

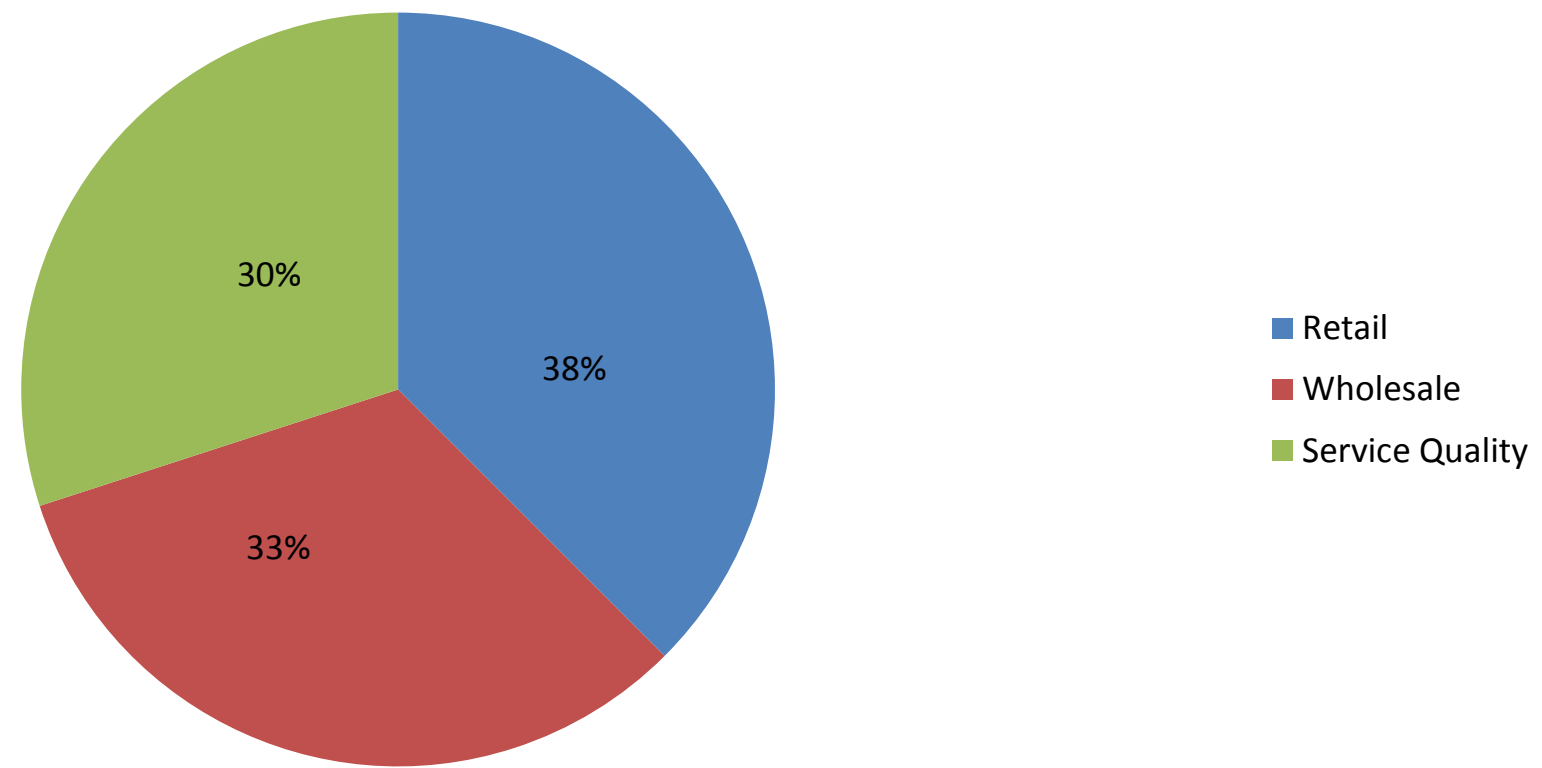
PUC ENFORCEMENT ACTIVITY REPORT
FISCAL YEAR 2012

<u>Company</u>	<u>Violation</u>	<u>Docket Number</u>	<u>Final Order Date</u>	<u>Penalty Amount</u>	<u>Violation Type</u>
Centerpoint Energy	Reliability & Continuity of Service	40583	8/22/2012	\$6,000	Service Quality
Ambit Energy	Customer Protection Rules	40579	8/22/2012	\$35,000	Retail
Pegasus Alliance Corporation	Customer Protection Rules	40476	7/13/2012	\$15,000	Retail
AEP Texas	ERCOT Protocols - EEA Implementation	40477	7/13/2012	\$68,500	Wholesale
Nooruddin Investments LLC; d/b/a Discout Power	Customer Protection Rules	40402	6/14/2012	\$7,000	Retail
MP2 Energy LLC	ERCOT Protocols - EILS	40352	6/14/2012	\$10,000	Wholesale
Highway 3	Customer Protection Rules	37152	5/25/2012	\$1,440,000	Retail
GDF Suez Energy Marketing NA, Inc.	ERCOT Protocols - Non-Spinning Reserve Service	40320	5/23/2012	\$20,000	Wholesale
Rayburn Country Electric Coop	ERCOT Protocols - EEA	40334	5/23/2012	\$68,500	Wholesale
Trieagle Energy	Customer Protection Rules	40241	4/27/2012	\$36,000	Retail
10K Energy	Customer Protection Rules	40267	4/27/2012	\$20,000	Retail
Mxenergy Electric	Customer Protection Rules	40206	4/12/2012	\$64,500	Retail
Oncor Electric Delivery	Reliability & Continuity of Service	40217	4/12/2012	\$46,000	Service Quality
Our Energy LLC	Customer Protection Rules	40159	3/8/2012	\$20,000	Retail
EnerNOC, Inc.	ERCOT Protocols - EILS	40152	2/24/2012	\$45,000	Wholesale
Constellation Alliance, LP	ERCOT Protocols - Responsive Reserve Service	40155	2/24/2012	\$20,000	Wholesale
Constelation Energy Commodities Group	ERCOT Protocols - LaaRs	40156	2/24/2012	\$15,000	Wholesale
South Texas Electric Coop	ERCOT Protocols - Ancillary Services	40130	2/24/2012	\$15,000	Wholesale
AEP TX Central Co	Reliability & Continuity of Service	40085	2/13/2012	\$36,500	Service Quality
AEP TX North Co	Reliability & Continuity of Service	40084	2/13/2012	\$99,500	Service Quality
Occidental Power Service	ERCOT Protocols - Ancillary Services	39990	2/13/2012	\$60,000	Wholesale
IntelliGen Resources LP	ERCOT Protocols - LaaRs	40024	1/26/2012	\$20,000	Wholesale
Stream SPE, LTD	Customer Protection Rules	39920	1/12/2012	\$20,000	Retail
Frontier Utilities	Customer Protection Rules	39981	1/12/2012	\$28,500	Retail
SWEPCO	Reliability & Continuity of Service	39964	1/12/2012	\$29,610	Service Quality
BP Energy Co.	ERCOT Protocols - Ancillary Services	39963	1/12/2012	\$20,000	Wholesale
Young Energy LLC	Customer Protection Rules	39921	12/19/2011	\$18,000	Retail
IntelliGen Resources, LP	ERCOT Protocols - Ancillary Services	39918	12/19/2011	\$20,000	Wholesale
AEP TX North	Reliability & Continuity of Service	39839	11/10/2011	\$90,000	Service Quality
AEP Tx Central	Reliability & Continuity of Service	39838	11/10/2011	\$40,000	Service Quality
SWEPCO	Reliability & Continuity of Service	39819	11/10/2011	\$39,000	Service Quality
City of Garland	ERCOT Protocols - Ancillary Services	39818	11/10/2011	\$15,000	Wholesale
Texas Power LP	Customer Protection Rules	39791	10/28/2011	\$65,000	Retail
Lower Colorado River Authority	ERCOT Protocols - Ancillary Services	39792	10/28/2011	\$20,000	Wholesale
Acacia Energy	Customer Protection Rules	39767	10/20/2011	\$40,000	Retail
Southwestern Public Service	Reliability & Continuity of Service	39763	10/20/2011	\$45,000	Service Quality
Stream Energy	Customer Protection Rules	39696	9/30/2011	\$74,000	Retail
El Paso Electric	Reliability & Continuity of Service	39694	9/30/2011	\$18,000	Service Quality
NRG Texas Power	ERCOT Protocols - Ancillary Services	39691	9/30/2011	\$35,000	Wholesale
Apollo Power & Light	Customer Protection Rules	39648	9/2/2011	\$26,700	Retail
TOTAL				\$2,811,310	40 Violations

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FISCAL YEAR 2012

Percentage of Total Electric Violations by Type - Fiscal Year 2012



Percentage of Total Penalty Amount Paid by Violation Type - Fiscal Year 2012

