

**PUC ENFORCEMENT ACTIVITY REPORT**  
**FISCAL YEARS 2010 - 2012**

<u>Company</u>	<u>Violation</u>	<u>Docket Number</u>	<u>Final Order Date</u>	<u>Penalty Amount</u>	<u>Violation Type</u>
Centerpoint Energy	Reliability & Continuity of Service	40583	8/22/2012	\$6,000	Service Quality
Ambit Energy	Customer Protection Rules	40579	8/22/2012	\$35,000	Retail
Pegasus Alliance Corporation	Customer Protection Rules	40476	7/13/2012	\$15,000	Retail
AEP Texas	ERCOT Protocols - EEA Implementation	40477	7/13/2012	\$68,500	Wholesale
Nooruddin Investments LLC; d/b/a Discout Power	Customer Protection Rules	40402	6/14/2012	\$7,000	Retail
MP2 Energy LLC	ERCOT Protocols - EILS	40352	6/14/2012	\$10,000	Wholesale
Highway 3	Customer Protection Rules	37152	5/25/2012	\$1,440,000	Retail
GDF Suez Energy Marketing NA, Inc.	ERCOT Protocols - Non-Spinning Reserve Service	40320	5/23/2012	\$20,000	Wholesale
Rayburn Country Electric Coop	ERCOT Protocols - EEA	40334	5/23/2012	\$68,500	Wholesale
Trieagle Energy	Customer Protection Rules	40241	4/27/2012	\$36,000	Retail
10K Energy	Customer Protection Rules	40267	4/27/2012	\$20,000	Retail
Mxenergy Electric	Customer Protection Rules	40206	4/12/2012	\$64,500	Retail
Oncor Electric Delivery	Reliability & Continuity of Service	40217	4/12/2012	\$46,000	Service Quality
Our Energy LLC	Customer Protection Rules	40159	3/8/2012	\$20,000	Retail
EnerNOC, Inc.	ERCOT Protocols - EILS	40152	2/24/2012	\$45,000	Wholesale
Constellation Alliance, LP	ERCOT Protocols - Responsive Reserve Service	40155	2/24/2012	\$20,000	Wholesale
Constelation Energy Commodities Group	ERCOT Protocols - LaaRs	40156	2/24/2012	\$15,000	Wholesale
South Texas Electric Coop	ERCOT Protocols - Ancillary Services	40130	2/24/2012	\$15,000	Wholesale
AEP TX Central Co	Reliability & Continuity of Service	40085	2/13/2012	\$36,500	Service Quality
AEP TX North Co	Reliability & Continuity of Service	40084	2/13/2012	\$99,500	Service Quality
Occidental Power Service	ERCOT Protocols - Ancillary Services	39990	2/13/2012	\$60,000	Wholesale
IntelliGen Resources LP	ERCOT Protocols - LaaRs	40024	1/26/2012	\$20,000	Wholesale
Stream SPE, LTD	Customer Protection Rules	39920	1/12/2012	\$20,000	Retail
Frontier Utilities	Customer Protection Rules	39981	1/12/2012	\$28,500	Retail
SWEPCO	Reliability & Continuity of Service	39964	1/12/2012	\$29,610	Service Quality
BP Energy Co.	ERCOT Protocols - Ancillary Services	39963	1/12/2012	\$20,000	Wholesale
Young Energy LLC	Customer Protection Rules	39921	12/19/2011	\$18,000	Retail
IntelliGen Resources, LP	ERCOT Protocols - Ancillary Services	39918	12/19/2011	\$20,000	Wholesale
AEP TX North	Reliability & Continuity of Service	39839	11/10/2011	\$90,000	Service Quality
AEP Tx Central	Reliability & Continuity of Service	39838	11/10/2011	\$40,000	Service Quality
SWEPCO	Reliability & Continuity of Service	39819	11/10/2011	\$39,000	Service Quality
City of Garland	ERCOT Protocols - Ancillary Services	39818	11/10/2011	\$15,000	Wholesale
Texas Power LP	Customer Protection Rules	39791	10/28/2011	\$65,000	Retail
Lower Colorado River Authority	ERCOT Protocols - Ancillary Services	39792	10/28/2011	\$20,000	Wholesale
Acacia Energy	Customer Protection Rules	39767	10/20/2011	\$40,000	Retail
Southwestern Public Service	Reliability & Continuity of Service	39763	10/20/2011	\$45,000	Service Quality
Stream Energy	Customer Protection Rules	39696	9/30/2011	\$74,000	Retail
El Paso Electric	Reliability & Continuity of Service	39694	9/30/2011	\$18,000	Service Quality
NRG Texas Power	ERCOT Protocols - Ancillary Services	39691	9/30/2011	\$35,000	Wholesale
Apollo Power & Light	Customer Protection Rules	39648	9/2/2011	\$26,700	Retail

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Startex Power	Customer Protection Rules	39560	8/4/2011	\$30,000	Retail
Chain Lakes Power Co	Customer Protection Rules	39460	7/11/2011	\$39,500	Retail
LPT LLC	Customer Protection Rules	39441	7/11/2011	\$39,000	Retail
Alliance Power Co LLC	Customer Protection Rules	39386	6/22/2011	\$18,000	Retail
SWEPCO	Reliability & Continuty of Service	39320	6/22/2011	\$51,250	Service Quality
Proton Energy	Technical & Managerial Resources	38633	5/18/2011	\$10,000	Retail
TXU Energy	Customer Protection Rules	39317	5/16/2011	\$80,000	Retail
CPL Retail Energy	Customer Protection Rules	39285	5/16/2011	\$18,000	Retail
TexRep5-Allstar	Certificate Relinquishment	37801	5/16/2011	\$52,000	Retail
Brilliant Energy LLC	Customer Protection Rules	39248	5/3/2011	\$39,600	Retail
Gateway Power Services	Customer Protection Rules	39265	5/3/2011	\$17,000	Retail
TEXREP1 LLC	Customer Protection Rules	39249	5/3/2011	\$40,000	Retail
Circo Energy	Customer Protection Rules	39226	5/3/2011	\$18,000	Retail
Oncor Electric	Reliability & Continuty of Service	39279	5/3/2011	\$210,000	Service Quality
AEP Tx Central Co	Reliability & Continuty of Service	39278	5/3/2011	\$65,000	Service Quality
AEP Tx Central Co	Reliability & Continuty of Service	39277	5/3/2011	\$110,000	Service Quality
Xcel Energy	Reliability & Continuty of Service	39217	4/8/2011	\$39,000	Service Quality
Sharyland Utilities	Reliability & Continuty of Service	39225	4/8/2011	\$30,000	Service Quality
El Paso Electric	Reliability & Continuty of Service	39173	4/8/2011	\$27,000	Service Quality
Texpo Power LP	Customer Protection Rules	39046	2/11/2011	\$19,000	Retail
Fulcrum Retail Energy	Customer Protection Rules	39047	2/10/2011	\$13,000	Retail
Mega Energy LLP	Customer Protection Rules	38982	2/8/2011	\$42,400	Retail
TNMP	Reliability & Continuty of Service	38991	2/8/2011	\$4,000	Service Quality
DPI Energy LLC	Customer Protection Rules	38384	12/17/2010	\$104,250	Retail
Entergy Texas, Inc.	Reliability & Continuty of Service	38891	12/17/2010	\$71,000	Service Quality
Champion Energy Services	Customer Protection Rules	38905	12/16/2010	\$30,000	Retail
Tara Energy LLC	Customer Protection Rules	38839	12/7/2010	\$13,000	Retail
Reliant Energy	Customer Protection Rules	38785	11/13/2010	\$50,000	Retail
Penstar Power	Customer Protection Rules	38783	11/12/2010	\$6,000	Retail
Green Mountain Energy	Customer Protection Rules	38740	11/12/2010	\$16,500	Retail
Just Energy Texas	Customer Protection Rules	38657	10/19/2010	\$17,250	Retail
Centerpoint Energy	Reliability & Continuty of Service	38671	10/19/2010	\$142,500	Service Quality
Gexa Energy	Customer Protection Rules	38632	10/4/2010	\$65,000	Retail
Penstar Power	Customer Protection Rules	38596	10/4/2010	\$14,000	Retail
Accent Energy Texas	Customer Protection Rules	38550	10/4/2010	\$50,000	Retail
Bryan Texas Utilities	ERCOT Protocols - Ancillary Services	38496	9/16/2010	\$25,000	Wholesale
Andeler Corporation	Customer Protection Rules	38393	9/2/2010	\$30,000	Retail
Bounce Energy	Customer Protection Rules	38395	9/2/2010	\$28,000	Retail
Affordable Power	Customer Protection Rules	38396	9/2/2010	\$40,000	Retail

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First Choice Power	Customer Protection Rules	38433	8/19/2010	\$16,500	Retail
Cap Rock	Reliability & Continuity of Service	38472	8/19/2010	\$35,000	Service Quality
Xcel Energy	Reliability & Continuity of Service	38445	8/19/2010	\$57,000	Service Quality
CPS Energy	ERCOT Protocols - Ancillary Services	38431	8/19/2010	\$35,000	Wholesale
Austin Energy	ERCOT Protocols - Ancillary Services	38415	8/19/2010	\$35,000	Wholesale
El Paso Electric	Reliability & Continuity of Service	38454	8/19/2010	\$38,000	Service Quality
Ambit	Customer Protection Rules	38392	8/19/2010	\$22,500	Retail
Spark Energy	Customer Protection Rules	38394	8/19/2010	\$44,500	Retail
Cybertel	Telephone Prepaid Calling Services	38414	8/19/2010	\$60,000	Telecommunications
TNMP	Reliability & Continuity of Service	38380	7/30/2010	\$9,000	Service Quality
Nextera Energy	ERCOT Protocols - Ancillary Services	38303	7/1/2010	\$60,000	Wholesale
Oncor Electric	Reliability & Continuity of Service	38135	5/14/2010	\$197,000	Service Quality
City of Garland	ERCOT Protocols - LaaRs	38104	5/4/2010	\$15,000	Wholesale
Luminant Energy	ERCOT Protocols - LaaRs	37634	4/5/2010	\$25,000	Wholesale
Entergy Texas, Inc.	Reliability & Continuity of Service	37918	3/5/2010	\$68,500	Service Quality
Shell Energy North America	ERCOT Protocols - LaaRs	37954	3/5/2010	\$2,000	Wholesale
SWEPCO	Reliability & Continuity of Service	37938	3/5/2010	\$25,000	Service Quality
AEP Texas North Company	Reliability & Continuity of Service	37939	3/5/2010	\$80,000	Service Quality
AEP Texas Central Company	Reliability & Continuity of Service	37940	3/5/2010	\$169,000	Service Quality
Southwestern Public Service	Reliability & Continuity of Service	37896	2/12/2010	\$55,000	Service Quality
Cap Rock Energy Corporation	Reliability & Continuity of Service	37834	1/29/2010	\$25,000	Service Quality
Centerpoint Energy	Reliability & Continuity of Service	37752	1/15/2010	\$84,000	Service Quality
El Paso Electric	Reliability & Continuity of Service	37740	1/15/2010	\$50,000	Service Quality
Texas New Mexico Power	Reliability & Continuity of Service	37638	12/3/2009	\$11,500	Service Quality
Pre-Buy Electric	Customer Protection Rules - Failure to Repay Customer Fees	36927	1/29/2010	\$1,866,000	Retail
State Telephone Texas Corp.	Improperly Receiving Lifeline Service Discounts	36991	11/12/2009	\$469,282	Telecommunications