



Public Utility Commission of Texas
Business Unit # 47300
Purchase Order # 17-0000059

Payment Terms: **NET30** Freight Terms: **FOB Shipping** Ship Via: **US Mail** PCC: **K** Date: **09/27/16** PO Method: **PB** Dispatch: **Dispatch** Rev Dt:
Via Email

PLEASE NOTE: ADDITIONAL TERMS AND CONDITIONS MAY BE LISTED AT THE END OF THE PURCHASE ORDER.

Vendor: SNL FINANCIAL LC
PO BOX 414624
BOSTON MA 022414624
United States

Ship To: 0001 - PUBLIC UTILITY COMMISSION O
SUITE 8-100
1701 N CONGRESS AVENUE
Austin TX 78701
United States

Vendor ID: 1222783243 8

Purchaser: Pablo Almaraz

Phone: 512/936-7069

Fax: 512/936-7058

Email: pablo.almaraz@puc.texas.gov

Bill To: PUBLIC UTILITY COMMISSION OF
TEXAS
Attn: Accounts Payable
P.O. Box 13326
Austin TX 78711-3326
United States

Fax:
Email: payables@puc.texas.gov

PO Information:

This resource, used by all Agency divisions, offers proprietary data, resources and, information not available from other databases to which the PUC subscribes.

Service previously awarded via IFB REQ NO. 473-15-0000810 PO 47300-15-0000740, Dated: 08/28/15 with a Two (2) Year Initial Term 9/16/15 through 9/15/17, total dollar amount \$83,650.00, see attached.

DIRECT PUBLICATION: NOT AVAILABLE FROM ANY OTHER SOURCE

Authorized Signature

Pablo Almaraz, CTPM

09/27/2016



Public Utility Commission of Texas
Business Unit # 47300
Purchase Order # 17-0000059

Line-Sch	Line Description	Class/Item	Quantity	UOM	Unit Price	Extended Amt	Due Date
----------	------------------	------------	----------	-----	------------	--------------	----------

1- 1	Online Research Database: SNL Energy Unlimited Package 1 Year 9/16/16 -- 9/15/17 Final PO	956/35	1.0000	YR	\$43,350.00	\$43,350.00	09/27/2016
------	---	--------	--------	----	-------------	-------------	------------

Schedule Total \$43,350.00

ReqID:
REQ0001763

GENERAL TERMS AND CONDITIONS ITEMS BELOW APPLY TO AND BECOME A PART OF ANY PUBLIC UTILITY COMMISSION OF TEXAS (PUCT) SOLICITATION DOCUMENT OR PURCHASE ORDER (PO). ANY EXCEPTIONS THERETO MUST BE IN WRITING.

1. BIDDING/OFFER/PROPOSAL REQUIREMENTS: 1.1 Vendors/contractors must comply with all rules, regulations and statutes relating to purchasing in the State of Texas in addition to the requirements of this form. 1.2 Vendors/contractors must price per unit shown. Unit prices shall govern in the event of extension errors. 1.3 Solicitation responses should be submitted on this form and will not be accepted if modified onto or in vendors/contractors format. Solicitation responses must be returned to allow them to be time stamped at the Public Utility Commission of Texas on or before the hour and date specified for the solicitation opening. 1.4 Late and/or unsigned solicitation responses will not be considered under any circumstances. Person signing solicitation must have the authority to bind the firm in a contract. 1.5 Quote F.O.B. destination, freight prepaid and allowed unless otherwise stated in the solicitation. 1.6 Solicitation prices are requested to be firm for PUCT acceptance for 30 days from solicitation opening date. "Discount from list" bids are not acceptable unless requested. Cash discounts are not considered in determining an award. Cash discounts offered will be taken if earned. 1.7 Vendor/contractor should enter Texas Identification Number System (T.I.N.S.) number, full vendor name and address of bidder on the face of this form. Enter in the space provided, if not shown. Additionally, vendor name should appear on each continuation page of a bid. 1.8 Solicitation cannot be altered or amended after opening time unless allowed by law. Alterations made before opening time should be in writing by the vendor or his authorized agent. No solicitation can be withdrawn after opening time without approval by the PUCT based on an acceptable written reason. 1.9 Purchases made for State use are exempt from the State Sales tax and Federal Excise tax. Do not include tax in bid. Excise Tax Exemption. Certificates are available upon request. 1.10 The State reserves the right to accept or reject all or any part of any solicitation, waive minor technicalities and award the solicitation to best serve the interests of the state. 1.11 Consistent and continued tie bids/offers could cause rejection of solicitation by the PUCT and/or investigation of the State. 1.12 The telephone number for FAX submission of bids is 1-512-936-7058. This is the only number that will be used for the receipt of bids/offers. The State shall not be responsible for failure of electronic equipment or operator error. Late, illegible, incomplete, or otherwise non-responsive bids will not be considered. 1.13 Any contract resulting from this solicitation is contingent upon the continued availability of appropriations by the Texas Legislature. 2. SPECIFICATION: 2.1 Catalogs, brand names or manufacturer's references are descriptive only, and indicate type and quality desired. Solicitation responses on brands of like nature and quality will be considered unless advertised under Government Code, Title 10, Subtitle D, §.2155.067. If bidding/offering/ proposing other than referenced items, vendor/contractor should show manufacturer, brand or trade name, and other description of product offered. If other than brand(s) specified is offered, illustrations and a complete description of the product offered are requested to be made part of the bid/offer. By not taking exception to specifications or reference data will require vendor/contractor to furnish specified brand names, numbers, etc. 2.2 Unless otherwise specified, items bid/offered/ proposed shall be new and unused and of current production. 2.3 All electrical items must meet all applicable OSHA standards and regulations, and bear the appropriate listing from UL, FMRC or NEMA. 2.4 Samples, when requested, must be furnished free of expense to the State. If not destroyed during examination, they will be returned to the vendor on request at vendor's expense. Each sample should be marked with vendors name and address and PO number. Do not enclose in or attach bid/offer to sample. 2.5 The State will not be bound by any oral statement or representation contrary to the written specifications. 2.6 Manufacturer's standard warranty shall apply unless otherwise stated. 2.7 Technology Access Clause Vendor/contractor expressly acknowledges that state funds may not be expended in connection with the purchase of an automated information system unless that system meets certain statutory requirements relating to accessibility by persons with visual impairments. Accordingly, the vendor/contractor represents and warrants to the PUCT that the technology provided to the PUCT for purchase is capable, either by virtue of features included within the technology or because it is readily adaptable by use with other technology of: (a) providing equivalent access for effective use by both visual and non-visual means; (b) presenting information, including prompts used for interactive communications, in formats intended for non-visual use; and (c) being integrated into networks for obtaining, retrieving and disseminating information used by individuals who are not blind or visually impaired. For purposes of this paragraph, the phrase "equivalent access" means a substantially similar ability to communicate with or make use of the technology, either directly by features incorporated within the technology or by other reasonable means such as assertive devises or services which would constitute reasonable accommodations under the Americans with Disabilities Act or similar state or federal laws. Examples of methods by which equivalent access may be provided include, but are not limited to, keyboard alternatives to mouse commands and other means of navigating graphical displays, and customizable display appearance.

Authorized Signature

Pablo Alvarez, CTPM

09/27/2016



Public Utility Commission of Texas
Business Unit # 47300
Purchase Order # 17-0000059

Line-Sch	Line Description	Class/Item	Quantity	UOM	Unit Price	Extended Amt	Due Date
----------	------------------	------------	----------	-----	------------	--------------	----------

This requirement applies to all contracts that involve the purchase of an automated information system, with out regard to: (i) the source of funds used to make the purchase; (ii) whether the purchase is made under delegated purchasing authority; or (iii) whether the purchase is made under the authority of the Texas Government Code, Title 10, Subtitle D, or other law. (d) This section does not apply to the purchase of a wireless communications device to be used by peace officers, firefighters, and other emergency response personnel to respond to a public safety emergency.

3. TIE BIDS: Awards will be made in accordance with 1 TAC §113.6 (b) (3) and 113.8 (preferences). 4. DELIVERY: 4.1 Show number of days required to deliver material to the receiving agency's designated location under normal conditions. By not stating a delivery time, Vendor/contractor is obligated to deliver in 14 calendar days. Unrealistic delivery promised may cause bid/offer to be disregarded. 4.2 If delay is foreseen, vendor/contractor shall give written notice to PUCT. Vendor must keep PUCT advised at all times of status of order. Default in promised delivery (without accepted reasons) or failure to meet specifications authorizes PUCT to purchase goods or services elsewhere and charge full increase, if any, in cost and handling to defaulting vendor. 4.3 No substitutions permitted without written approval of PUCT or the Comptroller of Public Accounts. 4.4 Delivery shall be made during normal working hours only, unless prior approval has been obtained from PUCT. 5. INSPECTION AND TESTS: All goods will be subject to inspection and test by the State. Authorized personnel shall have access to supplier's place of business for the purpose of inspecting merchandise. Tests shall be performed on samples submitted with the solicitation or on samples taken from regular shipment. All costs shall be borne by the vendor in the event products tested fail to meet or exceed all conditions and requirements of the specification. Goods delivered and rejected in whole or in part may, at the State's option, be returned to the vendor or held for disposition at vendor's expense. Latent defects may result in revocation of acceptance. 6. AWARD OF CONTRACT: A response to the solicitation or PO is an offer to contract based upon the terms, conditions and specifications contained herein. Bids/offers do not become contracts until they are accepted through a PO. The contract shall be governed, construed and interpreted under the laws of the State of Texas. The factors listed in Texas Government Code, Title 10, Subtitle D, § 2155.074 2156.007 shall also be considered in making an award. 7. PAYMENT: Vendor shall submit two copies of an itemized invoice showing order number on all copies. The State will incur no penalty for late payment if payment is made in 30 or fewer days from receipt of goods or services and an uncontested invoice. 8. PATENTS OR COPYRIGHTS: The vendor agrees to protect the State from claims involving infringement of patents or copyrights. 9. ASSIGNMENTS: Vendor/contractor hereby assigns to PUCT any and all claims for overcharges associated with this contract arising under the antitrust laws of the United States 15 U.S.C.A § 1, et seq., and the antitrust laws of the State of Texas, Tex. Bus. Comm. Code Ann. §15.01. et seq. 10. BIDDER VENDOR AFFIRMATION: Signing the solicitation with a false statement is a material breach of contract and shall void the submitted bid/offer or any resulting contracts, and the vendor shall be removed from all bid lists. By signature here on affixed. The vendor hereby certifies that: 10.1 The vendor has not given, offered to give, nor intends to give at any time hereafter any economic opportunity, future employment, gift, loan, gratuity, special discount, trip, favor, or service to a public servant in connection with the submitted bid/offer. 10.2 Neither the vendor nor the firm, corporation, partnership, or institution represented by the vendor/contractor, or anyone acting for such firm, corporation or institution has violated the antitrust laws of this State Federal Antitrust Laws, (see Section 9, above) nor communicated directly or indirectly the bid/offer/proposal made to any competitor or any other person engaged in such line of business. 10.3 Pursuant to Texas Government Code, Title 10, Subtitle D, § 2155.004(a), the vendor/contractor has not received compensation for participation in the preparation of the specifications for this solicitation. 10.4 Pursuant to Texas Family Code, Title 5, Subtitle D, § 231.006(d), regarding child support, the vendor/contractor certifies that the individual or business entity named in this bid/offer/PO is not ineligible to receive the specified payment and acknowledges that this contract may be terminated and payment may be withheld if this certification is inaccurate. 10.5 Pursuant to Texas Government Code, Title 10, Subtitle D, § 2155.004(b) the vendor/contractor certifies that the individual or business entity named in this solicitation is not ineligible to receive the specified payment and acknowledges that this contract may be terminated and payment withheld if this certification is inaccurate. 10.6 INDEMNITY: The vendor/contractor shall defend, indemnify, and hold harmless the State of Texas, all of its officers, agents and employees from and against all claims, actions, suits, demands, proceedings costs, damages, and liabilities, arising out of, connected with, or resulting from any acts or omissions of vendor/contractor or any agent, employee, subcontractor, or supplier of vendor/contractor in the execution or performance of this PO/contract. 10.7 Vendor/contractor agrees that any payments due under this contract will be applied towards any debt, including but not limited to delinquent taxes and child support, that is owed to the State of Texas. 10.8 Vendor/contractor certifies that they are in compliance with Texas Government Code, Title 6, Subtitle 8, § 669.003 of the Government Code, relating to contracting with executive head of a State agency. If Section 669.003 applies, vendor will complete the following information in order for the bid/offer/proposal to be evaluated: Name of Former Executive: _____ Name of State Agency: _____ Date of Separation form State Agency: _____ Position with Vendor/Contractor: _____ Date of Employment with Vendor/Contractor: _____ 10.9 Vendor/contractor agrees to comply with Texas Government Code, Title 10, Subtitle D, § 2155.4441 relating to use of service contracts for products produced in 10.10 By signing this bid/offer vendor/contractor certifies that if a Texas address is shown as the address of the vendor/contractor, vendor/contractor qualifies as a Texas Resident Bidder/Offerer/ Proposer as defined in Texas Administrative Code, Title 1, Part 5, Chapter 111, Subchapter A, §111.2(1 0). 10.11 STATE AUDIT: The vendor/contractor understands that acceptance of funds under this Contract PO acts as acceptance of the authority of the State Auditor's Office, or any successor agency, to conduct an audit, or investigation, in connection with those funds. Vendor/contractor further agrees to cooperate fully with the State Auditor's Office, or its successor in the conduct of the audit or investigation, including providing all records requested. Vendor/contractor will ensure that this clause concerning the authority to audit funds received indirectly by subcontractors through vendor/contractor and the requirement to cooperate is included in any subcontract it awards. Texas Government Code, Title 10, Subtitle D, § 2262.003. 11. OWNERSHIP DISCLOSURE: Pursuant to Section 231.006 (c) Family Code, a solicitation

Authorized Signature

Pablo Alvarez, CTPM

09/27/2016



Public Utility Commission of Texas
Business Unit # 47300
Purchase Order # 17-0000059

Line-Sch	Line Description	Class/Item	Quantity	UOM	Unit Price	Extended Amt	Due Date
----------	------------------	------------	----------	-----	------------	--------------	----------

response must include names and Social Security Numbers of each person with at least 25% ownership of the business entity submitting the response. 12. NOTE TO BIDDER/ OFFERER/ PROPOSER: The State of Texas Purchase Order, and its General Terms and Conditions, shall constitute a contract between PUCT and the selected/ awarded vendor. Any terms and conditions attached to a solicitation response will not be considered unless specifically referred to in the response. PUCT may elect to execute the referenced terms and conditions provided by the vendor (vendor contract) which shall supplement the purchase order. However, PUCT will not execute a vendor contract with unacceptable, vague, or conflicting terms and may result in disqualification of the bid/ offer/ or proposal. In the event of a conflict between the PO and any executed vendor contract, the PUCT PO shall prevail. 13. DISPUTE: Pursuant to Chapter 2260 of the Texas Government Code, any dispute arising under a contract/PO for goods and services, for which this chapter applies must be resolved under the provisions of this chapter. PUCT Protest Procedures will be provided upon request. 14. TEXAS PUBLIC INFORMATION ACT AND CONFIDENTIAL INFORMATION: Information, documents and other material connected with this solicitation or any resulting contract may be subject to public disclosure under the Texas Public Information Act unless vendor/contractor can show the response or specific parts of it are exempt from public disclosure. PUCT will not assert legal arguments on behalf of vendors/contractors. If the vendor/contractor believes that parts of a response to this solicitation are confidential, he must state in conspicuous letters the term "CONFIDENTIAL" on that part it believes to be confidential. 15. CANCELLATION: Unless otherwise specified in the solicitation, PUCT may cancel the purchase order without penalty, either in whole or in part for any reason. 16. STATE LAWS: The resulting contract/PO shall be governed by and in accordance with the laws of the State of Texas. Venue for any action arising hereunder shall be in Travis County, Texas. 17. CONSTRUCTION: The invalidity or unenforceability of any provision of the contract shall not affect the validity or enforceability of any other provision. Neither party may assign the contract without the prior written consent of the other party. Any amendment or modification will be effective only if in writing and signed by PUCT. 18. DISCRETIONARY EXTENSION: Contracts for services, whose original period were for one year or longer, may be extended for up to ninety (90) days beyond the normal expiration date of the contract, under substantially the same terms and conditions, provided the vendor/ contractor and the PUCT mutually agree to extension and pricing during the extension period. 19. FORCE MAJEURE: PUCT may grant relief from performance to the extent performance is delayed or damaged beyond the reasonable control of the affected party which could not, by due diligence, have avoided. Such causes include, but are not limited to, Acts of God, severe weather, explosions, riots, acts of war, labor strike, or orders of legal authority

Item Total for Line # 1

Total PO Amount

All Shipments, Shipping papers, invoices and correspondence must be identified with our Purchase Order Number. Over shipments will not be accepted unless authorized by Purchaser prior to Shipment.

Authorized Signature

Pablo Alvarez, CTPM

09/27/2016

**SNL Financial LC (“SNL”)
Quotation for Services (“Quotation”)
Under SNL’s Master Subscription Agreement (“MSA”)**

LICENSEE: Public Utility Commission of Texas – 1701 North Congress Ave, Austin, TX 78711

LICENSED MATERIALS:

SNL Unlimited (including, but not limited to, newsletters, real-time news/alerts, news archive, company profiles, documents, events calendar, industry/market data, templated and as-reported sector-specific financials, transcripts, presentations, mergers & acquisitions, credit ratings, consensus analyst estimates, Excel integration tools, and mapping functionality in the below checked industries globally unless noted otherwise)

Energy (U.S./Canada) – SEC-filing power, natural gas and coal companies (also includes operating data, state-level LDC filings, power plant and coal mine data, commodities pricing and independent research and consultation on utility securities and regulation)

TERM: Two (2) Year Initial Term (09/16/2015 through 09/15/2017), renewable in accordance with the terms of the MSA

PRICE: \$83,650 for the Initial Term, payable for each of the below periods as follows:

09/16/2015 – 09/15/2016: \$40,300 due upon execution of this Quotation

09/16/2016 – 09/15/2017: \$43,350 due by 09/15/2016

Except as otherwise noted, the price listed above excludes any applicable tax and is confidential, and is valid only if a copy of the Quotation is signed by SNL and returned to Licensee. In the event Licensee, during the term or during the three months preceding the term, directly or indirectly, (i) acquires substantially all of the stock or assets of another SNL client, (ii) has substantially all of its stock or assets acquired by another SNL client, or (iii) merges with another SNL client, SNL reserves the right to revise the above stated price and installments by the most recent subscription price paid by such other SNL client. For purposes of this section, an entity shall be considered “another SNL client” if it has been an SNL subscriber during the six months prior to such acquisition or merger. SNL may, in its sole determination, revise the above stated price to account for the additional usage by any non-client that Licensee acquires, is acquired by or merges with during the term. The term of this subscription is as stated above, plus each renewal term evidenced by payment of the renewal subscription price as invoiced. While payment may be made in annual installments, all remaining installment payments shall become immediately due and payable in the event any installment is not paid according to the schedule in this Quotation. A finance charge of 2% per month will be applied to all overdue invoices, but in no event will the finance charge exceed the maximum amount permitted under applicable law.

ADDITIONAL TERMS AND LIMITATIONS:

Licensee’s purchase of this subscription and its rights to use the Licensed Materials are subject to the terms and limitations of this Quotation as well as the MSA in effect as of the date you submit this Quotation. These documents are not subject to negotiation or revision by Licensee, and SNL expressly rejects additional or different terms. By signing and submitting this Quotation, Licensee represents that it has read the MSA and the other documents referenced above and that it agrees to be bound by the terms of those documents. The MSA in effect as of the date you submit this Quotation is available at <http://www.snl.com/ds/msa4.pdf>.

Notwithstanding anything to the contrary in the MSA, it is specifically agreed that access to the Licensed Materials will only be provided to Licensee’s employees based in North America and that Licensee will not allow or enable any non-North American employees to have access to the Licensed Materials.

The person executing the Quotation on behalf of Licensee represents and warrants that he/she does so with the authority to bind Licensee by executing and submitting such documents.

LICENSEE:

SNL FINANCIAL LC:

Signature: _____

Signature: _____

Name: _____

Name: _____

Title: _____

Title: _____

Date: _____

Date: _____



One SNL Plaza
 Charlottesville, Va 22902
 P: 434.977.5877 F: 434.984.8020
 subscriptions@snl.com
 www.snl.com

Invoice

Order	Invoice No	Invoice Date	Due Date	Page
90481	1-90481-P	07/05/2016	09/16/2016	1 of 1

Bill To:

Attn: Public Utilities Comm of TX Payable
 Public Utility Commission of Texas
 1701 North Congress Avenue
 P.O. Box 13326
 Austin, TX 78711

Order Administrator:

Attn: Carol Maxwell
 Public Utility Commission of Texas
 1701 N. Congress Avenue
 P.O. Box 13326
 Austin, TX 78701

Phone: (512) 936-7000
 Email: payables@puc.texas.gov

Phone: (512) 936-7075
 Email: carol.maxwell@puc.texas.gov

Customer No.	Customer Name	Currency	Terms
3367	Public Utility Commission of Texas	USD	Due on or before due date

Description/SKU code/Comments	Amount
1 SNL Energy Unlimited Package (ENUNLPKG) (09/16/2016 thru 09/15/2017)	\$43,350.00

Payment of this invoice indicates acceptance of and agreement to the terms of the current SNL Master Subscription Agreement which can be viewed at <http://www.snl.com/ds/msa4.pdf>.

Please make checks payable to SNL Financial LC.

Remittance Instructions - USD Only

Postal: SNL Financial LC
 P.O. Box 414624
 Boston, MA 02241-4624

Bank Wire: Bank of America
 300 East Main Street
 Charlottesville, Va 22902
 ABA# 026009593
 ACH Routing# 051000017
 Account# 02146593
 Swift Code: BOFAUS3N

Courier: Bank of America
 Lockbox Services
 SNL Financial 414624
 MA5-527-02-07
 2 Morrissey Blvd.
 Dorchester, MA 02125

Subtotal	\$43,350.00
Sales Tax	\$0.00
Invoice Total	\$43,350.00
Payment Received	\$0.00
Balance Due	\$43,350.00



Public Utility Commission
Purchase Order # 47300 15-0000740

Payment Terms: NET30 Freight Terms: FOB Shipping Ship Via: US Mail PCC: K Date: 08/31/15 PO Method: PB Dispatch: Dispatch Rev Dt:
 Via Email

PLEASE NOTE: ADDITIONAL TERMS AND CONDITIONS ARE LISTED AT THE END OF THE PURCHASE ORDER.

Vendor: SNL FINANCIAL LC
 PO BOX 414624
 BOSTON MA 02241-4624
 United States

Ship To: 0001
 SUITE 8-100
 1701 N CONGRESS AVENUE
 Austin TX 78701
 United States

Vendor ID: 1222783243

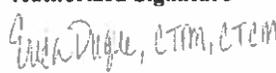
Purchaser: Erica Duque
Phone: 512/936-7069
Fax: 512/936-7058
Email: erica.duque@puc.texas.gov

Bill To: PUBLIC UTILITY COMMISSION OF TEXAS
 Attn: Accounts Payable
 P.O. Box 13326
 Austin TX 78711-3326
 United States

Fax:
Email: payables@puc.texas.gov

PO Information:
 DIRECT PUBLICATION: NOT AVAILABLE FROM ANY OTHER SOURCE

Line-Sch	Line Description	Class/Item	Quantity	UOM	Unit Price	Extended Amt	Due Date
1- 1	AGENCY INDUSTRY RESEARCH DATABASE SUBSCRIPTION: SNL ENERGY UNLIMITED PACKAGE 1 YR 9/16/15--9/15/16.	956/35	1.0000	YR	40300.00000	40300.00	08/31/2015
						Schedule Total	40300.00
Contract ID: 0000000008				ReqID: REQ0000810			
<p>AS PER ATTACHED SNL SIGNED INVITATION FOR BID (IFB) REQUISITION NO. 473-15-0000810, DATED 08/28/15 AND SNL QUOTATION UNDER THE SNL MASTER SUBSCRIPTION AGREEMENT (MSA) SIGNED BY BOTH PARTIES.</p> <p>TWO (2) YEAR INITIAL TERM 09/16/2015 THROUGH 09/15/2017. 09/16/2015 - 09/15/2016: \$40,300 09/16/2016 - 09/15/2017: \$43,350 TOTAL: \$83,650</p>							
						Item Total for Line # 1	40300.00

Authorized Signature

 09/09/2015



Public Utility Commission
Purchase Order # 47300 15-0000740

Total PO Amount

All Shipments, Shipping papers, invoices and correspondence must be identified with our Purchase Order Number. Overshipments will not be accepted unless authorized by Buyer prior to Shipment.

Terms and Conditions:

Authorized Signature

Garrett Dugan, CTM, CTM

09/09/2015



PUBLIC UTILITY COMMISSION
INVITATION FOR BIDS

IF NOT BIDDING
DO NOT RETURN THIS FORM.
BIDDER AGREES TO COMPLY WITH
ALL TERMS & CONDITIONS OF THIS IFB

BID OPENING >

REQUISITION NO. > 473-15-0000810

AGENCY TO INVOICE
Public Utility Commission of Texas
P.O. Box 13326
Austin, Texas 78711-3326
DESTINATION OF GOODS IF DIFFERENT THAN ABOVE
Public Utility Commission of Texas
1701 N. Congress Avenue
Austin, Texas 78701

FAILURE TO SIGN WILL DISQUALIFY BID

AUTHORIZED SIGNATURE [Signature] DATE 8/29/15

By signing this bid, bidder certifies that if a Texas address is shown as the address of the bidder, bidder qualifies as a Texas Bidder as defined in 34 TAC Rule 20.32(68).

WHEN BIDDING:

Each bid must be placed in a separate envelope with bid opening date and requisition number annotated immediately below return address on SEALED BID ENVELOPE.

IF BIDDING, RETURN SEALED BIDS TO:
P O BOX 13326, AUSTIN, TX 78711-3326
OR HAND DELIVER TO: 1701 N. CONGRESS AVE
AUSTIN, TX 78701 (8:00 am - 5:00 pm)
OR OVERNIGHT/EXPRESS MAIL TO:
1701 N. CONGRESS AVE AUSTIN, TX 78701

FAX NUMBER FOR BIDS & ADDENDUMS ONLY:
512-936-7058
Call 512-936-7069 for FAX confirmation (8:00 am - 5:00 pm)

VENDOR ADDRESS AND IDENTIFICATION NUMBER

Vendor ID # _____

AN IDENTIFICATION NUMBER IS REQUIRED TO PROCESS PAYMENT FOR GOODS/SERVICES PURCHASED AGAINST CONTRACT AWARDS THE FEDERAL EMPLOYERS IDENTIFICATION NUMBER (EIN) WILL BE USED TO ESTABLISH A PAYEE ID NUMBER:

PLEASE ENTER YOUR FEDERAL EIN: 27-2782143

Every vendor MUST have an EIN prior to receiving payment under an awarded contract. This is being required in an effort to minimize identity theft For information on obtaining your EIN, you may call the IRS at 800-829-4933 or visit the following web site: http://www.irs.gov/businesses/

CHECK HERE IF YOU ARE A SOLE OWNERSHIP OR PARTNERSHIP AND COMPLETE SECTION 11: []

PREFERENCES

See Section 2.38 of the State of Texas Procurement Manual regarding preferences.

Check below to claim a preference under 34 TAC Rule 20.38

- Goods produced or offered by a Texas bidder that is owned by a Texas resident service-disabled veteran
Goods produced in Texas or offered by a Texas bidder that is not owned by a Texas resident service-disabled veteran
Agricultural products grown in Texas
Agricultural products offered by a Texas bidder
Services offered by a Texas bidder that is owned by a Texas resident service-disabled veteran
Services offered by a Texas bidder that is not owned by a Texas resident service disabled veteran
Texas Vegetation Native to the Region
USA produced supplies, materials or equipment
Products of persons with mental or physical disabilities
Products made of recycled, remanufactured, or environmentally sensitive materials including recycled steel
Energy Efficient Products
Rubberized asphalt paving material
Recycled motor oil and lubricants
Products produced at facilities located on formerly contaminated property
Products and services from economically depressed or blighted areas
Vendors that meet or exceed air quality standards
Recycled or Reused Computer Equipment of Other Manufacturers
Foods of Higher Nutritional Value

ITEM NO.	CLASS & ITEM	DESCRIPTION	QUANTITY	UNIT	UNIT PRICE	EXTENSION
1	956-35	<p>Public Utility Commission of Texas believes this service NOT AVAILABLE FROM ANY OTHER SOURCE AND IS ADVERTISED AS DIRECT PUBLICATION FROM ONE SOURCE VENDOR "SNL FINANCIAL LC". Only responses on items conforming exactly to these specifications and/or requirements will be considered in determining an award.</p> <p>Licensed Materials: SNL Unlimited Included but no limited to newsletters, real-time news/alerts, news archive, company profiles, documents, events calendar, industry/market data, template and as-reported sector-specific financials, transcripts, presentations, mergers & Acquisitions, credit ratings, consensus analyst estimates, Excel integration tools.</p> <p>Energy: SEC-filing power, natural gas and coal companies (also includes operating data, state level LDC filings, power plant and coal mine data, commodities pricing and independent research and consultation on utility securities and regulation.</p> <p>Service period two year term 09/16/2015 through 09/15/2017</p>				
		09/16/2015 – 09/15/2016	1	Year		\$40,300
		09/16/2016 – 09/15/2017	2	Year		\$43,350
		Questions:				
		PUCT Purchaser: Erica Duque E-mail: Erica.duque@puc.texas.gov				

PUBLIC UTILITY COMMISSION OF TEXAS
STANDARD TERMS AND CONDITIONS:
ITEMS BELOW APPLY TO AND BECOME PART OF BID.
ANY EXCEPTIONS THERETO MUST BE IN WRITING.

1. BIDDING REQUIREMENTS:

- 1.01. Bidders must comply with all rules, regulations and statutes relating to purchasing in the State of Texas in addition to the requirements of this form.
- 1.02. Bids should be submitted on this form. Bidders must price per unit shown. Unit prices shall govern in the event of extension errors. If a price quotation is submitted as part of the bid, the quotation must be referenced on the bid document and signed by the bidder to establish formal linkage to the bid.
- 1.03. Bids must be time stamped at Public Utility Commission (PUC) on or before the hour and date specified for the bid opening.
- 1.04. Late and/or unsigned bids will not be considered under any circumstances. Person signing bid must have the authority to bind the firm in a contract.
- 1.05. Quote FOB destination, freight prepaid and allowed unless otherwise stated within the specifications.
- 1.06. Bid prices are requested to be firm for PUC acceptance for 30 days from bid opening date. "Discount from list" bids are not acceptable unless requested. Cash discounts are not considered in determining an award. Cash discounts offered will be taken if earned.
- 1.07. Bidder should enter Texas Identification Number System (TINS) number, full firm name and address of bidder on the face of this form. Enter in the space provided, if not shown. Additionally, firm name should appear on each continuation page of a bid, in the block provided in the upper right hand corner.
- 1.08. Bid cannot be altered or amended after opening time. Alterations made before opening time should be initialed by bidder or his authorized agent. No bid can be withdrawn after opening time without approval by the PUC based on an acceptable written reason.
- 1.09. Purchases made for State use are exempt from the State Sales tax and Federal Excise tax. Do not include tax in bid. Excise Tax Exemption Certificates are available upon request.
- 1.10. **AWARD NOTICE:** The State reserves the right to make an award on the basis of low line item bid, low total of line items, or in any other combination that will serve the best interest of the State and to reject any and all bid items at the sole discretion of the State. The State also reserves the right to accept or reject all or any part of any bid, waive minor technicalities and award the bid to best serve the interests of the State.
- 1.11. Consistent and continued tie bidding could cause rejection of bids by the PUC and/or investigation for antitrust violations.
- 1.12. The telephone number for FAX submission of bids is 1-512-936-7058. This is the only number that will be used for the receipt of bids. The State shall not be responsible for failure of electronic equipment or operator error. Late, illegible, incomplete, or otherwise non-responsive bids will not be considered. Call 512-963-7069 for FAX confirmation.
- 1.13. Inquiries pertaining to this IFB must include the requisition number, class/item codes, and opening date.

2. SPECIFICATION:

- 2.01. Catalogs, brand names or manufacturer's references are descriptive only, and indicate type and quality desired. Bids on brands of like nature and quality will be considered unless advertised under §2155.067, Texas Government Code (Gov't Code). If bidding on other than references, bid should show manufacturer, brand or trade name, and other description of product offered. If other than brand(s) specified is offered, illustrations and complete description of product offered are requested to be made part of the bid. Failure to take exception to specifications or reference data will require bidder to furnish specified brand names, numbers, etc.
- 2.02. Unless otherwise specified, items shall be new and unused and of current production.
- 2.03. All electrical items must meet all applicable OSHA standards and regulations, and bear the appropriate listing from UL, FMRC or NEMA.
- 2.04. Samples, when requested, must be furnished free of expense to the State. If not destroyed in examination, they will be returned to the bidder, on request, at bidder's expense. Each sample should be marked with bidder's name and address, and requisition number. Do not enclose in or attach bid to sample.
- 2.05. The State will not be bound by any oral statement or representation contrary to the written specifications of this Invitation for Bids (IFB).
- 2.06. Manufacturer's standard warranty shall apply unless otherwise stated in the IFB.

3. TIE BIDS:

Awards will be made in accordance with 34 TAC Rules 20.36(b)(3) and 20.38 (Preferences).

4. DELIVERY:

- 4.01. Show number of days required to place material in receiving agency's designated location under normal conditions. Delivery days mean calendar days, unless otherwise specified. Failure to state delivery time obligates bidder to deliver in 14 calendar days. Unrealistic delivery promises may cause bid to be disregarded.
- 4.02. If delay is foreseen, bidder shall give written notice to the PUC and the ordering agency. Bidder must keep the PUC and ordering agency advised at all times of status of order.
- 4.03. Default in promised delivery (without accepted reasons) or failure to meet specifications authorizes the PUC to purchase the goods or services of this IFB elsewhere and charge any increased costs for the goods or services, including the cost of rebidding, to the bidder.
- 4.04. No substitutions permitted without written approval of PUC.
- 4.05. Delivery shall be made during normal working hours only, unless prior approval has been obtained from ordering agency.

5. INSPECTION AND TESTS:

All goods will be subject to inspection and test by the State. Authorized PUC personnel shall have access to supplier's place of business for the purpose of inspecting merchandise. Tests shall be performed on samples submitted with the bid or on samples taken from regular shipment. All costs shall be borne by the bidder in the event products tested fail to meet or exceed all conditions and

requirements of the specification. Goods delivered and rejected in whole or in part may, at the State's option, be returned to the bidder or held for disposition at bidder's expense. Latent defects may result in revocation of acceptance.

6. AWARD OF CONTRACT:

A response to this IFB is an offer to contract based upon the terms, conditions, and specifications contained in a separate agreement. Bids do not become contracts until they are accepted through a purchase order. The contract shall be governed, construed, and interpreted under the laws of the State of Texas. The factors listed in §§2155.074, 2155.144, 2156.007, and 2157.003, Gov't Code, shall also be considered in making an award when specified. Any legal actions must be filed in Travis County, Texas.

7. PAYMENT:

Bidder shall submit 2 copies of an itemized invoice showing State order number and agency requisition number on all copies. The State will incur no penalty for late payment if payment is made in 30 or fewer days from receipt of goods or services and an uncontested invoice. Payment shall be made in accordance with Chapter 2251, Gov't Code.

8. BIDDER ASSIGNMENTS:

Bidder hereby assigns to the PUC any and all claims for overcharges associated with this contract arising under the antitrust laws of the United States 15 U.S.C.A. §1, *et seq.*, and the antitrust laws of the State of Texas, Tex. Bus. & Comm. Code §15.01, *et seq.*

10. BIDDER AFFIRMATIONS:

Signing this bid with a false statement is a material breach of contract and shall void the submitted bid and any resulting contracts, and the bidder shall be removed from all bid lists. By signature hereon affixed, the bidder hereby certifies that:

- 10.01. The bidder has not given, offered to give, nor intends to give at any time hereafter any economic opportunity, future employment, gift, loan, gratuity, special discount, trip, favor, or service to a public servant in connection with the submitted bid.
- 10.02. Pursuant to 15 U.S.C. §1, *et seq.* and Tex. Bus. & Comm. Code §15.01, *et seq.* neither the bidder nor the firm, corporation, partnership, or institution represented by the bidder, or anyone acting for such a firm, corporation or institution has violated the antitrust laws of this state, federal antitrust laws, nor communicated directly or indirectly the bid made to any competitor or any other person engaged in such line of business.
- 10.03. Pursuant to §2155.004, Gov't Code, neither the bidder nor any person or entity which will participate financially in any contract resulting from this IFB has received compensation for participation in the preparation of the specifications for this IFB.
- 10.04. Pursuant to §231.006(d), Texas Family Code, regarding child support, the bidder certifies that the individual or business entity named in this bid is not ineligible to receive the specified payment and acknowledges that the contract may be terminated and payment may be withheld if this certification is inaccurate. Furthermore, any bidder subject to §231.006, Gov't Code, must include names and Social Security numbers of each person with at least 25% ownership of the business entity submitting the bid. This information must be provided prior to award. Enter the Name & Social Security Numbers for each person below:

Name:	Social Security Number:
Name:	Social Security Number:
Name:	Social Security Number:

- 10.05. Under §2155.004, Gov't Code, the bidder certifies that the individual or business entity named in this bid or any contract resulting from this IFB is not ineligible to receive the specified contract and acknowledges that the contract may be terminated and payment withheld if this certification is inaccurate. §2155.004 prohibits a person or entity from receiving a state contract if they received compensation for participating in preparing the solicitation or specifications for the contract.
- 10.06. As required by §2252.903, Gov't Code, bidder agrees that any payments due under a contract resulting from this IFB shall be directly applied towards eliminating any debt or delinquency including, but not limited to, delinquent taxes, delinquent student loan payments, and delinquent child support, until the debt is paid in full. Bidder shall comply with rules adopted by PUC under §§403.055, 403.0551, 2252.903, Gov't Code and other applicable laws and regulations regarding satisfaction of debts or delinquencies to the State of Texas.
- 10.07. Pursuant to §669.003, Gov't Code, PUC may not enter into a contract with a person who employs a current or former executive head of the PUC until four years has passed since that person was the executive head of the PUC. By submitting a bid, the bidder certifies that it does not employ any person who was the executive head of the PUC in the past four years. If bidder does employ a person who was the executive head of the PUC, provide the following information:
Name of Former Executive: _____
Name of State Agency: _____
Date of Separation from State Agency: _____
Position with Bidder: _____
Date of Employment with Bidder: _____
- 10.08. In accordance with §2155.4441, Gov't Code, bidder agrees that during the performance of a contract for services it shall purchase products and materials produced in Texas when they are available at a price and time comparable to products and materials produced outside this state.
- 10.09. Bidder certifies that the bidding entity and its principals are eligible to participate in this transaction and have not been subjected to suspension, debarment, or similar ineligibility determined by any federal, state or local governmental entity and that bidder is in compliance with the State of Texas statutes and rules relating to procurement and that bidder is not listed on the federal government's terrorism watch list as described in Executive Order 13224. Entities ineligible for federal procurement are listed at <http://www.epls.gov>
- 10.10. Sections 2155.006 and 2261.053, Gov't Code, prohibit state agencies from awarding contracts to any person who, in the past five years, has been convicted of violating a federal law or assessed a penalty in connection with a contract involving relief for Hurricane Rita, Hurricane Katrina, or any other disaster, as defined by §418.004, Gov't Code, occurring after September 24, 2005. Under §2155.006, Gov't Code, bidder certifies that the individual or business entity named in its bid is not ineligible to receive a contract and acknowledges that any contract resulting from this IFB may be terminated and payment withheld if this certification is inaccurate.

- 10.11 Bidder represents and warrants that payment to the bidder and the bidder's receipt of appropriated or other funds under any contract resulting from this IFB are not prohibited by §556.005 or §556.008, Gov't Code, relating to the prohibition of using state funds for lobbying activities.
- 10.12 Bidder represents and warrants that it has no actual or potential conflicts of interest in providing the requested items to PUC under the IFB and any resulting contract, if any, and that bidder's provision of the requested items under the IFB and any resulting contract, if any, would not reasonably create an appearance of impropriety.
11. **NOTE TO BIDDER:**
If bidder takes any exceptions to any provisions of the IFB, these exceptions must be specifically and clearly identified by section in bidder's bid in response to the IFB and bidder's proposed alternative must also be provided in the bid. Bidders cannot take a 'blanket exception' to the entire IFB. If any bidder takes a 'blanket exception' to the entire IFB or does not provide proposed alternative language, the bidder's bid may be disqualified from further consideration.
12. **PROTEST PROCEDURES:**
Any actual or prospective bidder who is aggrieved in connection with this IFB, evaluation, or award of any contract resulting from this IFB may formally protest as provided in PUC's rules at 34 TAC Rule 20.384.
13. **DISPUTE RESOLUTION:**
The dispute resolution process provided for in Chapter 2260, Gov't Code must be used by the PUC and the bidder to attempt to resolve any dispute arising under any contract resulting from this IFB.
14. **NON-APPROPRIATION OF FUNDS:**
Any contract resulting from this IFB is subject to termination or cancellation, without penalty to PUC, either in whole or in part, subject to the availability of state funds. PUC is a state agency whose authority and appropriations are subject to actions of the Texas Legislature. If PUC becomes subject to a legislative change, revocation of statutory authority, or lack of appropriated funds which would render PUC's or bidder's delivery or performance under the contract impossible or unnecessary, the contract will be terminated or cancelled and be deemed null and void. In the event of a termination or cancellation under this Section, PUC will not be liable to bidder for any damages, which are caused or associated with such termination, or cancellation and PUC will not be required to give prior notice.
15. **TEXAS PUBLIC INFORMATION ACT:**
Notwithstanding any provisions of this IFB to the contrary, bidder understands that PUC will comply with the Texas Public Information Act (Chapter 552, Gov't Code) as interpreted by judicial opinions and opinions of the Attorney General of the State of Texas. Information, documentation, and other material in connection with this solicitation or any resulting contract may be subject to public disclosure pursuant to the Texas Public Information Act. Within three (3) days of receipt, bidder will refer to PUC any third party requests, received directly by bidder, for information to which bidder has access as a result of or in the course of performance under any contract resulting from this IFB. Any part of the solicitation response that is of a confidential or proprietary nature must be clearly and prominently marked as such by the bidder.
16. **CONFLICT OF INTEREST:**
Under §2155.003, Gov't Code, a PUC employee may not have an interest in, or in any manner be connected with a contract or bid for a purchase of goods or services by an agency of the state; or in any manner, including by rebate or gift, accept or receive from a person to whom a contract may be awarded, directly or indirectly, anything of value or a promise, obligation, or contract for future reward or compensation. Any individual who interacts with public purchasers in any capacity is required to adhere to the guidelines established in Section 1.2 of the State of Texas Procurement Manual, which outlines the ethical standards required of public purchasers, employees, and bidders who interact with public purchasers in the conduct of state business, and with any opinions of or rules adopted by the Texas Ethics Commission. Entities who are interested in seeking business opportunities with the State must be mindful of these restrictions when interacting with public purchasers of PUC or purchasers of other state agencies.
17. **FORCE MAJEURE:**
Neither bidder nor PUC shall be liable to the other for any delay in, or failure of performance, of any requirement included in any contract resulting from this IFB caused by force majeure. The existence of such causes of delay or failure shall extend the period of performance until after the causes of delay or failure have been removed provided the non-performing party exercises all reasonable due diligence to perform. Force majeure is defined as acts of God, war, fires, explosions, hurricanes, floods, failure of transportation, or other causes that are beyond the reasonable control of either party and that by exercise of due foresight such party could not reasonably have been expected to avoid, and which, by the exercise of all reasonable due diligence, such party is unable to overcome. Each party must inform the other in writing, with proof of receipt, within three (3) business days of the existence of such force majeure, or otherwise waive this right as a defense.
18. **INDEPENDENT CONTRACTOR:**
Bidder is and shall remain an independent contractor in relationship to PUC. The PUC shall not be responsible for withholding taxes from payments made under any contract resulting from this IFB. Bidder shall have no claim against the PUC for vacation pay, sick leave, retirement benefits, social security, worker's compensation, health or disability benefits, unemployment insurance benefits, or employee benefits of any kind.
19. **RIGHT TO AUDIT:**
In addition to and without limitation on the other audit provisions of this IFB, pursuant to §2262.154, Texas Government Code, the state auditor may conduct an audit or investigation of the bidder or any other entity or person receiving funds from the state directly under this contract or indirectly through a subcontract under this contract. The acceptance of funds by the bidder or any other entity or person directly under this contract or indirectly through a subcontract under this contract acts as acceptance of the authority of the state auditor, under the direction of the legislative audit committee, to conduct an audit or investigation in connection with those funds. Under the direction of the legislative audit committee, the bidder or other entity that is the subject of an audit or investigation by the state auditor must provide the state auditor with access to any information the state auditor considers relevant to the investigation or audit. This IFB or any contract resulting from this IFB may be amended unilaterally by PUC to comply with any rules and procedures of the state auditor in the implementation and enforcement of §2262.154, Texas Government Code. Bidder will ensure that this clause concerning the authority to audit funds received indirectly by subcontractors through the bidder and the requirement to cooperate is included in any subcontract it awards.

**SNL Financial LC ("SNL")
Quotation for Services ("Quotation")
Under SNL's Master Subscription Agreement ("MSA")**

LICENSEE: Public Utility Commission of Texas – 1701 North Congress Ave, Austin, TX 78711

LICENSED MATERIALS:

SNL Unlimited (including, but not limited to, newsletters, real-time news/alerts, news archive, company profiles, documents, events calendar, industry/market data, templated and as-reported sector-specific financials, transcripts, presentations, mergers & acquisitions, credit ratings, consensus analyst estimates, Excel integration tools, and mapping functionality in the below checked industries globally unless noted otherwise)

- Energy (U.S./Canada)** – SEC-filing power, natural gas and coal companies (also includes operating data, state-level LDC filings, power plant and coal mine data, commodities pricing and independent research and consultation on utility securities and regulation)

TERM: Two (2) Year Initial Term (09/16/2015 through 09/15/2017), renewable in accordance with the terms of the MSA

PRICE: \$83,650 for the Initial Term, payable for each of the below periods as follows:

09/16/2015 – 09/15/2016: \$40,300 due upon execution of this Quotation

09/16/2016 – 09/15/2017: \$43,350 due by 09/15/2016

Except as otherwise noted, the price listed above excludes any applicable tax and is confidential, and is valid only if a copy of the Quotation is signed by SNL and returned to Licensee. In the event Licensee, during the term or during the three months preceding the term, directly or indirectly, (i) acquires substantially all of the stock or assets of another SNL client, (ii) has substantially all of its stock or assets acquired by another SNL client, or (iii) merges with another SNL client, SNL reserves the right to revise the above stated price and installments by the most recent subscription price paid by such other SNL client. For purposes of this section, an entity shall be considered "another SNL client" if it has been an SNL subscriber during the six months prior to such acquisition or merger. SNL may, in its sole determination, revise the above stated price to account for the additional usage by any non-client that Licensee acquires, is acquired by or merges with during the term. The term of this subscription is as stated above, plus each renewal term evidenced by payment of the renewal subscription price as invoiced. While payment may be made in annual installments, all remaining installment payments shall become immediately due and payable in the event any installment is not paid according to the schedule in this Quotation. A finance charge of 2% per month will be applied to all overdue invoices, but in no event will the finance charge exceed the maximum amount permitted under applicable law.

ADDITIONAL TERMS AND LIMITATIONS:

Licensee's purchase of this subscription and its rights to use the Licensed Materials are subject to the terms and limitations of this Quotation as well as the MSA in effect as of the date you submit this Quotation. These documents are not subject to negotiation or revision by Licensee, and SNL expressly rejects additional or different terms. By signing and submitting this Quotation, Licensee represents that it has read the MSA and the other documents referenced above and that it agrees to be bound by the terms of those documents. The MSA in effect as of the date you submit this Quotation is available at <http://www.snl.com/ds/msa4.pdf> as amended by the following:

- Section 8(b) of the MSA is hereby deleted.

Notwithstanding anything to the contrary in the MSA, it is specifically agreed that access to the Licensed Materials will only be provided to Licensee's employees based in North America and that Licensee will not allow or enable any non-North American employees to have access to the Licensed Materials.

The person executing the Quotation on behalf of Licensee represents and warrants that he/she does so with the authority to bind Licensee by executing and submitting such documents.

LICENSEE:

Signature: B. H. Lloyd

Name: Brian H. Lloyd

Title: EXECUTIVE DIRECTOR

Date: 9/3/15

SNL FINANCIAL LC:

Signature: [Signature]

Name: Daniel O'Neil

Title: CHIEF CONTRACTS OFFICER

Date: SEPTEMBER 3, 2015

[Skip navigation](#)

Glenn Hegar
Texas Comptroller of Public Accounts

Glenn Hegar
Texas Comptroller of Public Accounts

Menu

Glenn Hegar
Texas Comptroller of Public Accounts

Menu

×

- [Home](#)
- [Taxes](#)
- [Transparency](#)
- [Economy](#)
- [Purchasing](#)
- [Programs](#)
- [About](#)

- [Home](#)
- [Taxes](#)

Texas Taxes

- [Sales Tax](#)
- [Sales Tax Permit Application](#)
- [Franchise Tax](#)
- [Search Account Status](#)
- [Terminate or Reinstate a Business](#)
- [Property Tax Assistance](#)
- [All Taxes and Fees](#)

Taxpayer Resources

- [Penalty Waivers](#)
- [Exempt Organizations](#)
- [General Information Letters and Private Letter Rulings](#)
- [Refund Information](#)
- [Publications](#)
- [Frequently Asked Questions](#)
- [Other Tax-Related Sites](#)
- [Audit](#)

.st1 {fill:#FFFFFF;}

File and Pay

- [File and Pay](#)
- [About WebFile](#)
- [eSystems/WebFile Login](#)
- [Forms](#)
- [Make Account Changes](#)
- [Virtual Field Office](#)

Tax Rules and Laws

- [Rules by Subject Matter](#)
- [Search Texas Laws](#)
- [Texas Tax Code](#)
- [Rules – Texas Administrative Code](#)
- [State Tax Automated Research \(STAR\) System](#)
- [Practitioners' Corner](#)
- [Transparency](#)

State Revenue

- [Monthly Revenue Watch](#)
- [Visualizations](#)
- [Classic Tools](#)
- [Additional Revenue Resources](#)

.st1{fill:#FFFFFF;}

State Spending

- [Visualizations](#)
- [Classic Tools](#)
- [Additional Spending Resources](#)

.st1{fill:#FFFFFF;}

Budget and Finance

- [Budget Process Primer](#)
- [Budget Lookup Tools](#)
- [Financial Reports and Forecasts](#)
- [Texas Investments](#)

Local Governments

- [Tax Allocation Comparison Summaries](#)
- [Transparency Stars](#)
- [Debt at a Glance Profiles](#)
- [Local Debt Transparency](#)
- [Eminent Domain](#)
- [Reports and Tools](#)

Open Data Tools and Information

- [Data Visualizations and Dashboards](#)
- [Local Bond Elections](#)
- [Pension Search Tool](#)
- [Reports](#)
- [Search Datasets](#)
- [Economy](#)

Fiscal Notes

- [Current Issue](#)
- [Archives](#)
- [About Fiscal Notes](#)

In Depth

- [Forecasts](#)
- [Key Economic Indicators](#)
- [Regional Economic Data](#)
- [50-State Scorecard](#)

Local Government

- [Economic Development](#)
- [Tools](#)
- [Resources](#)
- [Mandatory Reports](#)
- [Purchasing](#)

Statewide Contracts

- [Search Statewide Contracts](#)
- [Contract Development](#)
- [Contract Management](#)
- [Contract Review & Delegation \(CAT-RAD\)](#)
- [Texas Multiple Award Schedule \(TXMAS\)](#)
- [TxSmartBuy](#)
- [Department of Information Resources Contracts](#)

Vendor Resources

- [Vendor Information Center](#)
- [Centralized Master Bidders List \(CMBL\)](#)
- [Historically Underutilized Business \(HUB\)](#)
- [Modify CMBL/HUB Profile](#)
- [Electronic State Business Daily \(ESBD\)](#)

Government Resources

- [Vendor Performance Tracking System \(VPTS\)](#)
- [Cooperative Purchasing \(CO-OP\)](#)

- [State Payment Card](#)
- [State Travel Management](#)
- [State Mail Services](#)
- [Vehicle and Fleet Management](#)

Procurement Resources

- [Statewide Procurement Technical Support Team](#)
- [Publications and Forms](#)
- [NIGP Commodity Book](#)
- [Search for CMBL/HUB Vendors](#)
- [Statutes and Procedures](#)
- [Grant Management](#)

[.st0{fill-rule:evenodd;clip-rule:evenodd;}](#)

Training and Certification

- [Purchasing/Procurement \(CTP/CTPM\)](#)
- [Contract Manager \(CTCM\)](#)
- [Directory of Active Certifications](#)
- [Continuing Education and Events](#)
- [Webinar Training for Governing Bodies SB1681](#)

SB20

- [Centralized State Purchasing Study](#)
- [State Agency Contract Management Procedures](#)
- [LBB Contracts Database](#)
- [Programs](#)
[.st1{fill:none;}](#)

Unclaimed Property

- [Search Unclaimed Property](#)
- [Getting Started](#)
- [FAQ](#)
- [Check Claim Status](#)
- [Upcoming Events](#)
- [Report Unclaimed Property](#)
- [Ayuda en Español](#)
- [Video Library](#)
- [Contact Us](#)

Educational Opportunities and Investment

- [Texas Tuition Promise Fund®](#)
- [Texas College Savings Plan®](#)
- [Texas Guaranteed Tuition Plan](#)
- [Match the Promise Foundation](#)
- [Minnie Stevens Piper Foundation College Compendium](#)

[Texas ABLE Program](#)

[Law Enforcement Support](#)

- [Tobacco Enforcement](#)
- [Sheriffs' and Constables' Fees](#)
- [Judiciary](#)
- [Criminal Investigations](#)

[Treasury and Financial Systems](#)

- [Treasury Operations](#)
- [Fiscal Management](#)
- [TEXNET Login](#)
- [eSystems](#)
- [Direct Deposit](#)
- [Developers Resources](#)

[Endangered Species and the Economy](#)

- [Endangered Species Watch](#)
- [Task Force](#)
- [Research](#)
- [Resources for Researchers](#)
- [Resources for Landowners](#)

[State Energy Conservation Office \(SECO\)](#)

- [Energy Sources](#)
- [Energy Efficiency](#)
- [Funding and Incentives](#)
- [SECO Programs](#)
- [Resources](#)
- [About](#)

[News and Media](#)

- [News Releases](#)
- [About the Comptroller](#)
- [Blog \(From the Desk of\)](#)
- [Video Library](#)
- [Comptroller Photos](#)
- [Op Eds](#)

[Career Center](#)

- [Current Job Openings](#)
- [Applying and Interviewing](#)
- [Internship Program](#)
- [Benefits](#)

- [Careers with CPA](#)
- [Professional Development](#)

[Contact Us](#)

- [Locations and Hours](#)
- [Toll-free Numbers](#)
- [Employee Directory](#)
- [Email Assistance](#)
- [Online Help](#)
- [Report Fraud](#)

[Policies and Portals](#)

- [Open Records](#)
- [Employee Information System](#)
- [Agency Calendar](#)
- [Privacy and Security](#)
- [Customer Service](#)
- [Links](#) and [Social Media](#)

Main Search

Find All

Start typing a search term

Go Button

[home](#) » [purchasing](#) » [programs](#) » [vendor performance tracking](#)

[purchasing](#)

Debarred Vendor List

The following vendors shown below are debarred from doing business with the State of Texas, effective from the date of debarment for the length of time indicated. Whether they are listed below or not, the debarred vendors include the vendors' successors in interest as defined in Rule §20.102(b)(4).

Vendor ID Number	Vendor Name/Address	Date of Debarment	Length of Debarment
1562456928900	Smith Housewares and Restaurant Supplies 500 Erie Blvd. Syracuse, NY 13202	November 12, 2014	5 Years
1743261315000	Walker's Electric Company 1520 Park St Beaumont TX 77701 Also: Walkers Electric Company Calvin G. Walker	August 28, 2012	5 Years

1272447273800 Stacy Walker
Walker Electric Company, LLC
1520 Park St.
Beaumont TX 77701-5527
Also: August 28, 2012 5 Years
Walkers Electric Company
Calvin G. Walker
Stacy Walker

Federal Exclusion

Agencies and co-op members may wish to check the list of vendors excluded from doing business on the federal level. The [System for Award Management, or SAM](#), can be used as a resource for purchasing entities.

According to Statewide Procurement Division rules, other debarment activities from other entities may be considered as possible indicators of vendor responsibility.

Vendor Information on Payments

The [Search State Payments Issued](#) application provides vendors with payment details.

They can also sign up in the application for [Advance Payment Notification](#).

Texas Government Code [§2155.077](#)

Texas Administrative Code: [34 TAC §20.101, §20.102, §20.105, §20.106 and §20.107](#).

Related Links

- [CMBL Search](#)
- [Vendor Performance Tracking](#)
- [Vendor Performance Form Instructions](#)
- [Vendor Performance FAQ](#)
- [Debarred Vendor List](#)
- [Contract Clauses](#)

Required Applications:

- [Adobe Flash Player](#)
- [Adobe Reader](#)
- [MS Excel Viewer](#)
- [MS PowerPoint Viewer](#)
- [MS Word Viewer](#)
- [QuickTime Player](#)
- [QuickTime Player](#)
- [Windows Media Player](#)

Footer

[Contact](#)
[Social](#)

[Subscribe](#)

[Policies](#)

[Alerts](#)

- [Contact Purchasing](#)
- [Contact Us](#)
- [Report Fraud](#)
- [Job Opportunities](#)
- [Customer Service](#)
- [Páginas en español](#)

Get the word out:

[Share on Facebook](#)

[Share on Twitter](#)

[Share on LinkedIn](#)

Connect

[Connect Facebook](#)

[Connect Twitter](#)

[Connect Youtube](#)

[Email Subscribe](#)

[RSS](#)

[Connect Instagram](#)

Subscribe

[Sign up to receive updates](#)

- [Privacy and Security Policy](#)
- [Accessibility Policy](#)
- [Link Policy](#)
- [Texas.gov](#)
- [Search from the Texas State Library](#)
- [Texas Homeland Security](#)
- [Texas Veterans Portal](#)
- [Public Information Act](#)
- [Texas Secretary of State](#)
- [HB855 Browser Statement](#)

×

Alerts

Check back here for announcements regarding weather-related office closures and service outages.

×

HB855 Browser Statement

In 2015, the Texas Legislature passed House Bill 855, which requires state agencies to publish a list of the three most commonly used Web browsers on their websites. The Texas Comptroller's most commonly used Web browsers are Google Chrome, Microsoft Internet Explorer and Apple Safari.

We support:

- Chrome
- Edge
- Firefox
- Internet Explorer
- Safari

×



Franchise Tax Account Status

As of : 10/21/2016 15:21:53 PM

This Page is Not Sufficient for Filings with the Secretary of State

SNL FINANCIAL LC	
Texas Taxpayer Number	12227832438
Mailing Address	55 WATER STREET NEW YORK, NY 10041-0004
Right to Transact Business in Texas	ACTIVE
State of Formation	VA
Effective SOS Registration Date	05/25/2016
Texas SOS File Number	0802466274
Registered Agent Name	CORPORATION SERVICE COMPANY DBA CSC - LAWYERS INCO
Registered Office Street Address	211 E. 7TH STREET, SUITE 620 AUSTIN, TX 78701

SAM Search Results
List of records matching your search for :

Search Term : SNL* FINANCIAL*
Record Status: Active

ENTITY	SNL Financial LC	Status:Active
DUNS: 610007825	+4:	CAGE Code: 3KZN7 DoDAAC:
Expiration Date: Dec 27, 2016	Has Active Exclusion?: No	Delinquent Federal Debt?: No
Address: 1 Snl Plz	City: Charlottesville	State/Province: VIRGINIA
ZIP Code: 22902-5150		Country: UNITED STATES



Vendor Hold Search

Search terms: *SNL FINANCIAL LC*

No vendor hold record was found for the search criteria.

[New query](#)

Texas Comptroller of Public Accounts

Questions? Contact statewide.accounting@cpa.texas.gov
[Comptroller.Texas.Gov](#) | [FMX](#)
[FMX Sitemap](#) | [Contact FM](#)
[Accessibility Policy](#) | [Privacy and Security Policy](#)