AND SERVICES	\$78,994	\$147,688	\$125,230	\$125,230	\$125,230
2003 CONSUMABLE SUPPLIES	\$5,549	\$8,123	\$6,747	\$6,747	\$6,747
2004 UTILITIES	\$1,871	\$1,638	\$1,177	\$1,177	\$1,177
2005 TRAVEL	\$5,105	\$10,009	\$8,803	\$8,803	\$8,803
2006 RENT - BUILDING	\$3,898	\$1,764	\$906	\$906	\$906
2007 RENT - MACHINE AND OTHER	\$15,343	\$31,083	\$27,309	\$27,309	\$27,309
2009 OTHER OPERATING EXPENSE	\$112,616	\$88,069	\$59,919	\$59,919	\$59,919
4000 GRANTS	\$7,388	\$0	\$0	\$0	\$0
5000 CAPITAL EXPENDITURES	\$4,746	\$0	\$0	\$0	\$0
<b>Total, Objects of Expense</b>	<b>\$1,600,553</b>	<b>\$1,776,816</b>	<b>\$1,776,816</b>	<b>\$1,776,816</b>	<b>\$1,776,816</b>
<b>Method of Financing</b>					
1 General Revenue Fund	\$467,509	\$445,838	\$1,414,093	\$1,414,093	\$1,414,093
153 Water Resource Management	\$194,495	\$319,702	\$319,702	\$319,702	\$319,702
666 Appropriated Receipts	\$31,719	\$43,020	\$43,021	\$43,021	\$43,021
5100 System Benefit Account	\$906,830	\$968,256	\$0	\$0	\$0
<b>Total, Method of Financing</b>	<b>\$1,600,553</b>	<b>\$1,776,816</b>	<b>\$1,776,816</b>	<b>\$1,776,816</b>	<b>\$1,776,816</b>
<b>Full-Time-Equivalent Positions (FTE)</b>	<b>19.6</b>	<b>21.7</b>	<b>23.1</b>	<b>23.1</b>	<b>23.1</b>