

ERCOT Fiscal Year 2004 Budget

PUCT Docket No.

Workpaper 11: Outside Services Expense Summary by Division and Department

Line	Division and Department	FY 2004 Budget	FY 2003 Budget	Variance	% Variance	Comment
Corporate						
1	101 Executive	-	-	-	0%	
2	110 Finance	-	-	-	0%	
3	120 Legal	-	-	-	0%	
4	130 HR	-	-	-	0%	
5	140 NERC Compliance	-	-	-	0%	
6	150 Stakeholder Services	-	-	-	0%	
7	160 Corporate Communications	-	-	-	0%	
8	170 Market Rules	-	-	-	0%	
10		-	-	-	0%	
11 IT						
12	301 IT Administration	-	-	-	0%	
13	310 Information Systems	-	-	-	0%	
14	320 EMS	-	-	-	0%	
15	330 Communication & Facilities	-	-	-	0%	
16	340 Commercial Applications	-	-	-	0%	
17	350 Project Management	-	-	-	0%	
19		-	-	-	0%	
20 System Ops						
21	401 System Ops Administration	-	-	-	0%	
22	410 Market Support	-	-	-	0%	
23	420 System Operations	-	-	-	0%	
24	430 System Planning	-	-	-	0%	
26		-	-	-	0%	
27 Market Services						
28	501 Market Services Administration	-	-	-	0%	
29	510 Customer Solutions Support	-	-	-	0%	
30	520 Registration	-	-	-	0%	
31	530 Meter Acquisition/Aggregation	-	-	-	0%	
32	540 Load Profiling	-	-	-	0%	
33	550 Settlement & Billing	-	-	-	0%	
34	560 Client Relations	-	-	-	0%	
35	580 REC Program	-	-	-	0%	
36		-	-	-	0%	
37						
38						
39						
40						
41 ERCOT						
42	Corporate	-	-	-	0%	
43	Information Technology	-	-	-	0%	
44	System Operations	-	-	-	0%	
45	Market Services	-	-	-	0%	
46	Tot	-	-	-	0%	
	al					

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Schedule 2: Summary of Estimated Income Sources

Line	Description	Protocols Reference	Calculation/Rate/Comment	2004 Proposed Budget	
				Amount	Percentage
1	ERCOT System Administration Fee	9.7.1	\$0.00 per MWH	\$ -	0%
2	Private wide-area network fees	9.7.6	Recovery of budgeted costs. Actual cost of using third party communications network, initial equipment installation cost not to exceed \$18,000, and monthly network management fee not to exceed \$865.	-	0%
3	Non-ERCOT load serving entity fee	9.7.3	\$1.15 per ESI ID per year	-	0%
4	Interest income	NA	Computed based on assumed bank rates and deposit balances	-	0%
5	Membership dues	NA	\$2,000 for corporate members and \$500 for associate and adjunct members	-	0%
6	Generation interconnection study fees	NA	Based on the size of the proposed generating plant, \$1,000 - \$5,000 for a preliminary study conducted by ERCOT staff and actual costs incurred by the transmission and distribution service provider for completing a detailed study.	-	0%
7	Maps sales fees	NA	\$20 - \$40 per map request	-	0%
8	Qualified scheduling entity application fee	9.7.5	\$500 per entity	-	0%
9	Competitive retailer application fee	9.7.5	\$500 per entity	-	0%
10	Mismatched schedule processing fee	9.7.4	\$1 per mismatched event	-	0%
11	Voluminous copy fee	NA	\$0.15 per page in excess of 50 pages	-	0%
12	Lates fees	9.4.6	Wall Street Journal prime interest rate plus two (2) percent	-	0%
14	Total			\$ -	0%

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Schedule 3: Sources and Uses of Funds Summary

Line	Description	Reference	FY 2003 Budget	FY 2004 Budget	FY 2005 Forecast	FY 2006 Forecast	FY 2007 Forecast	FY 2008 Forecast
1	Uses of Funds							
2	Operating Expenses (excluding depreciation and amortization)	Schedule 4	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
3	Revenue Funded Capital Expenses	Schedule 9	-	-	-	-	-	-
4	Debt Funded Capital Expenses	Schedule 9	-	-	-	-	-	-
5	Debt Service	Schedule 4	-	-	-	-	-	-
6	Total - Uses of Funds		-	-	-	-	-	-
7								
8								
9	Sources of Funds							
10	ERCOT System Administration Fees	NA	-	-	-	-	-	-
11	Non-ERCOT load serving entity revenue	Workpaper 6	-	-	-	-	-	-
12	Wide area network revenue	NA	-	-	-	-	-	-
13	Membership dues	Workpaper 7	-	-	-	-	-	-
14	Other revenue	Schedule 2	-	-	-	-	-	-
15	Interest income	Workpaper 8	-	-	-	-	-	-
16	Debt Issues	Schedule 9	-	-	-	-	-	-
17	Total - Sources of Funds		-	-	-	-	-	-
18								
19	Sources of Funds less Uses of Funds		-	-	-	-	-	-

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Schedule 4: Divisional Expenses by Expense Type - FY 2004 versus FY 2003

Line	Description	Reference	Budget		\$ Var	% Var	Comment
			FY 2004	FY 2003			
1	<u>ERCOT Operating Expenses</u>						
2	Labor & Benefits	Schedule 6	-	-	-	0.0%	
3	Labor for Capital Projects	Schedule 6	-	-	-	0.0%	
4	Material, Supplies, Tools & Equipment	Schedule 6	-	-	-	0.0%	
5	Outside Services	Schedule 6	-	-	-	0.0%	
6	Utilities, Maintenance & Facilities	Schedule 6	-	-	-	0.0%	
7	HW/SW License and Maintenance	Schedule 6	-	-	-	0.0%	
8	Insurance	Schedule 6	-	-	-	0.0%	
9	Employee Expenses	Schedule 6	-	-	-	0.0%	
10	Depreciation & Amortization	Schedule 6	-	-	-	0.0%	
11	Interest & Fees	Schedule 6	-	-	-	0.0%	
12	Other	Schedule 6	-	-	-	0.0%	
13	Total - ERCOT Operating Expenses		-	-	-	0.0%	
14							
15	<u>Corporate</u>						
16	Labor & Benefits	Schedule 6	-	-	-	0.0%	
17	Labor for Capital Projects	Schedule 6	-	-	-	0.0%	
18	Material, Supplies, Tools & Equipment	Schedule 6	-	-	-	0.0%	
19	Outside Services	Schedule 6	-	-	-	0.0%	
20	Utilities, Maintenance & Facilities	Schedule 6	-	-	-	0.0%	
21	HW/SW License and Maintenance	Schedule 6	-	-	-	0.0%	
22	Insurance	Schedule 6	-	-	-	0.0%	
23	Employee Expenses	Schedule 6	-	-	-	0.0%	
24	Depreciation & Amortization	Schedule 6	-	-	-	0.0%	
25	Interest & Fees	Schedule 6	-	-	-	0.0%	
26	Other	Schedule 6	-	-	-	0.0%	
27	Subtotal - Corporate		-	-	-	0.0%	
28							
29	<u>Information Technology</u>						

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Schedule 4: Divisional Expenses by Expense Type - FY 2004 versus FY 2003

Line	Description	Reference	Budget		\$ Var	% Var	Comment
			FY 2004	FY 2003			
30	Labor & Benefits	Schedule 6	-	-	-	0.0%	
31	Labor for Capital Projects	Schedule 6	-	-	-	0.0%	
32	Material, Supplies, Tools & Equipment	Schedule 6	-	-	-	0.0%	
33	Outside Services	Schedule 6	-	-	-	0.0%	
34	Utilities, Maintenance & Facilities	Schedule 6	-	-	-	0.0%	
35	HW/SW License and Maintenance	Schedule 6	-	-	-	0.0%	
36	Insurance	Schedule 6	-	-	-	0.0%	
37	Employee Expenses	Schedule 6	-	-	-	0.0%	
38	Depreciation & Amortization	Schedule 6	-	-	-	0.0%	
39	Interest & Fees	Schedule 6	-	-	-	0.0%	
40	Other	Schedule 6	-	-	-	0.0%	
41	Subtotal - Information Technology	Schedule 6	-	-	-	0.0%	
42		Schedule 6					
43	System Operations	Schedule 6					
44	Labor & Benefits	Schedule 6	-	-	-	0.0%	
45	Labor for Capital Projects		-	-	-	0.0%	
46	Material, Supplies, Tools & Equipment	Schedule 6	-	-	-	0.0%	
47	Outside Services	Schedule 6	-	-	-	0.0%	
48	Utilities, Maintenance & Facilities	Schedule 6	-	-	-	0.0%	
49	HW/SW License and Maintenance	Schedule 6	-	-	-	0.0%	
50	Insurance	Schedule 6	-	-	-	0.0%	
51	Employee Expenses	Schedule 6	-	-	-	0.0%	
52	Depreciation & Amortization	Schedule 6	-	-	-	0.0%	
53	Interest & Fees	Schedule 6	-	-	-	0.0%	
54	Other	Schedule 6	-	-	-	0.0%	
55	Subtotal - System Operations		-	-	-	0.0%	
56							
57	Market Services						
58	Labor & Benefits	Schedule 6	-	-	-	0.0%	
59	Labor for Capital Projects	Schedule 6	-	-	-	0.0%	
60	Material, Supplies, Tools & Equipment	Schedule 6	-	-	-	0.0%	

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Schedule 4: Divisional Expenses by Expense Type - FY 2004 versus FY 2003

Line	Description	Reference	Budget		\$ Var	% Var	Comment
			FY 2004	FY 2003			
61	<i>Outside Services</i>	Schedule 6	-	-	-	0.0%	
62	<i>Utilities, Maintenance & Facilities</i>	Schedule 6	-	-	-	0.0%	
63	<i>HW/SW License and Maintenance</i>	Schedule 6	-	-	-	0.0%	
64	<i>Insurance</i>	Schedule 6	-	-	-	0.0%	
65	<i>Employee Expenses</i>	Schedule 6	-	-	-	0.0%	
66	<i>Depreciation & Amortization</i>	Schedule 6	-	-	-	0.0%	
67	<i>Interest & Fees</i>	Schedule 6	-	-	-	0.0%	
68	<i>Other</i>	Schedule 6	-	-	-	0.0%	
69	Subtotal - Market Services		-	-	-	0.0%	

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Schedule 5: Divisional Expenses by Department - FY 2004 versus FY 2003

Line	Description	Dept	Reference	Budget		\$ Var	% Var
				FY 2004	FY 2003		
1	Corporate						
2	Executive	101	Workpaper 1	-	-	-	0.0%
3	Finance (a)	110	Workpaper 1	-	-	-	0.0%
4	General Counsel	120	Workpaper 1	-	-	-	0.0%
5	Human Resources	130	Workpaper 1	-	-	-	0.0%
6	NERC Compliance	140	Workpaper 1	-	-	-	0.0%
7	Stakeholder Services	150	Workpaper 1	-	-	-	0.0%
8	Corporate Communications	160	Workpaper 1	-	-	-	0.0%
9	Market Rules	170	Workpaper 1	-	-	-	0.0%
10	Subtotal - Administrative			-	-	-	0.0%
11							
12	Information Technology						
13	Information Systems Administration	301	Workpaper 2	-	-	-	0.0%
14	Information Systems	310	Workpaper 2	-	-	-	0.0%
15	Energy Management Systems	320	Workpaper 2	-	-	-	0.0%
16	Communications & Facilities	330	Workpaper 2	-	-	-	0.0%
17	Commercial Operations & Applications	340	Workpaper 2	-	-	-	0.0%
18	Project Management Office	350	Workpaper 2	-	-	-	0.0%
19	Subtotal - Information Technology			-	-	-	0.0%
20							
21	System Operations						
22	Technical Operations Administration	401	Workpaper 3	-	-	-	0.0%
23	Market Support	410	Workpaper 3	-	-	-	0.0%
24	System Operations	420	Workpaper 3	-	-	-	0.0%
25	System Planning	430	Workpaper 3	-	-	-	0.0%
26	Subtotal - System Operations			-	-	-	0.0%
27							
28	Market Services						
29	Settlements Administration	501	Workpaper 4	-	-	-	0.0%
30	Customer Solutions Support	510	Workpaper 4	-	-	-	0.0%
31	Registration	520	Workpaper 4	-	-	-	0.0%
32	Meter Acquisition & Aggregation	530	Workpaper 4	-	-	-	0.0%
33	Load Profiling	540	Workpaper 4	-	-	-	0.0%
34	Settlement & Billing	550	Workpaper 4	-	-	-	0.0%
35	Client Relations	560	Workpaper 4	-	-	-	0.0%
36	Renewable Energy Credits	580	Workpaper 4	-	-	-	0.0%
37	Subtotal - Market Services			-	-	-	0.0%
38							
39	Total ERCOT			-	-	-	0.0%

Notes:

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Schedule 6: Summary of Divisional Expenses by Expense Type

Line	Description	Reference	Division			Total
			Corporate	IT	System Ops	
1	Labor & Benefits	Workpapers 1-4 and 9	-	-	-	-
2	Labor for Capital Projects	Workpapers 1-4	-	-	-	-
3	Material, Supplies, Tools & Equipment	Workpapers 1-4 and 10	-	-	-	-
4	Outside Services	Workpapers 1-4, 11 and 12	-	-	-	-
5	Utilities, Maintenance & Facilities	Workpapers 1-4, and 13	-	-	-	-
6	HW/SW License and Maintenance	Workpapers 1-4, 14 and 15	-	-	-	-
7	Insurance	Workpapers 1-4	-	-	-	-
8	Employee Expenses	Workpapers 1-4	-	-	-	-
9	Depreciation & Amortization	Workpapers 1-4	-	-	-	-
10	Interest & Fees	Workpapers 1-4 and 16	-	-	-	-
11	Other	Workpapers 1-4, 17 and 18	-	-	-	-
12	Total		-	-	-	-

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Schedule 7: 2002 Operating Activity Budget to Actual Comparison

	Note	Fiscal Year as of August 31, 2003				Annual Budget - 2003			
		Budget	Actual	\$ Var	% Var	Budget	Forecast	\$ Var	% Var
ERCOT Revenue by Type									
Transaction Fees		-	-	-	0.0%	-	-	-	0.0%
WAN		-	-	-	0.0%	-	-	-	0.0%
Non-ERCOT LSE		-	-	-	0.0%	-	-	-	0.0%
Membership Fees and other		-	-	-	0.0%	-	-	-	0.0%
Total - ERCOT Revenue by Type		-	-	-	0.0%	-	-	-	0.0%
ERCOT Operating Expenses									
Labor & Benefits		-	-	-	0.0%	-	-	-	0.0%
Tools, Equipment, Materials & Supplies		-	-	-	0.0%	-	-	-	0.0%
Hardware & Software Expenses		-	-	-	0.0%	-	-	-	0.0%
Consultants & Contractors		-	-	-	0.0%	-	-	-	0.0%
Utilities, Maintenance & Facilities		-	-	-	0.0%	-	-	-	0.0%
Training, Travel & Other Employee Exp		-	-	-	0.0%	-	-	-	0.0%
Insurance		-	-	-	0.0%	-	-	-	0.0%
Depreciation & Amortization		-	-	-	0.0%	-	-	-	0.0%
Other		-	-	-	0.0%	-	-	-	0.0%
Total - ERCOT Operating Expenses		-	-	-	0.0%	-	-	-	0.0%
Excess (Deficiency) of Revenues over Exp		-	-	-	0.0%	-	-	-	0.0%
Other income (expense)									
Interest income		-	-	-	0.0%	-	-	-	0.0%
Interest expense		-	-	-	0.0%	-	-	-	0.0%
Change in Unrestricted Assets		-	-	-	0.0%	-	-	-	0.0%

Revenue and Expense Notes

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Schedule 8: Capital Spending Summary

Line	Description	Amount
1	Project priority list	-
2	Minor capital acquisitions	-
3	Other	-
4	Total projected capital spending	-

Notes:

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Schedule 10: Pro Forma Statements of Financial Position

(Dollars in Thousands)

Line	Description	As of Year Ended					
		12/31/2003	12/31/2004	12/31/2005	12/31/2006	12/31/2007	12/31/2008
1	Assets						
2							
3	Current assets:						
4	Cash and cash equivalents	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
5	QSE cash security deposits and congestion fund	-	-	-	-	-	-
6	Accounts receivable	-	-	-	-	-	-
7	Unbilled revenue	-	-	-	-	-	-
8	Total current assets	-	-	-	-	-	-
9							
10	Systems under development	-	-	-	-	-	-
11	Property and equipment, net and systems under development	-	-	-	-	-	-
12	Software and hardware systems, net	-	-	-	-	-	-
13	Leasehold improvements, net	-	-	-	-	-	-
14	Furniture, fixtures and equipment, net	-	-	-	-	-	-
15	Building, net	-	-	-	-	-	-
16	Land	-	-	-	-	-	-
17	Capital lease, net	-	-	-	-	-	-
18	Other assets, net	-	-	-	-	-	-
19	Total assets	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
20							
21							
22	Liabilities and Unrestricted Net Assets						
23							
24	Liabilities:						
25	Accounts payable & accrued expenses	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
26	Capital lease and other obligations	-	-	-	-	-	-
27	Notes payable	-	-	-	-	-	-
28	Total liabilities	-	-	-	-	-	-
29							
30	Unrestricted net assets	-	-	-	-	-	-
31	Total liabilities and unrestricted net assets	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

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Schedule 11: Pro Forma Statements of Activities

(Dollars in Thousands)

Line	Description	For Twelve Months Ended:					
		12/31/2003	12/31/2004	12/31/2005	12/31/2006	12/31/2007	12/31/2008
1	Operating revenues						
2	Non-ERCOT LSE	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
3	WAN	-	-	-	-	-	-
4	Membership Dues	-	-	-	-	-	-
5	Other	-	-	-	-	-	-
6	Transaction fees / ERCOT System Administration Fee	-	-	-	-	-	-
8	Total operating revenue	-	-	-	-	-	-
9							
11	Operating expenses						
12	Salaries and related benefits	-	-	-	-	-	-
13	Facility and equipment costs	-	-	-	-	-	-
14	WAN expenses	-	-	-	-	-	-
15	Legal and consulting services	-	-	-	-	-	-
16	Hardware and software maintenance and licensing	-	-	-	-	-	-
17	Insurance	-	-	-	-	-	-
18	Training, travel and professional dues	-	-	-	-	-	-
19	Depreciation	-	-	-	-	-	-
20	Administrative and other	-	-	-	-	-	-
21	Total operating expenses	-	-	-	-	-	-
22							
23	Income from Operations	-	-	-	-	-	-
24							
25	EBITDA	-	-	-	-	-	-
26							
27	Other income (expense)						
28	Interest income	-	-	-	-	-	-
29	Interest Expense	-	-	-	-	-	-
30							
31	Change in unrestricted net assets	-	-	-	-	-	-
32							
33	Unrestricted net assets, beginning of year	-	-	-	-	-	-
34							
35	Unrestricted assets, end of year	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

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Schedule 12: Pro Forma Statements of Cash Flows

(Dollars in Thousands)

Line	Description	For Twelve Months Ended:					
		12/31/2003	12/31/2004	12/31/2005	12/31/2006	12/31/2007	12/31/2008
1	Cash flows from operating activities:						
2	Change in unrestricted net assets	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
3	Adjustments to reconcile change in unrestricted net assets to net cash						
4	provided by operating activities:						
5	Depreciation	-	-	-	-	-	-
6	Changes in operating assets and liabilities:						
7	Increase in accounts receivable	-	-	-	-	-	-
8	Decrease in unbilled revenue	-	-	-	-	-	-
9	Increase in accounts payable and other accrued expenses	-	-	-	-	-	-
10	Balancing Adjustment	-	-	-	-	-	-
12	Net cash provided by operating activities	-	-	-	-	-	-
13							
14							
15	Cash flows from investing activities:						
16	Capital expenditures for property and equipment	-	-	-	-	-	-
17	Capital expenditures for systems under development	-	-	-	-	-	-
19	Net cash used in investing activities	-	-	-	-	-	-
20							
22	Cash flows from financing activities:						
23	Proceeds from issuance of notes payable	-	-	-	-	-	-
24	Repayment of notes payable	-	-	-	-	-	-
25	Repayment of notes payable	-	-	-	-	-	-
27	Net cash provided by financing activities	-	-	-	-	-	-
28							
30	Net increase in cash and cash equivalents	-	-	-	-	-	-
31	Cash and cash equivalents, beginning of year	-	-	-	-	-	-
33	Cash and cash equivalents, December 31	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

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Schedule 13: Financial Analysis

1 **Variables**

- 2 Funds from operations
- 3 Average total debt
- 4 Interest payments
- 5 Net cash flow
- 6 Capital expenditures
- 7 Total debt
- 8 Total capital

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11 **Ratios**

- 12 Funds from operations / Average total debt
- 13 Funds from operations / Interest payments
- 14 Net cash flow / Capital expenditures
- 15 Total debt / Total capital

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ERCOT Fiscal Year 2004 Budget

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Workpaper 1: Corporate Division, Departmental Expenses by Expense Type - FY 2004 versus FY 2003

Line	Description	Dept	Budget		\$ Var	% Var	Comment
			FY 2003	FY 2002			
1	Executive	101					
2	Labor & Benefits		-	-	-	0.0%	
3	Labor for Capital Projects		-	-	-	0.0%	
4	Material, Supplies, Tools & Equipment		-	-	-	0.0%	
5	Outside Services		-	-	-	0.0%	
6	Utilities, Maintenance & Facilities		-	-	-	0.0%	
7	HW/SW License and Maintenance		-	-	-	0.0%	
8	Insurance		-	-	-	0.0%	
9	Employee Expenses		-	-	-	0.0%	
10	Depreciation & Amortization		-	-	-	0.0%	
11	Interest & Fees		-	-	-	0.0%	
12	Other		-	-	-	0.0%	
13	Subtotal - Executive		-	-	-	0.0%	
14							
15	Finance	110					
16	Labor & Benefits		-	-	-	0.0%	
17	Labor for Capital Projects		-	-	-	0.0%	
18	Material, Supplies, Tools & Equipment		-	-	-	0.0%	
19	Outside Services		-	-	-	0.0%	
20	Utilities, Maintenance & Facilities		-	-	-	0.0%	
21	HW/SW License and Maintenance		-	-	-	0.0%	
22	Insurance		-	-	-	0.0%	
23	Employee Expenses		-	-	-	0.0%	
24	Depreciation & Amortization		-	-	-	0.0%	
25	Interest & Fees		-	-	-	0.0%	
26	Other		-	-	-	0.0%	
27	Subtotal - Finance		-	-	-	0.0%	
28							
29	General Counsel	120					
30	Labor & Benefits		-	-	-	0.0%	
31	Labor for Capital Projects		-	-	-	0.0%	
32	Material, Supplies, Tools & Equipment		-	-	-	0.0%	
33	Outside Services		-	-	-	0.0%	
34	Utilities, Maintenance & Facilities		-	-	-	0.0%	
35	HW/SW License and Maintenance		-	-	-	0.0%	
36	Insurance		-	-	-	0.0%	
37	Employee Expenses		-	-	-	0.0%	
38	Depreciation & Amortization		-	-	-	0.0%	
39	Interest & Fees		-	-	-	0.0%	
40	Other		-	-	-	0.0%	
41	Subtotal - General Counsel		-	-	-	0.0%	
42							
43	Human Resources	130					

ERCOT Fiscal Year 2004 Budget

PUCT Docket No.

Workpaper 1: Corporate Division, Departmental Expenses by Expense Type - FY 2004 versus FY 2003

Line	Description	Dept	Budget		\$ Var	% Var	Comment
			FY 2003	FY 2002			
44	Labor & Benefits		-	-	-	0.0%	
45	Labor for Capital Projects		-	-	-	0.0%	
46	Material, Supplies, Tools & Equipment		-	-	-	0.0%	
47	Outside Services		-	-	-	0.0%	
48	Utilities, Maintenance & Facilities		-	-	-	0.0%	
49	HW/SW License and Maintenance		-	-	-	0.0%	
50	Insurance		-	-	-	0.0%	
51	Employee Expenses		-	-	-	0.0%	
52	Depreciation & Amortization		-	-	-	0.0%	
53	Interest & Fees		-	-	-	0.0%	
54	Other		-	-	-	0.0%	
55	Subtotal - Human Resources		-	-	-	0.0%	
56							
57	NERC Compliance	140					
58	Labor & Benefits		-	-	-	0.0%	
59	Labor for Capital Projects		-	-	-	0.0%	
60	Material, Supplies, Tools & Equipment		-	-	-	0.0%	
61	Tools & Equipment		-	-	-	0.0%	
62	Outside Services		-	-	-	0.0%	
63	Utilities, Maintenance & Facilities		-	-	-	0.0%	
64	HW/SW License and Maintenance		-	-	-	0.0%	
65	Insurance		-	-	-	0.0%	
66	Employee Expenses		-	-	-	0.0%	
67	Depreciation & Amortization		-	-	-	0.0%	
68	Interest & Fees		-	-	-	0.0%	
69	Other		-	-	-	0.0%	
70	Subtotal - NERC Compliance		-	-	-	0.0%	
71							
72	Stakeholder Services	150					
73	Labor & Benefits		-	-	-	0.0%	
74	Labor for Capital Projects		-	-	-	0.0%	
75	Material, Supplies, Tools & Equipment		-	-	-	0.0%	
76	Outside Services		-	-	-	0.0%	
77	Utilities, Maintenance & Facilities		-	-	-	0.0%	
78	HW/SW License and Maintenance		-	-	-	0.0%	
79	Insurance		-	-	-	0.0%	
80	Employee Expenses		-	-	-	0.0%	
81	Depreciation & Amortization		-	-	-	0.0%	
82	Interest & Fees		-	-	-	0.0%	
83	Other		-	-	-	0.0%	
84	Subtotal - Stakeholder Services		-	-	-	0.0%	
85							
86	Corporate Communications	160					

ERCOT Fiscal Year 2004 Budget

PUCT Docket No.

Workpaper 1: Corporate Division, Departmental Expenses by Expense Type - FY 2004 versus FY 2003

Line	Description	Dept	Budget		\$ Var	% Var	Comment
			FY 2003	FY 2002			
87	Labor & Benefits		-	-	-	0.0%	
88	Labor for Capital Projects		-	-	-	0.0%	
89	Material, Supplies, Tools & Equipment		-	-	-	0.0%	
90	Outside Services		-	-	-	0.0%	
91	Utilities, Maintenance & Facilities		-	-	-	0.0%	
92	HW/SW License and Maintenance		-	-	-	0.0%	
93	Insurance		-	-	-	0.0%	
94	Employee Expenses		-	-	-	0.0%	
95	Depreciation & Amortization		-	-	-	0.0%	
96	Interest & Fees		-	-	-	0.0%	
97	Other		-	-	-	0.0%	
98	Subtotal - Corporate Communications		-	-	-	0.0%	
99							
100	Market Rules	170					
101	Labor & Benefits		-	-	-	0.0%	
102	Labor for Capital Projects		-	-	-	0.0%	
103	Material, Supplies, Tools & Equipment		-	-	-	0.0%	
104	Outside Services		-	-	-	0.0%	
105	Utilities, Maintenance & Facilities		-	-	-	0.0%	
106	HW/SW License and Maintenance		-	-	-	0.0%	
107	Insurance		-	-	-	0.0%	
108	Employee Expenses		-	-	-	0.0%	
109	Depreciation & Amortization		-	-	-	0.0%	
110	Interest & Fees		-	-	-	0.0%	
111	Other		-	-	-	0.0%	
112	Subtotal - Market Rules		-	-	-	0.0%	
113							

ERCOT Fiscal Year 2004 Budget

PUCT Docket No.

Workpaper 1: Corporate Division, Departmental Expenses by Expense Type - FY 2004 versus FY 2003

Line	Description	Dept	Budget		\$ Var	% Var	Comment
			FY 2003	FY 2002			
114	Corporate Administration						
115	<i>Labor & Benefits</i>		-	-	-	0.0%	
116	<i>Labor for Capital Projects</i>		-	-	-	0.0%	
117	<i>Material, Supplies, Tools & Equipment</i>		-	-	-	0.0%	
118	<i>Outside Services</i>		-	-	-	0.0%	
119	<i>Utilities, Maintenance & Facilities</i>		-	-	-	0.0%	
120	<i>HW/SW License and Maintenance</i>		-	-	-	0.0%	
121	<i>Insurance</i>		-	-	-	0.0%	
122	<i>Employee Expenses</i>		-	-	-	0.0%	
123	<i>Depreciation & Amortization</i>		-	-	-	0.0%	
124	<i>Interest & Fees</i>		-	-	-	0.0%	
125	<i>Other</i>		-	-	-	0.0%	
126	Total - Corporate Administration		-	-	-	0.0%	

ERCOT Fiscal Year 2004 Budget

PUCT Docket No.

Workpaper 2: Information Technology Division, Departmental Expenses by Expense Type - FY 2004 versus FY 2003

Line	Description	Dept	Budget		\$ Var	% Var	Comment
			FY 2004	FY 2003			
1	Information Technology Administration	301					
2	<i>Labor & Benefits</i>		-	-	-	0.0%	
3	<i>Labor for Capital Projects</i>		-	-	-	0.0%	
4	<i>Material, Supplies, Tools & Equipment</i>		-	-	-	0.0%	
5	<i>Outside Services</i>		-	-	-	0.0%	
6	<i>Utilities, Maintenance & Facilities</i>		-	-	-	0.0%	
7	<i>HW/SW License and Maintenance</i>		-	-	-	0.0%	
8	<i>Insurance</i>		-	-	-	0.0%	
9	<i>Employee Expenses</i>		-	-	-	0.0%	
10	<i>Depreciation & Amortization</i>		-	-	-	0.0%	
11	<i>Interest & Fees</i>		-	-	-	0.0%	
12	<i>Other</i>		-	-	-	0.0%	
13	Subtotal - Information Technology Administration		-	-	-	0.0%	
14							
15	Information Systems	310					
16	<i>Labor & Benefits</i>		-	-	-	0.0%	
17	<i>Labor for Capital Projects</i>		-	-	-	0.0%	
18	<i>Material, Supplies, Tools & Equipment</i>		-	-	-	0.0%	
19	<i>Outside Services</i>		-	-	-	0.0%	
20	<i>Utilities, Maintenance & Facilities</i>		-	-	-	0.0%	
21	<i>HW/SW License and Maintenance</i>		-	-	-	0.0%	
22	<i>Insurance</i>		-	-	-	0.0%	
23	<i>Employee Expenses</i>		-	-	-	0.0%	
24	<i>Depreciation & Amortization</i>		-	-	-	0.0%	
25	<i>Interest & Fees</i>		-	-	-	0.0%	
26	<i>Other</i>		-	-	-	0.0%	
27	Subtotal - Information Systems		-	-	-	0.0%	
28							
29	Energy Management System	320					
30	<i>Labor & Benefits</i>		-	-	-	0.0%	
31	<i>Labor for Capital Projects</i>		-	-	-	0.0%	
32	<i>Material, Supplies, Tools & Equipment</i>		-	-	-	0.0%	
33	<i>Outside Services</i>		-	-	-	0.0%	
34	<i>Utilities, Maintenance & Facilities</i>		-	-	-	0.0%	
35	<i>HW/SW License and Maintenance</i>		-	-	-	0.0%	
36	<i>Insurance</i>		-	-	-	0.0%	
37	<i>Employee Expenses</i>		-	-	-	0.0%	
38	<i>Depreciation & Amortization</i>		-	-	-	0.0%	
39	<i>Interest & Fees</i>		-	-	-	0.0%	
40	<i>Other</i>		-	-	-	0.0%	
41	Subtotal - Energy Management System		-	-	-	0.0%	
42							
43	Communications & Facilities	330					

ERCOT Fiscal Year 2004 Budget

PUCT Docket No.

Workpaper 2: Information Technology Division, Departmental Expenses by Expense Type - FY 2004 versus FY 2003

Line	Description	Dept	Budget				Comment
			FY 2004	FY 2003	\$ Var	% Var	
44	Labor & Benefits		-	-	-	0.0%	
45	Labor for Capital Projects		-	-	-	0.0%	
46	Material, Supplies, Tools & Equipment		-	-	-	0.0%	
47	Outside Services		-	-	-	0.0%	
48	Utilities, Maintenance & Facilities		-	-	-	0.0%	
49	HW/SW License and Maintenance		-	-	-	0.0%	
50	Insurance		-	-	-	0.0%	
51	Employee Expenses		-	-	-	0.0%	
52	Depreciation & Amortization		-	-	-	0.0%	
53	Interest & Fees		-	-	-	0.0%	
54	Other		-	-	-	0.0%	
55	Subtotal - Communications & Facilities		-	-	-	0.0%	
56							
57	Commercial Operations & Applications	340					
58	Labor & Benefits		-	-	-	0.0%	
59	Labor for Capital Projects		-	-	-	0.0%	
60	Material, Supplies, Tools & Equipment		-	-	-	0.0%	
61	Outside Services		-	-	-	0.0%	
62	Utilities, Maintenance & Facilities		-	-	-	0.0%	
63	HW/SW License and Maintenance		-	-	-	0.0%	
64	Insurance		-	-	-	0.0%	
65	Employee Expenses		-	-	-	0.0%	
66	Depreciation & Amortization		-	-	-	0.0%	
67	Interest & Fees		-	-	-	0.0%	
68	Other		-	-	-	0.0%	
69	Subtotal - Commercial Operations & Applications		-	-	-	0.0%	
70							
71							
72	Project Management Office	350					
73	Labor & Benefits		-	-	-	0.0%	
74	Labor for Capital Projects		-	-	-	0.0%	
75	Material, Supplies, Tools & Equipment		-	-	-	0.0%	
76	Outside Services		-	-	-	0.0%	
77	Utilities, Maintenance & Facilities		-	-	-	0.0%	
78	HW/SW License and Maintenance		-	-	-	0.0%	
79	Insurance		-	-	-	0.0%	
80	Employee Expenses		-	-	-	0.0%	
81	Depreciation & Amortization		-	-	-	0.0%	
82	Interest & Fees		-	-	-	NA	
83	Other		-	-	-	NA	
84	Subtotal - Project Management Office		-	-	-	0.0%	
85							

ERCOT Fiscal Year 2004 Budget

PUCT Docket No.

Workpaper 2: Information Technology Division, Departmental Expenses by Expense Type - FY 2004 versus FY 2003

Line	Description	Dept	Budget				Comment
			FY 2004	FY 2003	\$ Var	% Var	
86	Information Technology						
87	<i>Labor & Benefits</i>		-	-	-	0.0%	
88	<i>Labor for Capital Projects</i>		-	-	-	0.0%	
89	<i>Material, Supplies, Tools & Equipment</i>		-	-	-	0.0%	
90	<i>Outside Services</i>		-	-	-	0.0%	
91	<i>Utilities, Maintenance & Facilities</i>		-	-	-	0.0%	
92	<i>HW/SW License and Maintenance</i>		-	-	-	0.0%	
93	<i>Insurance</i>		-	-	-	0.0%	
94	<i>Employee Expenses</i>		-	-	-	0.0%	
95	<i>Depreciation & Amortization</i>		-	-	-	0.0%	
96	<i>Interest & Fees</i>		-	-	-	0.0%	
97	<i>Other</i>		-	-	-	0.0%	
98	Total - Information Technology		-	-	-	0.0%	

ERCOT Fiscal Year 2004 Budget

PUCT Docket No.

Workpaper 3: System Operations Division, Departmental Expenses by Expense Type - FY 2004 versus FY 2003

Line	Description	Dept	Budget		\$ Var	% Var	Comment
			FY 2004	FY 2003			
1	System Operations Administration	401					
2	Labor & Benefits		-	-	-	0.0%	
3	Labor for Capital Projects		-	-	-	0.0%	
4	Material, Supplies, Tools & Equipment		-	-	-	0.0%	
5	Outside Services		-	-	-	0.0%	
6	Utilities, Maintenance & Facilities		-	-	-	0.0%	
7	HW/SW License and Maintenance		-	-	-	0.0%	
8	Insurance		-	-	-	0.0%	
9	Employee Expenses		-	-	-	0.0%	
10	Depreciation & Amortization		-	-	-	0.0%	
11	Interest & Fees		-	-	-	0.0%	
12	Other		-	-	-	0.0%	
13	Subtotal - System Operations Administration		-	-	-	0.0%	
14							
15	Market Support	410					
16	Labor & Benefits		-	-	-	0.0%	
17	Labor for Capital Projects		-	-	-	0.0%	
18	Material, Supplies, Tools & Equipment		-	-	-	0.0%	
19	Outside Services		-	-	-	0.0%	
20	Utilities, Maintenance & Facilities		-	-	-	0.0%	
21	HW/SW License and Maintenance		-	-	-	0.0%	
22	Insurance		-	-	-	0.0%	
23	Employee Expenses		-	-	-	0.0%	
24	Depreciation & Amortization		-	-	-	0.0%	
25	Interest & Fees		-	-	-	0.0%	
26	Other		-	-	-	0.0%	
27	Subtotal - Market Support		-	-	-	0.0%	
28							
29	System Operations	420					
30	Labor & Benefits		-	-	-	0.0%	
31	Labor for Capital Projects		-	-	-	0.0%	
32	Material, Supplies, Tools & Equipment		-	-	-	0.0%	
33	Outside Services		-	-	-	0.0%	
34	Utilities, Maintenance & Facilities		-	-	-	0.0%	
35	HW/SW License and Maintenance		-	-	-	0.0%	
36	Insurance		-	-	-	0.0%	
37	Employee Expenses		-	-	-	0.0%	
38	Depreciation & Amortization		-	-	-	0.0%	
39	Interest & Fees		-	-	-	0.0%	
40	Other		-	-	-	0.0%	
41	Subtotal - System Operations		-	-	-	0.0%	
42							
43	System Planning	430					

ERCOT Fiscal Year 2004 Budget

PUCT Docket No.

Workpaper 3: System Operations Division, Departmental Expenses by Expense Type - FY 2004 versus FY 2003

Line	Description	Dept	Budget				Comment
			FY 2004	FY 2003	\$ Var	% Var	
44	Labor & Benefits		-	-	-	0.0%	
45	Labor for Capital Projects		-	-	-	0.0%	
46	Material, Supplies, Tools & Equipment		-	-	-	0.0%	
47	Outside Services		-	-	-	0.0%	
48	Utilities, Maintenance & Facilities		-	-	-	0.0%	
49	HW/SW License and Maintenance		-	-	-	0.0%	
50	Insurance		-	-	-	0.0%	
51	Employee Expenses		-	-	-	0.0%	
52	Depreciation & Amortization		-	-	-	0.0%	
53	Interest & Fees		-	-	-	0.0%	
54	Other		-	-	-	0.0%	
55	Subtotal - System Planning		-	-	-	0.0%	
56							

ERCOT Fiscal Year 2004 Budget

PUCT Docket No.

Workpaper 3: System Operations Division, Departmental Expenses by Expense Type - FY 2004 versus FY 2003

Line	Description	Dept	Budget				Comment
			FY 2004	FY 2003	\$ Var	% Var	
57	Technical Operations						
58	<i>Labor & Benefits</i>		-	-	-	0.0%	
59	<i>Labor for Capital Projects</i>		-	-	-	0.0%	
60	<i>Material, Supplies, Tools & Equipment</i>		-	-	-	0.0%	
61	<i>Outside Services</i>		-	-	-	0.0%	
62	<i>Utilities, Maintenance & Facilities</i>		-	-	-	0.0%	
63	<i>HW/SW License and Maintenance</i>		-	-	-	0.0%	
64	<i>Insurance</i>		-	-	-	0.0%	
65	<i>Employee Expenses</i>		-	-	-	0.0%	
66	<i>Depreciation & Amortization</i>		-	-	-	0.0%	
67	<i>Interest & Fees</i>		-	-	-	0.0%	
68	<i>Other</i>		-	-	-	0.0%	
69	Total - Technical Operations		<u>-</u>	<u>-</u>	<u>-</u>	0.0%	

ERCOT Fiscal Year 2004 Budget

PUCT Docket No.

Workpaper 4: Market Services Division, Departmental Expenses by Expense Type - FY 2004 versus FY 2003

Line	Description	Dept	Budget		\$ Var	% Var	Comment
			FY 2004	FY 2003			
1	Market Services Administration	501					
2	Labor & Benefits		-	-	-	0.0%	
3	Labor for Capital Projects		-	-	-	0.0%	
4	Material, Supplies, Tools & Equipment		-	-	-	0.0%	
5	Outside Services		-	-	-	0.0%	
6	Utilities, Maintenance & Facilities		-	-	-	0.0%	
7	HW/SW License and Maintenance		-	-	-	0.0%	
8	Insurance		-	-	-	0.0%	
9	Employee Expenses		-	-	-	0.0%	
10	Depreciation & Amortization		-	-	-	0.0%	
11	Interest & Fees		-	-	-	0.0%	
12	Other		-	-	-	0.0%	
13	Subtotal - Market Services Administration		-	-	-	0.0%	
14							
15	Customer Support Solutions	510					
16	Labor & Benefits		-	-	-	0.0%	
17	Labor for Capital Projects		-	-	-	0.0%	
18	Material, Supplies, Tools & Equipment		-	-	-	0.0%	
19	Outside Services		-	-	-	0.0%	
20	Utilities, Maintenance & Facilities		-	-	-	0.0%	
21	HW/SW License and Maintenance		-	-	-	0.0%	
22	Insurance		-	-	-	0.0%	
23	Employee Expenses		-	-	-	0.0%	
24	Depreciation & Amortization		-	-	-	0.0%	
25	Interest & Fees		-	-	-	0.0%	
26	Other		-	-	-	0.0%	
27	Subtotal - Customer Support Solutions		-	-	-	0.0%	
28							
29	Registration	520					
30	Labor & Benefits		-	-	-	0.0%	
31	Labor for Capital Projects		-	-	-	0.0%	
32	Material, Supplies, Tools & Equipment		-	-	-	0.0%	
33	Outside Services		-	-	-	0.0%	
34	Utilities, Maintenance & Facilities		-	-	-	0.0%	
35	HW/SW License and Maintenance		-	-	-	0.0%	
36	Insurance		-	-	-	0.0%	
37	Employee Expenses		-	-	-	0.0%	
38	Depreciation & Amortization		-	-	-	0.0%	
39	Interest & Fees		-	-	-	0.0%	
40	Other		-	-	-	0.0%	
41	Subtotal - Registration		-	-	-	0.0%	
42							
43	Meter Acquisition & Aggregation	530					

ERCOT Fiscal Year 2004 Budget

PUCT Docket No.

Workpaper 4: Market Services Division, Departmental Expenses by Expense Type - FY 2004 versus FY 2003

Line	Description	Dept	Budget		\$ Var	% Var	Comment
			FY 2004	FY 2003			
44	Labor & Benefits		-	-	-	0.0%	
45	Labor for Capital Projects		-	-	-	0.0%	
46	Material, Supplies, Tools & Equipment		-	-	-	0.0%	
47	Outside Services		-	-	-	0.0%	
48	Utilities, Maintenance & Facilities		-	-	-	0.0%	
49	HW/SW License and Maintenance		-	-	-	0.0%	
50	Insurance		-	-	-	0.0%	
51	Employee Expenses		-	-	-	0.0%	
52	Depreciation & Amortization		-	-	-	0.0%	
53	Interest & Fees		-	-	-	0.0%	
54	Other		-	-	-	0.0%	
55	Subtotal - Meter Acquisition & Aggregation		-	-	-	0.0%	
56							
57	Load Profiling	540					
58	Labor & Benefits		-	-	-	0.0%	
59	Labor for Capital Projects		-	-	-	0.0%	
60	Material, Supplies, Tools & Equipment		-	-	-	0.0%	
61	Outside Services		-	-	-	0.0%	
62	Utilities, Maintenance & Facilities		-	-	-	0.0%	
63	HW/SW License and Maintenance		-	-	-	0.0%	
64	Insurance		-	-	-	0.0%	
65	Employee Expenses		-	-	-	0.0%	
66	Depreciation & Amortization		-	-	-	0.0%	
67	Interest & Fees		-	-	-	0.0%	
68	Other		-	-	-	0.0%	
69	Subtotal - Load Profiling		-	-	-	0.0%	
70							
71	Settlement & Billing	550					
72	Labor & Benefits		-	-	-	0.0%	
73	Labor for Capital Projects		-	-	-	0.0%	
74	Material, Supplies, Tools & Equipment		-	-	-	0.0%	
75	Outside Services		-	-	-	0.0%	
76	Utilities, Maintenance & Facilities		-	-	-	0.0%	
77	HW/SW License and Maintenance		-	-	-	0.0%	
78	Insurance		-	-	-	0.0%	
79	Employee Expenses		-	-	-	0.0%	
80	Depreciation & Amortization		-	-	-	0.0%	
81	Interest & Fees		-	-	-	0.0%	
82	Other		-	-	-	0.0%	
83	Subtotal - Settlement & Billing		-	-	-	0.0%	
84							
85	Client Relations	560					
86	Labor & Benefits		-	-	-	0.0%	

ERCOT Fiscal Year 2004 Budget

PUCT Docket No.

Workpaper 4: Market Services Division, Departmental Expenses by Expense Type - FY 2004 versus FY 2003

Line	Description	Dept	Budget		\$ Var	% Var	Comment
			FY 2004	FY 2003			
87	Labor for Capital Projects		-	-	-	0.0%	
88	Material, Supplies, Tools & Equipment		-	-	-	0.0%	
89	Outside Services		-	-	-	0.0%	
90	Utilities, Maintenance & Facilities		-	-	-	0.0%	
91	HW/SW License and Maintenance		-	-	-	0.0%	
92	Insurance		-	-	-	0.0%	
93	Employee Expenses		-	-	-	0.0%	
94	Depreciation & Amortization		-	-	-	0.0%	
95	Interest & Fees		-	-	-	0.0%	
96	Other		-	-	-	0.0%	
97	Subtotal - Client Relations		-	-	-	0.0%	
98							
99	Renewable Energy Credit	580					
100	Labor & Benefits		-	-	-	0.0%	
101	Labor for Capital Projects		-	-	-	0.0%	
102	Material, Supplies, Tools & Equipment		-	-	-	0.0%	
103	Outside Services		-	-	-	0.0%	
104	Utilities, Maintenance & Facilities		-	-	-	0.0%	
105	HW/SW License and Maintenance		-	-	-	0.0%	
106	Insurance		-	-	-	0.0%	
107	Employee Expenses		-	-	-	0.0%	
108	Depreciation & Amortization		-	-	-	0.0%	
109	Interest & Fees		-	-	-	0.0%	
110	Other		-	-	-	0.0%	
111	Subtotal - Renewable Energy Credit		-	-	-	0.0%	
112							

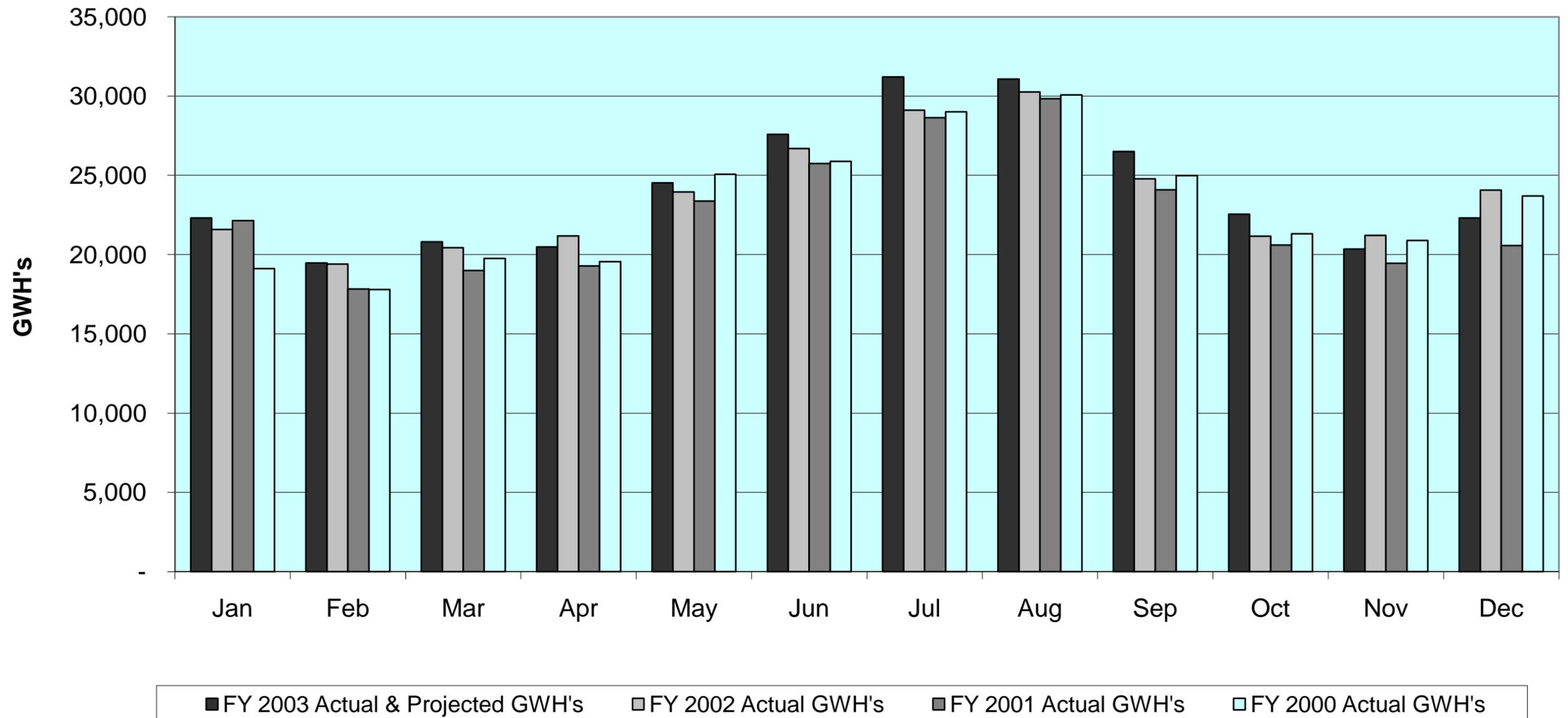
ERCOT Fiscal Year 2004 Budget

PUCT Docket No.

Workpaper 4: Market Services Division, Departmental Expenses by Expense Type - FY 2004 versus FY 2003

Line	Description	Dept	Budget				Comment
			FY 2004	FY 2003	\$ Var	% Var	
113	Settlement & Client Services		-	-	-	0.0%	
114	<i>Labor & Benefits</i>		-	-	-	0.0%	
115	<i>Labor for Capital Projects</i>		-	-	-	0.0%	
116	<i>Material, Supplies, Tools & Equipment</i>		-	-	-	0.0%	
117	<i>Outside Services</i>		-	-	-	0.0%	
118	<i>Utilities, Maintenance & Facilities</i>		-	-	-	0.0%	
119	<i>HW/SW License and Maintenance</i>		-	-	-	0.0%	
120	<i>Insurance</i>		-	-	-	0.0%	
121	<i>Employee Expenses</i>		-	-	-	0.0%	
122	<i>Depreciation & Amortization</i>		-	-	-	0.0%	
123	<i>Interest & Fees</i>		-	-	-	0.0%	
124	<i>Other</i>		-	-	-	0.0%	
125	Total - Settlement & Client Services		-	-	-	0.0%	

ERCOT Fiscal Year 2004 Budget
PUCT Docket No.
Workpaper 5: MWH Load Comparison



	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
FY 2004 Budget GWH's	-	-	-	-	-	-	-	-	-	-	-	-	-
FY 2003 Actual & Projected GWH's	22,305	19,472	20,805	20,486	24,532	27,585	31,210	31,064	26,512	22,546	20,348	22,315	289,180
FY 2002 Actual GWH's	21,584	19,401	20,438	21,176	23,951	26,694	29,102	30,260	24,773	21,164	21,220	24,077	283,840
FY 2001 Actual GWH's	22,147	17,821	19,000	19,277	23,382	25,745	28,627	29,843	24,081	20,600	19,454	20,577	270,556
FY 2000 Actual GWH's	19,109	17,795	19,760	19,548	25,073	25,875	29,001	30,077	24,975	21,309	20,891	23,704	277,117

ERCOT Fiscal Year 2004 Budget

PUCT Docket No.

Workpaper 6: Texas Non-ERCOT Load Serving Entity Fee Summary

Line	Description	Amount	Comment
1	<u>Expenses</u>		
2	Market Services Direct Expenses	\$ -	Budget for the Registration department.
3	Customer Care Call Center	-	Outsourced call center services.
4	Allocated Settlement & Customer Services Overhead Expense	-	Approximately 10% of the remaining budget for the Market Services Division.
5	Allocated Debt Service Expense (a)	-	Assumed capital cost of \$9.2 million amortized straight-line over five years, plus a 1-yr cost of capital of 7%.
6	Allocated Corporate and IT Overhead Expense (b)	-	Based on director and manager labor allocations, approximately 5% of the total Corporate and IT division budgets.
7			
8	Total Registration Expenses	<u>\$ -</u>	
9			
10	<u>Estimated Number of ESI ID Units to be Registered</u>		
11	Total ESI ID Units	-	
12			
13	<u>Expense per ESI ID Unit</u>		
14	Annual Registration Cost per ESI ID Unit	\$ -	Total Registration Expenses divided by Total ESI ID Units.
15	Monthly Registration Cost per ESI ID Unit	\$ -	Annual Registration Cost per ESI ID Unit divided by 12 months.
16	Daily Registration Cost per ESI ID Unit	\$ -	Annual Registration Cost per ESI ID Unit divided by 365 days.
17			
18	<u>FY 2004 Budgeted Revenue from Non-ERCOT LSE Fee</u>		
19	Non-ERCOT ESI ID Units	-	
20	Annual Registration Cost per ESI ID Unit	\$ -	
21			
22	Budgeted Non-ERCOT LSE Fee Revenue	<u>\$ -</u>	Rounded up to the nearest \$000.

Notes:

(a) Capital cost estimate of \$9.2 million is approximately 10% of total ERCOT systems hardware & software capital costs associated with phase 1 of the Texas Choice Project.

(b) ERCOT labor allocation was determined through discussions with directors and cost center managers. As a result, 5% allocation to Registration/Customer Service was considered appropriate.

ERCOT Fiscal Year 2004 Budget

PUCT Docket No.

Workpaper 7: Membership Revenue Summary

Line	Description	Number of Members	Membership Fee	Membership Revenue
1	Corporate membership fees	0	\$ -	-
2	Associate membership fees	0	-	-
3	Adjunct membership fees	0	-	-
4				
5	Totals membership fees assumed in ERCOT budget	<u>0</u>	<u>\$ -</u>	<u>-</u>

ERCOT Fiscal Year 2004 Budget
PUCT Docket No.
Workpaper 8: Interest Income Summary

Line	Description	Notes	FY 2004 Budget
1	Estimated average ERCOT cash balance in 2004	a	\$ -
2	Estimated average interest income on deposits and investments	b	0.00%
3			
4	Interest income assumed in 2004 ERCOT budget		<u>\$ -</u>

Notes:

ERCOT Fiscal Year 2004 Budget

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Workpaper 9: Staffing Summary by Division and Department

Line	Division and Department	FY 2004 Budget	FY 2003 Budget	Increase Over FY 2003 Budget
1	Corporate			
2	101 Executive	-	-	-
3	110 Finance	-	-	-
4	120 Legal	-	-	-
5	130 HR	-	-	-
6	140 NERC Compliance	-	-	-
7	150 Stakeholder Services	-	-	-
8	160 Corporate Communications	-	-	-
9	170 Market Rules	-	-	-
10	Total Corporate	-	-	-
11				
12	Information Technology			
13	301 IT Administration	-	-	-
14	310 Information Systems	-	-	-
15	320 EMS	-	-	-
16	330 Communication & Facilities	-	-	-
17	340 Commercial Applications	-	-	-
18	350 Project Management	-	-	-
19	Total Information Technology	-	-	-
20				
21	System Operations			
22	401 System Ops Administration	-	-	-
23	410 Market Support	-	-	-
24	420 System Operations	-	-	-
25	430 System Planning	-	-	-
26	Total System Operations	-	-	-
27				
28	Market Services			
29	501 Market Services Administration	-	-	-
30	510 Customer Solutions Support	-	-	-
31	520 Registration	-	-	-
32	530 Meter Acquisition/Aggregation	-	-	-
33	540 Load Profiling	-	-	-
34	550 Settlement & Billing	-	-	-
35	560 Client Relations	-	-	-
36	580 REC Program	-	-	-
37	Total Market Services	-	-	-
39	Total ERCOT	-	-	-

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Workpaper 10: Materials, Supplies, Tools and Equipment Expenses by Division and Department

Line	Division	FY 2004 Budget	FY 2003 Budget	Variance	% Variance	Comment
1	Corporate	-	-	-	0%	
2	Information Technology	-	-	-	0%	
3	System Operations	-	-	-	0%	
4	Market Services	-	-	-	0%	
5	Total	-	-	-	0%	

ERCOT Fiscal Year 2004 Budget

PUCT Docket No.

Workpaper 12: Facilities, Maintenance and Utilities Expenses by Type

Line	Description	Budget			% Var
		FY 2004	FY 2003	\$ Var	
1	Office building and storage rent and lease payments	-	-	-	0.0%
2	Electricity, water and wastewater	-	-	-	0.0%
3	Dark fiber lease - Austin to Taylor	-	-	-	0.0%
4	WAN Cost not reimbursed	-	-	-	0.0%
5	Telephone and conference services	-	-	-	0.0%
6	Security services	-	-	-	0.0%
7	Custodial services and day porter	-	-	-	0.0%
8	Internet service	-	-	-	0.0%
9	Building and grounds maintenance	-	-	-	0.0%
10	Fiberoptic communication link lease (OC3)	-	-	-	0.0%
11	Miscellaneous items	-	-	-	0.0%
12	Fuel oil	-	-	-	0.0%
13	Waste disposal	-	-	-	0.0%
14	Repairs, remodels, and office moves	-	-	-	0.0%
15	Total	-	-	-	0.0%

ERCOT Fiscal Year 2004 Budget

PUCT Docket No.

Workpaper 13: Hardware and Software Maintenance and License Support Expenses by Division and Department

Line	Division and Department	FY 2004 Budget	FY 2003 Budget	Variance	% Variance	Comment
1	Corporate					
2	110 Finance	-	-	-	0%	
3	130 HR	-	-	-	0%	
4	170 Market Rules	-	-	-	0%	
5		-	-	-	0%	
6	IT					
7	301 IT Administration	-	-	-	0%	
8	310 Information Systems	-	-	-	0%	
9	320 EMS	-	-	-	0%	
10	330 Communication & Facilities	-	-	-	0%	
11	340 Commercial Applications	-	-	-	0%	
12		-	-	-	0%	
13	System Operations					
14	401 Tech Ops Administration	-	-	-	0%	
15	410 Market Support	-	-	-	0%	
16	420 System Operations	-	-	-	0%	
17	430 System Planning	-	-	-	0%	
18		-	-	-	0%	
19	Market Services					
20	501 Settlements Administration	-	-	-	0%	
21	510 Customer Solutions Support	-	-	-	0%	
22	520 Registration	-	-	-	0%	
23	530 Meter Acquisition/Aggregation	-	-	-	0%	
24	540 Load Profiling	-	-	-	0%	
25	550 Settlement & Billing	-	-	-	0%	
26	560 Client Relations	-	-	-	0%	
27		-	-	-	0%	
28						
29	Total	-	-	-	0%	

ERCOT Fiscal Year 2004 Budget
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Workpaper 14: Debt Service Summary

Line	Description	Notes	FY 2004 Budget
1	Long-term Borrowing		
2	<i>Principal Repayment on Long-term Debt</i>		-
3	<i>Outstanding Balance</i>		-
4	<i>Interest rate</i>		0.00%
5	<i>Interest Obligation on Long-term Debt</i>		-
6			
7	Short-term Borrowing		
8	<i>Assumed Capital Spending in 2003</i>		-
9	<i>Percentage Debt Funded</i>		0%
10	<i>Borrowing for 2003 Capital Spending</i>		-
11	<i>Funds Available from \$150 Million Long-term debt</i>		-
12	<i>Assumed Usage of Short-term Borrowing for 2003 Capital Spending</i>		-
13	<i>Assume even funding throughout the last 120 days of year (120/360/2)</i>		0.0%
14	<i>Assumed Average Outstanding Short-term Borrowing during 2003</i>		-
15	<i>Assumed Short-term interest rate in 2003</i>		0.0%
16	<i>Short-term borrowing interest expense</i>		-
17			
18	Budgeted Debt Service Revenue Requirement		\$ -

Notes:

ERCOT Fiscal Year 2004 Budget

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Workpaper 15: Other Expenses Summary by Expense Type

Line	Description	Budget		\$ Var	% Var	Comment
		FY 2004	FY 2003			
1	"Other Expenses"					
2	<i>Property Taxes</i>	-	-	-	0.0%	
3	<i>Dues</i>	-	-	-	0.0%	
4	<i>Employee Recruiting and Relocation</i>	-	-	-	0.0%	
5	<i>ERCOT Sponsored Meetings</i>	-	-	-	0.0%	
6	<i>Printing</i>	-	-	-	0.0%	
7	<i>Corporate Events</i>	-	-	-	0.0%	
8	<i>Subscriptions and Publications</i>	-	-	-	0.0%	
9	<i>Postage & Delivery</i>	-	-	-	0.0%	
10	<i>Media Services and Supplies</i>	-	-	-	0.0%	
11	<i>Corporate Training Development</i>	-	-	-	0.0%	
12	<i>Miscellaneous Items</i>	-	-	-	0.0%	
14	Total	-	-	-	0.0%	

ERCOT Fiscal Year 2004 Budget

PUCT Docket No.

Workpaper 16: Minor Capital - Summary Comparison

Minor Capital	Budget			
	FY 2004	FY 2003	\$ Var	% Var
Software	-	-	-	-
Server	-	-	-	-
Other Hardware	-	-	-	-
Furniture, Fixtures, Equipment and Other	-	-	-	-
Computer	-	-	-	-
Total	-	-	-	-

